
MarkLogic Server

Administrator's Guide

MarkLogic 10
May, 2019

Last Revised: 10.0-9, February, 2022

Table of Contents

Administrator's Guide

1.0	Introduction	17
1.1	Objectives	17
1.2	Audience	17
1.3	Scope and Requirements	17
1.4	Architecture Overview	18
2.0	Administrative Interface	21
2.1	Overview of the Admin Interface	21
2.2	Accessing the Admin Interface	22
2.3	Logging Off the Admin Interface	22
2.4	Creating and Managing Administrators	22
2.5	The admin-ui-user role	23
3.0	Common Administrative Procedures	25
3.1	Installing and Upgrading MarkLogic Server	25
3.2	Starting and Stopping MarkLogic Server	25
3.3	Creating and Configuring Forests and Databases	25
3.4	Creating and Configuring App Servers	26
3.5	Setting up Users, Roles, Privileges, and Permissions	26
3.6	Loading Content into a Database	27
3.7	Running The XQuery Use Cases and Building Simple Applications	27
3.8	Backing up and Restoring Data	27
3.9	Monitoring and Tuning Performance	28
3.10	Scripting and Scheduling Administrative Tasks	28
3.11	Configuring Clusters, Groups and Failover	29
4.0	Starting and Stopping MarkLogic Server	31
4.1	Starting the Server	31
4.2	Stopping the Server	31
4.2.1	Using System Command to Stop MarkLogic Server	32
4.2.2	Using the Admin Interface to Stop MarkLogic Server	32
4.3	Restarting the Server	32
4.4	Example XQuery Scripts	33
4.4.1	Script that Restarts MarkLogic Server	33
4.4.2	Script that Stops MarkLogic Server	33
5.0	Clusters	35

5.1	Overview of Cluster Configuration	35
5.2	OpenSSL FIPS 140-2 Mode	35
5.3	Procedures for Configuring Clusters	35
5.3.1	Configuring OpenSSL FIPS 140-2 Mode	36
5.3.2	Cluster Encryption Options	36
5.3.2.1	Change the Internal KMS Password	40
5.3.2.2	Synchronizing the KMS Keys	41
5.3.3	Coupling Clusters	41
5.4	Configuring a MarkLogic Application Message and Banner	47
5.4.1	Example Configuration	48
5.4.2	Configuration Reference	49
5.4.3	Example: Creating a New Configuration Document	50
5.4.4	Example: Activate/Deactivate a Configuration	51
5.4.5	Example: Modify the Notification Dialog Text	51
5.4.6	Example: Modify the Banner Text	52
6.0	Groups	53
6.1	Overview of Groups	53
6.2	Example	54
6.3	Procedures for Configuring and Managing Groups	55
6.3.1	Creating a New Group	55
6.3.2	Group Settings	57
6.3.3	Enabling SSL communication over XDQP	63
6.3.4	Configuring an SMTP Server	64
6.3.5	Restarting All Hosts in a Group	65
6.3.6	Deleting a Group	65
7.0	HTTP Servers	67
7.1	HTTP Server Overview	67
7.2	Procedures for Creating and Managing HTTP Servers	68
7.2.1	Creating a New HTTP Server	68
7.2.2	Setting Output Options for an HTTP Server	72
7.2.3	Viewing HTTP Server Settings	73
7.2.4	Deleting an HTTP Server	73
7.2.5	Canceling a Request	74
8.0	XDBC Servers	77
8.1	XDBC Server Overview	77
8.2	Procedures for Creating and Managing XDBC Servers	78
8.2.1	Creating a New XDBC Server	78
8.2.2	Setting Output Options for an XDBC Server	82
8.2.3	Viewing XDBC Server Settings	82
8.2.4	Deleting an XDBC Server	83

9.0	WebDAV Servers	85
9.1	WebDAV Server Overview	85
9.1.1	Accesses a Database for Read and Write, Not XQuery Execution	86
9.1.2	WebDAV Server Security	86
9.1.3	Directories	87
9.1.3.1	Automatic Directory Creation in a Database Settings	87
9.1.3.2	Properties and URIs of Directories	88
9.1.4	Server Root Directory	88
9.1.5	Documents in a WebDAV Server	89
9.2	Procedures for Creating and Managing WebDAV Servers	89
9.2.1	Creating a New WebDAV Server	90
9.2.2	Setting Output Options for a WebDAV Server	93
9.2.3	Viewing WebDAV Server Settings	93
9.2.4	Deleting a WebDAV Server	94
9.3	WebDAV Clients	94
9.3.1	Tested WebDAV Clients	95
9.3.2	General Steps to Connect to a Server	96
9.3.3	Steps to Connect to a Web Folder in Windows Explorer	96
9.4	Example: Setting Up a WebDAV Server to Add/Modify Documents Used By Another Server	97
10.0	ODBC Servers	99
10.1	ODBC Server Overview	99
10.2	Procedures for Creating and Managing ODBC Servers	100
10.2.1	Creating a New ODBC Server	101
10.2.2	Setting Output Options for an ODBC Server	105
10.2.3	Viewing ODBC Server Settings	106
10.2.4	Deleting an ODBC Server	106
10.2.5	Canceling a Request	107
10.3	ODBC Request Monitoring and Cancellation	108
11.0	Auditing Events	111
11.1	Overview of Auditing	111
11.1.1	Audit Log Files	111
11.1.2	Restricting Audit Events	112
11.1.3	Audit Successful, Unsuccessful, or Both Types of Events	112
11.1.4	Enabled at the Group Level	113
11.2	Auditable Events	113
11.2.1	Audit Log Content	118
11.2.2	Sample Audit Logs	119
11.3	Configuring Auditing for a Group	120
11.3.1	Enabling Auditing for a Group	120
11.3.2	Disabling Auditing for a Group	120
11.3.3	Configuring Auditing to Audit Certain Events and Set Up Certain Restrictions	121

12.0	Managing User Requests and Monitoring Login Attempts	123
12.1	Managing Concurrent User Requests	123
12.1.1	Limiting Concurrent Requests with User Request Limits	123
12.1.2	Configuring User Concurrent Request Controls	123
12.2	Setting Request Blackouts on an App Server	124
12.2.1	Configuring Request Blackouts	124
12.2.2	Deleting Request Blackouts	125
12.3	Storing and Monitoring the Last User Login Attempt	125
12.3.1	Storing Last User Login Information in a Last-Login Database	125
12.3.2	Configuring User Login Monitoring	125
12.3.3	Displaying the Last Login Information for an App Server or for the Admin Interface	126
13.0	Databases	127
13.1	Understanding Databases	127
13.1.1	Schemas and Security Databases	128
13.1.2	Modules Database	128
13.1.3	Triggers Database	129
13.1.4	Database Settings	129
13.1.4.1	Basic Administrative Settings	130
13.1.4.2	Index Settings that Affect Documents	130
13.1.4.3	Rebalancer Settings	134
13.1.4.4	Reindexing Settings	134
13.1.4.5	Document and Directory Settings	135
13.1.4.6	Memory and Journal Settings	137
13.1.4.7	Other Settings	139
13.1.4.8	Merge Control Settings	140
13.1.5	Example of Databases in MarkLogic Server	140
13.2	Creating a New Database	141
13.3	Attaching and/or Detaching Forests to/from a Database	142
13.4	Viewing Database Settings	143
13.5	Loading Documents into a Database	144
13.6	Merging a Database	145
13.7	Reindexing a Database	145
13.8	Clearing a Database	146
13.9	Disabling a Database	146
13.10	Deleting a Database	147
13.11	Checking and Setting Permissions for a Document in a Database	148
14.0	Word Query Database Settings	149
14.1	Understanding the Word Query Configuration	149
14.1.1	Overview of Configuration Options	149
14.1.2	Understanding Which Elements are Included and Excluded	150
14.1.3	Adding a Weight to Boost or Lower the Relevance of an Included Element	152

14.1.4	Specifying An Attribute Value for an Included Element	153
14.1.5	Understanding the Index Option Configuration	153
14.2	Configuring Customized Word Query Settings	154
15.0	Fields Database Settings	159
15.1	Overview of Fields	159
15.2	Understanding Field Configurations	160
15.2.1	Overview of Field Configuration Options	160
15.2.2	Root and Path Fields	161
15.2.2.1	Root Fields	161
15.2.2.2	Path Fields	162
15.2.2.3	How Field Settings Determine What is Included and Excluded ...	162
15.2.2.4	Adding a Weight to Boost or Lower the Relevance of an Included Element or Property	164
15.2.2.5	Specifying An Attribute Value for an Included or Excluded Element	165
15.2.3	Metadata Fields	165
15.2.4	Understanding the Index Option Configuration	167
15.3	Field Word Lexicons and Field Value Lexicons	167
15.4	Configuring Fields	167
15.4.1	Configuring a New Path or Root Field	168
15.4.2	Configuring a New Metadata Field	175
15.4.3	Modifying an Existing Field	178
15.4.4	Creating a Range Index on a Field	178
16.0	Understanding and Controlling Database Merges	181
16.1	Overview of Merges: Merges are Good	181
16.1.1	Dynamic and Self-Tuning	181
16.1.2	What Happens During a Merge	182
16.1.3	Dangers of Disabling Merges	182
16.1.4	Merges Will Change Scores	183
16.2	Setting Merge Policy	183
16.2.1	Overview of the Merge Policy Controls	183
16.2.2	Description of Merge Policy Parameters	184
16.3	Blackout Periods for Merges	187
16.3.1	Understanding Merge Blackouts	188
16.3.2	Configuring Merge Blackout Periods	188
16.3.3	Deleting Merge Blackout Periods	189
16.4	Merges and Point-In-Time Queries	189
16.5	Setting a Negative Merge Timestamp to Preserve Fragments For a Rolling Window of Time	189
16.6	Monitoring a Merge	190
16.6.1	Messages in the ErrorLog.txt File	190
16.6.2	Database Status Page	191

16.7	Explicit Merge Commands	192
16.7.1	Manually Initiating a Merge	192
16.7.2	Cancelling a Merge	192
16.8	Configuring Merge Policy Rules	193
16.8.1	Determine the Baseline for Your Merges	193
16.8.2	If You Want to Reduce the Number of ‘Large’ Merges	194
16.8.3	Other Solutions	197
17.0	Database Rebalancing	199
17.1	Overview of the Database Rebalancer	199
17.2	Rebalancer Trigger Events	200
17.3	Rebalancer Document Assignment Policies	200
17.3.1	Bucket Assignment Policy	201
17.3.2	Segment Assignment Policy	202
17.3.3	Statistical Assignment Policy	203
17.3.4	Range Assignment Policy	204
17.3.5	Query Assignment Policy	205
17.3.6	Legacy Assignment Policy	207
17.3.7	Summary of Assignment Policies	208
17.4	How the Rebalancer Moves Documents	208
17.4.1	How Data is Moved when a Forest is Attached to the Database	209
17.4.2	How Data is Moved when a Forest is Retired from the Database	209
17.5	Configuring the Rebalancer on a Database	209
17.6	Configuring the Rebalancer on a Forest	210
17.7	Retiring a Forest from the Database	212
17.8	Checking the Rebalancer Status	213
17.9	How the Rebalancer Interacts with other Database and Forest Settings	213
17.9.1	Database Replication	214
17.9.2	Restoring a Database from a Backup	214
17.9.3	Tiered Storage	214
17.9.4	Fast Locking	215
17.9.5	Delete-only and Read-only Forests	215
17.10	Rebalancer Settings after Upgrading from an Earlier Release	216
18.0	Tiered Storage	217
18.1	Terms Used in this Chapter	217
18.2	Overview of Tiered Storage	218
18.3	Range Partitions	220
18.4	Query Partitions	222
18.5	Partition Migration	224
18.6	Configuring a Database with Range Partitions	225
18.6.1	Defining a Range Partition Key	226
18.6.2	Creating Range Partitions	227
18.6.2.1	Creating a Range Partition with New Forests	228
18.6.2.2	Creating a Range Partition from Existing Forests	228

18.7	Configuring a Database with Query Partitions	229
18.7.1	Creating Query Partitions	230
18.7.2	Setting the Query Assignment Policy for the Query Partition	231
18.7.3	Isolating a Query Partition	233
18.7.4	Look Up Partitions Queries	234
18.8	Overview of the Tiered Storage REST API	234
18.8.1	Asynchronous Operations	235
18.8.2	Privileges	235
18.8.3	/manage/v2/databases/{id name}/partitions	236
18.8.4	/manage/v2/databases/{id name}/partitions/{name}	236
18.8.5	/manage/v2/databases/{id name}/partitions/{name}/properties	237
18.8.6	/manage/v2/databases/{id name}/partition-queries	237
18.8.7	/manage/v2/databases/{id name}/partition-queries/{partition-number}	238
18.8.8	/manage/v2/databases/{id name}/partition-queries/{partition-number}/ properties	238
18.8.9	/manage/v2/forests	239
18.8.10	/manage/v2/forests/{id name}	240
18.8.11	/manage/v2/forests/{id name}/properties	241
18.9	Common Forest and Partition Operations	241
18.9.1	Viewing Partitions	242
18.9.2	Migrating Forests and Partitions	242
18.9.3	Resizing Partitions	244
18.9.4	Transferring Partitions between Databases	244
18.9.5	Combining Forests	245
18.9.6	Retiring Forests	245
18.9.7	Taking Forests and Partitions Online and Offline	246
18.9.8	Setting the Updates-allowed State on Partitions	246
18.9.9	Deleting Partitions	247
18.10	Partitions with Forest-Level Failover	247
19.0	Super Databases and Clusters	249
19.1	Overview	249
19.2	Creating a Super-database	251
19.3	Creating a Super-cluster	251
19.4	Viewing Super-databases and Sub-databases	252
20.0	Backing Up and Restoring a Database	253
20.1	Backup and Restore Overview	253
20.1.1	Consistent, Database-Level Backup	254
20.1.2	Admin Interface	254
20.1.3	Backup and Restore Transactions	254
20.1.4	Backup Directory Structure	255
20.1.5	Phases of Backup or Restore Operation	257
20.1.5.1	Validation Phase	257
20.1.5.2	Copy Phase	258

20.1.5.3	Synchronization Phase	258
20.1.6	Notes about Backup and Restore Operations	258
20.2	Backing Up Databases with Journal Archiving	259
20.3	Incremental Backup	261
20.3.1	Incremental Backup of New Forest	262
20.4	Incremental Backup with Journal Archiving	262
20.5	Backing Up a Database	263
20.5.1	Backing Up a Database Immediately	263
20.5.2	Scheduling a Database Backup	267
20.6	Restoring a Database from a Backup	269
20.6.1	Admin Interface for Database Restore	270
20.6.2	Restoring a Database without Journal Archiving	272
20.6.3	Restoring Databases with Journal Archiving	274
20.6.4	Restoring from an Incremental Backup with Journal Archiving	276
20.6.5	Restoring to the Safe Timestamp	278
20.6.6	Restoring to a Specific Timestamp	280
20.6.7	Restoring Based on Sample Documents	281
20.6.8	Restoring a Reconfigured Database	281
20.7	Backing up and Restoring a Database Following Local Disk Failover	288
21.0	Rolling Upgrades	293
21.1	Understanding Rolling Upgrades	293
21.1.1	When Cluster Has Nodes at Different Software Version Levels	294
21.1.2	Rolling Upgrade Process	294
21.1.3	Rolling Upgrade Status in Admin UI	295
21.1.4	Effective version and software version	296
21.2	Example—Rolling Upgrade	296
21.3	Performing Rolling Upgrades	298
21.3.1	Rolling Upgrades Using REST Management APIs	298
21.3.2	Upgrading an EC2 Instance	300
21.3.3	Rolling Upgrades Using XQuery	304
21.3.4	Rolling Upgrades on Both Production and DR Clusters	305
21.4	Rolling Back a Partial Upgrade	305
21.5	APIs for Rolling Upgrades	305
21.5.1	Admin APIs	305
21.5.2	REST Management APIs	306
21.6	Interaction with Other MarkLogic Features	306
21.6.1	SQL	306
21.6.2	Server-Side JavaScript	307
21.6.3	Java Client API	307
21.6.4	Custom UDFs	307
21.6.5	Reverse Queries Involving Circles	307
21.7	Important Points to Note Before Performing Rolling Upgrades	308
21.8	Other Upgrade Options	309

22.0	Hosts	311
22.1	Adding a Host to a Cluster	311
22.2	Changing the Group of the Host	312
22.3	Shutting Down or Restarting a Host	313
22.4	Clearing a Forest on a Host	313
22.5	Deleting a Forest on a Host	314
22.6	Leaving the Cluster	314
22.7	Displaying License Options	316
22.8	Changing the License Key For a Host	317
22.9	Rolling Back a Transaction	318
23.0	Forests	321
23.1	Understanding Forests	321
23.2	Creating a Forest	322
23.3	Making a Forest Delete-Only	326
23.4	Making a Forest Read-Only	327
23.5	Attaching and Detaching Forests Using the Forest Summary Page	329
23.6	Making Backups of a Forest	330
	23.6.1 Backing Up a Forest	330
	23.6.2 Scheduling a Forest Backup	331
23.7	Restoring a Forest	333
23.8	Rolling Back a Forest to a Point In Time	334
23.9	Merging a Forest	334
23.10	Clearing a Forest	334
23.11	Disabling a Forest	335
23.12	Deleting a Forest from a Host	335
23.13	Rolling Back a Prepared XA Transaction Branch	336
24.0	Security Administration	339
24.1	Security Entities	339
24.2	Users	342
	24.2.1 Creating a User	342
	24.2.2 Viewing a User Configuration	344
	24.2.3 Modifying a User Configuration	345
	24.2.4 Deleting a User	345
24.3	Roles	346
	24.3.1 Creating a Role	347
	24.3.2 Viewing a Role	349
	24.3.3 Modifying a Role Configuration	350
	24.3.4 Deleting a Role	351
24.4	Execute Privileges	351
	24.4.1 Creating an Execute Privilege	352
	24.4.2 Execute Privilege: grant-my-privilege	353
	24.4.3 Viewing an Execute Privilege	355
	24.4.4 Modifying an Execute Privilege	355

24.4.5	Deleting an Execute Privilege	356
24.5	URI Privileges	356
24.5.1	Creating a URI Privilege	357
24.5.2	Viewing a URI Privilege	357
24.5.3	Modifying a URI Privilege	358
24.5.4	Deleting a URI Privilege	358
24.6	Amps	359
24.6.1	Creating an Amp	360
24.6.2	Viewing an Amp	361
24.6.3	Modifying an Amp	361
24.6.4	Deleting an Amp	362
24.7	Protected Collections	362
24.7.1	Creating a Protected Collection	363
24.7.2	Viewing a Protected Collection	364
24.7.3	Removing a Permission from a Protected Collection	365
24.7.4	Deleting a Protected Collection	365
24.8	Certificate Templates	366
24.9	Realm	366
24.9.1	Setting the Realm	367
24.9.2	Changing the Realm	367
25.0	Text Indexing	369
25.1	Text Indexes	369
25.1.1	Understanding the Text Index Settings	370
25.1.2	Viewing Text Index Configuration	376
25.1.3	Configuring Text Indexes	378
25.2	Phrasing and Element-Word-Query Boundary Control	379
25.2.1	Phrasing Control	379
25.2.2	Element Word Query Throughs	381
25.2.3	Procedures	381
25.2.3.1	Viewing Phrasing and Element-Word-Query Settings	381
25.2.3.2	Configuring Phrasing and Element-Word-Query Settings	382
25.2.3.3	Deleting a Phrasing or Element-Word-Query Setting	384
25.3	Query Behavior with Reindex Settings Enabled and Disabled	385
25.3.1	Understanding the Reindexer Enable Settings	385
25.3.2	Query Evaluation According to the Lowest Common Denominator	386
25.3.3	Reindexing Does Not Apply to Point-In-Time Versions of Fragments	386
25.3.4	Example Scenario	387
26.0	Range Indexes and Lexicons	389
26.1	Understanding Range Indexes	390
26.2	Using Range Indexes for Value Lexicons	393
26.3	Understanding Word Lexicons	394
26.4	Understanding Path Range Indexes	394
26.4.1	Limitations on Index Path Expressions	395

26.4.2	Examples of Index Path Expressions	395
26.4.3	Testing the Validity of an Index Path Expression	396
26.4.4	Using Namespace Prefixes in Index Path Expressions	396
26.5	Viewing Element Range Index Settings	397
26.6	Defining Element Range Indexes	397
26.7	Viewing Attribute Range Index Settings	399
26.8	Defining Attribute Range Indexes	399
26.9	Viewing Path Range Index Settings	402
26.10	Defining Namespace Prefixes Used in Path Range Indexes and Fields	402
26.11	Defining Path Range Indexes	403
26.12	Viewing Element Word Lexicon Settings	405
26.13	Defining Element Word Lexicons	406
26.14	Viewing Attribute Word Lexicon Settings	407
26.15	Defining Attribute Word Lexicons	407
26.16	Defining Value Lexicons	409
26.17	Deleting Range Indexes or Lexicons	409
26.18	Defining Field Range Indexes	410
27.0	Fragments	411
27.1	Choosing a Fragmentation Strategy	412
27.1.1	Fragment Roots	413
27.1.2	Fragment Parents	413
27.2	Defining Fragment Roots	414
27.3	Defining Fragment Parents	415
27.4	Viewing Fragment Rules	416
27.5	Deleting Fragment Rules	417
28.0	Namespaces	419
28.1	Defining Namespaces for a Group	419
28.2	Defining Namespaces for an HTTP, ODBC, or XDBC Server	420
28.3	Viewing Namespace Settings for a Group	421
28.4	Viewing Namespace Settings for an HTTP, ODBC, or XDBC Server	422
28.5	Deleting Namespaces for a Group	423
28.6	Deleting Namespaces for an HTTP, ODBC, or XDBC Server	423
29.0	Understanding and Defining Schemas	425
29.1	Understanding Schemas	425
29.2	Procedures For Defining Schemas	426
29.2.1	Adding a Schema Definition for a Group	426
29.2.2	Adding a Schema Definition for an HTTP, ODBC, or XDBC Server ...	427
29.2.3	Viewing Schema Definitions for a Group	429
29.2.4	Viewing Schema Definitions for an HTTP, ODBC, or XDBC Server ...	429
29.2.5	Deleting a Schema Definition for a Group	430
29.2.6	Deleting a Schema Definition for an HTTP, ODBC, or XDBC Server	430

30.0	Log Files	433
30.1	Application and System Log Files	433
30.2	Understanding the Log Levels	433
30.3	Configuring System Log Files	434
30.4	Configuring Application Log Files	436
30.5	Viewing the System Log	437
30.6	Viewing the Application and System File Logs	437
30.7	Accessing Log Files	438
31.0	Scheduling Tasks	439
31.1	Understanding Scheduled Tasks	439
31.2	Scheduling a Module for Invocation	439
31.3	Selecting a Task Type	441
31.3.1	Scheduling Per Minute	442
31.3.2	Scheduling Per Hour	442
31.3.3	Scheduling Per Day and Time	443
31.3.4	Scheduling Per Week, Day, and Time	444
31.3.5	Scheduling Per Month, Day, and Time	444
31.3.6	Scheduling One Invocation on a Calendar Date and Time	444
32.0	Using the Configuration Manager	447
32.1	Configuration Manager Overview	447
32.2	Security Considerations	448
32.3	Accessing the Configuration Manager	448
32.4	Viewing Configurations	449
32.4.1	Browsing Resource Configurations	449
32.4.2	Searching for a Resource	451
32.5	Searching for a Configuration Setting	452
32.6	Editing Configuration Settings	453
32.7	Exporting and Importing Configurations	455
32.7.1	Exporting a Configuration	455
32.7.2	Importing a Configuration	457
32.7.3	Comparing Imported Configuration with Current Configuration	458
32.8	Applying Imported Configuration Settings	462
33.0	Appendix A: ‘Hot’ versus ‘Cold’ Admin Tasks	465
33.1	Groups	466
33.2	HTTP, ODBC, XDBC, and WebDAV Servers	467
33.3	Databases	467
33.4	Hosts	467
33.5	Forests	468
33.6	Mimetypes	468
33.7	Security	468

34.0	Appendix B: Pre-defined Execute Privileges	469
35.0	Appendix C: Pre-defined Roles	509
35.1	admin	511
35.2	admin-builtins	511
35.3	admin-configuration-delete	513
35.4	admin-configuration-read	513
35.5	admin-configuration-write	513
35.6	admin-default	513
35.7	admin-default-internal	513
35.8	admin-module-internal	513
35.9	admin-module-read-internal	513
35.10	admin-module-read-invoke	514
35.11	admin-transform	514
35.12	admin-ui-user	514
35.13	alert-admin	514
35.14	alert-execution	514
35.15	alert-internal	514
35.16	alert-user	514
35.17	app-builder	514
35.18	app-builder-internal	514
35.19	app-user	515
35.20	application-plugin-registrar	515
35.21	appservices-internal	515
35.22	cpf-restart	515
35.23	custom-dictionary-admin	515
35.24	custom-dictionary-user	515
35.25	custom-language-admin-read	516
35.26	custom-language-admin-write	516
35.27	dls-admin	516
35.28	dls-internal	516
35.29	dls-user	516
35.30	domain-management	517
35.31	filesystem-access	517
35.32	flexrep-admin	517
35.33	flexrep-internal	517
35.34	flexrep-user	517
35.35	hadoop-internal	518
35.36	hadoop-user-all	518
35.37	hadoop-user-read	518
35.38	hadoop-user-write	518
35.39	infostudio-admin-internal	519
35.40	infostudio-internal	519
35.41	infostudio-user	519
35.42	manage	519

35.43	manage-admin	520
35.44	manage-admin-internal	520
35.45	manage-internal	520
35.46	manage-user	521
35.47	merge	521
35.48	network-access	521
35.49	pipeline-execution	521
35.50	pipeline-management	522
35.51	pki	522
35.52	plugin-internal	522
35.53	qconsole-internal	522
35.54	qconsole-user	522
35.55	rest-admin	522
35.56	rest-admin-internal	522
35.57	rest-extension-user	523
35.58	rest-internal	523
35.59	rest-reader	523
35.60	rest-writer-internal	523
35.61	rest-writer	523
35.62	rest-reader-internal	523
35.63	search-internal	523
35.64	security	523
35.65	tde-admin	525
35.66	tde-view	525
35.67	temporal-admin	525
35.68	temporal-internal	525
35.69	trigger-management	526
35.70	view-admin	526
35.71	view-admin-internal	526
35.72	welcome-internal	526
35.73	xa	526
35.74	xa-admin	526
35.75	xinclude	527
36.0	Technical Support	529
37.0	Copyright	531

1.0 Introduction

MarkLogic Server is a powerful NoSQL database for harnessing your digital content base, complete with Enterprise features demanded by real world, mission-critical applications. MarkLogic enables you to build complex applications that interact with large volumes of content in XML, SGML, HTML, JSON, and other popular content formats. The unique architecture of MarkLogic ensures that your applications are both scalable and high-performance, delivering query results at search-engine speeds while providing transactional integrity over the underlying content repository. MarkLogic can be configured for a distributed environment, enabling you to scale your infrastructure through hardware expansion.

1.1 Objectives

This document describes administrative tasks required to manage the operation of MarkLogic on your system.

1.2 Audience

This document is intended for a technical audience, specifically the system administrator in charge of MarkLogic .

1.3 Scope and Requirements

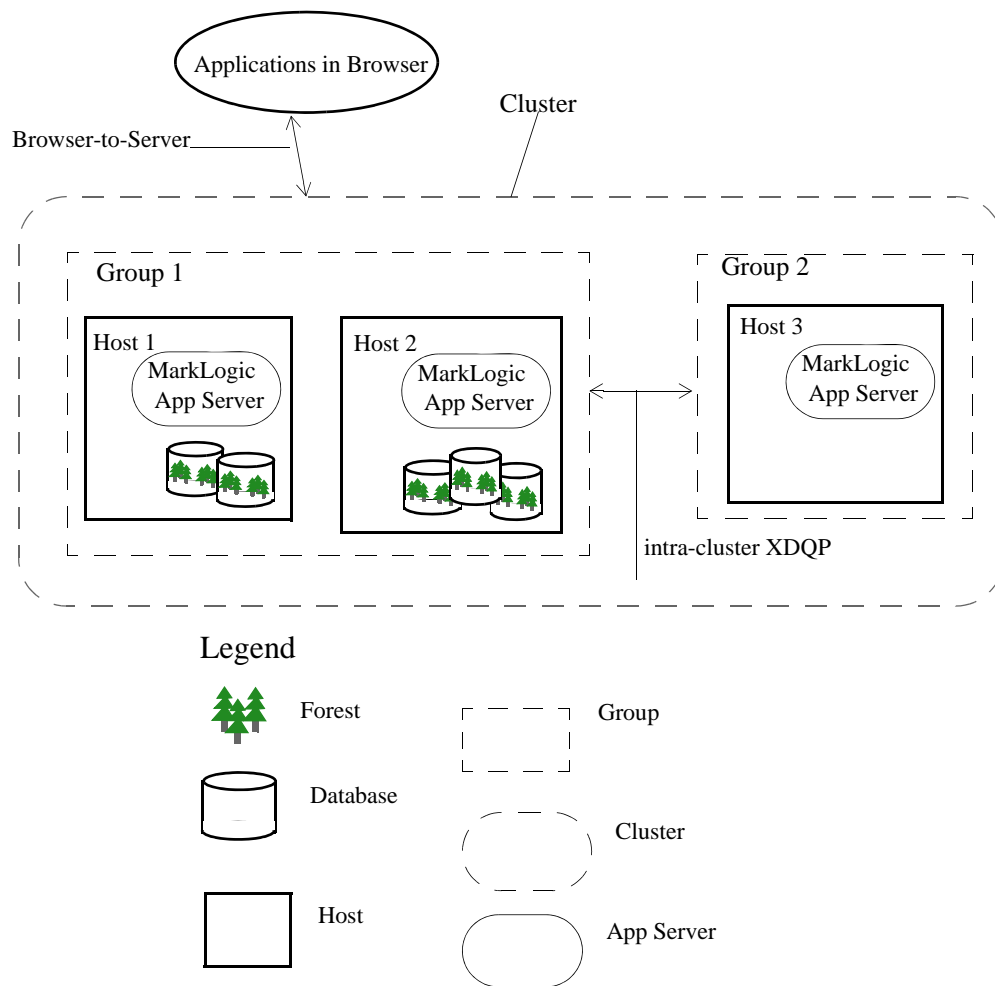
This guide explains administrative tasks for MarkLogic running on all platforms. For details on the supported platforms, see the *Installation Guide* and the *Release Notes*.

This document only explains the administrative tasks for the software. To learn how to get started using the software, or how to install the software, refer to the appropriate documents:

- *Getting Started With MarkLogic Server*
- *MarkLogic Server Installation Guide*

This document assumes that you have successfully completed all the tasks in *Getting Started with MarkLogic Server*. If not, be sure to complete these basic tasks before doing any administrative work for MarkLogic Server. For a list of features in this release, a list of known incompatibilities with previous releases, and a list of all MarkLogic documentation, see the *Release Notes*.

1.4 Architecture Overview



The figure shows a conceptual diagram of a simple MarkLogic Server deployment. Each host runs an instance of MarkLogic Server with its configured App Servers. One or more forests of a database may reside on a host. Hosts that do not have forests are functioning as e-nodes. One or more hosts can be in a group. One or more groups make up a cluster.

Applications communicate with MarkLogic over the network. Groups in a cluster communicate using XDQP. Clusters can communicate with other clusters using inter-cluster XDQP. Each of the three communication pathways can be configured to use TLS or SSL. The TLS and SSL protocols can be configured to use FIPS 140-2 approved cryptographic functions. FIPS mode is the default. For more details, see “OpenSSL FIPS 140-2 Mode” on page 35.

For more information, see the following:

- [Hosts](#)
- App Servers, see the specific server type:
 - [HTTP Servers](#)
 - [XDBC Servers](#)
 - [WebDAV Servers](#)
 - [ODBC Servers](#)
- [Groups](#)
- [Clusters](#)
- [Databases](#)
- [Forests](#)

2.0 Administrative Interface

The MarkLogic Server administrative interface (or Admin Interface) is used to configure the MarkLogic Server software on your system. This chapter provides a general overview of the Admin Interface and includes the following sections:

- [Overview of the Admin Interface](#)
- [Accessing the Admin Interface](#)
- [Logging Off the Admin Interface](#)
- [Creating and Managing Administrators](#)

2.1 Overview of the Admin Interface

With the Admin Interface, you can complete any of the following tasks:

- Manage basic software configuration
- Create and configure groups
- Create and manage databases
- Create and manage new forests
- Backup and restore forest content
- Create and manage new web server and Java-language access paths
- Create and manage security configurations
- Tune system performance
- Configure namespaces and schemas
- Check the status of resources on your systems

The Admin Interface is implemented as a MarkLogic Server web application. By default, it runs on port 8001 of your hosts. If you have completed the basic tasks in the *Getting Started with MarkLogic Server* manual, then accessing the Admin Interface requires that you enter a username and password. After you have been authenticated, you should not need to re-enter your username and password to complete any of the other tasks outlined in this guide during the current session.

Some configurations changes require the server to restart to reflect the changes. Configuration changes that do not require the server to restart to reflect the changes are defined as “hot”. In a clustered deployment, “cold” tasks will require all of the hosts in the cluster to restart their instance of MarkLogic in order to reflect the changes. In a single-server deployment, “cold” tasks will cause MarkLogic to restart in order to reflect the changes. For a list of which tasks are “hot” and which are “cold,” see “Appendix A: ‘Hot’ versus ‘Cold’ Admin Tasks” on page 465.

2.2 Accessing the Admin Interface

Only authorized administrators can log into the Admin Interface. An authorized administrator is a user who has the `admin` role or has the `admin-ui-user` role. Authorized administrators with the `admin` role have access to all administrative tasks in MarkLogic Server; therefore, authorized administrators are trusted personnel and are assumed to be non-hostile, appropriately trained, and follow proper administrative procedures.

Users with the `admin-ui-user` role may view the Admin UI, but not have access to data or the ability to make administrative changes. For more about the `admin-ui-user` role, see “The `admin-ui-user` role” on page 23.

To access the Admin Interface, complete the following procedure:

1. Open the following URL in a browser:

<http://localhost:8001/>

Note: If you are not accessing the Admin Interface from the same system on which MarkLogic Server is running, you will have to use the IP address or domain name of the server instead of `localhost`.

2. Log in with your admin username and password. The summary screen for the Admin Interface displays.

Note: If you have already logged on as an admin user during this session, you do not have to log in again.

From the summary screen, you can see and click on many of the items configured in MarkLogic Server. The summary screen displays all of the Databases, App Servers, Groups, Forests, Security objects, and Hosts configured for your system. If you click on any object or category, the Admin Interface takes you to a more detailed page for the object or category.

2.3 Logging Off the Admin Interface

To log off the Admin Interface, close the browser window used to access the Admin Interface. This action is sufficient to end the current session and force the user to authenticate again starting another session.

2.4 Creating and Managing Administrators

MarkLogic Server administrators are managed by defining which user has the `admin` role. Users with the `admin` role, known as authorized administrators, are trusted personnel and are assumed to be non-hostile, appropriately trained, and follow proper administrative procedures. For the procedures for creating, managing and removing administrators, see “Security Administration” on page 339.

2.5 The admin-ui-user role

The `admin-ui-user` role has a set of base privileges that are required in order to use the Admin UI. The role allows the user “read-only” Admin UI usage, but does not give them access to the data, to security configuration, or write access to Server configuration.

If an `admin-ui-user` attempts to perform an action for which they do not have the privilege, an error message is displayed.

You do not have permission to perform this action (as user "test").

(Server error: SEC-PRIV: Need privilege)

You will need to be granted ONE of following privileges to be able to perform this action:

- <http://marklogic.com/xdmp/privileges/xdmp-forest-clear> OR
- <http://marklogic.com/xdmp/privileges/xdmp-forest-clear/forest/5681177854287234833> OR
- <http://marklogic.com/xdmp/privileges/xdmp-forest-clear/database/16632744512932279037>

Note that in some cases you may need to create the privilege if it doesn't already exist.

The error message contains the privilege or privileges needed to perform the action.

3.0 Common Administrative Procedures

This chapter describes some of the common administrative procedures for MarkLogic Server and where you can find more details on each procedure.

The common administrative procedures are:

- [Installing and Upgrading MarkLogic Server](#)
- [Starting and Stopping MarkLogic Server](#)
- [Creating and Configuring Forests and Databases](#)
- [Creating and Configuring App Servers](#)
- [Setting up Users, Roles, Privileges, and Permissions](#)
- [Loading Content into a Database](#)
- [Running The XQuery Use Cases and Building Simple Applications](#)
- [Backing up and Restoring Data](#)
- [Monitoring and Tuning Performance](#)
- [Scripting and Scheduling Administrative Tasks](#)
- [Configuring Clusters, Groups and Failover](#)

3.1 Installing and Upgrading MarkLogic Server

MarkLogic Server runs on a variety of platforms. For a list of support platforms and installation procedures, see the *Installation Guide*

For issues and procedures related to upgrading MarkLogic Server, see:

- [Upgrading from Previous Releases](#) and [Upgrades and Database Compatibility](#) in the *Installation Guide*.
- [Upgrading a Cluster to a New Maintenance Release of MarkLogic Server](#) in the *Scalability, Availability, and Failover Guide*.

3.2 Starting and Stopping MarkLogic Server

The start, stop, and restart operations for MarkLogic Server are described in “Starting and Stopping MarkLogic Server” on page 31.

3.3 Creating and Configuring Forests and Databases

MarkLogic Server stores XML, JSON, XQuery, and JavaScript data in [forests](#). App Servers connect to a [database](#) that, in turn, accesses one or more forests.

Several types of [auxiliary databases](#) are created when you install MarkLogic Server, which are described in “Understanding Databases” on page 127. This section outlines the general procedures for creating a database to store your documents.

To create a database to store your documents, do the following:

1. Create one or more forests, as described in “Creating a Forest” on page 322. Depending on your storage, performance, and availability needs, you may want to create multiple forests, each on a separate host. See the *Scalability, Availability, and Failover Guide* for details.
2. Follow the procedure described in “Creating a New Database” on page 141 to create your database. Until you understand all of the database settings, you need only provide a name for the database in the Database Name field. You can leave all of the other fields in the Database Specification in their default state.
3. Attach your forests to the database, as described in “Attaching and/or Detaching Forests to/from a Database” on page 142.

3.4 Creating and Configuring App Servers

An application is executed on an App Server, which is configured with a specific database, port number, and so on. Once you have created a database, you can create an App Server. MarkLogic Server allows you to create three types of App Servers to support different types of applications:

- HTTP App Servers for executing XQuery or JavaScript, and servicing HTTP requests from a client, like a web server. For information on creating and configuring an HTTP App Server, see “Procedures for Creating and Managing HTTP Servers” on page 68.
- XDBC App Servers for Contentbase Connector (XCC) applications that use the Java XCC libraries. For information on creating and configuring an XDBC App Server, see “Procedures for Creating and Managing XDBC Servers” on page 78.
- WebDAV App Servers for accessing a MarkLogic Server database via a WebDAV client. For information on creating and configuring a WebDAV App Server, see “Procedures for Creating and Managing WebDAV Servers” on page 89.
- ODBC App Servers for accessing a MarkLogic Server database via a SQL client. For information on creating and configuring an ODBC App Server, see “Procedures for Creating and Managing ODBC Servers” on page 100.

To secure your App Server using SSL, see [Enabling SSL communication over XDQP](#) in the *Administrator's Guide*.

3.5 Setting up Users, Roles, Privileges, and Permissions

MarkLogic Server provides a rich set of security objects that enable you to control user access to documents and applications, which are described in the *Security Guide* and in “Security Administration” on page 339 in this guide.

In addition to the Security pages in the Admin UI, there are also XQuery, JavaScript, and REST functions you can use in scripts to set up and manage security objects.

3.6 Loading Content into a Database

You can load documents into the database using the load document functions, as described in the *Loading Content Into MarkLogic Server Guide*.

You can also set up a WebDAV server and client, such as Windows Explorer, to load your documents. See [Simple Drag-and-Drop Conversion](#) in the *Content Processing Framework Guide* for information on how to configure a WebDAV server to work with Windows Explorer.

Documents can also be loaded into the database by an XCC application, as described in [Using the Sample Applications](#) in the *XCC Developer's Guide*.

3.7 Running The XQuery Use Cases and Building Simple Applications

To test your MarkLogic Server configuration, Follow the procedure in *Getting Started with MarkLogic Server* for [Exploring the Use Cases](#). The procedure uses Query Console to evaluate the W3C XQuery use cases.

For procedures on building a simple XQuery application, see [Sample XQuery Application that Runs Directly Against an App Server](#) in *Getting Started with MarkLogic Server*. For more in-depth information, see the *Application Developer's Guide*. If you are writing a Java application that communicates with MarkLogic Server through the XCC API, see the *XCC Developer's Guide*.

3.8 Backing up and Restoring Data

You can make backups of a database, as described in “Backing Up a Database” on page 263, which backs up all of the forests in the database. You can also create backups of individual forests used by a database, as described in “Making Backups of a Forest” on page 330.

There are a number of key differences between database-level and forest-level backups. A database-level backup, by default, backs up all of the forests in the database to the specified directory. Each time a database backup is initiated, a new set of backup data is created in that directory. With a forest-level backup, each forest must be backed up to a separate directory. In addition, each incremental backup of a forest is added onto the previous backup data. A forest backup also has additional logic that checks to see if any of its stands have changed before overwriting the backup of the earlier stand. Only the stands that have changed are overwritten.

Along with full backups, you can use incremental backups and journal archiving to create backups that enable you to recover your database to a specific point in time. For details, see “Backing Up and Restoring a Database” on page 253.

You can restore an entire database from a database backup, as described in “Restoring a Database without Journal Archiving” on page 272. You can restore an individual forest from either a database backup, as described in “Restoring a Database without Journal Archiving” on page 272, or from an individual forest backup, as described in “Restoring a Forest” on page 333.

3.9 Monitoring and Tuning Performance

For information on how to monitor the performance of MarkLogic Server, see [Monitoring MarkLogic Server Performance](#) in the *Query Performance and Tuning Guide*.

Factors that impact system performance include:

- The configuration of MarkLogic Servers, as described in the [Scalability Considerations in MarkLogic Server](#) chapter in the *Scalability, Availability, and Failover Guide*.
- Merges, as described in “Overview of Merges: Merges are Good” on page 181.
- Fragment size, as described in “Fragments” on page 411.
- Index configuration, as described in “Text Indexing” on page 369.
- Range indexes, as described in “Range Indexes and Lexicons” on page 389.
- Reindexing your database, as described in “Reindexing a Database” on page 145.
- Database memory and journal settings, as described in “Memory and Journal Settings” on page 137.
- Database field configuration, as described in “Fields Database Settings” on page 159.
- Log levels, as described in “Understanding the Log Levels” on page 433.
- Trace Events set in the Diagnostics page on the left tree menu, under the group name.

For details on how to tune your applications for maximum performance, see the *Query Performance and Tuning Guide*.

3.10 Scripting and Scheduling Administrative Tasks

MarkLogic Server includes built-in and library modules that enable you to write XQuery, JavaScript, and REST scripts that perform administrative tasks on MarkLogic Server. The functions provided by these modules enable you to script most administrative procedures.

For example, the Admin Library Module (`admin.xqy`) enables you to write scripts that create or modify databases, forests, App Servers, set up SSL security, and so on. The Security Library Module (`security.xqy`) provides a set of functions that enable you to create scripts that set up security entities. The `xdmp` built-in functions enable you to do forest and database backup/restore operations, as well as other database and forest management operations.

For a general overview of scripting administrative tasks, see [Scripting Administrative Tasks in MarkLogic Server](#) in the *Scripting Administrative Tasks Guide*. All of the available administrative functions are described in the *XQuery and XSLT Reference Guide* and *MarkLogic REST API Reference*.

You can schedule administrative scripts to be invoked at specific intervals or times, as described in “Scheduling Tasks” on page 439.

3.11 Configuring Clusters, Groups and Failover

A single instance of MarkLogic Server running on a single machine is called a [host](#). You can configure multiple hosts into a [cluster](#), as described in the *Scalability, Availability, and Failover Guide*. Within a cluster, you can create [groups](#) of similarly configured hosts, as described in “Groups” on page 53. Different configurations of grouped hosts are useful when different groups of hosts perform different tasks or have different system capabilities.

Should a host go down, its duties can be resumed by another host in the cluster. MarkLogic provides support for failover, which allows the forest to automatically mount to a different host in the event of a forest’s primary host going offline. For details on configuring forests for failover, see [High Availability of Data Nodes With Failover](#) and [Configuring Shared-Disk Failover for a Forest](#) in the *Scalability, Availability, and Failover Guide*.

4.0 Starting and Stopping MarkLogic Server

Use the following procedures to start and stop MarkLogic Server:

- [Starting the Server](#)
- [Stopping the Server](#)
- [Restarting the Server](#)
- [Example XQuery Scripts](#)

4.1 Starting the Server

To start MarkLogic Server, use the appropriate system command for your platform:

Platform	Command
Microsoft Windows	Select Start > Programs > MarkLogic Server > Start MarkLogic Server Note: When you start MarkLogic Server from the Start menu, the Windows service configuration for MarkLogic Server is set to start automatically. Also, if you are using Windows, to start the service you must right-click the Start MarkLogic Server link in the Start menu and choose Run as Administrator, then choose to allow the action.
Red Hat Linux	<code>/sbin/service MarkLogic start</code>
Mac OS X	<code>~/Library/StartupItems/MarkLogic start</code>

4.2 Stopping the Server

There are two ways to perform a clean shutdown of MarkLogic Server:

- [Using System Command to Stop MarkLogic Server](#)
- [Using the Admin Interface to Stop MarkLogic Server](#)

4.2.1 Using System Command to Stop MarkLogic Server

You can stop MarkLogic Server with the appropriate system command for your platform:

Platform	Command
Microsoft Windows	Select Start > Programs > MarkLogic Server > Stop MarkLogic Server Note: If you are using Windows, to stop the service you must right-click the Stop MarkLogic Server link in the Start menu and choose Run as Administrator, then choose to allow the action.
Red Hat Linux	<code>/sbin/service MarkLogic stop</code>
Mac OS X	<code>~/Library/StartupItems/MarkLogic stop</code>

4.2.2 Using the Admin Interface to Stop MarkLogic Server

To stop the server from the Admin Interface, complete the following procedure:

1. Click the Hosts icon on the left tree menu.
2. Click on the name of the host you want to shut down.
3. Click the Status tab on the top right.
4. Click Shutdown.
5. A confirmation message displays while shutting down. Click OK to shut down the server.

Note: MarkLogic Server must be running in order for you to use the Admin Interface. Once you have stopped the server, you will no longer be able to access the Admin Interface until you start MarkLogic Server again; to restart the server, run the system command for your platform as described in “Starting the Server” on page 31.

4.3 Restarting the Server

To restart the server from the Admin Interface, complete the following procedure:

1. Click the Configure icon on the left tree menu.
2. Click the Status tab on the top right.

3. Click Restart.
4. A confirmation message displays while restarting. Click OK to restart MarkLogic Server.

You may also manually stop and start the server as described above.

Note: The restart operation normally completes within a few seconds. It is possible, however, for it to take longer under some conditions (for example, if the Security database needs to run recovery or if the connectivity between hosts in a cluster is slow). If it takes longer than a few seconds for MarkLogic Server to restart, than the Admin Interface might return a `503: Service Unavailable` message. If you encounter this situation, wait several seconds and then reload the Admin Interface.

4.4 Example XQuery Scripts

This section provides the following XQuery scripts:

- [Script that Restarts MarkLogic Server](#)
- [Script that Stops MarkLogic Server](#)

4.4.1 Script that Restarts MarkLogic Server

The following script restarts MarkLogic Server:

```
xquery version "1.0-ml";
xdmp:restart((), "Restarting MarkLogic Server")
```

4.4.2 Script that Stops MarkLogic Server

The following script stops MarkLogic Server:

```
xquery version "1.0-ml";
xdmp:shutdown((), "Shutting Down MarkLogic Server")
```


5.0 Clusters

This chapter describes cluster configuration using the Admin Interface. A *cluster* is a set of hosts that work together. This chapter includes the following sections:

- [Overview of Cluster Configuration](#)
- [OpenSSL FIPS 140-2 Mode](#)
- [Procedures for Configuring Clusters](#)
- [Configuring a MarkLogic Application Message and Banner](#)

5.1 Overview of Cluster Configuration

In MarkLogic clusters, a common configuration is to have one group defined for the *evaluator* nodes (hosts that service query requests) and another group defined for the *data* nodes (hosts to which forests are attached).

The Cluster configuration page found in the Admin Interface enables you to configure FIPS 140-2 mode for a cluster and to couple local and foreign clusters. For a description of each configuration option, see the help tab of the group configuration page in the Admin Interface. For a discussion of how clustering works in MarkLogic Server, see [Clustering in MarkLogic Server](#) in the *Scalability, Availability, and Failover Guide*.

5.2 OpenSSL FIPS 140-2 Mode

MarkLogic Server uses FIPS-capable OpenSSL to implement the Secure Sockets Layer (SSL v3) and Transport Layer Security (TLS v1) protocols. When you install MarkLogic Server, FIPS mode is enabled by default and SSL RSA keys are generated using secure FIPS 140-2 cryptography. This implementation disallows weak ciphers and uses only FIPS 140-2 approved cryptographic functions. Should your applications experience any difficulty running in SSL FIPS-mode, you can disable FIPS-mode using the Admin Interface as described below.

For more information on the OpenSSL FIPS 140-2 cryptographic capabilities, refer to the documentation provided by the OpenSSL Project at: <http://www.openssl.org/docs/fips/fipsvalidation.html>.

5.3 Procedures for Configuring Clusters

The following procedures describe how to configure clusters in MarkLogic Server:

- [Configuring OpenSSL FIPS 140-2 Mode](#)
- [Cluster Encryption Options](#)
- [Coupling Clusters](#)

5.3.1 Configuring OpenSSL FIPS 140-2 Mode

When FIPS 140-2 mode is enabled, the OpenSSL library is initialized into FIPS 140-2 mode at system startup. Note that this is the default behavior of MarkLogic Server. If FIPS mode is enabled or disabled on a running system, the OpenSSL library is reconfigured appropriately without requiring a server restart. When the FIPS mode setting changes and secure XDQP is configured, all XDQP connections are dropped and reestablished.

To configure a cluster to run in FIPS 140-2 mode, perform the following steps:

1. Log into the Admin Interface.
2. Click the Clusters icon on the left tree menu.
3. Select the local cluster. Click the Configure tab to open the Edit Local Cluster Configuration page.

4. To configure FIPS 140-2 mode, select `true` or `false` as needed. For SSL FIPS Enabled, select `true`.
5. Click OK to save the change.

5.3.2 Cluster Encryption Options

The Key Management Service (KMS) manages a keystore that stores the encryption keys used to encrypt data in a secure location. This keystore can be either the MarkLogic embedded PKCS #11 secured wallet, or an external third party KMS that conforms to the KMIP-standard interface. The embedded keystore is installed by default when you install MarkLogic 9.0-x or later.

This section describes how to configure encryption for a group. For more details on configuring encryption to protect your data on media, see [Encryption at Rest](#) in the *Security Guide*.

Note: Adding or changing any encryption information will require a restart of all of the hosts in the cluster.

To configure encryption using the embedded keystore in the Admin UI, do the following:

1. Click Clusters in the left navigation tree and click the name of the cluster you want to configure.
2. Click the Keystore tab to open the Edit Keystore Configuration page.

The screenshot shows the 'Edit Keystore Configuration' page in the MarkLogic Admin UI. The page has a top navigation bar with tabs: Summary, Configure, Keystore (selected), Ops Director, Couple, and Help. Below the navigation bar, the title 'Edit Keystore Configuration' is displayed in red, with 'ok' and 'cancel' buttons to its right. The main content area is divided into two sections. The top section, labeled 'Internal KMS', contains four configuration items: 'data encryption' (set to 'default-off' with a description 'Enable encryption for user data.'), 'config encryption' (set to 'off' with a description 'Enable encryption for configuration files.'), 'logs encryption' (set to 'off' with a description 'Enable encryption for new log files.'), and 'kms type' (set to 'internal' with a description 'Type of KMS used to manage keys for newly encrypted files.'). Below this section is a tabbed interface with 'Internal KMS' selected and 'External KMS' as an alternative. The 'Internal KMS' section contains three key ID fields: 'internal data encryption key id' (value: af792693-6fb5-4c84-b085-6bc09aa3787e, description: 'A UUID identifying the encryption key at the internal KMS that should be used to encrypt data files'), 'internal config encryption key id' (value: f5418621-e060-4dc9-a58a-9f97af61f829, description: 'A UUID identifying the encryption key at the internal KMS that should be used to encrypt configuration files'), and 'internal logs encryption key id' (value: 29cbb591-c740-42d8-8ba4-a99a2d7cae84, description: 'A UUID identifying the encryption key at internal KMS to be used to encrypt log files'). At the bottom of the 'Internal KMS' section are two buttons: 'Change password' and 'Synchronize Keys'. The entire form is enclosed in a light yellow border, and there are 'ok' and 'cancel' buttons at the bottom of the page.

- Use the drop-down menus to configure encryption for data, config files, and log files.

Setting	Description
data encryption	<p>Specifies whether or not encryption is enabled for user data. The options are:</p> <p><code>force</code> — Force encryption for all data in the cluster. The database configuration cannot overwrite this setting.</p> <p><code>default-on</code> — By default encryption is on. The database configuration can overwrite this setting.</p> <p><code>default-off</code> — By default encryption is off. The database configuration can overwrite this setting.</p>
config encryption	Specifies whether or not encryption is enabled for configuration files
logs encryption	Specifies whether or not encryption is enabled for log files.
kms type	<p>Specifies whether the KMS is internal to MarkLogic or an external KMS</p> <p>A keystore is a secure location where the actual encryption keys used to encrypt data are stored. The keystore for encryption at rest is a key management system (KMS). This keystore can be either the MarkLogic embedded PKCS #11 secured wallet, or an external third party KMS</p>

- Click ok when you are done.

To configure an external KMS, do the following:

- Select the External KMS tab.

The screenshot shows the 'External KMS' configuration window. It includes the following fields and descriptions:

- host name:** localhost. The host name(s) of the external Key Management Server. If multiple, separated by comma.
- port:** 9056. The external Key Management Server's socket port number(s). If multiple, separated by comma.
- external data encryption key id:** 4281faa6-a932-4c13-a3b2-229b6bf3fbaa. A UUID identifying the encryption key at the external KMS that should be used to encrypt data files.
- external config encryption key id:** b0caa91b-1b0b-4abb-923e-f8050c423d56. A UUID identifying the encryption key at the external KMS that should be used to encrypt configuration files.
- external logs encryption key id:** 9bc00f97-a300-4642-ac2f-e61d89e03dbe. A UUID identifying the encryption key at external KMS that should be used to encrypt log files.

Buttons: Synchronize Keys, ok, cancel.

- Enter the following information to identify the external KMS and the required encryption keys.

Setting	Description
host name	The host name of the Key Management Server (KMS).
port	The KMS client socket port number.
external data encryption key id	The encryption key at the KMS to encrypt user data.
external config encryption key id	The encryption key at the KMS to encrypt configuration files.
external logs encryption key id	The encryption key at the KMS to encrypt log files.

The encryption keys must be a URN representation of a UUID as defined by Network Working Group Request for Comments: 4122 :

<http://www.ietf.org/rfc/rfc4122.txt>

For example:

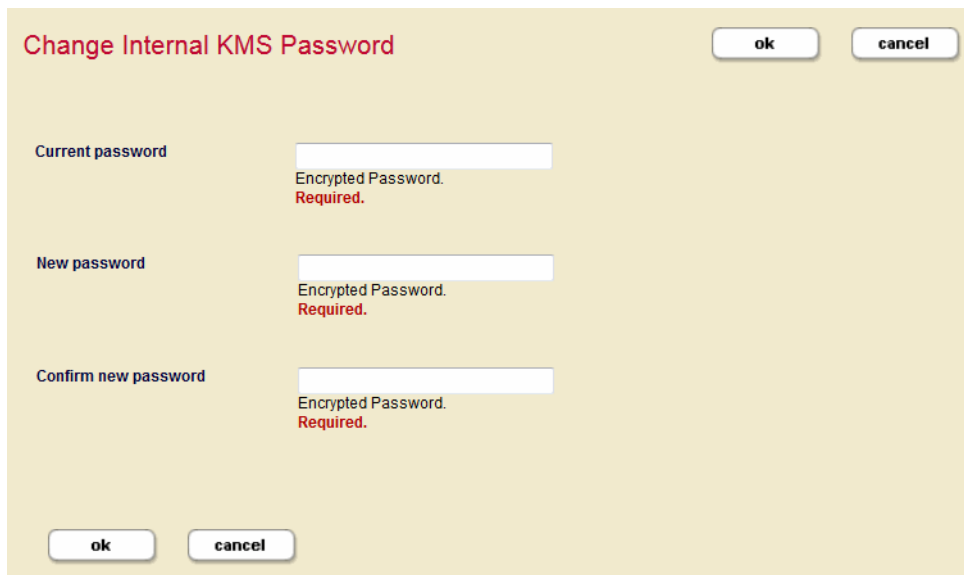
06ea22c9-b972-4652-8d0f-9e58c62e0f7f

3. Click ok when you are done.

5.3.2.1 Change the Internal KMS Password

The default password value for the internal KMS is the admin user password for that instance. You can change the password for the internal KMS using the Change Internal KMS Password screen. To change the internal KMS password, follow these steps:

1. Click Clusters in the left navigation tree and click the name of the cluster that has the KMS keystore with the password you want to change.
2. Click the Keystore tab to open the Edit Keystore Configuration page. Click the change password button on the Edit Keystore Configuration page. This opens the Change Internal KMS Password page.

The image shows a 'Change Internal KMS Password' dialog box with a light yellow background. At the top left, the title 'Change Internal KMS Password' is in red. At the top right are 'ok' and 'cancel' buttons. The dialog contains three input fields, each with a label to its left: 'Current password', 'New password', and 'Confirm new password'. Below each input field is the text 'Encrypted Password. Required.' in red. At the bottom left are 'ok' and 'cancel' buttons.

3. Enter the current password in the first field, and then enter the new password in the second field. Confirm the new password by entering it again in the third field.
4. Click ok when you are done.

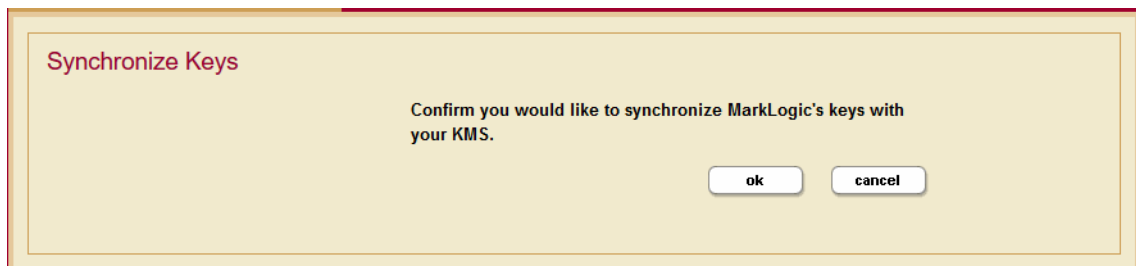
For more about MarkLogic encryption at rest and the internal KMS, see [Configuring Encryption at Rest](#) in the Encryption chapter of the *Security Guide*.

5.3.2.2 Synchronizing the KMS Keys

You can synchronize the KMS keys with the enveloped keys on MarkLogic Server. This is useful when you use Encryption at Rest feature.

To synchronize the KMS keys, do the following:

1. Click Clusters in the left navigation tree and click the name of the cluster that has the KMS keystore with the keys you want to synchronize.
2. Click the Keystore tab to open the Edit Keystore Configuration page.
3. Click the Synchronize Keys button on the Edit Keystore Configuration page. This opens the Synchronize Keys page.



4. Click ok to confirm that you want to synchronize the MarkLogic Server keys with your KMS.

5.3.3 Coupling Clusters

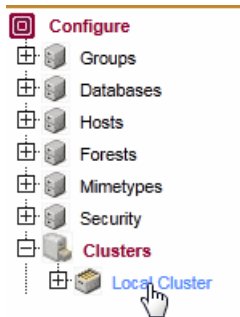
You can use the Admin Interface to couple local and foreign clusters to enable inter-cluster communication.

Note: The foreign cluster must be running the same version of MarkLogic as the local cluster.

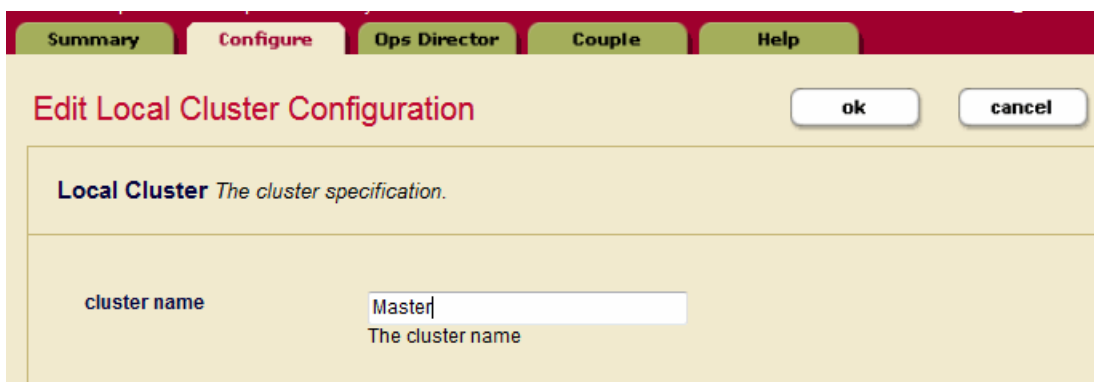
Note: The procedure described in this section must be repeated for every cluster.

Before coupling clusters, you must specify a bootstrap host for each cluster. By default, the name of the cluster is that of the bootstrap host. You must edit the cluster name in the Local Cluster Configuration on each local cluster to be coupled with foreign clusters.

1. On the local host, select Local Cluster under Clusters at the bottom of the left-hand menu:



2. Select the Configure tab to display the Edit Local Cluster Configuration page. Enter the cluster name:



3. Each cluster to be coupled must have one or more bootstrap hosts that stores the configuration information needed to establish an initial connection to foreign clusters. You must identify the bootstrap hosts in each cluster before attempting any of the configuration procedures described in this chapter.

The clusters in a production system will typically have more than one bootstrap host to ensure availability. When establishing an initial connection with a local cluster, a foreign cluster will connect to the first available bootstrap host.

In the Local Cluster Configuration page, select one or more hosts to serve as the bootstrap hosts for this cluster.

Note: It is best to choose the host that hosts your Security forest as your bootstrap host. If you have configured your Security forest for local disk failover, then also choose the host that hosts your Replica Security forest as a bootstrap host.

Software pre-release expires in 60 days

Summary Configure Ops Director Couple Help

Edit Local Cluster Configuration

ok cancel

Local Cluster *The cluster specification.*

cluster name
The cluster name

ssl fips enabled* ☒ true ☐ false
Whether or not SSL FIPS is enabled.

bootstrap hosts *The hosts that foreign clusters will use to bootstrap communication with this cluster.*

☐ gordon-1.marklogic.com
☒ gordon-2.marklogic.com

4. Click OK to save the Local Cluster Configuration.

The remaining steps in this procedure describe how to “couple” a foreign cluster configuration to the bootstrap host on your local cluster. If you have designated more than one bootstrap host on your local cluster, pick any one of them.

5. In the Local Cluster Configuration page, select the Couple tab.

Software pre-release expires in 80 days

Summary Configure Ops Director Couple Help

Edit Local Cluster Configuration

ok cancel

Local Cluster *The cluster specification.*

6. In the Foreign Cluster portion of the Local Cluster Configuration page, enter the Host Name for any host in the foreign cluster to be coupled. You can also specify the Admin Port (if necessary) and the communication protocol to be used between the clusters (HTTP or HTTPS). When you have SSL enabled on the Admin App Server on the bootstrap host in the foreign cluster, set the protocol to `https`. Click Ok and the Foreign Cluster Configuration page appears.

Local Cluster -- Local Cluster Configuration which will be transferred to Foreign Cluster. edit

Cluster Name	Master	
Hostname	Host ID	Port
gordon-1.marklogic.com	11785669520674990252	7998

Foreign Cluster -- Enter Host and Admin UI Port in Foreign Cluster.

Host Name
Host in foreign cluster.
Required.

Admin Port
Port of Admin UI on the foreign host.
Required.

Protocol
 The host's Admin UI has SSL enabled.

ok cancel

7. In the Foreign Cluster Configuration page, if you are using SSL for inter-cluster communication, configure the SSL security settings and timeout values.

Foreign Cluster – Specified Host in Foreign Cluster

foreign host name gordon-2

foreign port 8001

foreign protocol http

xdqp ssl enabled ☐ true ☒ false
Whether or not SSL is enabled for XDQP.

xdqp ssl allow sslv3 ☒ true ☐ false
Whether or not SSLv3 is allowed for XDQP.

xdqp ssl allow tls ☒ true ☐ false
Whether or not TLS is allowed for XDQP.

xdqp ssl ciphers
A colon separated list of ciphers (e.g. ALL:!LOW:@STRENGTH)

xdqp timeout
The XDQP protocol timeout, in seconds.

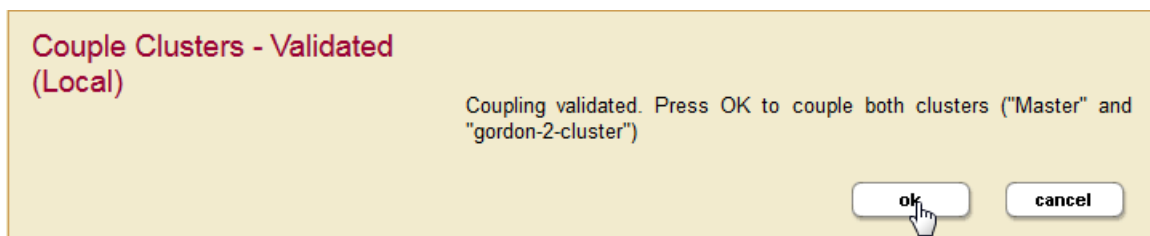
host timeout
The host response timeout, in seconds.

ok **cancel**

Foreign Cluster Setting	Description
xdqp ssl enabled	Set to true to enable SSL to encrypt all XDQP traffic between the clusters.
xdqp ssl allow sslv3	Set to true to enable the Secure Sockets Layer (SSL) v3 protocol for inter-cluster XDQP communication.
xdqp ssl allow tls	Set to true to enable the Transport Layer Security (TLS) protocol for inter-cluster XDQP communication.

Foreign Cluster Setting	Description
<code>xdqp ssl ciphers</code>	Enter one or more of the SSL ciphers defined in http://www.openssl.org/docs/apps/ciphers.html or leave as default. If MarkLogic Server is operating in FIPS mode, then the cipher must be a FIPS-approved cipher or SSL communication will fail.
<code>xdqp timeout</code>	Specify the time, in seconds, before the XDQP connection between the local cluster and the foreign cluster times out. Default is 10 seconds.
<code>host timeout</code>	Specify the time, in seconds, before a MarkLogic Server host on the local cluster communicating with a host on the foreign cluster times out. Default is 30 seconds.

8. In the Verify Add Foreign Cluster page confirm all of the settings are correct and click OK.



9. Click OK for any subsequent validate screens.
10. When validation is complete, the Summary window appears and displays the summary for the Foreign Cluster configuration. Bootstrapped indicates whether the foreign cluster configuration has been received by the local cluster. Last Bootstrap indicates the last time the foreign cluster configuration was received by the local cluster. Initially the status of Bootstrapped may appear as false and Last Bootstrap as never. Refresh your browser page to see the current status.

Foreign Cluster: Replica-1 -- Foreign Cluster Configuration
delete
edit

Cluster ID	11669820052076955832
Cluster Name	Replica-1
XDQP Timeout	10
Host Timeout	30
XDQP SSL Certificate	<pre> -----BEGIN CERTIFICATE----- MIICzTCCAbWgAwIBAgIIIOIN9M+X1x7IwDQYJKoZIhvcNAQEFBQAwHzEdMBsGA1UE AxMUMTE2Njk4MjAwNTIwNzY5NTU4MzIwHhcNMTEwOTIwMTgwOTU4WhcNMjEwOTE3 MTgwOTU4WjAfmR0wGwYDQExQxMTY2OTgyMDA1MjA3Njk1NTgzMjCCASIwDQYJKoZIhvcNAQEBBQADggEPADCCAQoCggEBANKQtXedvS4sH39rwy0dMSEtCp/HKkqA MhubEfec2NqDHiTX7y1of9XgN/PEc0b593soViHDTYiFGwy9b29hIL4H7h9X032S 0aNx3viRLHyDwoZPZ163Pb7FHJTeRlpWsq9wqD3t0vgvN1CID4Ryn9A6Mi08cJsP SazWOpwXk1/eaChJeXDx6dOxzGpKcRub4ZuGjXfcEUI+HFipx0JDa/7Pin0V0k0Z WFW12eagnOGqJdNYgM/Z4ZRW84i9UJ3nz3Tbve9JZjjIYrFRJ8SbIWwj6VTm/HuX CTZNjx3UD0oKiSjj7e9QxxbiXJndFpeTAUk5jH3OHLA01uDQapX5G1cCAwEAAMN MAswCQYDVDR0TBAlwADANBgkqhkiG9w0BAQUFAAOCAQEAg9hAL0dwb3G1ZpxhglVs U8rTpffkkbnnrjHtu4QTqs138cARCzRI1MgqCr3H2AxuuWu+HWmFpfTuE3FNZsnx I6mi9erpLluANyNqA6JMiSAJ9rRR+fwtDr8IYKU9/zevy+CR0h4/qD6ZTVfGIcJM lpQ9s+cnX6GoBNgkNA4UjY4m8VKG3DWQ5KjHyq2Ybtqi3NhzbIFHQME97KLBqZqW f0j1Q8IrxYnrxVSJ6GtkvoczQrY4w14J/kaLe3F3IDIgsx7mLS3SHSPM202eKhAVlm EMqsSBgbkda2NubCYoYGew9msdJ3WK7mX7ofRVHA5m0rcemAMA3TFo1EWbuvV2j2 4A== -----END CERTIFICATE----- </pre>
XDQP SSL Enabled	false
XDQP SSL Allow SSLv3	true
XDQP SSL Allow TLS	true
XDQP SSL Ciphers	ALL:!LOW:@STRENGTH

Host	Host ID	Port
gordon-2.marklogic.com	1082090260544196778	7998

Local Database as	Database	Foreign Database
Master	Documents	Documents

Local Bootstrap Host	Bootstrapped	Last Bootstrap
gordon-1.marklogic.com	true	2011-09-20T13:46:48-07:00

* If "Last Bootstrap" shows "never", there is a cluster communication problem.

5.4 Configuring a MarkLogic Application Message and Banner

This topic describes how to configure your cluster to display a notification dialog and an application banner when users navigate to one of the built-in MarkLogic application pages, such as Query Console or the Monitoring Dashboard.

Administrators might want to use this feature in situations such as the following:

- Notify users of important system status changes, such as a planned outage.
- Make it easy for users to distinguish between MarkLogic clusters, such as testing versus production environments.

The notification dialog is only displayed to each user once per host from which he or she connects to a MarkLogic application. If the notification message changes, the dialog will be displayed again, next time the user navigates to one of the affected applications.

Specify the UI configuration in the configuration document in the App-Services database with the URI `/cluster-ui-settings.xml`. MarkLogic installs a deactivated default configuration that you can use as a baseline for customization.

For more details, see the following topics:

- [Example Configuration](#)
- [Configuration Reference](#)
- [Example: Creating a New Configuration Document](#)
- [Example: Activate/Deactivate a Configuration](#)
- [Example: Modify the Notification Dialog Text](#)
- [Example: Modify the Banner Text](#)

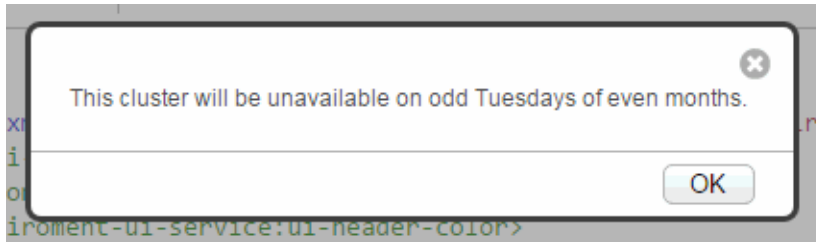
5.4.1 Example Configuration

This example is based on the following configuration. (Whitespace has been added to improve readability.) For more details on the structure and meaning of the elements, see “Configuration Reference” on page 49.

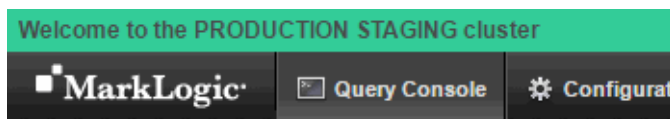
```
<env-ui:environment-ui xml:lang="zxx"
  xmlns:env-ui="http://marklogic.com/environment-ui">
  <env-ui:ui-active>true</env-ui:ui-active>
  <env-ui:ui-label>Welcome to the PRODUCTION STAGING cluster</env-ui:ui-label>
  <env-ui:ui-header-color>#33CC99</env-ui:ui-header-color>
  <env-ui:ui-header-text-color>#000000</env-ui:ui-header-text-color>
  <env-ui:ui-message>
    This cluster will be unavailable on odd Tuesdays of even months.
  </env-ui:ui-message>
</env-ui:environment-ui>
```


This configuration has the following effects on the UI of applications such as Query Console and the Monitoring Dashboard:

1. The first time a user navigates to one of the built-in MarkLogic applications, MarkLogic displays the following dialog. The text comes from the `ui-message` configuration element.



2. After the user dismisses the dialog, the configured banner is displayed at the top of the application page. The text comes from the `ui-label` configuration element, and the banner colors come from the `ui-header-color` and `ui-header-text-color` elements.



When no UI customization is active, no banner is displayed.

5.4.2 Configuration Reference

The `/cluster-ui-settings.xml` document in the App-Services database must have the following structure. All elements are required.

```
<env-ui:environment-ui xml:lang="zxx"
  xmlns:env-ui="http://marklogic.com/environment-ui">
  <env-ui:ui-active>boolean</env-ui:ui-active>
  <env-ui:ui-label>banner_text</env-ui:ui-label>
  <env-ui:ui-header-color>color_code</env-ui:ui-header-color>
  <env-ui:ui-header-text-color>color_code</env-ui:ui-header-text-color>
  <env-ui:ui-message>notification_dialog_text</env-ui:ui-message>
</env-ui:environment-ui>
```

The following table describes the child elements in more detail:

Element Local Name	Description
<code>ui-active</code>	Set to true for the configuration to take effect. Set to false to return to the default behavior (no notification dialog or banner).
<code>ui-label</code>	Text to be displayed in the banner.
<code>ui-header-color</code>	The background color of the banner.
<code>ui-header-text-color</code>	The color of the message text in the banner.
<code>ui-message</code>	The message to be displayed in the notification dialog box. The message is displayed to user only once (per host from which the user connects to the cluster), unless you update the configuration with a new message.

5.4.3 Example: Creating a New Configuration Document

Use this example to create an entirely new configuration document, rather than replacing just a portion of the existing configuration. For incremental changes, see the remaining examples.

Follow this procedure to create a new configuration using the template configuration that is installed with MarkLogic. Note that the template configuration is not active by default.

1. Read the template configuration from the App-Services database to get a baseline for your changes. To read the default configuration:

```
xquery version "1.0-ml";
fn:doc('/cluster-ui-settings.xml')
```

2. Modify the configuration to meet your requirements.
3. Insert the new configuration into the App-Services database. For example:

```
xquery version "1.0-ml";
let $new-config := (: YOUR CONFIG ELEM HERE :)
return xdmp:document-insert('/cluster-ui-settings.xml', $new-config)
```

4. Navigate to one of the built-in MarkLogic applications to observe your changes. For example, navigate to Query Console (<http://host:8000/qconsole>). If you already had one of the applications open in your browser, reload the page.

If you do not get a dialog or see the banner, there is likely an error in your configuration.

MarkLogic validates your configuration against the schema in `INSTALL_DIR/Config/environment-ui.xsd`.

5.4.4 Example: Activate/Deactivate a Configuration

Use the following script to activate or deactivate a configuration. Run the script in Query Console against the App-Services database.

```
xquery version "1.0-ml";
declare namespace env-ui = "http://marklogic.com/environment-ui";

(: Set this var to false to deactivate, true to activate :)
let $state := fn:false()
let $env-ui-node :=
  fn:doc('/cluster-ui-settings.xml')/env-ui:environment-ui
return
  if (exists($env-ui-node)) then
    xdm:node-replace(
      $env-ui-node/env-ui:ui-active,
      <env-ui:ui-active>{$state}</env-ui:ui-active>)
    else ()

(: Reload Query Console to see your changes :)
```

5.4.5 Example: Modify the Notification Dialog Text

Use the following script to change the text displayed in the notification dialog box. Changing the text causes the dialog to be displayed to users the next time they navigate to one of the built-in MarkLogic applications.

Run this script in Query Console against the App-Services database.

```
xquery version "1.0-ml";
declare namespace env-ui = "http://marklogic.com/environment-ui";

(: Set this variable to your new notification :)
let $new-message := "This is your new message."
let $env-ui-node :=
  fn:doc('/cluster-ui-settings.xml')/env-ui:environment-ui
return
  if (exists($env-ui-node)) then
    xdm:node-replace(
      $env-ui-node/env-ui:ui-message,
      <env-ui:ui-message>{$new-message}</env-ui:ui-message>)
    else ()

(: Reload Query Console to see your changes. :)
```

When you reload Query Console, the notification dialog box should be displayed. It should contain your new message.

5.4.6 Example: Modify the Banner Text

Use the following script to change the text in the banner that appears at the top of each built-in MarkLogic application page. Run the script in Query Console against the App-Services database.

```
xquery version "1.0-ml";
declare namespace env-ui = "http://marklogic.com/environment-ui";

(: Set this variable to your new banner label :)
let $new-label := "This is your new banner text."
let $env-ui-node :=
  fn:doc('/cluster-ui-settings.xml')/env-ui:environment-ui
return
  if (exists($env-ui-node)) then
    xdmp:node-replace(
      $env-ui-node/env-ui:ui-label,
      <env-ui:ui-label>{$new-label}</env-ui:ui-label>)
  else ()

(: Reload Query Console to see your changes. :)
```

6.0 Groups

This chapter describes groups in MarkLogic Server, and includes the following sections:

- [Overview of Groups](#)
- [Example](#)
- [Procedures for Configuring and Managing Groups](#)

This chapter describes how to use the Admin Interface to create and configure groups. For details on how to create and configure groups programmatically, see [Creating and Configuring Groups](#) in the *Scripting Administrative Tasks Guide*.

6.1 Overview of Groups

The basic definitions for group, host, and cluster are the following:

- A *group* is a set of similarly configured hosts within a cluster.
- A *host* is an instance of MarkLogic Server running on a single machine.
- A *cluster* is a set of hosts that work together.

For single-node configurations, you can only use one group at a time (because there is only one host). For clusters configurations with multiple hosts, you can have as many group configurations as makes sense in your environment.

Groups allow you to have several configurations, each of which applies to a distinct set of hosts. Different configurations are often needed when different hosts perform different tasks, or when the hosts have different system capabilities (disk space, memory, and so on). In cluster configurations, a common configuration is to have one group defined for the *evaluator* nodes (hosts that service query requests) and another group defined for the *data* nodes (hosts to which forests are attached).

HTTP, ODBC, XDBC, and WebDAV servers are defined at the group level and apply to all hosts within the group. Schemas and namespaces can also be defined at the group level to apply group-wide.

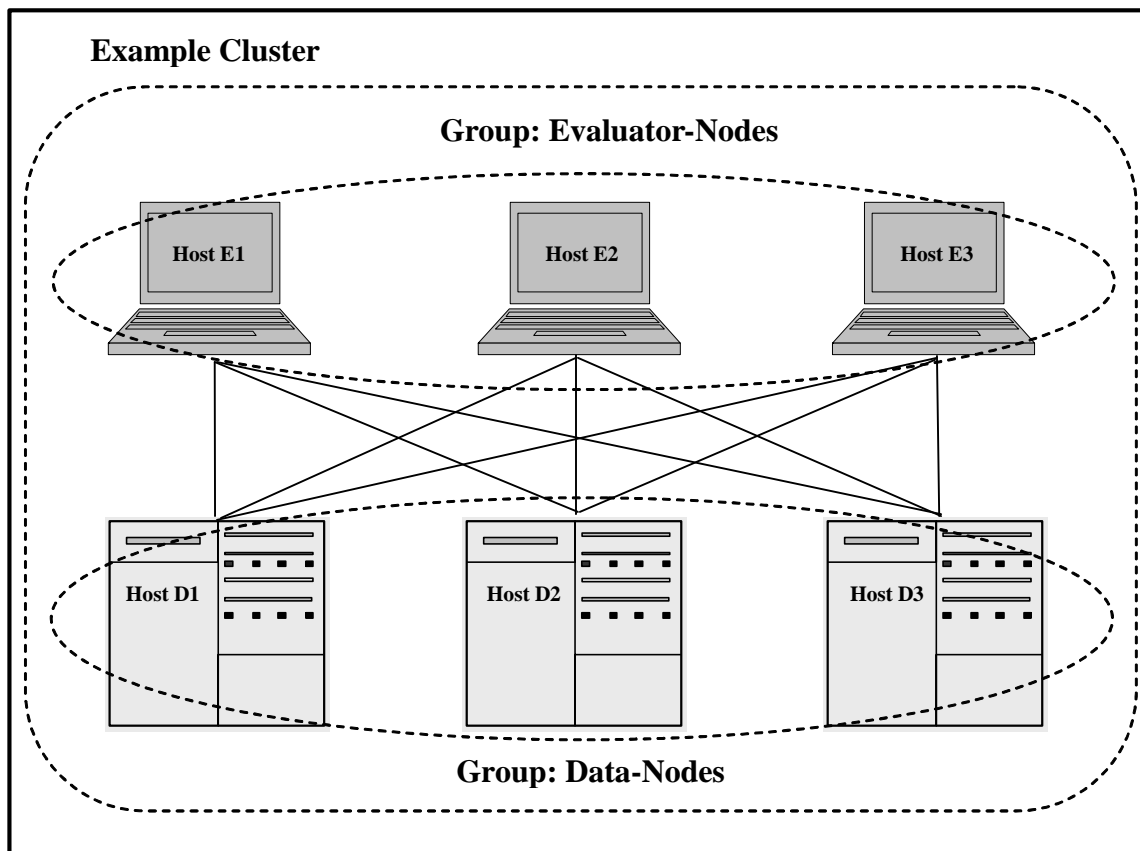
The Configure tab of the Group Administration section of the Admin Interface enables you to define configuration information for memory settings, SMTP server settings, and other configuration settings. The values for the settings are set at installation time based on your system memory configuration at the time of the installation. For a description of each configuration option, see the Help tab of the Group Administration section of the Admin Interface.

6.2 Example

The relationships between a cluster, a group and a host in MarkLogic Server may be best illustrated with an example.

In this example, each machine is set up as a host within the example cluster. Specifically, hosts `E1`, `E2` and `E3` belong to a group called `Evaluator-Nodes`. They are configured with HTTP servers and XDBC servers to run user applications. All hosts in the `Evaluator-Nodes` group have the same MarkLogic Server configuration.

Hosts `D1`, `D2` and `D3` belong to a group called `Data-Nodes`. Hosts in the `Data-Nodes` group are configured with data forests and interact with the nodes in the `Evaluator-Nodes` group to service data requests. See the sections on databases, forests and hosts for details on configuring data forests.



For more information about clusters, see the *Scalability, Availability, and Failover Guide*.

Note: If you are administering a single-host MarkLogic environment, the host is automatically added to a Default group during the installation process. You will only have one host in the group and will not be able to add other hosts to the group.

6.3 Procedures for Configuring and Managing Groups

The following procedures describe how to create and manage groups in MarkLogic Server:

- [Creating a New Group](#)
- [Group Settings](#)
- [Enabling SSL communication over XDQP](#)
- [Configuring an SMTP Server](#)
- [Restarting All Hosts in a Group](#)
- [Deleting a Group](#)

6.3.1 Creating a New Group

To create a new group, perform the following steps:

1. Log into the Admin Interface.
2. Click the Groups icon on the left tree menu.
3. Click the Create tab on the Group Summary page. The Create Group page displays.

4. Go to the Group Name field and enter a short hand name for the group.

MarkLogic Server will use this name to refer to the group.

5. You can set the Cache Sizing method to enable you to manually set the settings for your caches, or have MarkLogic automatically set the cache settings. If you select `automatic`, MarkLogic automatically sizes the caches based on the available memory resources allocated at startup time. If you select `enode`, MarkLogic also automatically sizes the caches, but the sizes are tuned for better memory utilization of an Evaluation Node. If you

select `dnode`, MarkLogic automatically sizes the caches as well, but the sizes are tuned for better memory utilization of a Data Node.

The `automatic`, `enode` and `dnode` methods are necessary when running MarkLogic in an container, but are also applicable when running MarkLogic in other environments. When the Cache Sizing method is set to `automatic`, `enode`, or `dnode`, all manual cache settings, such as List Cache Size, Compressed Tree Cache Size and so on, can be set in the group configuration, but are not used until the Cache Sizing method is set to `manual`.

If you set the Cache Sizing method to `manual`, you can change cache size values, such as List Cache Size, Compressed Tree Cache Size and Expanded Tree Cache Size and so on, or leave the defaults.

Note: Switching the Cache Sizing method from `manual` to `automatic` restarts MarkLogic Server. Switching from `automatic` to `manual` restarts MarkLogic Server if the current configuration does not match the saved configuration. Otherwise, MarkLogic Server does not restart.

The screenshot shows the MarkLogic configuration interface for cache settings. The 'cache sizing*' dropdown menu is open, displaying four options: 'manual' (which is selected and highlighted in blue), 'automatic', 'enode', and 'dnode'. To the right of the dropdown, the text 'method.' is visible. Below the dropdown, the following settings are listed:

- list cache size***: A text input field containing '2'. To its right, the text ': cache, in megabytes.' is visible.
- list cache partitions***: A text input field containing '2'. Below it, the text 'The number of list cache partitions.' is visible.
- compressed tree cache size***: A text input field containing '2048'. Below it, the text 'The size of the compressed tree cache, in megabytes.' is visible.
- compressed tree cache partitions***: A text input field containing '3'. Below it, the text 'The number of compressed tree cache partitions.' is visible.
- expanded tree cache size***: A text input field containing '4096'. Below it, the text 'The size of the expanded tree cache, in megabytes.' is visible.
- expanded tree cache partitions***: A text input field containing '2'. Below it, the text 'The number of expanded tree cache partitions.' is visible.
- triple cache size***: A text input field containing '2048'. Below it, the text 'The size of the triple cache, in megabytes.' is visible.
- triple cache partitions***: A text input field containing '3'. Below it, the text 'The number of triple cache partitions.' is visible.
- triple value cache size***: A text input field containing '4096'. Below it, the text 'The size of the triple value cache, in megabytes.' is visible.

6. System Log Level specifies the minimum log level messages sent to the operating system. Log levels are listed in decreasing level of log details. You may change the system log level or leave it at the default level.
7. File Log Level specifies the minimum log level messages sent to the log file. Log levels are listed in decreasing level of log details. You may change the file log level or leave it at the default level.
8. The Rotate Log Files field specifies how often to start a new log file. You may change this field or use the default value provided.
9. The Keep Log Files field specifies how many log files are kept. You may change this field or use the default value provided.
10. Set Failover Enable to true if you want to enable failover for the hosts in the group. To use failover, you must also enable failover for individual forests. If you set Failover Enable to false, failover is disabled for all the hosts in the group, regardless of their forest configurations.
11. The SSL Enabled option and XDQP SSL Ciphers field are to enable SSL for XDQP.
12. Click OK.

Note: For information about auditing, including how to configure various audit events, see “Auditing Events” on page 111.

Adding a group is a “hot” administrative task; the changes are reflected immediately without a restart.

6.3.2 Group Settings

To access the settings for a particular group, perform the following steps:

1. Log into the Admin Interface.
2. Click the Groups icon on the left tree menu.
3. Click the Configure tab at the top right.
4. Locate the group for which you want to view settings.
5. Click the icon for this group.

The Group settings are as follows.

Field	Description
cache sizing	The cache sizing method. When the method is automatic, the cache size and cache partitions are computed automatically and the manual cache configuration settings are ignored. When the method is enode, the cache size and cache partitions are also computed automatically but they are tuned for better memory utilization of an Evaluation Node. The manual cache configuration settings are ignored when the method is enode. When the method is dnode, the cache size and cache partitions are also computed automatically but they are tuned for better memory utilization of a Data Node. The manual cache configuration settings are ignored when the method is dnode. When the method is manual, the manual cache configuration settings are used.
list cache size	The amount of memory to dedicate to caching termlist data for all on-disk stands. This setting is only used when cache sizing is set to manual.
list cache partitions	The number of independent list cache partitions to allocate. More partitions allow more concurrency, but make each individual cache partition smaller, which could make it more likely for the cache to fill up. The default is determined based on the amount of memory on your system and should work well for most installations. If you see a lot of CPU under-utilization under heavy concurrent query loads then raising this value can improve performance. The server may use fewer or more than the configured partitions to keep partition sizes between 2048 and 8192 megabytes. This setting is only used when cache sizing is set to manual.
compressed tree cache size	The amount of memory to dedicate to caching tree data in compressed form for all on-disk stands. This setting is only used when cache sizing is set to manual.

Field	Description
compressed tree cache partitions	The number of independent compressed tree cache partitions to allocate. More partitions allow more concurrency, but make each individual cache partition smaller, which could make it more likely for the cache to fill up. The default is determined based on the amount of memory on your system and should work well for most installations. If you see a lot of CPU under-utilization under heavy concurrent query loads then raising this value can improve performance. The server may use fewer or more than the configured partitions to keep partition sizes between 512 and 8192 megabytes. This setting is only used when cache sizing is set to manual.
expanded tree cache size	The amount of memory to dedicate to caching tree data in expanded form for the query evaluator. This setting is only used when cache sizing is set to manual.
expanded tree cache partitions	The number of independent expanded tree cache partitions to allocate. More partitions allow more concurrency, but make each individual cache partition smaller, which could make it more likely for the cache to fill up. The default is determined based on the amount of memory on your system and should work well for most installations. If you see a lot of CPU under-utilization under heavy concurrent query loads then raising this value can improve performance. The server may use fewer or more than the configured partitions to keep partition sizes between 1024 and 8192 megabytes. This setting is only used when cache sizing is set to manual.
triple cache size	The amount of memory to dedicate to caching triple data for all on-disk stands. This setting is only used when cache sizing is set to manual.
triple cache partitions	The number of independent triple cache partitions to allocate. More partitions allow more concurrency, but make each individual cache partition smaller, which could make it more likely for the cache to fill up. The default is determined based on the amount of memory on your system and should work well for most installations. If you see a lot of CPU under-utilization under heavy concurrent query loads, then raising this value can improve performance. The server may use fewer or more than the configured partitions to keep partition sizes between 1024 and 8192 megabytes. This setting is only used when cache sizing is set to manual.

Field	Description
triple value cache size	The amount of memory to dedicate to caching triple value data for all on-disk stands. This setting is only used when cache sizing is set to manual.
triple value cache partitions	The number of independent triple value cache partitions to allocate. More partitions allow more concurrency, but make each individual cache partition smaller, which could make it more likely for the cache to fill up. The default is determined based on the amount of memory on your system and should work well for most installations. If you see a lot of CPU under-utilization under heavy concurrent query loads, then raising this value can improve performance. The server may use fewer or more than the configured partitions to keep partition sizes between 512 and 8192 megabytes. This setting is only used when cache sizing is set to manual.
compressed tree read size	The size of the block for random access when reading compressed tree files.
triple cache timeout	The time, in seconds, that a cached triple index page can be unused before being eligible to be flushed from the cache. Larger values can potentially cause more memory to be used for by the triple cache. Smaller values can potentially cause more time to be used reloading triple index pages.
triple value cache timeout	The time, in seconds, that a cached triple value index page can be unused before being eligible to be flushed from the cache. Larger values can potentially cause more memory to be used for by the triple value cache. Smaller values can potentially cause more time to be used reloading triple value index pages.
smtp relay	The network location (host:port) of the SMTP server. This server is used for all SMTP requests issued through the xdmp:email built-in function. The default port number of the SMTP server is 25. For details, see “Configuring an SMTP Server” on page 64.
smtp timeout	The time, in seconds, before an SMTP request times out and issues an error.
http user agent	The User-agent string issued when making HTTP requests from an App Server in the group.
http timeout	The time, in seconds, before an HTTP request times out.

Field	Description
xdqp timeout	The time, in seconds, before a request between a MarkLogic Server evaluator node (the node from which the query is issued) and a MarkLogic Server data node (the node from which the forest data is retrieved) times out.
host timeout	The time, in seconds, before a MarkLogic Server host-to-host request times out. The host-to-host requests are used for communication between nodes in a MarkLogic Server cluster.
host initial timeout	The time, in seconds, that an instance of MarkLogic Server will wait for another node to come online when the cluster first starts up before deciding that the node is down, and initiating failover for any forests that are assigned to that offline host.
retry timeout	The time, in seconds, before a MarkLogic Server stops retrying a request.
module cache timeout	The time, in seconds, that a cached module can be unused before being flushed from the cache. Larger values can potentially cause more memory to be used for cached modules. Smaller values can potentially cause more time to be used reloading uncached modules.
system log level	The minimum log level messages sent to the operating system. Log levels are listed in decreasing level of log details. You may change the system log level or leave it at the default level.
file log level	The minimum log level messages sent to the log file. Log levels are listed in decreasing level of log details. You may change the file log level or leave it at the default level.
the rotate log files	Specifies how often to start a new log file. You may change this field or use the default value provided.
the keep log files	Specifies how many log files are kept. You may change this field or use the default value provided.
failover enable	Set to true if you want to enable failover for the hosts in the group. To use failover, you must also enable failover for individual forests. If you set Failover Enable to false, failover is disabled for all the hosts in the group, regardless of their forest configurations.
xdqp-ssl-enabled	Specifies whether SSL is enabled for XDQP. For details, see “Enabling SSL communication over XDQP” on page 63.
xdqp-ssl-allow-ssl3	Specifies whether the SSL v3 protocol is allowed for XDQP.

Field	Description
xdqp-ssl-allow-tls	Specifies whether the Transport Layer Security protocol is allowed for XDQP.
xdqp-ssl ciphers	The SSL ciphers that may be used.
background I/O limit	The maximum megabytes per second that a host may use for background I/O (merge, backup, restore). A value of 0 means no limit.
metering enabled	Specifies if usage metering is enabled for this group. When usage metering is enabled, a small amount of statistics about resources being used is saved to the meters database.
performance metering enabled	Specifies if performance metering is enabled for this group. When enabled, performance statistics are stored in the Meters database to enable historic views of cluster performance.
metering database	The name of the database in which usage metering and historic performance data will be stored.
performance metering period	The performance metering period in minutes.
metering retain raw	The number of days raw performance metering data is retained.
metering retain hourly	The number of days hourly performance metering data is retained.
metering retain daily	The number of days daily performance metering data is retained.
telemetry-log-level	The minimum log level for log messages collected and sent by telemetry. For details, see Configure Telemetry in the Admin UI in the <i>Monitoring MarkLogic Guide</i> .
telemetry-metering	The set of Metering data collected by telemetry. For details, see Telemetry in the <i>Monitoring MarkLogic Guide</i> .
telemetry-config	The frequency of Config file changes collected by telemetry. For details, see Telemetry in the <i>Monitoring MarkLogic Guide</i> .
telemetry proxy	The URL of the proxy used by telemetry. Proxy URL should start with <code>https://</code> , for example, <code>https://proxy.marklogic.com:8080</code> . If you don't specify the port number, it assumes the proxy server is listening on port 8080. For details, see Telemetry in the <i>Monitoring MarkLogic Guide</i> .

Field	Description
s3 domain	The internet domain name of the simple storage service. The default value is <code>s3.amazonaws.com</code> . To access a different simple storage service that is API compatible with Amazon S3, specify it here.
s3 protocol	The network protocol to use when accessing the simple storage service. The default is <code>https</code> . To use a more secure protocol when accessing the simple storage service, choose <code>https</code> .
s3 server side encryption	The method of data encryption for data at rest on the simple storage service. The default is <code>aes256</code> . To encrypt data at rest on the simple storage service, choose <code>aes256</code> . To encrypt data by custom AWS KMS key, choose <code>aws:kms</code> . You must use <code>https</code> to access an object protected by AWS KMS.
s3 server side encryption kms key	The custom AWS KMS key of encryption for data at rest on the simple storage service. If you choose <code>kms:key</code> encryption and want to use your own KMS key, this field is required. Otherwise the default KMS key is used. The AWS KMS key must be in the same region as the S3 bucket.
s3 proxy	The URL of the proxy server to access S3. The proxy URL should start with <code>https://</code> (for example, <code>https://proxy.marklogic.com:8080</code>). If you don't specify the port number, MarkLogic assumes the proxy server is listening on port <code>8080</code> .
azure storage proxy	The URL of the proxy server to access Azure Blob Storage. The proxy URL should start with <code>https://</code> (for example, <code>https://proxy.marklogic.com:8080</code>). If you don't specify the port number, MarkLogic assumes the proxy server is listening on port <code>8080</code> .
security database	The security database where global security data are kept for hosts in this group. This database is where Amazon Web Services access keys and secret keys are kept for use with the simple storage service.

6.3.3 Enabling SSL communication over XDQP

To enable encrypted SSL communication between hosts in the group, set `xdqp ssl enabled` to `true`. All communications to and from hosts in the group will be secured, even if the other end of the socket is in a group that does not have SSL enabled.

The SSL keys and certificates used by the hosts are automatically generated when you install or upgrade MarkLogic Server. No outside authority is used to sign certificates used between servers communicating over the internal XDQP connections in a cluster. Such certificates are self-signed and trusted by each server in the cluster.

For details on configuring SSL communication between web browsers and App Servers, see [Configuring SSL on App Servers](#) in the *Security Guide*. For details on configuring FIPS 140-2 mode for SSL communication, see “OpenSSL FIPS 140-2 Mode” on page 35.

The following screen capture shows the options related to configuring SSL for intra-cluster XDQP communication.

The screenshot displays the 'xdqp ssl' configuration section in the MarkLogic Admin Interface. It contains four settings:

- xdqp ssl enabled**: A radio button interface with 'true' selected and 'false' unselected. Below it, the text reads: 'Whether or not SSL is enabled for XDQP.'
- xdqp ssl allow sslv3**: A radio button interface with 'true' selected and 'false' unselected. Below it, the text reads: 'Whether or not SSLv3 is allowed for XDQP.'
- xdqp ssl allow tls**: A radio button interface with 'true' selected and 'false' unselected. Below it, the text reads: 'Whether or not TLS is allowed for XDQP.'
- xdqp ssl ciphers**: A text input field containing the value 'ALL:!LOW:@STRENGTH'. Below the field, the text reads: 'A colon separated list of ciphers (e.g. ALL:!LOW:@STRENGTH)'.

6.3.4 Configuring an SMTP Server

The installation process configures an SMTP server based on the environment at installation time. A single SMTP server is configured for all of the hosts in a group. The SMTP configuration is used when applications use the `xdmp:email` function.

To change the SMTP server or the SMTP timeout for the system (the time after which SMTP requests fail with an error), perform the following steps:

1. Log into the Admin Interface.
2. Click the Groups icon on the left tree menu.
3. Click the Configure tab at the top right.
4. In the SMTP Relay field, enter the hostname for your SMTP server.
5. In the SMTP Timeout field, enter the time (in seconds) after which requests will time out.

6. Click OK.

Changing any SMTP settings is a hot operation; the server does not need to restart to reflect your changes.

6.3.5 Restarting All Hosts in a Group

Perform the following steps to restart all the hosts in a group from the Admin Interface:

1. Click the Groups icon on the left tree menu.
2. Click the name of the group you want to restart, either from the menu tree or from the Group Summary page.
3. Click the Status tab on the top right.
4. Click Restart.
5. A confirmation message displays while restarting. Click OK to restart all of the hosts in the MarkLogic Server group.

Note: The restart operation normally completes within a few seconds. It is possible, however, for it to take longer under some conditions (for example, if the Security database needs to run recovery or if the connectivity between hosts in a cluster is slow). If it takes longer than a few seconds for MarkLogic Server to restart, than the Admin Interface might return a `503: Service Unavailable` message. If you encounter this situation, wait several seconds and then reload the Admin Interface.

6.3.6 Deleting a Group

You must drop all hosts assigned to a group before you can delete a group. To delete a group, perform the following steps:

1. Log into the Admin Interface.
2. Click the Groups icon on the left tree menu.
3. Click the Configure tab at the top right.
4. Locate the Group to be deleted.
5. Click on Hosts to check that there is no host assigned to the group. All hosts assigned to a group must be dropped before the group can be deleted. Dropping a host from a group does not drop the host from the cluster.
6. Click the icon for this group again.

7. Click Delete. Deleting a group deletes it from the system.
8. A confirmation message displays. Click OK to permanently delete the group.

Deleting a group is a hot operation; the server does not need to restart to reflect your changes.

7.0 HTTP Servers

This chapter describes HTTP servers and provides procedures for configuring them. The following sections are included:

- [HTTP Server Overview](#)
- [Procedures for Creating and Managing HTTP Servers](#)

This chapter describes how to use the Admin Interface to create and configure HTTP servers. For details on how to create and configure HTTP servers programmatically, see [Creating and Configuring App Servers](#) in the *Scripting Administrative Tasks Guide*.

7.1 HTTP Server Overview

MarkLogic Server enables you to write web applications by connecting sets of XML or JSON content to HTTP servers that can access server-side XQuery, JavaScript, and REST programs. These applications can return XHTML, XML, or JSON content to a browser or other HTTP-enabled client application.

HTTP servers are defined at the group level and are accessible by all hosts within the group. Each HTTP server provides access to a set of XQuery programs that reside within a specified directory structure. Each host in the group must have access to the directory structure or mirror the directory structure along with the program files. An HTTP server executes the server-side programs against the database to which it is connected.

HTTP servers follow the MarkLogic Server security model, as do WebDAV, ODBC, and XDBC servers. The server authenticates access to those programs using user IDs and passwords stored in the security database for that HTTP server. (Each HTTP server is connected to a database, and each database is in turn connected to a security database in which security objects such as users are stored.)

HTTP servers execute code, either from a specified location on the file system or from a Modules database.

Granular access control to the system and to the data is achieved through the use of privileges and permissions. For details on configuring security objects in MarkLogic Server, see “Security Administration” on page 339. For conceptual information on the MarkLogic Server security model, see *Security Guide*.

7.2 Procedures for Creating and Managing HTTP Servers

Use the following procedures to create and manage HTTP servers:

- [Creating a New HTTP Server](#)
- [Setting Output Options for an HTTP Server](#)
- [Viewing HTTP Server Settings](#)
- [Deleting an HTTP Server](#)
- [Canceling a Request](#)

7.2.1 Creating a New HTTP Server

To create a new server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group in which you want to define the HTTP server (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Click the Create HTTP tab at the top right. The Create HTTP Server page will display:

Create HTTP Server

Summary | **Create HTTP** | Create WebDAV | Create XDBC | Help

ok | cancel

http server -- A HTTP server specification.

server name
The server name.
Required. You must supply a value for http-server-name.

root
The root document directory pathname.
Required. You must supply a value for root.

port
The server socket bind internet port number.
Required. You must supply a value for port.

5. In the Server Name field, enter a shorthand name for this HTTP server. MarkLogic Server will use this name to refer to this server on display screens in the Admin Interface.

6. In the Root directory field, enter the name of the directory in which you will store your programs. If the Modules field is set to a database, then the root must be a directory URI in the specified modules database.

If the Modules field is set to file system, then the root directory is either a fully-qualified pathname or is relative to the directory in which MarkLogic Server is installed. The following table shows the default installation directory for each platform:

Platform	Program Directory
Microsoft Windows	C:\Program Files\MarkLogic
Red Hat Linux	/opt/MarkLogic
Mac OS X	~/Library/MarkLogic

Note: Unless you specify a shared drive, all hosts in the group will need to have a copy of the programs in the directory specified above.

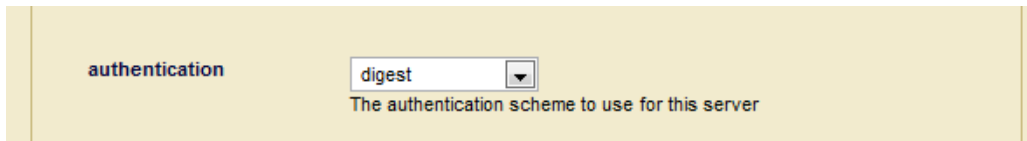
Warning Do not create HTTP server root directories named Docs, Data or Admin. These directories are reserved by MarkLogic Server for other purposes. Creating HTTP server root directories with these names can result in unpredictable behavior of the server and may also complicate the software upgrade process.

7. In the Port field, enter the port number through which you want to make this HTTP server available.

The port number must not be assigned to any other HTTP, ODBC, XDBC, or WebDAV server.

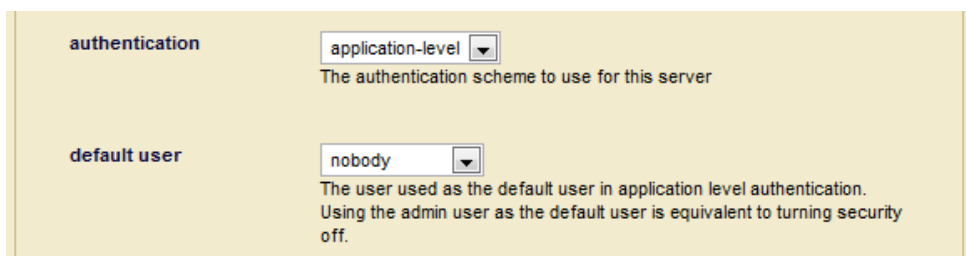
8. In the Modules field, select the database to use as the modules database for your documents, or leave it at the default of storing your modules on the file system. For information on what a modules database is, see “Modules Database” on page 128.
9. In the Database field and select the database to be accessed by this HTTP server. Multiple HTTP, ODBC, XDBC, and WebDAV servers can access the same database.

10. Scroll to the Authentication field. Select an authentication scheme, as described in [Types of Authentication](#) in the *Security Guide*. The default is digest, which uses encrypted passwords.



The screenshot shows a configuration panel with a label 'authentication' on the left. To its right is a dropdown menu currently displaying 'digest'. Below the dropdown, a descriptive text reads: 'The authentication scheme to use for this server'.

If you select application-level authentication, you will also need to fill in a Default User. Any one accessing the HTTP server is automatically logged in as the Default User until the user logs in explicitly.

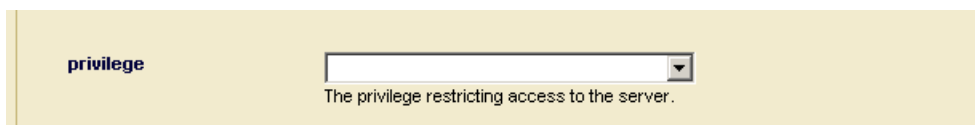


The screenshot shows two configuration sections. The top section, labeled 'authentication', has a dropdown menu set to 'application-level' with the text 'The authentication scheme to use for this server' below it. The bottom section, labeled 'default user', has a dropdown menu set to 'nobody' with the text 'The user used as the default user in application level authentication. Using the admin user as the default user is equivalent to turning security off.' below it.

Warning If you use an admin user (admin) as the Default User (an authorized administrator with the `admin` role), then everyone who uses this App Server is automatically a user with the `admin` role, which effectively turns off security for this App Server.

11. Scroll to the Privilege field near the bottom of the screen. This field represents the privilege needed to access (login to) the server. You may leave this field blank.

A user accessing the HTTP server must have the execute privilege selected in order to access the HTTP server. If you chose application-level authentication above, you should ensure that the default user has the selected privilege.



The screenshot shows a configuration panel with a label 'privilege' on the left. To its right is an empty dropdown menu. Below the dropdown, a descriptive text reads: 'The privilege restricting access to the server.'

12. Set any other properties for this App Server, as appropriate to your needs:
 - Last Login and Display Last Login are described in “Storing and Monitoring the Last User Login Attempt” on page 125.
 - Backlog specifies the maximum number of pending connections allowed on the HTTP server socket.

- **Threads** specifies the maximum number of App Server threads allocated to this port by each server in the cluster.
- **Request Timeout** specifies the maximum number of seconds before a socket receives a timeout for the first request.
- **Keep Alive timeout** specifies the maximum number of seconds before a socket receives a timeout for subsequent requests over the same connection.
- **Session Timeout** specifies the maximum number of seconds before an inactive session times out.
- **Max Time Limit** specifies the upper bound for any request's time limit. No request may set its time limit (for example with `xdmp:set-request-time-limit`) higher than this number. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- **Default Time Limit** specifies the default value for any request's time limit, when otherwise unspecified. A request can change its time limit using `xdmp:set-request-time-limit`. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- **Static Expires** adds an "expires" HTTP header for static content to expire after this many seconds.
- **Pre-commit Trigger Limit** specifies the maximum number of pre-commit triggers a single statement against this App Server can invoke. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- **Pre-commit Trigger Depth** specifies the maximum depth (how many triggers can cause other triggers to fire, which in turn cause others to fire, and so on) for pre-commit triggers that are executed against this App Server. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- **Collation** specifies the default collation for queries run in this appserver. This will be the collation used for string comparison and sorting if none is specified in the query. For details, see [Encodings and Collations](#) in the *Search Developer's Guide*.
- **Concurrent Request Limit** specifies the maximum number of requests any user may have running at a specific time. 0 indicates no maximum. For details, see "Managing Concurrent User Requests" on page 123.
- **Log Errors** specifies whether to log uncaught errors for this App Server to the `ErrorLog.txt` file. This is useful to log exceptions that might occur on an App Server for later debugging.
- **Debug Allow** specifies whether to allow requests against this App Server to be stopped for debugging, using the MarkLogic Server debugging APIs.

- Profile Allow specifies whether to allow requests against this App Server to be profiled, using the MarkLogic Server profiling APIs. For details, see [Profiling Requests to Evaluate Performance](#) in the *Query Performance and Tuning* guide.
- Default XQuery Version specifies the default XQuery language for this App Server if an XQuery module does explicitly declare its language version.
- Multi Version Concurrency Control specifies how strict queries behave about getting the latest timestamp. This only affects query statements, not update statements. For details about queries and transactions in MarkLogic Server, see [Understanding Transactions in MarkLogic Server](#) in the *Application Developer's Guide*.
- The Error Handler and URL Rewriter fields are described in [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.
- The properties associated with SSL support are described in [Configuring SSL on App Servers](#) in the *Security Guide*.

13. Scroll to the top or bottom and click OK.

The HTTP server is now created. Creating an HTTP server is a “hot” admin task; the changes take effect immediately. For information and setup instructions for managing user sessions and/or keeping track of login attempts, see “Managing User Requests and Monitoring Login Attempts” on page 123.

7.2.2 Setting Output Options for an HTTP Server

For each HTTP Server, you can set various default output options. These output options affect how data returned from the App Server is serialized. You can also set these options at the query level to override any default options. You can set serialization options to override the App Server defaults in XQuery with the `declare option XQuery` prolog, and in XSLT using the `<xsl:output>` instruction. For details on setting the serialization options in XQuery, see [Declaring Options](#) in the *XQuery and XSLT Reference Guide*. For XSLT output details, see the XSLT specification (<http://www.w3.org/TR/xslt20#serialization>).

To specify defaults for the App Server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the HTTP server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Select the App Server to edit.
5. Select the Output Options link in the left tree menu. The Output Options Configuration page displays.
6. Set any options that you want to control for this App Server.
7. Click OK to save your changes.

For more details about App Server output, see [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.

7.2.3 Viewing HTTP Server Settings

To view the settings for a particular HTTP server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the HTTP server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Locate the HTTP server for which you want to view settings, either in the tree menu or on the summary page.
5. Click the icon for the HTTP server.
6. View the settings.

7.2.4 Deleting an HTTP Server

To delete the settings for an HTTP server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the HTTP server you want to delete (for example, Default).
3. Click the App Servers icon on the left tree menu.

4. Locate the HTTP server you want to delete, either in the tree menu or on the summary page.
5. Click the icon for the HTTP server.
6. Click Delete.
7. A confirmation message displays. Confirm the delete and click OK.

Deleting an HTTP server is a “cold” admin task; the server restarts to reflect your changes.

7.2.5 Canceling a Request

You can cancel a request in the App Server Status page of the Admin Interface (Groups > *group_name* > App Servers > *app_server_name* > Status tab).

App Server Status

Summary Configure **Status** Create HTTP Create WebDAV Create XDBC Help

App Server: myAppServer[HTTP] [show less](#)

appserver status -- A detailed view of this appserver's activity.

Host	Threads	Requests	Updates	Average Time	Request Rate	Oldest Request	Expanded Tree Cache		
							Hits	Misses	Ratio
raymond.marklogic.com	2	1	0	2.8 s	0.1	2.8 s	460224	34389	93%
	2	1	0	2.8 s	0.1	n/a	460224	34389	93%

Query	#	Average Time	Oldest Time	Expanded Tree Cache		Ratio
				Hits	Misses	
/cq-eval.xqy	1	2.8 s	2.8 s	0	0	n/a
Total	1	2.8 s	2.8 s	0	0	n/a

Host	Query	User	Client IP	Time	Expanded Tree Cache			
					Hits	Misses	Ratio	
raymond.marklogic.com	/cq-eval.xqy	admin	182.16.1.131	2.8 s	0	0	n/a	[cancel]
	Total				0	0	n/a	

To cancel a long-running request (for example, a long-running query statement or update statement), perform the following steps:

1. Click the Group menu item in the Admin Interface.

2. Navigate to the App Server in which the request was issued, either from the tree menu or from the summary page.
3. Click the Status tab.
4. Click the Show More button.
5. At the bottom right of the App Server Status page, click the cancel button on the row for the query you want to cancel.
6. Click OK on the Cancel Request confirmation page. If the request is already completed when the confirmation page occurs, the page will indicate that the request cannot be found.

The request is canceled and the App Server Status page appears again.

8.0 XDBC Servers

This chapter describes XDBC servers and provides procedures for configuring them. The following sections are included:

- [XDBC Server Overview](#)
- [Procedures for Creating and Managing XDBC Servers](#)

This chapter describes how to use the Admin Interface to create and configure XDBC servers. For details on how to create and configure XDBC servers programmatically, see [Creating and Configuring App Servers](#) in the *Scripting Administrative Tasks Guide*.

8.1 XDBC Server Overview

XDBC (XML Database Connector) servers are defined at the group level and are accessible by all hosts within the group. Each XDBC server provides access to a specific forest, and to a library (root) of XQuery programs that reside within a specified directory structure. Applications execute by default against the database that is connected to the XDBC server.

XDBC Servers allow XML Contentbase Connector (XCC) applications to communicate with MarkLogic Server. XCC is an API used to communicate with MarkLogic Server from Java middleware applications. XDBC servers also allow old-style XDBC applications to communicate with MarkLogic Server, although XDBC applications cannot use certain 3.1 and newer features (such as point-in-time queries). Both XCC and XDBC applications use the same wire protocol.

XQuery requests submitted via XCC return results as specified by the XQuery code. These results can include XML and a variety of other data types. It is the XCC application's responsibility to parse, process and interpret these results in a manner appropriate to the variety of data types available. There are a number of publicly available libraries for assisting with this task, or you may write your own code. In order to accept connections from XCC-enabled applications, MarkLogic Server must be configured with an XDBC Server listening on the designated port. Each XDBC Server connects by default to a specific database within MarkLogic Server, but XCC provides the ability to communicate with any database in the MarkLogic Server cluster to which your application connects (and for which you have the necessary permissions and privileges).

XDBC servers follow the MarkLogic Server security model, as do HTTP and WebDAV servers. The server authenticates access to those programs using user IDs and passwords stored in the security database for that XDBC server. (Each XDBC server is connected to a database, and each database is in turn connected to a security database in which security objects such as users are stored.)

Granular access control to the system and to the data is achieved through the use of privileges and permissions. For details on configuring security objects in MarkLogic Server, see “Security Administration” on page 339. For conceptual information on the MarkLogic Server security model, see *Security Guide*.

8.2 Procedures for Creating and Managing XDBC Servers

Use the following procedures to create and manage XDBC servers:

- [Creating a New XDBC Server](#)
- [Setting Output Options for an XDBC Server](#)
- [Viewing XDBC Server Settings](#)
- [Deleting an XDBC Server](#)

For the procedure to cancel a running request on an XDBC server, see “Canceling a Request” on page 74.

8.2.1 Creating a New XDBC Server

To create a new server, complete the following steps:

1. Click the Groups icon.
2. Click the group in which you want to define the XDBC server (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Click the Create XDBC tab at the top right. The Create XDBC Server page displays.

The screenshot shows the 'Create XDBC Server' configuration page in MarkLogic Server. The left sidebar displays a tree view with 'Groups' expanded, 'Default' selected, and 'App Servers' expanded. The main area has tabs for 'Summary', 'Create HTTP', 'Create WebDAV', 'Create XDBC' (selected), and 'Help'. The 'Create XDBC' tab shows a form with three fields: 'xdbc server name', 'root', and 'port'. Each field has a description and a red error message: 'Required. You must supply a value for xdbc-server-name.', 'Required. You must supply a value for root.', and 'Required. You must supply a value for port.' respectively. The form also has 'ok' and 'cancel' buttons at the top right.

5. In the XDBC Server Name field, enter a shorthand name for this XDBC server. MarkLogic Server will use this name to refer to this server on display screens in the Admin Interface.

6. In the Root directory field, enter the name of the directory in which you will store your XQuery programs. If the Modules field is set to a database, then the root must be a directory URI in the specified modules database.

If the Modules field is set to file system, then the root directory is either a fully-qualified pathname or is relative to the directory in which MarkLogic Server is installed. The following table shows the default installation directory for each platform:

Platform	Program Directory
Microsoft Windows	C:\Program Files\MarkLogic
Red Hat Linux	/opt/MarkLogic
Mac OS X	~/Library/MarkLogic

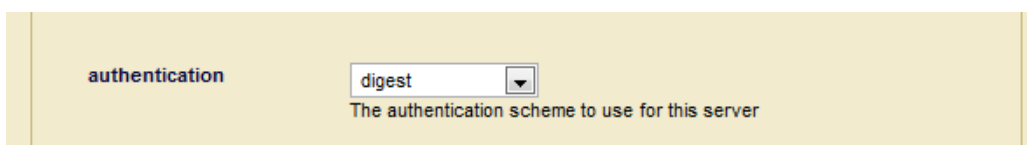
Note: Unless you specify a shared drive, all hosts in the group will need to have a copy of the XQuery programs in the directory specified above.

Warning Do not create XDBC server root directories named Docs, Data or Admin. These directories are reserved by MarkLogic Server for other purposes. Creating XDBC server root directories with these names can result in unpredictable behavior of the server and may also complicate the software upgrade process.

7. In the Port field, enter the port number through which you want to make this XDBC server available.

The port number must not be assigned to any other XDBC, HTTP, or WebDAV server.

8. In the Modules field, select the database to use as the modules database for your XQuery documents, or leave it at the default of storing your XQuery modules on the file system. For information on what a modules database is, see “Modules Database” on page 128.
9. In the Database field, select the database to be accessed by this XDBC server. Multiple HTTP, XDBC, and WebDAV servers can access the same database.
10. Scroll to the Authentication field. Select an authentication scheme, as described in [Types of Authentication](#) in the *Security Guide*. The default is digest, which uses encrypted passwords.



authentication

The authentication scheme to use for this server

11. Scroll to the Privilege field near the bottom of the screen. This field represents the privilege needed to access (login to) the server. You may leave this field blank.

A user accessing the XDBC server must have the execute privilege selected in order to access the XDBC server (or be a member of the `admin` role).

A screenshot of a web form with a label "privilege" on the left. To its right is a text input field with a dropdown arrow on the right side. Below the input field, there is a small text label that reads "The privilege restricting access to the server."

12. Set any other properties for this App Server, as appropriate to your needs:
 - Last Login and Display Last Login are described in “Storing and Monitoring the Last User Login Attempt” on page 125.
 - Backlog specifies the maximum number of pending connections allowed on the HTTP server socket.
 - Threads specifies the maximum number of App Server threads.
 - Request Timeout specifies the maximum number of seconds before a socket receives a timeout for the first request.
 - Keep Alive Timeout specifies the maximum number of seconds before a socket receives a timeout for subsequent requests over the same connection.
 - Session Timeout specifies the maximum number of seconds before an inactive session times out.
 - Max Time Limit specifies the upper bound for any request's time limit. No request may set its time limit (for example with `xdmp:set-request-time-limit`) higher than this number. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
 - Default Time Limit specifies the default value for any request's time limit, when otherwise unspecified. A request can change its time limit using `xdmp:set-request-time-limit`. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
 - Pre-commit Trigger Limit specifies the maximum number of pre-commit triggers a single statement against this App Server can invoke. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
 - Pre-commit Trigger Depth specifies the maximum depth (how many triggers can cause other triggers to fire, which in turn cause others to fire, and so on) for pre-commit triggers that are executed against this App Server. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.

- Collation specifies the default collation for queries run in this appserver. This will be the collation used for string comparison and sorting if none is specified in the query. For details, see [Encodings and Collations](#) in the *Search Developer's Guide*.
- Concurrent Request Limit specifies the maximum number of requests any user may have running at a specific time. 0 indicates no maximum. For details, see “Managing Concurrent User Requests” on page 123.
- Log Errors specifies whether to log uncaught errors for this App Server to the ErrorLog.txt file. This is useful to log exceptions that might occur on an App Server for later debugging.
- Debug Allow specifies whether to allow requests against this App Server to be stopped for debugging, using the MarkLogic Server debugging APIs.
- Profile Allow specifies whether to allow requests against this App Server to be profiled, using the MarkLogic Server profiling APIs. For details, see [Profiling Requests to Evaluate Performance](#) in the *Query Performance and Tuning* guide.
- Default XQuery Version specifies the default XQuery language for this App Server if an XQuery module does explicitly declare its language version.
- Multi Version Concurrency Control specifies how strict queries behave about getting the latest timestamp. This only affects query statements, not update statements. For details about queries and transactions in MarkLogic Server, see [Understanding Transactions in MarkLogic Server](#) in the *Application Developer's Guide*.
- The properties associated with SSL support are described in [Configuring SSL on App Servers](#) in the *Security Guide*.

13. Scroll to the top or bottom and click OK.

The new XDBC server is created. Creating an XDBC server is a “hot” admin task; the changes take effect immediately. For information and setup instructions for managing user sessions and/or keeping track of login attempts, see “Managing User Requests and Monitoring Login Attempts” on page 123.

8.2.2 Setting Output Options for an XDBC Server

For each XDBC Server, you can set various default output options. These output options affect how data returned from the App Server is serialized. You can also set these options at the query level to override any default options. You can set serialization options to override the App Server defaults in XQuery with the `declare option XQuery` prolog, and in XSLT using the `<xsl:output>` instruction. For details on setting the serialization options in XQuery, see [Declaring Options](#) in the *XQuery and XSLT Reference Guide*. For XSLT output details, see the XSLT specification (<http://www.w3.org/TR/xslt20#serialization>).

To specify defaults for the App Server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the XDBC server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Select the App Server to edit.
5. Select the Output Options link in the left tree menu. The Output Options Configuration page displays.
6. Set any options that you want to control for this App Server.
7. Click OK to save your changes.

For more details about App Server output, see [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.

8.2.3 Viewing XDBC Server Settings

To view the settings for an XDBC server, complete the following steps:

1. Click the Groups icon.
2. Click the group which contains the XDBC server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Locate the XDBC server for which you want to view settings, either in the tree menu or on the summary page.
5. Click the icon for the XDBC server.
6. View the settings.

8.2.4 Deleting an XDBC Server

To delete the settings for an XDBC server, complete the following steps:

1. Click on the Groups icon.
2. Click on the group which contains the XDBC server you want to delete (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Locate the XDBC server to be deleted, either in the tree menu or on the summary page.
5. Click the icon for this XDBC server.
6. Click Drop.
7. A confirmation message displays. Confirm the delete and click OK.

Deleting an XDBC server is a “cold” admin task; the server restarts to reflect your changes.

9.0 WebDAV Servers

A WebDAV server in MarkLogic Server is similar to an HTTP server, but has the following important differences:

- WebDAV servers cannot execute XQuery code.
- WebDAV servers support the WebDAV protocol to allow WebDAV clients to have read and write access (depending on the security configuration) to a database.
- A WebDAV server only accesses documents and directories in a database; it does not access the file system directly.

This chapter describes WebDAV servers in MarkLogic Server and includes the following sections:

- [WebDAV Server Overview](#)
- [Procedures for Creating and Managing WebDAV Servers](#)
- [WebDAV Clients](#)
- [Example: Setting Up a WebDAV Server to Add/Modify Documents Used By Another Server](#)

This chapter describes how to use the Admin Interface to create and configure WebDAV servers. For details on how to create and configure WebDAV servers programmatically, see [Creating and Configuring App Servers](#) in the *Scripting Administrative Tasks Guide*.

9.1 WebDAV Server Overview

WebDAV (Web-based Distributed Authoring and Versioning) is a protocol that extends the HTTP protocol to provide the ability to write documents through these HTTP extensions. You need a WebDAV client to write documents, but you can still read them through HTTP (through a web browser, for example). For information about WebDAV clients supported in MarkLogic Server, see “WebDAV Clients” on page 94. For general information about WebDAV and the WebDAV protocol, see the following web site:

<http://webdav.org>

This section provides an overview of WebDAV servers in MarkLogic Server, and includes the following topics:

- [Accesses a Database for Read and Write, Not XQuery Execution](#)
- [WebDAV Server Security](#)
- [Directories](#)
- [Server Root Directory](#)
- [Documents in a WebDAV Server](#)

9.1.1 Accesses a Database for Read and Write, Not XQuery Execution

In MarkLogic Server, WebDAV servers are defined at the group level and apply to all hosts within the group. Each WebDAV server provides access to a single database for reading and writing (dependent on the needed security permissions). When a document is read or written via WebDAV, all of its associated data, such as properties, metadata, collections, and so on are also transferred with the document.

In the Admin Interface, you configure a WebDAV server to access a database. Documents stored in that database are accessible for reading via HTTP. The database is also accessible via WebDAV clients for reading, modifying, deleting, and adding documents. When you add a document via a WebDAV client (by dragging and dropping, for example), you are actually loading a document directly into the database.

When accessing a database via a WebDAV server, you cannot execute XQuery code. Unlike an HTTP server, there is no Modules database for a WebDAV server. You can, however, configure a database as the Modules database of an HTTP, ODBC, or XDBC server and you can configure the same database for access from a WebDAV server. Then, you can edit code from the WebDAV server that executes from an HTTP, ODBC, or XDBC server. For an example of this configuration, see “Example: Setting Up a WebDAV Server to Add/Modify Documents Used By Another Server” on page 97.

9.1.2 WebDAV Server Security

WebDAV servers follow the MarkLogic Server security model, as do HTTP, ODBC, and XDBC servers. The server authenticates users with user IDs and passwords stored in the security database for that WebDAV server, and the server controls access to objects in the database with privileges and roles. (Each WebDAV server is connected to a database, and each database is in turn connected to a security database in which security objects such as users are stored.)

You can configure application-level security if you want everyone who accesses the WebDAV server to effectively log in as the same user with no password. For example, if you want everyone to log in as *guest*, where *guest* has both read and write privileges and has a predefined set of default privileges, set the authentication scheme to application-level and set the default user to *guest*.

Note: Because users who have write permissions to the database on a WebDAV server can load documents into the database via a WebDAV client, be sure to configure appropriate default permissions on those users so that documents they load (for example, by dragging and dropping files into a WebDAV folder) have the needed permissions for other users to read and write, according to your security policy. You can achieve such granular access control to the system and to the data through the use of privileges and permissions. For information on using security features in MarkLogic Server, see “Security Administration” on page 339 and the chapters related to security in the *Application Developer’s Guide*.

9.1.3 Directories

A WebDAV directory is analogous to a file system directory. A directory must exist in order to view (via a WebDAV client) any documents in that directory (just like in a filesystem, where you must navigate to a directory in order to access any files in that directory). Each document in a directory has a URI that includes the directory URI as a prefix. Also, each directory visible from a WebDAV server must have the WebDAV root as its prefix, and there must exist a directory with the WebDAV root in the database.

For example, if you have a WebDAV root of `http://marklogic.com/`, then the URI of all documents and all directories must begin with that root in order to be visible from a WebDAV client. Also, the directory with a URI `http://marklogic.com/` must exist in the database. Therefore, a document with a URI of `http://marklogic.com/file.xml` is visible from this WebDAV server, and a directory with a URI of `http://marklogic.com/dir/` is also visible. A directory with a URI of `/dir/` and a document with a URI of `/dir/file.xml` is not visible from this server, however, because its URI does not begin with the WebDAV root.

The following sections describe further details about directories:

- [Automatic Directory Creation in a Database Settings](#)
- [Properties and URIs of Directories](#)

For more details on directories and properties, see the “Property Documents and Directories” chapter of the *Application Developer’s Guide*.

9.1.3.1 Automatic Directory Creation in a Database Settings

In the configuration for a database in the Admin Interface, there is a directory creation setting. The directory creation setting specifies whether directories are created automatically when you create a document.

If you are using a WebDAV server to load documents into a database, we recommend you use the Admin Interface to set the directory creation setting for your database to `automatic`. If you create a WebDAV server that accesses a database with directory creation set to `automatic`, the root directory (required in order to access the database via a WebDAV client) is automatically created. Automatic directory creation also helps if you are loading documents manually (using the `xdmp:document-load` function, for example) whose URIs include directory hierarchies that do not exist in the database. Any directory implied by a URI is automatically created with directory creation set to `automatic`.

You can also manually create and delete directories in XQuery using the `xdmp:directory-create` and `xdmp:directory-delete` built-in functions.

For details on all of the directory creation settings, see “Basic Administrative Settings” on page 130.

9.1.3.2 Properties and URIs of Directories

A directory is stored as a properties document in a MarkLogic Server database. Like a document, a directory has a URI, but the URI must end in a forward slash (/). Use the `xdmp:document-properties("uri_name")` function to retrieve the properties document for a URI, or the `xdmp:document-properties()` function to retrieve all of the properties documents in the database.

Properties are in the `http://marklogic.com/xdmp/property` namespace. When you create a directory (either automatically or manually), the system creates a properties document in the database with a child element named `directory`. For example, if you have a directory in your database with a URI `/myCompany/marketing/`, the following query return the following results:

```
xdmp:document-properties("/myServer/Marketing/")
=>
<prop:properties xmlns:prop="http://marklogic.com/xdmp/property">
  <prop:directory/>
</prop:properties>
```

The properties document returned does not contain the URI of the directory, but just an empty element (`prop:directory`) indicating the existence of a directory.

The `xdmp:document-properties()` function returns the properties documents for all documents in the database. Whenever there is a directory element in the properties document, there is a directory in the database, and calling the XQuery `xdmp:node-uri` built-in function on that element returns the URI of the directory. For example, the following query returns the URIs for all of the directories in a database:

```
declare namespace prop="http://marklogic.com/xdmp/property"

for $x in xdmp:document-properties()/prop:properties/prop:directory
return <directory-uri>{xdmp:node-uri($x)}</directory-uri>
```

Note: It is possible to create a document with a URI that ends in a forward slash (/). To avoid confusion with directory URIs, the best practice is to avoid creating documents with URIs that end in a forward slash.

9.1.4 Server Root Directory

Each WebDAV server has a concept of a *root*. The root is the top-level directory accessible from the server; you can access any documents or directories in the database that are children of the root. The root therefore serves as a prefix for all document and directory URIs accessible through the WebDAV server. You enter the WebDAV root in the Admin Interface. The root can be any valid URI. The root should always end with a forward slash (/), and if it does not, the Admin Interface will append one to the string provided.

The root should be a unique string that can serve as the top of a directory structure. It is common practice to use a WebDAV root of the form `http://<company_domain>/`, but that is not required. The following are some examples of WebDAV roots:


```
http://myCompany/marketing/  
  
/myCompany/marketing/
```

Note: Directories cannot end in two forward slashes (//). Therefore, you cannot create a directory with a URI `http://`. If you specify a root of `http://myCompany` for a WebDAV server and `directory creation` is set to `automatic` in the database, a directory with the URI `http://myCompany/` is automatically created in the database.

Whatever the root, any documents accessible through the WebDAV server must have URIs that begin with the root. Also, any documents created through a WebDAV client (for example, by dragging and dropping into a web folder) will be loaded with URIs beginning with the WebDAV root.

For example, a document with URI `/myCompany/marketing/strategy.doc` is accessible (given the necessary security permissions) via the WebDAV server with the root `/myCompany/marketing/`, and you can create that document by dragging a document named `strategy.doc` into a web folder configured to access the WebDAV server described above.

Note: When a WebDAV client accesses a WebDAV server whose database has `directory creation` set to `automatic`, if the WebDAV root directory does not exist in that database, it is automatically created. The directory is created with no permissions, so it will only be readable by users with the `admin` role. For other users to be able to use the WebDAV server, you should add appropriate read permissions to the directory (with `xdmp:document-add-permissions`, for example). For details on document and directory permissions, see *Security Guide*.

9.1.5 Documents in a WebDAV Server

The main purpose of a WebDAV server is to make it easy for people to store, retrieve, and modify documents in a database. The documents can be any type, whether they are text documents such as `.txt` files or source code, binary documents such as image files or Microsoft Word files, or XML documents. Because the documents are stored in a database, you can create applications that use the content in those documents for whatever purpose you need. You can also use the database backup and restore features to easily back up the content in the database.

9.2 Procedures for Creating and Managing WebDAV Servers

This section includes procedures to perform the following actions:

- [Creating a New WebDAV Server](#)
- [Setting Output Options for a WebDAV Server](#)
- [Viewing WebDAV Server Settings](#)
- [Deleting a WebDAV Server](#)

For the procedure to cancel a running request on a WebDAV server, see “Canceling a Request” on page 74.

9.2.1 Creating a New WebDAV Server

To create a new server, complete the following steps:

1. Click the Groups icon.
2. Click the group in which you want to define the WebDAV server (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Click the Create WebDAV tab at the top right.

The WebDAV Server Create page displays.

5. Go to the WebDAV Server Name field and enter a shorthand name for this WebDAV server.

MarkLogic Server will use this name to refer to this server on display screens and in user interface controls.

6. Go to the root field and enter the name of WebDAV root. This root is a string that represents the top-level of the WebDAV URI hierarchy. Any document accessible through this WebDAV server must have a URI that begins with this root string. For more details on the root, see “Server Root Directory” on page 88.

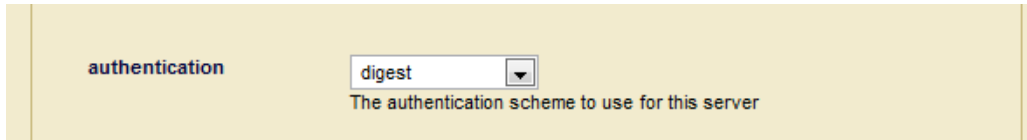
If the root directory does not contain a forward slash, the Admin Interface adds one for you.

7. Go to the Port field and enter the port number through which you want to make this WebDAV server available. The port number must not be assigned to any other server.
8. Go to the Database field and select the database to be accessed by this WebDAV server.

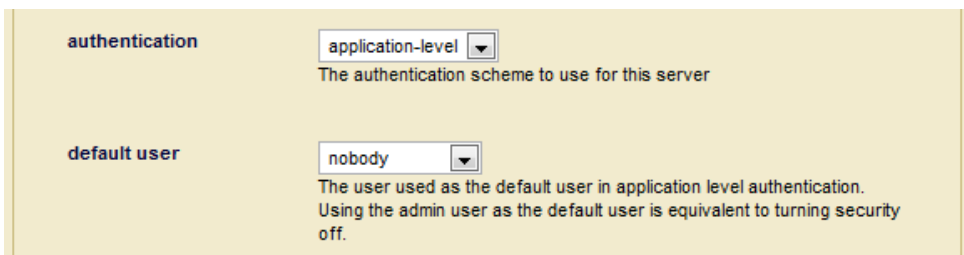
Multiple HTTP, ODBC, XDBC, and WebDAV servers can be connected to the same database.

Note: If you are using a database with a WebDAV server, the directory creation setting on the database should be set to `automatic`, which will automatically create the root directory and other directories for any documents added to the database (if the directory does not already exist). For more information on directories, see “Directories” on page 87.

9. Scroll to the Authentication field. Select an authentication scheme, as described in [Types of Authentication](#) in the *Security Guide*. The default is digest, which uses encrypted passwords.



If you select application-level authentication, you will also need to fill in a Default User. Any one accessing the App Server server is automatically logged in as the Default User until the user logs in explicitly.



Warning If you use an admin user (admin) as the Default User (an authorized administrator with the `admin` role), then everyone who uses this App Server is automatically a user with the `admin` role, which effectively turns off security for this App Server.

10. Scroll to the Privilege field near the bottom of the screen. This field represents the privilege needed to access (login) the server. You may leave this field blank.
11. Set any other properties for this App Server, as appropriate to your needs:
 - Last Login and Display Last Login are described in “Storing and Monitoring the Last User Login Attempt” on page 125.
 - Backlog specifies the maximum number of pending connections allowed on the HTTP server socket.
 - Threads specifies the maximum number of App Server threads.
 - Request Timeout specifies the maximum number of seconds before a socket receives a timeout for the first request.
 - Keep Alive timeout specifies the maximum number of seconds before a socket receives a timeout for subsequent requests over the same connection.
 - Session Timeout specifies the maximum number of seconds before an inactive session times out.

- Max Time Limit specifies the upper bound for any request's time limit. No request may set its time limit (for example with `xdmp:set-request-time-limit`) higher than this number. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- Default Time Limit specifies the default value for any request's time limit, when otherwise unspecified. A request can change its time limit using `xdmp:set-request-time-limit`. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- Static Expires adds an "expires" HTTP header for static content to expire after this many seconds.
- Pre-commit Trigger Limit specifies the maximum number of pre-commit triggers a single statement against this App Server can invoke. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- Pre-commit Trigger Depth specifies the maximum depth (how many triggers can cause other triggers to fire, which in turn cause others to fire, and so on) for pre-commit triggers that are executed against this App Server. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- Collation specifies the default collation for queries run in this appserver. This will be the collation used for string comparison and sorting if none is specified in the query. For details, see [Encodings and Collations](#) in the *Search Developer's Guide*.
- Concurrent Request Limit specifies the maximum number of requests any user may have running at a specific time. 0 indicates no maximum. For details, see “Managing Concurrent User Requests” on page 123.
- Log Errors specifies whether to log uncaught errors for this App Server to the `ErrorLog.txt` file. This is useful to log exceptions that might occur on an App Server for later debugging.
- Debug Allow specifies whether to allow requests against this App Server to be stopped for debugging, using the MarkLogic Server debugging APIs.
- Profile Allow specifies whether to allow requests against this App Server to be profiled, using the MarkLogic Server profiling APIs. For details, see [Profiling Requests to Evaluate Performance](#) in the *Query Performance and Tuning* guide.
- Default XQuery Version specifies the default XQuery language for this App Server if an XQuery module does explicitly declare its language version.
- Multi Version Concurrency Control specifies how strict queries behave about getting the latest timestamp. This only affects query statements, not update statements. For details about queries and transactions in MarkLogic Server, see [Understanding Transactions in MarkLogic Server](#) in the *Application Developer's Guide*.

- The properties associated with SSL support are described in [Configuring SSL on App Servers](#) in the *Security Guide*.

12. Scroll to the top or bottom and click OK.

The new WebDAV server is added. Adding a WebDAV server is a “hot” admin task.

9.2.2 Setting Output Options for a WebDAV Server

For each WebDAV Server, you can set various default output options. These output options affect how data returned from the App Server is serialized. You can also set these options at the query level to override any default options. You can set serialization options to override the App Server defaults in XQuery with the `declare option` XQuery prolog, and in XSLT using the `<xsl:output>` instruction. For details on setting the serialization options in XQuery, see [Declaring Options](#) in the *XQuery and XSLT Reference Guide*. For XSLT output details, see the XSLT specification (<http://www.w3.org/TR/xslt20#serialization>).

To specify defaults for the App Server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the WebDAV server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Select the App Server to edit.
5. Select the Output Options link in the left tree menu. The Output Options Configuration page displays.
6. Set any options that you want to control for this App Server.
7. Click OK to save your changes.

For more details about App Server output, see [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.

9.2.3 Viewing WebDAV Server Settings

To view the settings for a WebDAV server, complete the following steps:

1. Click the Groups icon.
2. Click the group which contains the WebDAV server you want to view (for example, Default).

3. Click the App Servers icon on the left tree menu.
4. Locate the WebDAV server for which you want to view settings, either in the tree menu or on the summary page.
5. Click the icon for this WebDAV server.
6. View the settings.

9.2.4 Deleting a WebDAV Server

To delete the settings for a WebDAV server, complete the following steps:

1. Click the Groups icon.
2. Click the group which contains the WebDAV server you want to delete (for example, Default).
3. Click the WebDAVServers icon on the left tree menu.
4. Click the Configure tab at the top right.
5. Locate the WebDAV server to be deleted, either in the tree menu or on the summary page.
6. Click the icon for this WebDAV server.
7. Click Delete.
8. A confirmation message displays. Confirm the delete and click OK.

Deleting a WebDAV server is a “cold” admin task; the server restarts to reflect your changes.

9.3 WebDAV Clients

A WebDAV client allows you to log into a WebDAV server to read, modify, insert, add, or delete documents. This section lists the supported WebDAV clients for MarkLogic Server and provides some general and specific procedures. The following topics are included:

- [Tested WebDAV Clients](#)
- [General Steps to Connect to a Server](#)
- [Steps to Connect to a Web Folder in Windows Explorer](#)

9.3.1 Tested WebDAV Clients

The following table lists WebDAV clients that have been tested with MarkLogic Server:

WebDAV Client	How to Get It	Notes
Windows Explorer	Part of Windows 10 in many configurations	Allows drag and drop from Windows. For instructions on setting up, see “Steps to Connect to a Web Folder in Windows Explorer” on page 96. Some Windows clients require digest authentication.
PerlDAV	http://www.webdav.org/perl原因/	A command line, perl-based WebDAV client. Designed to be scriptable and to allow you to send individual WebDAV calls.
XML Spy	Altova Software (http://www.altova.com/)	Allows you to open, edit, and save XML files in XML Spy. Use the File > Open URL menu item in XML Spy.
jEdit DAV plug-in	Available on developer.marklogic.com	Allows you to view and edit database documents in jEdit 4.2. This version is available from developer.marklogic.com .

For detailed information on these clients, see the documentation accompanying these products.

Note: Directory and document names in WebDAV (and in MarkLogic Server databases) are case-sensitive, but some WebDAV clients (Windows Explorer, for example) are not case-sensitive. While Windows recognizes case, it treats the directory named `NewFolder` as the same directory as one named `newFolder`. Therefore, directory or document names that differ only in case might cause confusion when using Windows Explorer or other case-insensitive WebDAV clients. If possible, avoid assigning names to directories or documents that differ only by case (for example, `NewFolder` VS `newFolder`).

Note: Windows WebDAV clients will cause two transactions upon initial document creation: the first is a 0-length WebDAV PUT resulting in a new 0-length document, and the second is an update to the 0-length document. If you are using CPF (or other applications that use triggers), this will fire both the create trigger (when the initial 0-length document is created) and the update trigger (when the document is updated with its contents). When using Windows WebDAV clients with CPF applications, make sure that your CPF actions for create and update are designed to work correctly for this behavior. In most cases, having the same action for create and update will be sufficient, but in some cases, you might need to write an action that checks for a 0-length document and does something special with it.

9.3.2 General Steps to Connect to a Server

Each WebDAV client has its own way of connecting to a WebDAV server, but the general steps to connect to a WebDAV server are as follows:

1. Start the WebDAV client.
2. Enter the connection information for the WebDAV server. This includes the servername and port number of the WebDAV server. For example, if you have a WebDAV server running on port 9001 on a machine named `marklogic.myCompany.com`, enter the following URL in the appropriate place for your WebDAV client:

```
http://marklogic.myCompany.com:9001/
```

3. If prompted, enter a username and password for the WebDAV server. You will be prompted for a username or password unless you have configured application-level security.

Note: The user who logs into the WebDAV server must have the needed privileges (granted via roles) to access the documents and directories under the WebDAV root directory. Also, if you want the WebDAV user to create documents under the WebDAV root, then that user must have the needed URI privileges (granted via roles) to create documents under the root. The lack of any needed privileges and/or permissions can cause the WebDAV login or other WebDAV activities to fail. For details on URI privileges and document permissions, see *Security Guide*.

4. Use whatever browsing mechanism the client supports to add, remove, or modify documents and directories. For example, in Windows Explorer, double click on folders to expand them, drag and drop documents into folders, rename documents and directories, and so on.

9.3.3 Steps to Connect to a Web Folder in Windows Explorer

If you are running Windows, perform the following steps to use the Windows Explorer WebDAV client:

1. Double-click the My Network Places icon on your desktop.
2. In My Network Places, double-click the Add Network Places icon.
3. In the Add Network Place Wizard, enter your WebDAV server address and port number. For example, if you have a WebDAV server running on port 9001 on a machine named `marklogic.myCompany.com`, enter the following URL:

```
http://marklogic.myCompany.com:9001/
```

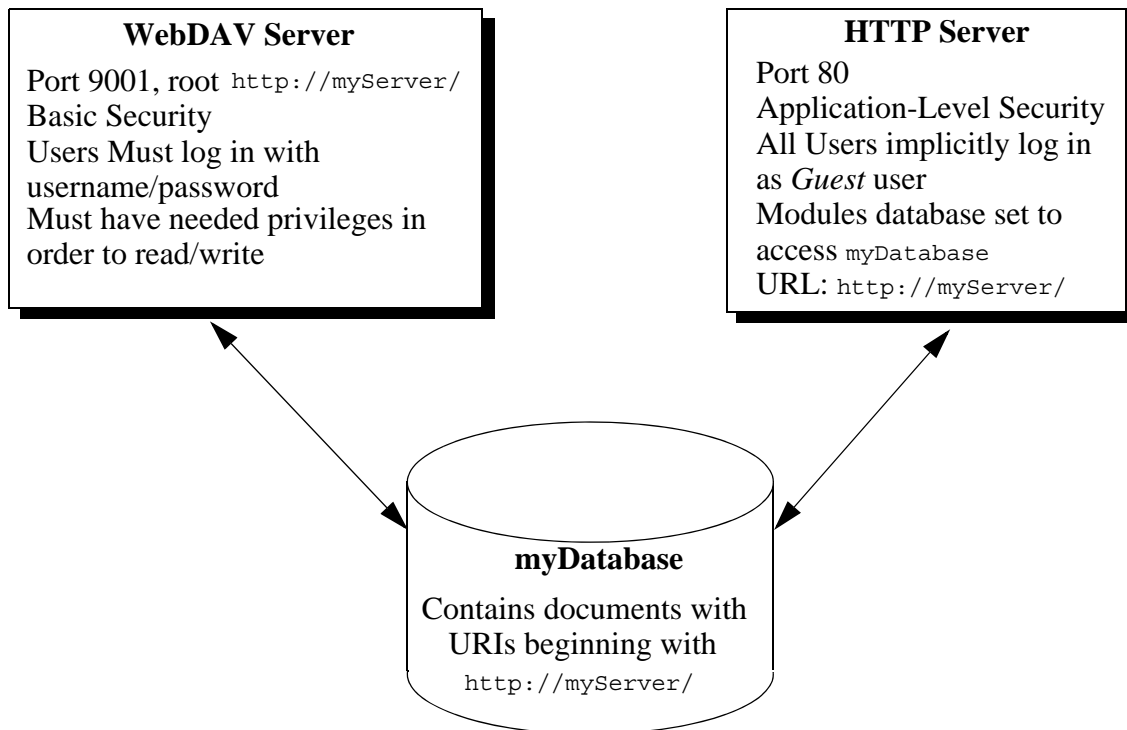
4. Click Next.

5. If prompted, enter your username and password for the WebDAV server.
6. Enter a name for the network place and click finish.

You can now use this folder like other Windows folders to drag and drop documents, rename documents, and so on. When you drag and drop a file into a WebDAV folder connected to a MarkLogic Server WebDAV server, you will actually load that document into the database.

9.4 Example: Setting Up a WebDAV Server to Add/Modify Documents Used By Another Server

You can use a WebDAV server to provide privileged users write access to a database (via a WebDAV client). That database, in turn, might also be used as a Modules database in one or more other servers (HTTP, ODBC, WebDAV, and/or XDBC) to provide read and execute access. Consider the scenario shown in the following figure:



In this scenario, all users can view the content by going to the URL `http://myServer/` in their web browsers. No password is needed to access this server because it is set up with application-level security, using a default user named *Guest*. The *Guest* user only has read permissions. If there is content that you do not want the *Guest* user to access, load that content with privileges that the *Guest* user does not have.

Meanwhile, users with the proper privileges can log in through a WebDAV client to access the WebDAV server at port 9001. Because the WebDAV server is configured with basic security, users are prompted for a username and password when they access the server through the WebDAV client (or through a web browser connected to port 9001). From the WebDAV client, they can add documents, edit documents, or read documents according to the database security policy.

For information about a Modules database, see “Modules Database” on page 128.

10.0 ODBC Servers

An ODBC server is one of several components that support SQL queries to MarkLogic Server. This chapter describes ODBC servers and provides procedures for configuring them. The following sections are included:

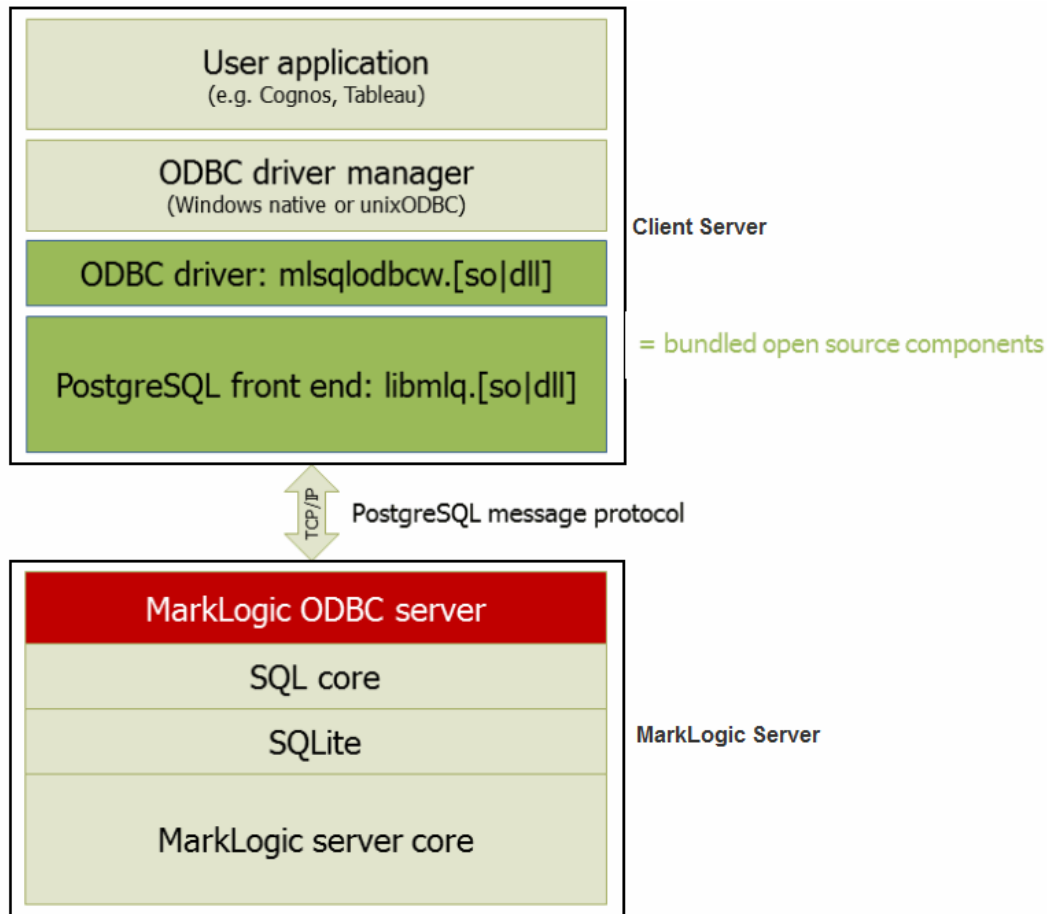
- [ODBC Server Overview](#)
- [Procedures for Creating and Managing ODBC Servers](#)
- [ODBC Request Monitoring and Cancellation](#)

This chapter describes how to use the Admin Interface to create and configure ODBC servers. For details on how to create and configure ODBC servers programmatically, see [Creating and Configuring App Servers](#) in the *Scripting Administrative Tasks Guide*.

10.1 ODBC Server Overview

The basic purpose of an ODBC server is to return relational-style data resident in MarkLogic Server in response to SQL queries. The ODBC server returns data in tuple form and manages server state to support a subset of SQL and ODBC statements from Business Intelligence (BI) tools.

As shown in the figure below, an ODBC server connects with a PostgreSQL front end on the client by means of the PostgreSQL message protocol. The ODBC server accepts SQL queries from the PostgreSQL front end and returns the relational-style data needed by the BI applications to build reports.



10.2 Procedures for Creating and Managing ODBC Servers

Use the following procedures to create and manage ODBC servers:

- [Creating a New ODBC Server](#)
- [Setting Output Options for an ODBC Server](#)
- [Viewing ODBC Server Settings](#)
- [Deleting an ODBC Server](#)
- [Canceling a Request](#)
- [ODBC Request Monitoring and Cancellation](#)

10.2.1 Creating a New ODBC Server

To create a new server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group in which you want to define the ODBC server (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Click the Create ODBC tab at the top right. The Create ODBC Server page will display:

The screenshot shows the MarkLogic Server Admin Interface. On the left, a tree view shows the hierarchy: Groups > Default > App Servers > NewODBCServer. The main panel is titled 'Create ODBC Server' and contains a form for configuring an ODBC server. The form has the following fields:

- odbc server name:** A text input field containing 'Cognos'. Below it, a red error message reads: 'Required. You must supply a value for odbc-server-name.'
- root:** A text input field containing '/'. Below it, a red error message reads: 'Required. You must supply a value for root.'
- port:** A text input field containing '5432'. Below it, a red error message reads: 'Required. You must supply a value for port.'
- modules:** A dropdown menu with '(file system)' selected. Below it, a description reads: 'The database that contains application modules.'
- database:** A dropdown menu with 'Cognos' selected. Below it, a description reads: 'The database name.'

At the top right of the form, there are 'ok' and 'cancel' buttons.

5. In the Server Name field, enter a shorthand name for this ODBC server. MarkLogic Server will use this name to refer to this server on display screens in the Admin Interface.

6. In the Root directory field, enter the name of the directory in which you will store your data. If the Modules field is set to a database, then the root must be a directory URI in the specified modules database.

If the Modules field is set to file system, then the root directory is either a fully-qualified pathname or is relative to the directory in which MarkLogic Server is installed. The following table shows the default installation directory for each platform:

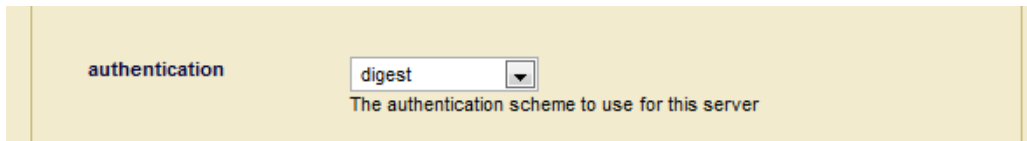
Platform	Program Directory
Microsoft Windows	C:\Program Files\MarkLogic
Red Hat Linux	/opt/MarkLogic
Mac OS X	~/Library/MarkLogic

Note: Unless you specify a shared drive, all hosts in the group will need to have a copy of the XQuery programs in the directory specified above.

Warning Do not create ODBC server root directories named Docs, Data or Admin. These directories are reserved by MarkLogic Server for other purposes. Creating ODBC server root directories with these names can result in unpredictable behavior of the server and may also complicate the software upgrade process.

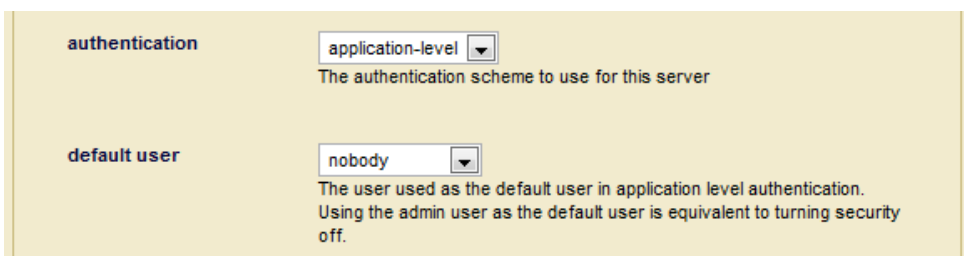
7. In the Port field, enter the port number through which you want to make this ODBC server available. The default PostgreSQL listening socket port is 5432. The port number must be unique to this ODBC server and must not be assigned to any other ODBC, HTTP, XDBC or WebDAV server.
8. In the Modules field, select the database to use as the modules database for your XQuery documents, or leave it at the default of storing your XQuery modules on the file system. For information on what a modules database is, see “Modules Database” on page 128.
9. In the Database field, select the database to be accessed by this ODBC server. This database should be set up with the range indexes and schema views to support the SQL application. For details on how to set up a database to support SQL applications, see the *SQL Data Modeling Guide*. Multiple ODBC, HTTP, XDBC, and WebDAV servers can access the same database.

10. Scroll to the Authentication field. Select an authentication scheme, as described in [Types of Authentication](#) in the *Security Guide*. The default is digest, which uses encrypted passwords.



The screenshot shows a configuration panel with a label 'authentication' on the left. To its right is a dropdown menu currently displaying 'digest'. Below the dropdown, a descriptive text reads: 'The authentication scheme to use for this server'.

If you select application-level authentication, you will also need to fill in a Default User. Any one accessing the ODBC server is automatically logged in as the Default User until the user logs in explicitly.

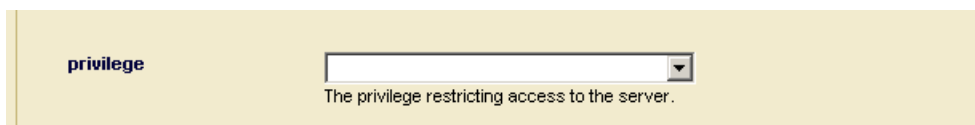


The screenshot shows two configuration sections. The top section, labeled 'authentication', has a dropdown menu set to 'application-level' with the text 'The authentication scheme to use for this server' below it. The bottom section, labeled 'default user', has a dropdown menu set to 'nobody' with the text 'The user used as the default user in application level authentication. Using the admin user as the default user is equivalent to turning security off.' below it.

Warning If you use an admin user (admin) as the Default User (an authorized administrator with the `admin` role), then everyone who uses this App Server is automatically a user with the `admin` role, which effectively turns off security for this App Server.

11. Scroll to the Privilege field near the bottom of the screen. This field represents the privilege needed to access (login to) the server. You may leave this field blank.

A user accessing the ODBC server must have the execute privilege selected in order to access the ODBC server. If you chose application-level authentication above, you should ensure that the default user has the selected privilege.



The screenshot shows a configuration panel with a label 'privilege' on the left. To its right is an empty dropdown menu. Below the dropdown, a descriptive text reads: 'The privilege restricting access to the server.'

12. Set any other properties for this App Server, as appropriate to your needs:
 - Last Login and Display Last Login are described in “Storing and Monitoring the Last User Login Attempt” on page 125.
 - Backlog specifies the maximum number of pending connections allowed on the ODBC server socket.
 - Threads specifies the maximum number of App Server threads.

- Request Timeout specifies the maximum number of seconds before a socket receives a timeout for the first request.
- Keep Alive timeout specifies the maximum number of seconds before a socket receives a timeout for subsequent requests over the same connection.
- Session Timeout specifies the maximum number of seconds before an inactive session times out.
- Max Time Limit specifies the upper bound for any request's time limit. No request may set its time limit (for example with `xdmp:set-request-time-limit`) higher than this number. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- Default Time Limit specifies the default value for any request's time limit, when otherwise unspecified. A request can change its time limit using `xdmp:set-request-time-limit`. The time limit, in turn, is the maximum number of seconds allowed for servicing a query request. The App Server gives up on queries which take longer, and returns an error.
- Static Expires adds an "expires" ODBC header for static content to expire after this many seconds.
- Pre-commit Trigger Limit specifies the maximum number of pre-commit triggers a single statement against this App Server can invoke. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- Pre-commit Trigger Depth specifies the maximum depth (how many triggers can cause other triggers to fire, which in turn cause others to fire, and so on) for pre-commit triggers that are executed against this App Server. For more information on triggers, see [Using Triggers to Spawn Actions](#) in the *Application Developer's Guide*.
- Collation specifies the default collation for queries run in this appserver. This will be the collation used for string comparison and sorting if none is specified in the query. For details, see [Encodings and Collations](#) in the *Search Developer's Guide*.
- Concurrent Request Limit specifies the maximum number of requests any user may have running at a specific time. 0 indicates no maximum. For details, see “Managing Concurrent User Requests” on page 123.
- Log Errors specifies whether to log uncaught errors for this App Server to the `ErrorLog.txt` file. This is useful to log exceptions that might occur on an App Server for later debugging.
- Debug Allow specifies whether to allow requests against this App Server to be stopped for debugging, using the MarkLogic Server debugging APIs.
- Profile Allow specifies whether to allow requests against this App Server to be profiled, using the MarkLogic Server profiling APIs. For details, see [Profiling Requests to Evaluate Performance](#) in the *Query Performance and Tuning* guide.

- Default XQuery Version specifies the default XQuery language for this App Server if an XQuery module does explicitly declare its language version.
- Multi Version Concurrency Control specifies how strict queries behave about getting the latest timestamp. This only affects query statements, not update statements. For details about queries and transactions in MarkLogic Server, see [Understanding Transactions in MarkLogic Server](#) in the *Application Developer's Guide*.
- The Error Handler and URL Rewriter fields are described in [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.
- The properties associated with SSL support are described in [Configuring SSL on App Servers](#) in the *Security Guide*.

13. Scroll to the top or bottom and click OK.

The ODBC server is now created. Creating an ODBC server is a “hot” admin task; the changes take effect immediately. For information and setup instructions for managing user sessions and/or keeping track of login attempts, see “Managing User Requests and Monitoring Login Attempts” on page 123.

10.2.2 Setting Output Options for an ODBC Server

For each ODBC Server, you can set various default output options. These output options affect how data returned from the App Server is serialized. You can also set these options at the query level to override any default options. You can set serialization options to override the App Server defaults in XQuery with the `declare option XQuery` prolog, and in XSLT using the `<xsl:output>` instruction. For details on setting the serialization options in XQuery, see [Declaring Options](#) in the *XQuery and XSLT Reference Guide*. For XSLT output details, see the XSLT specification (<http://www.w3.org/TR/xslt20#serialization>).

To specify defaults for the App Server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the ODBC server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Select the App Server to edit.
5. Select the Output Options link in the left tree menu. The Output Options Configuration page displays.
6. Set any options that you want to control for this App Server.
7. Click OK to save your changes.

For more details about App Server output, see [Controlling App Server Access, Output, and Errors](#) in the *Application Developer's Guide*.

10.2.3 Viewing ODBC Server Settings

To view the settings for a particular ODBC server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the ODBC server you want to view (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Locate the ODBC server for which you want to view settings, either in the tree menu or on the summary page.
5. Click the icon for the ODBC server.
6. View the settings.

10.2.4 Deleting an ODBC Server

To delete the settings for an ODBC server, complete the following steps:

1. Click the Groups icon in the left tree menu.
2. Click the group which contains the ODBC server you want to delete (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Locate the ODBC server you want to delete, either in the tree menu or on the summary page.
5. Click the icon for the ODBC server.
6. Click Delete.
7. A confirmation message displays. Confirm the delete and click OK.

Deleting an ODBC server is a “cold” admin task; the server restarts to reflect your changes.

10.2.5 Canceling a Request

You can cancel a request in the App Server Status page of the Admin Interface (Groups > *group_name* > App Servers > *app_server_name* > Status tab).

App Server Status

Summary Configure **Status** Create HTTP Create WebDAV Create XDBC Help

App Server: myAppServer[HTTP] [show less](#)

appserver status -- A detailed view of this appserver's activity.

App Server myAppServer [HTTP]
Database apidoc
Hosts raymond.marklogic.com

Host	Threads	Requests	Updates	Average Time	Request Rate	Oldest Request	Expanded Tree Cache Hits	Misses	Ratio
raymond.marklogic.com	2	1	0	2.8 s	0.1	2.8 s	460224	34389	93%
	2	1	0	2.8 s	0.1	n/a	460224	34389	93%

Query	#	Average Time	Oldest Time	Expanded Tree Cache Hits	Misses	Ratio
/cq-eval.xqy	1	2.8 s	2.8 s	0	0	n/a
Total	1	2.8 s	2.8 s	0	0	n/a

Host	Query	User	Client IP	Time	Expanded Tree Cache Hits	Misses	Ratio
raymond.marklogic.com	/cq-eval.xqy	admin	182.16.1.131	2.8 s	0	0	n/a [cancel]
	Total			0	0	n/a	

To cancel a long-running request (for example, a long-running query statement or update statement), perform the following steps:

1. Click the Group menu item in the Admin Interface.
2. Navigate to the App Server in which the request was issued, either from the tree menu or from the summary page.
3. Click the Status tab.
4. Click the Show More button.
5. At the bottom right of the App Server Status page, click the cancel button on the row for the query you want to cancel.

6. Click OK on the Cancel Request confirmation page. If the request is already completed when the confirmation page occurs, the page will indicate that the request cannot be found.

The request is canceled and the App Server Status page appears again.

10.3 ODBC Request Monitoring and Cancellation

Request monitoring is supported for the ODBC App server in MarkLogic 10.0-9 and later. The following ODBC meters will be recorded for ODBC Requests:

Meter	Description
odbcRowsSent	The number of rows sent from the server over the network
odbcBytesSent	The total number of bytes sent from the server over the network

Prior to this feature, the Modules database assigned to an ODBC server served no purpose. Now, a file named `/default.api` is looked for in the Modules root - whether that be the filesystem or a Modules database - and the configuration defined in that file is heeded to.

When a query comes in over ODBC, the `odbcRowsSent` and `odbcBytesSent` meters and their values are output in the ODBC App server request log, given that Request Monitoring is enabled in `/default.api`.

Request cancellation is now enabled for ODBC server as well. The `/default.api` will take in unsigned long values as limits for `odbcRowsSent` and `odbcBytesSent`. If the number of rows or bytes sent exceed the limits defined in `/default.api`, the sending of rows over the network ends prematurely and `SQL-ODBCREQLIMIT` is thrown. `elapsedTime` is also supported for cancellation, and `XDMP-EXTIME` is thrown if the time limit is exceeded. `lockCount` and `readSize` are not relevant for ODBC.

These values are purely e-node metrics, and are not recorded on any d-nodes.

Configuration example:

With the following `/default.api` in the Modules root, a query will be cancelled if:

- Run time exceeds 3 seconds or
- The number of bytes sent to the client exceeds 200000 or
- The number of rows sent to the client exceeds 10000

```
{
  "monitoring": {
    "general": {
      "enabled": true
```

```
    },  
    "limits" : {  
      "elapsedTime" : 3,  
      "odbcBytesSent": 200000,  
      "odbcRowsSent": 10000  
    }  
  }  
}
```


11.0 Auditing Events

MarkLogic Server provides an auditing facility to audit various events such as document read access, server startup, server shutdown, document permission changes, and so on. These audit event records are logged to audit files stored under the MarkLogic Server data directory for each instance of MarkLogic Server. This chapter describes the auditing features and includes the following parts:

- [Overview of Auditing](#)
- [Auditable Events](#)
- [Audit Log Content](#)

11.1 Overview of Auditing

Auditing in MarkLogic Server enables you to specify which events should generate an audit event record. You can choose from a large list of events to audit, and can restrict audit events based on various identities (user, role, or document URI). This section describes the logging capabilities of MarkLogic Server and includes the following parts:

- [Audit Log Files](#)
- [Restricting Audit Events](#)
- [Audit Successful, Unsuccessful, or Both Types of Events](#)
- [Enabled at the Group Level](#)

11.1.1 Audit Log Files

When auditing is enabled, MarkLogic Server writes audit events to the `AuditLog.txt` file. Each host in a cluster maintains its own audit log files. Some actions might trigger multiple audit events, and those events might be logged over multiple hosts, as events are audited on the host in which the event occurs. For more information about the audit events, see “Auditable Events” on page 113. Note the following about the audit event log files:

- Writes messages to `AuditLog.txt` file for various events.
- Each event has a timestamp, event type, user, role, and other information relevant to the event (for example, document URI for document-read event). For an example of log entries, see “Sample Audit Logs” on page 119.
- You can configure how often to rotate the audit files (similar to the log files, as described in “Log Files” on page 433).
- The Audit log files are stored in the same directory as the Access log files (`port_AccessLog.txt`) and the Error log files (`ErrorLog.txt`), which is in the `<marklogic-data-dir>/Logs` directory. These files are private to the host in which the audit event occurred.

- You may view the current or any archived file log at any time using standard text file viewing tools. Additionally, you can access the log files from the Log tab on the main page of the Admin Interface.

The following table shows the location of the `AuditLog.txt` files on the various platforms.

Platform	Audit File
Microsoft Windows	<code>C:\Program Files\MarkLogic\Data\Logs\AuditLog.txt</code>
Red Hat Linux	<code>/var/opt/MarkLogic/Logs/AuditLog.txt</code>
Mac OS X	<code>~Library/Application Support/MARKlogic/Data/Logs/AuditLog.txt</code>

11.1.2 Restricting Audit Events

You can configure auditing to restrict events that are audited based on the following criteria:

- You can select which events to audit.
- You can include or exclude events by user name. For included users, only events initiated by the named users are audited. For excluded users, only events initiated by users other than the named users are audited.
- You can include or exclude events by role. For included roles, only events initiated by users with the included roles are audited. For excluded roles, only events initiated by users who do not have the excluded roles are audited.
- You can include or exclude events by outcome of event (success/failure/both).
- You can include or exclude events by document URI. Documents URIs are audited if any fragment from that document is loaded into memory, and that audit event is written to the audit log on the host in which the forest that contains the document resides.

For the procedure to set up auditing, see “Configuring Auditing to Audit Certain Events and Set Up Certain Restrictions” on page 121.

11.1.3 Audit Successful, Unsuccessful, or Both Types of Events

You can choose to audit only unsuccessful, only successful, or both types of events. If you audit many events and/or if you audit both successful and unsuccessful events, then you may end up auditing a lot of events. It is not really a problem to audit many events, but it might make your audit logs get very large very fast. For the procedure to set up auditing, see “Configuring Auditing to Audit Certain Events and Set Up Certain Restrictions” on page 121.

11.1.4 Enabled at the Group Level

You can enable or disable auditing for each group. If auditing is enabled for a group, any configured auditable event for that group is audited. For details on the procedure to enable auditing, see “Enabling Auditing for a Group” on page 120.

11.2 Auditable Events

There are many auditable events in MarkLogic Server. When auditing is enabled, any enabled auditable event logs are written to the `AuditLog.txt` file. In a clustered environment, audit events are written to the audit file on the host in which the event occurs. Some activities might result in audit events that are distributed over multiple hosts, because events are audited on the host in which the event occurs. For example, the document access audit events are audited on the data node where the forest containing the document is hosted, therefore if a query that updates a document is run, it could cause (depending on the audit configuration and the cluster configuration) audit events to occur on the node in which the query is evaluated (the evaluation-node) and on one or more data-nodes where the affected documents are hosted.

The following table lists the auditable events you can enable in MarkLogic Server.

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
<code>amp-usage</code>	Audits the URI of an amp when it is evaluated.	Yes, based on the URI of the amp	Yes	Success Only
<code>audit-configuration-change</code>	Audits the success or failure of a change to a auditing configuration.	N/A	Yes	Yes
<code>audit-shutdown</code>	Audits when the audit system is disabled.	N/A	Yes	Yes
<code>audit-startup</code>	Audits when the audit system is enabled. Note that this event does not occur when MarkLogic Server starts up, only when the audit system is enabled.	N/A	Yes	Yes
<code>authentication-failure</code>	Audits failed authentication attempts.	N/A	Yes	Failure Only

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
<code>concurrent-request-denial</code>	Audits when a request is denied because the concurrent request limit on the App Server was reached.	N/A	Yes	Failure Only
<code>configuration-change</code>	Audits the success or failure of a change to a configuration file, including the path to the configuration file that changed.	N/A	Yes	Yes
<code>document-execute</code>	Audits when a document in a database is executed (for example, an XQuery document), and includes the document URI in the audit record.	Yes	Yes	Success Only
<code>document-insert</code>	Audits when a new document is created, and includes the document URI in the audit record.	Yes	Yes	Success Only
<code>document-read</code>	Audits when a document is read, and includes the document URI in the audit record.	Yes	Yes	Success Only
<code>document-update</code>	Audits when a document is updated, and includes the document URI in the audit record.	Yes	Yes	Success Only
<code>document-wipe</code>	Audits when a temporal document is wiped (all versions deleted), and includes the document URI in the audit record.	Yes	Yes	Success Only

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
<code>estimate</code>	Audits when an <code>xdmp:estimate</code> expression is evaluated.	N/A	Yes	Success Only
<code>eval</code>	Audits when a path expression that accesses the database is evaluated.	N/A	Yes	Success Only
<code>external-authentication-failure</code>	Audits when an external authorization attempt fails.	N/A	Yes	Success Only
<code>exists</code>	Audits when an <code>xdmp:exists</code> expression is evaluated.	N/A	Yes	Success Only
<code>FIPS-Disabled</code>	Audits when FIPS mode is disabled.	N/A	N/A	Success Only
<code>FIPS-Enabled</code>	Audits when FIPS mode is enabled.	N/A	N/A	Success Only
<code>lexicon-read</code>	Audits when a value <code>lexicon</code> (for example, <code>cts:element-values</code>) call is used.	N/A	Yes	Success Only
<code>mlcp-copy-export-start</code>	Audits when an mlcp copy or export job is about to start	N/A	N/A	Success Only
<code>mlcp-copy-export-finish</code>	Audits when an mlcp copy or export job has completed, successfully or not.	N/A	N/A	No

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
no-permission	Audits when an operation fails because of a <code>SEC-PERMDENIED</code> exception, which happens when an operation on a document (insert, update, or execute) is attempted without the needed permissions.	Yes	Yes	Failure Only
no-privilege	Audits when a user has insufficient privileges to perform a particular function.	Yes	Yes	Failure Only
optic	Audits when an optic call completes.	N/A	Yes	Success Only
permissions-change	Audits when permissions on a document are modified.	Yes	Yes	Yes
request-blackout-denial	Audits when a request is denied due to a request blackout period.	N/A	Yes	Failure Only (when denied)
role-change-failure	Audits when an attempt to add or remove a role from a user fails.	N/A	Yes	Failure Only
search	Audits when a <code>cts:search</code> expression is evaluated.	N/A	Yes	Success Only

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
security-access	Audits when one of the following security-related functions are called: xdmp:can-grant-roles, xdmp:has-privilege, xdmp:user-roles, xdmp:role-roles, xdmp:privilege-roles, xdmp:amp-roles, xdmp:get-current-role, xdmp:user, xdmp:role, xdmp:amp.	N/A	Yes	Yes
server-restart	Audits when MarkLogic Server is restarted with a clean restart (for example, from the Admin Interface).	N/A	Yes	Success Only
server-shutdown	Audits when MarkLogic Server is shut down with a clean shutdown (for example, from the shutdown scripts or from the Admin Interface).	N/A	Yes	Success Only
server-startup	Audits when MarkLogic Server starts up.	N/A	N/A	Success Only
SPARQL	Audits when a SPARQL call completes.	N/A	Yes	Success Only
SQL	Audits when a SQL call completes.	N/A	Yes	Success Only
TLS-Failure	Audits when a TLS or SSL request fails, and includes the IP address.	N/A	N/A	Failure Only

Event	Description	URI Restrictions	Role/User Restrictions	Success or Failure Restrictions
user-configuration-change	Audits when anything in a user configuration changes.	N/A	Yes	Yes
user-role-addition	Audits when a role is added to a user.	N/A	Yes	Yes
user-role-removal	Audits when a role is removed from a user.	N/A	Yes	Yes
HTTP-client-authentication-failure	Audits failed HTTP client authentication attempts.	N/A	Yes	Failure Only
LDAP-client-authentication-failure	Audits failed LDAP client authentication attempts.	N/A	Yes	Failure Only
SMTP-client-authentication-failure	Audits failed SMTP client authentication attempts.	N/A	Yes	Failure Only

11.2.1 Audit Log Content

The information included in an audit log depends on the type of event. All audit log entries include basic information such as the event type, user, success, and roles assigned to the user. Audit log entries may include the following space-separated fields:

Log Entry Field	Description	Example
Timestamp	Contains the date and time the auditable action occurred.	2012-03-26 10:55:53.735
Event	The name of the event that triggered the log entry. The possible auditable events are listed in “Auditable Events” on page 113.	event=amp-usage
Function	The function that was being executed during the event.	function=http://marklogic.com/xdmp/admin:read-config-file
Expression	The query expression that triggered this audit event.	expr=cts:element-value-query(xs:QName("info:status"), ("active", "unloading"), ("unstemmed", "lang=en"), 1)
Type	The type of task inside the MarkLogic server that generated the specific event.	type=node-update
URI	The document URI involved in the event.	uri=/queries/5523898374388210414.txt
Database	The database that was accessed during the event.	database=Security
Outcome	This indicates the success or failure of the action that triggered the audit event.	success=true
User	The user that performed the action.	user=infostudio-admin
Roles	The roles assigned to the user performing the action.	roles=cpf-restart,infostudio-user

11.2.2 Sample Audit Logs

Here are some sample `AuditLog.txt` entries with user-specific information obfuscated.

```
2018-12-05 02:23:15.302 event=SMTP-client-authentication-failure;
user=daemon; host=smtp.marklogic.com; success=false;
```

```
2018-12-05 02:42:11.515 event=HTTP-client-authentication-failure;  
user=xyz; type=digest; url=http://localhost:2975/qstring.sjs?sname=htt  
p-auth-digestbasic-modules-db; success=false;
```

```
2018-12-05 02:41:50.036 event=LDAP-client-authentication-failure;  
url=ldap://dc1.mltest1.local:389; success=false;
```

11.3 Configuring Auditing for a Group

Auditing is configured at the group level using the Auditing page of the Admin Interface. For details on groups, see “Groups” on page 53. This section describes the following audit configuration procedures:

- [Enabling Auditing for a Group](#)
- [Disabling Auditing for a Group](#)
- [Configuring Auditing to Audit Certain Events and Set Up Certain Restrictions](#)

11.3.1 Enabling Auditing for a Group

Perform the following steps to enable auditing for a group:

1. Access the Admin Interface with a browser.
2. Open the Audit Configuration screen (Groups > *group_name* > Auditing).
3. Select True for the Audit Enabled radio button.
4. Configure any audit events and/or audit restrictions you want.
5. Click OK.

11.3.2 Disabling Auditing for a Group

Perform the following steps to disable auditing for a group:

1. Access the Admin Interface with a browser.
2. Open the Audit Configuration screen (Groups > *group_name* > Auditing).
3. Select False for the Audit Enabled radio button.
4. Click OK.

This will immediately disable auditing for the group. Any settings you had configured will remain, but will not be in effect until you enable auditing again.

11.3.3 Configuring Auditing to Audit Certain Events and Set Up Certain Restrictions

The following is the general procedure for configuring audit events and audit restrictions. Your procedure will vary depending on what events and restrictions you choose to configure.

1. Access the Admin Interface with a browser.
2. Open the Audit Configuration screen (Groups > *group_name* > Auditing).
3. Under Audit Events, choose the events you want audited. For a description of each event, see “Auditable Events” on page 113.
4. Under Audit Restrictions, enter any restrictions you want. For details on audit restrictions, see “Restricting Audit Events” on page 112.
5. Click OK to save your changes.

12.0 Managing User Requests and Monitoring Login Attempts

MarkLogic Server provides facilities to control and manage user requests and monitoring login attempts. This chapter describes how to use and manage these features and includes the following parts:

- [Managing Concurrent User Requests](#)
- [Setting Request Blackouts on an App Server](#)
- [Storing and Monitoring the Last User Login Attempt](#)

12.1 Managing Concurrent User Requests

MarkLogic Server allows you to limit the maximum number of concurrent user requests against a given App Server. This section describes this feature and provides information on configuring the concurrent request limit, and includes the following parts:

- [Limiting Concurrent Requests with User Request Limits](#)
- [Configuring User Concurrent Request Controls](#)

12.1.1 Limiting Concurrent Requests with User Request Limits

There is an option on each App Server (HTTP , ODBC, XDBC, and WebDAV Server) configuration to limit the number of *concurrent requests* a user can have against that App Server. A concurrent request is defined to be a request against that App Server from the same user while another request from the same user is still active. Each App Server has a `concurrent request limit` configuration parameter. The default is 0, which means there is no limit to the number of concurrent requests. The value must be an integer greater than or equal to 0.

If you set the `concurrent request limit` configuration parameter to a value other than 0, it limits the number of concurrent requests any user can run against that App Server to the specified number. For example, if you set the number to 3, then any requests made by a user named `raymond` while 3 requests from `raymond` are running will fail with an exception.

When the limit is reached, the application will throw a 403 (forbidden) error with the `XDMP-REQUESTLIMIT` exception.

12.1.2 Configuring User Concurrent Request Controls

To configure a user concurrent request limit, perform the following steps in the Admin Interface:

1. Click the Groups icon.
2. Click the group in which the App Server you want to configure resides (for example, Default).

3. Click the App Servers icon on the left tree menu.
4. Select the App Server in which you want to configure concurrent requests limits. The App Server Configuration page displays.
5. In the `concurrent request limit` field, enter a value corresponding to the maximum number of concurrent user requests you want to allow. For example, if you want only 3 concurrent requests, enter 3. A value of 0 means there is no concurrent request limit (unlimited).
6. Click OK to save the configuration change.

For new requests, the new `concurrent request limit` will be enforced.

12.2 Setting Request Blackouts on an App Server

MarkLogic Server allows you to manage when a user or group of users cannot run requests against an App Server. You can manage these blackout periods for each App Server by setting up one or more Request Blackouts for an App Server. Request blackouts can specify users, roles, and time periods for the blackouts, as well as specifying if it is a one-time blackout or a recurring blackout.

- [Configuring Request Blackouts](#)
- [Deleting Request Blackouts](#)

12.2.1 Configuring Request Blackouts

Perform the following to configure request blackout periods:

1. In the Admin Interface tree menu, click the Groups > *group_name* > App Servers > *app_server_name* link, where *group_name* is the name of the group and *app_server_name* is the name of the App Server in which you want to specify a request blackout period.
2. Click the Request Blackout menu item under your App Server. The Request Blackout Policy Configuration page appears.
3. Click the Create tab. The Add Request Blackout page appears.
4. Fill in the form as needed for the blackout period you want to create. Clicking the radio buttons will bring up more forms to complete.
5. Click OK to create the blackout period.

The new blackout period will take effect immediately.

12.2.2 Deleting Request Blackouts

Perform the following to delete a request blackout period:

1. In the Admin Interface tree menu, click the Groups > *group_name* > App Servers > *app_server_name* link, where *group_name* is the name of the group and *app_server_name* is the name of the App Server in which you want to specify a request blackout period.
2. Click the Request Blackout menu item under your App Server. The Request Blackout Policy Configuration page appears.
3. In the area corresponding to the blackout period you want to delete, click the Delete button.
4. Click OK on the confirmation page to delete the blackout period.

The blackout period is deleted immediately.

12.3 Storing and Monitoring the Last User Login Attempt

MarkLogic Server provides the ability to store the outcome of the last attempt a user made at logging in. This section describes this feature and how to use it, and contains the following parts:

- [Storing Last User Login Information in a Last-Login Database](#)
- [Configuring User Login Monitoring](#)
- [Displaying the Last Login Information for an App Server or for the Admin Interface](#)

12.3.1 Storing Last User Login Information in a Last-Login Database

A database named `Last-Login` is created upon installation of (or upgrade from 3.2 to) MarkLogic Server. You can use this database as the last-login database for one or more App Servers. Each time a successful or unsuccessful login is made via the App Server, the last-login database is updated with that information. Only information for the last login attempt is retained. Because this database is constantly changing with each login attempt (every request is authenticated, so each request updates the last-login database), it is a good idea to use a different database than content database for your last-login database. In general, it is probably OK to keep a single last-login database that is shared by all App Servers who use this functionality, but if you do this, keep in mind that the information will then be shared by all the App Servers; that is, that the last-login time and other statistics will be for all App Servers using the last-login database.

Note: A history of the successful login attempts is not retained; only the time of the last successful login is stored in the database.

12.3.2 Configuring User Login Monitoring

Perform the following steps to set up user login monitoring for a given App Server.

1. Click the Groups icon.
2. Click the group in which the App Server you want to configure resides (for example, Default).
3. Click the App Servers icon on the left tree menu.
4. Select the App Server in which you want to configure the last-login database. The App Server Configuration page displays.
5. Select a database for the Last Login database. The `Last-Login` database is created for this purpose, but you can select any database that you want. If no last-login database is selected, then the last-login feature is disabled.
6. Optionally, select `true` on the Display Last Login radio button.
7. Click OK to save the changes.

12.3.3 Displaying the Last Login Information for an App Server or for the Admin Interface

Each App Server configuration page has a `display last login` setting. The value of this setting is returned as part of the XML output of the `xdmp:user-last-login` API. You can use this information as logic in your application to determine whether to display some last-login information to the application.

The Admin Interface uses the `display last login` setting to show information about its last login attempt. When a last-login database is configured and the `display last login` setting is true, then something similar to the following is displayed at the bottom of each page of the Admin Interface:

```
last successful login: September 2, 2008 7:54:16 PM
                        last unsuccessful login: none
unsuccessful login attempts since last login: 0
```

13.0 Databases

This section introduces basic database management procedures. Later sections in this guide introduce some concepts for tuning the performance of your databases. For information on database backup and restore operations, see “Backing Up and Restoring a Database” on page 253. The following topics are included:

- [Understanding Databases](#)
 - [Schemas and Security Databases](#)
 - [Modules Database](#)
 - [Triggers Database](#)
 - [Database Settings](#)
 - [Example of Databases in MarkLogic Server](#)
- [Creating a New Database](#)
- [Attaching and/or Detaching Forests to/from a Database](#)
- [Viewing Database Settings](#)
- [Loading Documents into a Database](#)
- [Merging a Database](#)
- [Reindexing a Database](#)
- [Clearing a Database](#)
- [Disabling a Database](#)
- [Deleting a Database](#)
- [Checking and Setting Permissions for a Document in a Database](#)

This chapter describes how to use the Admin Interface to create and configure databases. For details on how to create and configure databases programmatically, see [Creating and Configuring Forests and Databases](#) in the *Scripting Administrative Tasks Guide*.

13.1 Understanding Databases

A *database* in MarkLogic Server serves as a layer of abstraction between forests and HTTP, WebDAV, or XDBC servers. A database is made up of data *forests* that are configured on hosts within the same cluster but not necessarily in the same group. It enables a set of one or more forests to appear as a single contiguous set of content for query purposes. See “Understanding Forests” on page 321 for more detail on forests.

Multiple HTTP, XDBC, and WebDAV servers can be connected to the same database, allowing different applications to be deployed over a common content base. A database can also span forests that are configured on multiple hosts enabling data scalability through hardware expansion. To ensure database consistency, all forests that are attached to a database must be available in order for the database to be available.

Warning The system databases — Security, Schemas, Triggers, Modules, Extensions, Last-Login and App-Services — should all be single forest databases. For high availability, one or two replica forests can and should be configured. But there is no benefit to having multiple master forests in the database.

13.1.1 Schemas and Security Databases

The installation process creates the following *auxiliary* databases by default - *Documents*, *Last-Login*, *Schemas*, *Security*, *Modules*, and *Triggers*. Every database points to a security database and a schema database. Security configuration information is stored in the security database and schemas are stored in the schemas database. A database can point back to itself for the security and schemas databases, storing the security information and schemas in the same repository as the documents. However, security objects created through the Admin Interface are stored in the *Security* database by default. MarkLogic recommends leaving databases connected to *Security* as their security database.

13.1.2 Modules Database

The *modules* database is an auxiliary database that is used to store executable XQuery, JavaScript, and REST code. During installation, a database named *Modules* is created, but any database can be used as a modules database, as long as the HTTP or XDBC server is configured to use it as a modules database. Also, it is possible to use the same database to store executable modules, to store queryable documents, and/or to store triggers.

If you use a modules database, each executable document in the database must have the root (specified in the HTTP or XDBC server) as a prefix to its URI. Also, if you want to access the documents in the database via WebDAV, then it should have `automatic` directory creation enabled, because `automatic` directory creation is required for WebDAV. For information about directories and roots, see “Directories” on page 87 and “Server Root Directory” on page 88.

For example, if you are using a modules database and specify a root in an HTTP or XDBC server of `http://marklogic.com/`, the following documents are executable from that server:

```
http://marklogic.com/default.xqy
http://marklogic.com/myXQueryFiles/search_db.xqy
```

but the following files are not executable (because they do not have URIs that start with the root):

```
http://mycompany.com/default.xqy
/myXQueryFiles/search_db.xqy
```


In order to execute any documents in a modules database, the documents must be loaded with execute permissions. You can do this either by loading the documents as a user with default privileges that include execute permissions, or by setting those permissions on the document after it loads. For information on using permissions, privileges, and other security features in MarkLogic Server, see “Security Administration” on page 339 and the chapters related to security in the *Application Developer’s Guide*.

13.1.3 Triggers Database

The *triggers* database is an auxiliary database that is used to store triggers. During installation, a database named *Triggers* is created, but any database can be used as a triggers database. Also, it is possible to use the same database to store executable modules, to store queryable documents, and/or to store triggers. A triggers database is required if you are using the Content Processing Framework. For details on the Content Processing Framework, see *Content Processing Framework Guide*.

Note: To avoid issues with MLCP when triggers are configured, it is recommended that you do not set a database to be its own Triggers Database.

13.1.4 Database Settings

Each database has settings that control various aspects of a database such as memory allocation, indexing options, and so on. You configure these settings in the Admin Interface. You can configure the following basic types of settings for each database:

- [Basic Administrative Settings](#)
- [Index Settings that Affect Documents](#)
- [Rebalancer Settings](#)
- [Reindexing Settings](#)
- [Document and Directory Settings](#)
- [Memory and Journal Settings](#)
- [Other Settings](#)
- [Merge Control Settings](#)

13.1.4.1 Basic Administrative Settings

The administrative settings configure properties such as the database name and which security and schema databases a database uses. These settings take effect immediately after any changes are made in the Admin Interface.

Database Setting	Description
database name	The name of the database.
security database	The name of the security database which this database accesses.
schema database	The name of the schemas database which this database accesses.
triggers database	The name of the triggers database which this database accesses.
data encryption	Enable or disable encryption at rest for this database. For details, see Encryption at Rest in the <i>Security Guide</i> .
encryption key id	Data encryption key ID. For details, see Encryption at Rest in the <i>Security Guide</i> .

13.1.4.2 Index Settings that Affect Documents

When you change any index settings for a database, the new settings take effect based on whether reindexing is enabled (`reindexer enable` set to `true`). For more details on text indexes, see “Text Indexing” on page 369.

In general, adding index options will have the effect of slowing document loading and increasing the size of database files.

Database Setting	Description
language	Specifies the default language for content in this database. Any content without an <code>xml:lang</code> attribute will be indexed in the language specified here. You should have a license key if you specify a non-English language; if you specify a non-english language and do not have a license for that language, the stemming and tokenization will be generic.
stemmed searches	Controls the level of stemming applied to word searches. Stemmed searches match not only the exact word in the search, but also words that come from the same stem and mean the same thing (for example, a search for <code>be</code> will also match the term <code>is</code>). For more details on stemmed searches, see Understanding and Using Stemmed Searches in the <i>Search Developer's Guide</i> .
word searches	Whether or not to enable unstemmed word searches. Enables searches for exact matches of words.
word positions	Index word positions for faster phrase and <code>cts:near-query</code> searches.
fast phrase searches	Speeds up phrase searches by eliminating some false positive results.
fast reverse searches	Speeds up reverse query searches by indexing saved queries.
triple index	Enables the RDF triple index to support SPARQL execution over RDF triples. When this parameter is true, <code>sem:sparql</code> can be used, but document loading is slower and the database files are larger. Note: This feature requires a valid semantics license key.
triple positions	Specifies whether to index positional data to speed up the performance of proximity queries that use the <code>cts:triple-range-query</code> function.
fast case sensitive searches	Speeds up case sensitive searches by eliminating some false positive results.
fast diacritic sensitive searches	Speeds up diacritic-sensitive searches by eliminating some false positive results.
fast element word searches	Speeds up element-word searches by eliminating some false positive results.

Database Setting	Description
element word positions	Index element word positions for faster element-based phrase and <code>cts:near-query</code> searches.
fast element phrase searches	Speeds up element phrase searches by eliminating some false positive results.
element value positions	Index element word positions for faster element-based phrase and <code>cts:near-query</code> searches that use <code>cts:element-value-query</code> .
attribute value positions	Index attribute word positions for faster attribute-based phrase and <code>cts:near-query</code> searches that use <code>cts:element-value-query</code> and faster <code>cts:element-query</code> searches that use a <code>cts:element-attribute-*-query</code> .
field value searches	Enables searches that use <code>cts:field-value-query</code> .
field value positions	Enables positions for searches that use <code>cts:field-value-query</code> .
three character searches	Enables wildcard searches where the search pattern contains three or more consecutive non-wildcard characters (for example, <code>abc*x</code> , <code>*abc</code> , <code>a?bcd</code>). When combined with a codepoint word lexicon, speeds the performance of any wildcard search (including searches with fewer than three consecutive non-wildcard characters). MarkLogic recommends combining the <code>three character search</code> index with a codepoint collation word lexicon. For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
three character word positions	Index word positions for three-character wildcard queries.
fast element character searches	Enables wildcard searches and speeds up element-based wildcard searches. For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
trailing wildcard searches	Faster wildcard searches with the wildcard at the end of the search pattern (for example, <code>abc*</code>). For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
trailing wildcard word positions	Index word positions for trailing wildcard searches.
fast element trailing wildcard searches	Faster wildcard searches with the wildcard at the end of the search pattern within a specific element, but slower document loads and larger database files.

Database Setting	Description
word lexicon	Maintains a lexicon of all of the words in a database, with uniqueness determined by a specified collation. Additionally, works in combination with the <code>three character search index</code> to speed wildcard searches. For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
two character searches	Enables wildcard searches where the search pattern contains two or more consecutive non-wildcard characters (for example, <code>ab*</code>). This index is not needed if you have <code>three character searches</code> and a word lexicon. For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
one character searches	Enables wildcard searches where the search pattern contains a single non-wildcard characters (for example, <code>a*</code>). This index is not needed if you have <code>three character searches</code> and a word lexicon. For more details about wildcard searches, see Understanding and Using Wildcard Searches in the <i>Search Developer's Guide</i> .
uri lexicon	Maintains a lexicon of all of the URIs used in a database. The URI lexicon speeds up queries that constrain on URIs. It is like a range index of all of the URIs in the database. To access values from the URI lexicon, use the <code>cts:uris</code> or <code>cts:uri-match</code> APIs.
collection lexicon	Maintains a lexicon of all of the collection URIs used in a database. The collection lexicon speeds up queries that constrain on collections. It is like a range index of all of the collection URIs in the database. To access values from the collection lexicon, use the <code>cts:collections</code> or <code>cts:collection-match</code> APIs.

13.1.4.3 Rebalancer Settings

You can enable the database rebalancer to automatically distribute content evenly across forests in a database. The specifics of database rebalancing are described in “Database Rebalancing” on page 199.

Database Setting	Description
<code>assignment policy</code>	Specifies how documents are to be distributed across the database forests. Both the rebalancing process and the document load/insert process follow this policy. For details on the document assignment policies, see “Rebalancer Document Assignment Policies” on page 200.
<code>rebalancer enable</code>	When set to <code>true</code> , the database rebalancer will automatically redistribute the content across the database forests. When set to <code>false</code> , rebalancing is disabled.
<code>rebalancer throttle</code>	Sets the priority of system resources devoted to rebalancing. Higher numbers give rebalancing a higher priority.

13.1.4.4 Reindexing Settings

The reindexing settings enable or disable reindexing and allow you to force reindexing of older fragments.

Database Setting	Description
<code>reindexer enable</code>	When set to <code>true</code> , index configuration changes automatically initiate a background reindexing operation on the entire database. When set to <code>false</code> , any new index settings take effect for future documents loaded into the database; existing documents retain the old settings until they are reloaded or until you set <code>reindexer enable</code> to <code>true</code> . For information on how the reindexer effects queries, see “Query Behavior with Reindex Settings Enabled and Disabled” on page 385.

Database Setting	Description
<code>reindexer throttle</code>	Sets the priority of system resources devoted to reindexing. Higher numbers give reindexing a higher priority.
<code>reindexer timestamp</code>	Specifies the timestamp of fragments to force a reindex/refragment operation. Click the <code>get current timestamp</code> button to enter the current system timestamp. When you set this parameter to a timestamp and <code>reindex enable</code> is set to <code>true</code> , it causes a reindex and refragment operation on all fragments in the database that have a timestamp equal to or less than the specified timestamp. Note that if you restore a database that has a timestamp set, if there are fragments in the restored content that are older than the specified content, they will start to reindex as soon as they are restored.

13.1.4.5 Document and Directory Settings

The document and directory settings affect the default settings for how documents and directories are created in the database.

Database Setting	Description
<code>directory creation</code>	<p>Specifies if directories should be automatically created when a document is created. If you are using the database to store documents accessible via a WebDAV server or as a Modules database, this setting should be set to <code>automatic</code>. The following are the settings:</p> <ul style="list-style-type: none"> <code>automatic</code>—directories are automatically created based on the URI of a document. <code>manual-enforced</code>—requires that the directory hierarchy corresponding to the URI exists before creating a document. If you create a document where the corresponding directory hierarchy does not exist, an error is raised. For example, if you try to create a document with the URI: <code>http://marklogic.com/file.xml</code> then the directory with URI <code>http://marklogic.com/</code> must exist. Otherwise, an error is raised. This setting provides the same behavior as a file system. <code>manual</code>—directories are not automatically created, but documents can still be created without corresponding directories. <p>For more information about directories, see “Directories” on page 87. For more information about Modules databases, see “Modules Database” on page 128.</p>

Database Setting	Description
<code>maintain last modified</code>	Creates and updates the last-modified property each time a document is created or updated. The default is <code>false</code> .
<code>maintain directory last modified</code>	Creates and updates the last-modified property on a directory each time a directory is created or updated. If set to <code>true</code> , update operations on documents in a directory will also update the directory last-modified timestamp, which can cause some contention when multiple documents in the directory are being updated. If your application is experiencing contention during these type of updates (for example, if you see deadlock-detected messages in the error log), set this property to <code>false</code> . The default is <code>false</code> .
<code>inherit permissions</code>	When set to <code>true</code> , documents and directories automatically inherit permissions from their parent directory (if permissions are not set explicitly when creating the document or directory). If there are any default permissions on the user who is creating the document or directory, those permissions are combined with any inherited permissions.
<code>inherit collections</code>	When set to <code>true</code> , documents and directories automatically inherit collection settings from their parent directory (if collections are not set explicitly when creating the document or directory). If there are any default collections on the user who is creating the document or directory, those permissions are combined with any inherited collections.
<code>inherit quality</code>	When set to <code>true</code> , documents and directories automatically inherit any quality settings from their parent directory (if quality is not set explicitly when creating the document or directory).

13.1.4.6 Memory and Journal Settings

The memory and journal settings are automatically configured at installation time. The memory settings configure the memory limits for the system, and the journal settings control the transactional journal, used for recovery if a database transaction fails. The default settings should be sufficient for most systems. Depending on the system workload, setting the memory settings incorrectly can adversely affect performance; if you need to change the settings and you have an active maintenance contract, you can contact MarkLogic Support for help.

Database Setting	Description
<code>in memory limit</code>	The maximum number of fragments in an in-memory stand. An in-memory stand contains the latest version of any new or changed fragments. Periodically, in-memory stands are written to disk as a new stand in the forest. Also, if a stand accumulates a number of fragments beyond this limit, it is automatically saved to disk by a background thread.
<code>in memory list size</code>	The size, in megabytes, of the in-memory list storage.
<code>in memory tree size</code>	The size, in megabytes, of the in-memory tree storage. The <code>in memory tree size</code> should be at least 1 or 2 megabytes larger than the largest text or small binary document you plan on loading into the database. The largest small binary file size is always constrained by the “large size threshold” database configuration setting.
<code>in memory range index size</code>	The size, in megabytes, of the in-memory range index storage.
<code>in memory reverse index size</code>	The size, in megabytes, of the in-memory reverse index storage.
<code>in memory triple index size</code>	The size, in megabytes, of the in-memory triple index storage.
<code>large size threshold</code>	Determines the size, in kilobytes, beyond which large binary documents are stored in the Large Data Directory instead of directly in a stand. Binaries smaller than or equal to the threshold are considered small binary files and stored in stands. Binaries larger the threshold are considered large binary files and stored in the Large Data Directory.

Database Setting	Description
locking	<p>Specifies how robust transaction locking should be. When set to <code>strict</code>, locking enforces mutual exclusion on existing documents and on new documents. When set to <code>fast</code>, locking enforces mutual exclusion on existing and new documents. Instead of locking all the forests on new documents, it uses a hash function to select one forest to lock. In general, this is faster than <code>strict</code>. However, for a short period of time after a new forest is added, some of the transactions need to be retried internally. When set to <code>off</code>, locking does not enforce mutual exclusion on existing documents or on new documents; only use this setting if you are sure all documents you are loading are new (a new bulk load, for example), otherwise you might create duplicate URIs in the database.</p>
journaling	<p>Specifies how robust transaction journaling should be. When set to <code>strict</code>, the journal protects against MarkLogic Server process failures, host operating system kernel failures, and host hardware failures. When set to <code>fast</code>, the journal protects against MarkLogic Server process failures but not against host operating system kernel failures or host hardware failures. When set to <code>off</code>, the journal does not protect against MarkLogic Server process failures, host operating system kernel failures, or host hardware failures.</p>
journal size	<p>The size, in megabytes, of each journal file. The system uses journal files for recovery operations if a transaction fails to complete successfully. The default value should be sufficient for most systems; it is calculated at database configuration time based on the size of your system. If you change the other memory settings, however, the journal size should equal the sum of the <code>in memory list size</code> and the <code>in memory tree size</code>. Additionally, you should add space to the journal size if you use range indexes (particularly if you use a lot of range indexes or have extremely large range indexes), as range index data can take up journal space. Also, if your transactions span multiple forests, you may also need to add journal size, as each journal must keep the lock information for all of the documents in the transaction, not just for the documents that reside in the forest in which the journal exists.</p> <p>When you change the journal size, the next time the system creates a new journal, it will use the new size limit; existing journals will continue to use the old size limit until they are replaced with new ones (for example, when a journal fills up, when a forest is cleared, or when the system is cleanly shutdown and restarted).</p>
preallocate journals	<p>As of 8.0-4, this setting has no effect.</p>

Database Setting	Description
<code>preload mapped data</code>	Specifies whether memory mapped data (for example, range indexes and word lexicons) is loaded into memory when a forest is mounted to the database. Preloading the memory mapped data improves query performance, but uses more memory, especially if you have a lot of range indexes and/or lexicons. Also, it will cause a lot of disk I/O at database startup time, slowing the system performance during the time the mapped data is read into memory. If you do not preload the mapped data, it will be paged into memory dynamically when a query requests data that needs it, slowing the query response time.
<code>range index optimize</code>	Specifies how range indexes are to be optimized. When set to <code>facet-time</code> , range indexes are optimized to minimize the amount of CPU time used. When set to <code>memory-size</code> , range indexes are optimized to minimize the amount of memory used.

13.1.4.7 Other Settings

The following are the remaining database configuration options.

Database Setting	Description
<code>position list max size</code>	The maximum size, in megabytes, of the position list portion of the index for a given term. If the position list size for a given term grows larger than the limit specified, then the position information for that term is discarded. The default value is 128, the minimum value is 1, and the maximum value is 512. For example, position queries (<code>cts:near-query</code>) for frequently occurring words that have reached this limit (words like <i>a</i> , <i>an</i> , <i>the</i> , and so on) are resolved without using the indexes. Even though those types of words are resolved without using the indexes, this limit helps improve performance by making the indexes smaller and more efficient in relation to the content actually loaded in the database.
<code>format compatibility</code>	Specifies the version compatibility that MarkLogic Server applies to the indexes for this database during request evaluation. Setting this to a value other than <code>automatic</code> specifies that all forest data has the specified on-disk format, and it disables the automatic checking for index compatibility information. The automatic detection occurs during database startup and after any database configuration changes, and can take some time and system resources for very large forests and for very large clusters. The default value of <code>automatic</code> is recommended for most installations.

Database Setting	Description
<code>index detection</code>	Specifies whether to auto-detect index compatibility between the content and the current database settings. This detection occurs during database startup and after any database configuration changes, and can take some time and system resources for very large forests and for very large clusters. Setting this to <code>none</code> also causes queries to use the current database index settings, even if some settings have not completed reindexing. The default value of <code>automatic</code> is recommended for most installations.
<code>expunge locks</code>	Specifies if MarkLogic Server will automatically expunge any lock fragments created using <code>xdmp:lock-acquire</code> with specified timeouts. If you set this to <code>automatic</code> , the lock fragments will be cleaned up as they expire. With The default setting of <code>none</code> , the locks will remain in the database after the locks expire (although they will no longer be locking any documents) until they are explicitly removed with <code>xdmp:lock-release</code> .
<code>tf normalization</code>	Specifies whether to use the default term-frequency normalization (<code>scaled-log</code>), which scales the term frequency based on the size of the document, or to use the <code>unscaled-log</code> , which uses term frequency as a function of the actual term frequency in a document, regardless of the document size, or to choose an intermediate level of scaling with lower impact than the default document size-based scaling.

13.1.4.8 Merge Control Settings

The merge control settings allow you to control when merges occur, set merge parameters, and set up blackout periods where you do not want merges to occur. You can access the merge control settings by clicking the Admin Interface menu item for Database > *db_name* > Merge Controls. Use caution when adjusting the merge parameters or using merge blackouts, as merges are necessary for optimal database performance. For explanations of the merge control settings and more details on controlling merges, see “Understanding and Controlling Database Merges” on page 181.

13.1.5 Example of Databases in MarkLogic Server

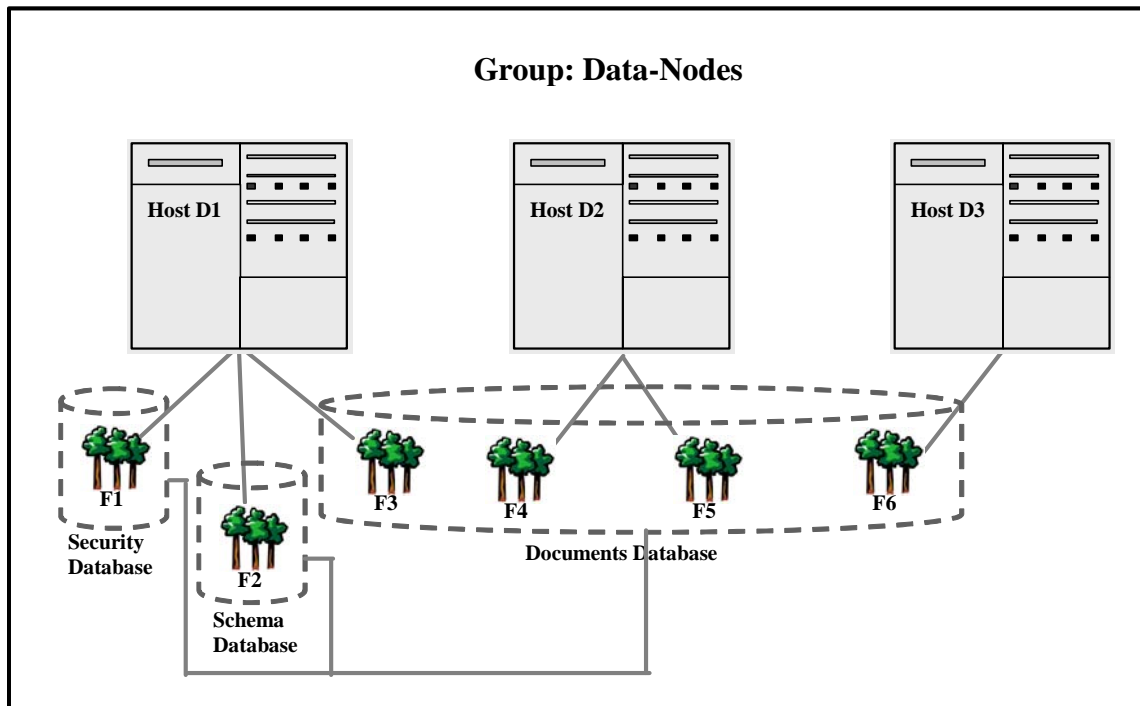
This section provides an example which demonstrates the concept of a database and the relationships between a database, a host and a forest in MarkLogic Server.

In the diagram below, Hosts D1, D2 and D3 belong to the Data-Nodes Group.

D1 is the first Host in Data-Nodes Group on which MarkLogic Server is loaded. Three **Databases** are created by default, **Security Database**, **Schema Database** and **Documents Database**. In the diagram below, 3 **Forests**, F1, F2 and F3 are configured on Host D1 and assigned to the **Security Database**, **Schema Database** and **Documents Database** respectively.

D2 is the second Host to join the Data-Nodes Group. **Forests** F4 and F5 are configured on D2 and attached to the **Documents Database**.

D3 is the third Host to join the Data-Nodes Group and has **Forest** F6, configured on it. F6 is also assigned to the **Documents Database**.



13.2 Creating a New Database

Follow the following steps to create a new database.

1. Click the Databases icon in the left tree menu.

2. Click the Create tab at the top right. The Create Database page displays:

The screenshot shows the 'Create Database' dialog box in MarkLogic. The 'Create' tab is selected. The 'database name' field is empty and has a red error message: 'Required. You must supply a value for database-name.' The 'security database' dropdown is set to 'Security'. The 'schema database' dropdown is set to 'Schemas'. The 'triggers database' dropdown is set to '(none)'. There are 'ok' and 'cancel' buttons at the top right.

3. Enter the name of the database. This is the name the system will use to refer to this database.
4. Select a security database to be associated with this database. We recommend selecting *Security* as the security database.
5. Select a schema database to be associated with this database.
6. You may leave the rest of the parameters unchanged or set them according to your needs.
7. Click OK.

Your database is now created. You can now attach forests to the database. Creating a database is a “hot” admin task.

13.3 Attaching and/or Detaching Forests to/from a Database

In order to query content in a forest, it must be attached to a database. Forests can be moved from one database to another (detached from one database and attached to another). Detaching a forest from a database does not delete the forest; the forest remains on the host on which it was created with the data intact. Forests can be moved from one database to another (detached from one and attached to another). However, before you attach the forest to another database, ensure that the new database has the same configuration as the old database. If the configuration of the new database is different and the `reindex enable` setting is set to `true` on the new database, the forest will begin reindexing to match the database configuration as soon as it is attached.

Note: If you attach a new forest to a database that makes use of the journal archiving feature described in “Backing Up Databases with Journal Archiving” on page 259, the forest will not participate in journal archiving until the next time the database is backed up. For details on how to do an immediate backup of a database, see “Backing Up a Database Immediately” on page 263.

You can also attach and detach forests from databases using the Forest Summary page, as described in “Attaching and Detaching Forests Using the Forest Summary Page” on page 329.

Perform the following steps using the Admin Interface to attach or detach one or more forests to a database:

1. Click the database to which you want to attach forests.
2. Click the Forests icon for the database. The Database Forest Configuration Page appears.

attached	forest name
<input checked="" type="checkbox"/>	ajay
<input checked="" type="checkbox"/>	andy
<input type="checkbox"/>	maha

[Select All](#) [Unselect All](#)

3. Check the box corresponding to forest(s) you want to attach to the database. You can also uncheck forests you want to detach from the database.
4. Click OK.

The forests you attached or detached are now reflected in the database configuration. Attaching and detaching a forest to a database are “hot” admin tasks.

13.4 Viewing Database Settings

To view the settings for a particular database, perform the following steps:

1. Click the Databases icon on the left tree menu.

2. Locate the database for which you want to view settings, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view the settings.
4. View the settings.
5. Click Forests, Triggers, Content Processing, Fragment Roots, Fragment Parents, Element-Word-Query-Throughs, Phrase-Throughs, Phrase-Arounds, Element Indexes and Attribute Indexes to view settings specific to those aspects of the database.

13.5 Loading Documents into a Database

You can use the Admin Interface to load documents into the database. The documents will be loaded with the default permissions and added to the default collections of the user with which you logged into the Admin Interface.

To load a set of documents into a database, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Click on the database into which you want to load the documents.
3. Click on the Load tab near the top right.



4. Enter the name of the directory in which the documents are located. This directory must be accessible by the host from which the Admin Interface is currently running.
5. Enter a filter for the names of the documents to be loaded (for example, *.xml to load all files with an xml extension). For an exact match, enter the full name of the document.
6. Click OK to proceed.

7. The load confirmation screen will list all documents in the specified directory matching the specified filter. Click OK to complete the load.

The documents are loaded into the database. The URI path of the documents are the same as your filesystem path.

13.6 Merging a Database

You can merge all of the forest data in the database using the Admin Interface. As described in “Understanding and Controlling Database Merges” on page 181, merging the forests in a database improves performance and is periodically done automatically in the background by MarkLogic Server. The Merge button allows you to explicitly merge the forest data for this database.

To explicitly merge the database, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Decide which database you want to merge.
3. Click the database name, either on the tree menu or the summary page.

The Database Configuration page displays.

4. Click the Merge button on the Database Configuration page.

A confirmation message displays.

5. Confirm that you want to merge the forest data in this database and click OK.

Merging data in a database is a “hot” admin task; the changes take effect immediately.

13.7 Reindexing a Database

You can reindex all of the document data in the database using the Admin Interface. As described in “Text Indexing” on page 369, text indexing accelerates the performance of a certain queries and is periodically done automatically in the background by MarkLogic Server. The reindex operation sets the [reindexer timestamp](#) to the current system timestamp, which causes a reindex and refragment operation on all fragments in the database that have a timestamp equal to or less than the timestamp (assuming [reindexer enable](#) is set to true). The Reindex button forces a complete reindex/refragment operation on the database.

To reindex the database, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Decide which database you want to reindex.

3. Click the database name, either on the tree menu or the summary page.

The Database Configuration page displays.

4. Click the Reindex button on the Database Configuration page.

A confirmation message displays.

5. Confirm that you want to reindex this database and click OK.

Reindexing data in a database is a “hot” admin task; the changes take effect immediately.

13.8 Clearing a Database

You can clear all of the forest content from the database using the Admin Interface. Clearing a database deletes all of the content from all of the forests in the database, but leaves the database configuration intact.

To clear all data from a database, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Decide which database you want to clear.
3. Click the database name, either on the tree menu or the summary page.

The Database Configuration page displays.

4. Click the Clear button on the Database Configuration page.

A confirmation message displays.

5. Confirm that you want to clear the forest data from this database and click OK.

Clearing a database is a “hot” admin task; the changes take effect immediately.

13.9 Disabling a Database

You can disable a database using the Admin Interface. You can either disable only the database or the database along with all of its forests. Disabling only the database marks the database as disabled and unmounts all the forests from the database. However, the database forests remain enabled. Disabling the database and its forests marks the database and each forest as disabled, unmounts all the forests from the database, and clears all memory caches for all the forests in the database. The database remains unavailable for any query operations while it is disabled.

Disabling a database does not delete the configuration or document data. The database and forest can later be re-enabled by clicking Enable.

To disable a database, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Decide which database you want to disable.
3. Click the database name, either on the tree menu or the summary page.

The Database Configuration page displays.

4. Click the Disable button on the Database Configuration page.

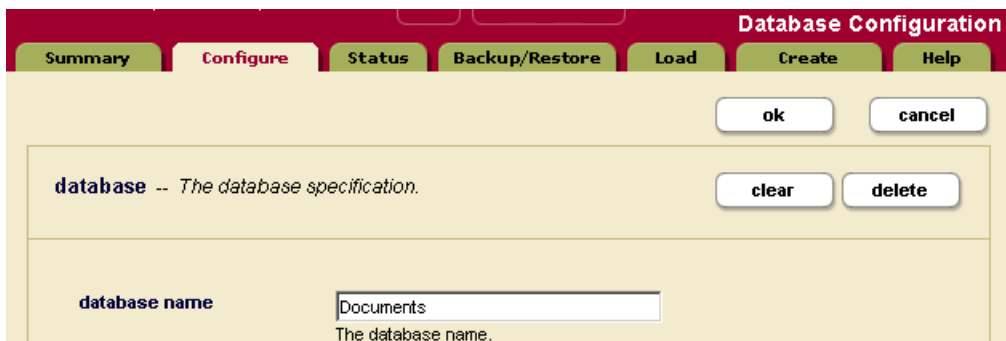
A confirmation message displays.

5. Click either Disable Database to disable only the database, or Disable Database and Forests to disable the database and its forests.

13.10 Deleting a Database

A database cannot be deleted if there are any HTTP, WebDAV, or XDBC servers that refer to the database. Deleting a database detaches the forests that are attached to it, but does not delete them. The forests remain on the hosts on which they were created with the data intact. Perform the following steps to delete a database:

1. Click the Databases icon on the left tree menu.
2. Locate the database you want to delete, either in the tree menu or in the Database Summary table.
3. Click the name of the database which you want to delete.



The screenshot shows the 'Database Configuration' page with a red header. Below the header is a navigation bar with tabs: Summary, Configure (selected), Status, Backup/Restore, Load, Create, and Help. The main content area is yellow and contains a form. At the top right of the form are 'ok' and 'cancel' buttons. Below them is a section labeled 'database -- The database specification.' with 'clear' and 'delete' buttons. At the bottom, there is a label 'database name' next to a text input field containing 'Documents'. Below the input field is the text 'The database name.'

4. Click on the Delete button near the top right.

Note: Clicking the Clear button clears all of the forests attached to this database, removing all of the data from the forests. Clicking the Delete button removes the database configuration, but does not delete the data stored in the forests.

5. Assuming that there are not any HTTP, WebDAV, or XDBC servers referring to the database, a delete confirmation screen appears. Click OK.
6. If you want to delete the forests used by the database, follow the procedure described in “Deleting a Forest from a Host” on page 335 for each forest.

The database is now permanently deleted. Deleting a database is a “hot” admin task.

13.11 Checking and Setting Permissions for a Document in a Database

You can use the Admin Interface to check the permissions of a document or directory in a database. You can also use the `xdmp:document-get-permissions` and `xdmp:document-set-permissions` APIs to get and set permissions. For details on document permissions, see *Security Guide*.

To check and/or set permissions on a document or directory in a database using the Admin Interface, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to check or set permissions, either in the tree menu or in the Database Summary table.
3. Click the name of the database where the document to which you want to check or set permissions is stored. The Database Configuration page appears.
4. Click the Permissions link for the selected database in the left tree menu. The Permissions Admin page appears.
5. Enter the URI of the document or directory and click OK.
6. If you want to change the permissions, choose a role and capability from the drop-down lists. If you want to add more permissions, click the More Permissions button.
7. To commit your changes, click OK. To cancel the action, press Cancel.

14.0 Word Query Database Settings

This chapter describes how to configure a database to include or exclude elements, add index settings, and perform other configuration changes for `cts:word-query` operations. The following topics are included:

- [Understanding the Word Query Configuration](#)
- [Configuring Customized Word Query Settings](#)

14.1 Understanding the Word Query Configuration

Basic search of words and phrases in MarkLogic Server is based on the query constructor `cts:word-query`. You can control the behavior of these basic searches by changing the database configuration for word query. You can exclude and/or include elements from word queries, and you can add extra indexing options compared to the options configured in the database configuration. This section describes the options available in the word query configuration and includes the following parts:

- [Overview of Configuration Options](#)
- [Understanding Which Elements are Included and Excluded](#)
- [Adding a Weight to Boost or Lower the Relevance of an Included Element](#)
- [Specifying An Attribute Value for an Included Element](#)
- [Understanding the Index Option Configuration](#)

14.1.1 Overview of Configuration Options

The following lists the main options you can set in the word query configuration to control how word queries are resolved in a database:

- By default, all elements are included in the word query configuration and the indexing options are the same as the database indexing options.
- All word query configurations are set on a per-database basis.
- The word query configuration controls the behavior of the `cts:word-query`, `cts:words`, and `cts:word-match` APIs. This includes controlling the words that get indexed, as well as controlling the words that are returned from the filter (evaluator) portion of query evaluation.
- Word query inherits the database index settings as a starting point for its index settings.
- You cannot turn off indexing options that are enabled in the database settings.
- If you check index options in word query that are enabled in the database, it will not change any behavior. However, if you subsequently disable a database index setting that is checked in the word query settings, it will remain for the word query.

- You can include and/or exclude named elements from word queries.
- For any element you include, you can optionally constrain it by a value for a specified attribute.
- For any element you include, you can optionally specify a weight. The weight is used when determining relevance scores, where a weight greater than 1.0 will boost scores and a weight lower than 1.0 will lower scores for matches within the element.

14.1.2 Understanding Which Elements are Included and Excluded

You can include and/or exclude elements from word queries. This is useful if you know you will never want to search some element content. This section describes how MarkLogic Server determines what content is included in word queries and what is not when you include and/or exclude elements from the word query configuration.

Note: If you want to be able to search on everything in a word query, but also want a special view of the content that includes and/or excludes some elements, consider creating a field instead of modifying the word query configuration. For details on fields, see “Fields Database Settings” on page 159.

By default, all element content (all text node children of elements) is included in word queries. If you decide to include and/or exclude any elements from word queries, there are rules that govern which non-specified elements are indexed and which are not. The rules are based on inheriting the include state from the parent element. For example, if the parent element is marked as an included element (and is therefore indexed and evaluated for word query), then its children, if they do not appear on the exclude list, are also included.

Note: If you configure word query exclusions then MarkLogic may not use word positions, even if it is enabled. For example, MarkLogic will not use word positions for resolution of queries such as `cts:element-word-query` or `cts:jsonPropertyWordQuery` resolution in positional contexts such as a near query. This can lead to false positives. You can use `xdmp:plan` or `cts.plan` to determine whether word positions are being used.

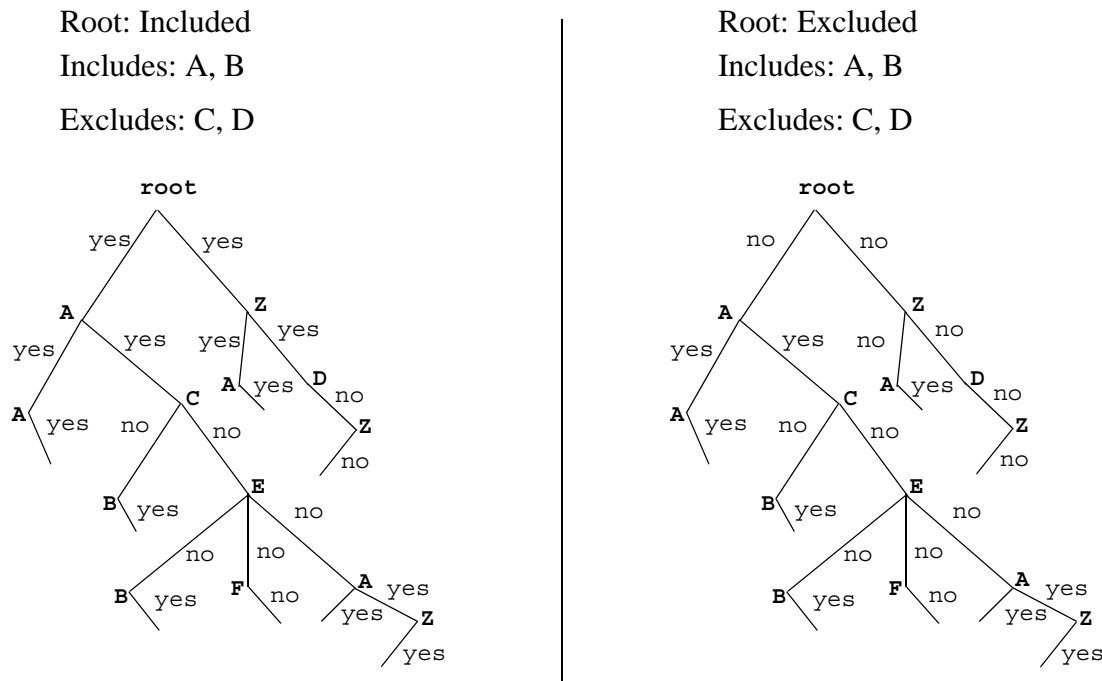
When MarkLogic Server determines which elements to include/exclude, it walks the XML tree using the following rules:

1. Start at the root node of the document.
2. If the root node is included (either because it is explicitly included or because `include document root` is set to true), MarkLogic Server includes the immediate text node children of the document root element and then moves to its element children. If the root node is excluded, the text nodes are not included and MarkLogic Server moves down the XML tree to its element children.

3. If the parent element (the root element in this case) was included, MarkLogic Server keeps walking down the tree and including the text node children until it encounters an explicitly excluded element.
4. If the parent element (the root element in this case) was not included, MarkLogic Server keeps walking down the tree, not including the text node children, until it encounters an explicitly included element.
5. MarkLogic Server keeps walking down the tree, including or not according to the state inherited from the parent element, until it encounters the next included element (if it is in the *not included* state) or excluded element (if it is in the *included* state).
6. During this process, when an element is encountered that is neither included nor excluded, it inherits the included state (*not included* or *included*) from the parent element.
7. MarkLogic Server keeps walking down the XML tree using this logic to determine its included state, until it reaches the end of the document.

The only way to guarantee an element's text node children will be included (assuming you have any elements included and/or excluded) is to add it to the included list, and the only way to guarantee an element is not included is to add it to the excluded list.

The following figure shows what is included for two configurations, one with the root node included and one with the root node excluded. Note that the includes and excludes are the same. The lines below the element names represent the text nodes, and the yes/no indicates whether the content in the text nodes is included in word queries. The `root` represents the root node of an XML structure, with elements `A` and `B` included and elements `C` and `D` excluded. Elements that are not explicitly included or excluded (for example, `E`, `F`, and `Z`) inherit from their parents.



The lines indicate text nodes, Yes is included, No is excluded

Notice that the `z` node, which is not explicitly included or excluded, sometimes is included and sometimes is not included, depending on the include state of its parent element.

14.1.3 Adding a Weight to Boost or Lower the Relevance of an Included Element

When you include an element, one of the options is to add a `weight` to the included element specification. When you add a weight, all text in this element (including any text in all text node descendants of the element) are weighted by the specified value, changing the relevance at query time. Specifying a weight greater than 1.0 will boost scores and a weight lower than 1.0 will lower scores for matches within the element.

When you specify a weight, the term frequency for any tokens in that element (including tokens in descendant text nodes) is multiplied by that number. This happens during document load, update, or reindexing. For example, if you specify a weight of 2.0, each term will have a term frequency of 2.0, making it as if each term appeared twice (for score calculation purposes). Similarly, if you specify a weight of 0.5, each term will have a term frequency of 0.5.

Note: Because the weight boosting affects term frequency, it will only affect relevance orders for scoring algorithms that include term frequency (for example, `logtf/idf` or `logtf`); scoring algorithms that do not consider weight will not be affected by these weights (for example, `score-simple`).

Adding a weight is useful to boost or lower scores on searches where the match occurs in a given element. For example, if you want matches in `TITLE` elements to contribute more towards the relevancy score than matches in other elements, you can specify a weight of `2.0` for the `TITLE` element. Conversely, if you want matches in `TITLE` elements to contribute less to the relevancy score than matches in other elements, you can specify a weight of `0.5` for the `TITLE` element. For details on how relevance is calculated, see the chapter [Composing cts:query Expressions](#) in the *Search Developer's Guide*.

14.1.4 Specifying An Attribute Value for an Included Element

When you include an element, one of the options is to specify an attribute value. This option allows you to only include elements with a particular attribute/value pair. The attribute/value pair acts as a predicate on which to constrain the content. For example, consider the following XML snippet:

```
<chapter class="history">some text here</chapter>
<chapter class="mathematics">some more text here</chapter>
<chapter class="english">some other text here</chapter>
<chapter class="history">some different text here</chapter>
<chapter class="french">other text here</chapter>
<chapter class="linguistics">still other text here</chapter>
```

For the element `chapter`, if you specify the attribute/value pair of `class` and `history`, then only the following elements will be included:

```
<chapter class="history">some text here</chapter>
<chapter class="history">some different text here</chapter>
```

You can only specify an attribute value for an included element; you cannot specify one for an excluded element.

14.1.5 Understanding the Index Option Configuration

The word query configuration allows you to add some extra indexing options from the ones that are currently set in the database configuration. Adding any index options to the word query configuration does not add those options to the element-based index options.

To add a particular index option to word query, you check the box corresponding to the index option. Adding any index options that are not enabled in the database configuration will cause new and updated documents to use the new indexing for word query, and will trigger a reindex operation if `reindex enable` is set to `true` in the database configuration.

Options that are enabled in the database configuration appear in bold on the word query configuration. If you check the box next to an option with bold-face type, it does not change your configuration. However, if you subsequently disable that index option in the database configuration, it will remain enabled for word query as long as the box is checked.

14.2 Configuring Customized Word Query Settings

This section provides the procedure for customizing the word query settings. For details on what the meaning of the various configuration options in fields, see “Understanding the Word Query Configuration” on page 149. The following is the procedure for modifying the word query configuration for your database:

Note: When you modify the word query settings, those modifications apply to all queries that use the `cts:word-query` constructor, which is the default constructor for `cts:search`. If you want to be able to search on everything in a word query, but also want a special view of the content that includes and/or excludes some elements, consider creating a field instead of modifying the word query configuration. For details on fields, see “Fields Database Settings” on page 159.

Use the Admin Interface to perform the following steps to add a new field configuration to a database.

1. Access the Admin Interface in a browser.
2. Navigate to and click the database for which you want to modify the word query configuration, either from one of the summary tables or in the left tree menu.
3. Under the database in which you want to create the field, click the Word Query link. The Word Query Configuration page appears.
4. If you want the word queries to include any extra index options from the database, check those index settings. Index settings shown in bold indicate the setting is inherited from the database setting. For details, see “Understanding the Index Option Configuration” on page 153.
5. If you want the word queries to include the root element of the document, even if it is not explicitly included, leave the default of `true` for include document root button. Note that if you set this to `false`, you will need to include elements in the word query configuration in order to get any results from word queries. Typically, you would leave this set to `true` and choose some elements to explicitly exclude and some to explicitly include (optionally adding a scoring weight and/or an attribute value constraint).
6. Click OK to save any changes you made. The configuration page refreshes with after the changes have been made to the MarkLogic Server configuration.
7. If you want to exclude any elements from word queries, click the Excludes tab.

8. Enter the namespace URI (if needed) and the local name for the excluded element.

Add Word Query Exclude

Configure Includes Excludes Help

excluded element -- *The element included in word query.*

namespace uri
A namespace URI.

localname
The localname of the excluded element.
Required. You must supply a value for localname.

ok cancel

9. Click OK.
10. Repeat steps [7](#) through [9](#) for each element you want to exclude.

11. Click the Includes tab to specify elements to include in the word query.

Add Word Query Include

Configure Includes Excludes Help

ok cancel

included element -- *The element included in word query.*

namespace uri
A namespace URI.

localname
The localname of the included element.
Required. You must supply a value for localname.

weight
The weight, used to boost or lower relevance scores, of the included element.

attribute namespace uri
Namespace of the child attribute.

attribute localname
Localname of the child attribute.

attribute value
Include only elements with the specified attribute having this value.

ok cancel

12. On the Included Element page, specify a local name for the element to include. If the element is in a namespace, specify the namespace URI for the element to include.
13. [OPTIONAL] If you want to boost or lower the relevance contribution for matches within this element, specify a weight other than the default of 1.0. Weights greater than 1.0 will boost the relevance contribution and weights lower than 1.0 will lower the contribution.
14. [OPTIONAL] If you want to only include elements that have an attribute with a specified value, enter the attribute namespace URI (if needed), the attribute local name, and a value for the attribute. Then only elements containing attributes with the specified value will be included. You must specify the exact value; no wildcard characters are used.
15. When you have specified everything for this element, click OK.
16. Repeat steps [11](#) through [15](#) for each element you want to include.

17. You can delete any included or excluded fields from the tables at the bottom of the field configuration page.

The screenshot shows a dialog box titled "Word Query Database Settings" with a light yellow background. It contains two tables: "Included Elements" and "Excluded Elements".

Included Elements

Localname	Namespace	Attribute	Attribute Namespace	Value	Weight
ABSTRACT					2.0

[delete]

Excluded Elements

Localname	Namespace
script	http://www.w3.org/1999/xhtml

[delete]

At the bottom of the dialog box are two buttons: "ok" and "cancel".

15.0 Fields Database Settings

This chapter describes how to configure fields in the database settings. Fields are used with the `cts:field-word-query`, `cts:field-words`, and `cts:field-word-match` APIs, as well as with the field lexicon APIs, and allow you to define a named field consisting of several elements over which you can search. The following topics are included in this chapter:

- [Overview of Fields](#)
- [Understanding Field Configurations](#)
- [Field Word Lexicons and Field Value Lexicons](#)
- [Configuring Fields](#)

This chapter describes how to use the Admin Interface to create and configure fields. For details on how to create and configure fields programmatically, see [Adding a Database Field and Included Element](#) in the *Scripting Administrative Tasks Guide*. For details on lexicons on fields, see [Browsing With Lexicons](#) in the *Search Developer's Guide*.

15.1 Overview of Fields

Fields provide a convenient mechanism for querying a portion of the database based on XML element QNames or JSON property names. Unlike collections or directories, which enable you to query portions of a database based on document URIs, fields enable you to query portions of a database based on XML element and JSON property names. This offers extra convenience for the application developer, and also offers a performance boost over other methods of querying a portion of the database. Fields are extremely useful when you have content in one or more elements or JSON properties that you want to query simply and efficiently as a single unit.

Field query is similar to word query (in its default configuration, with everything included), but instead of querying everything in the database, fields query only what is configured for the specified field. Fields have their own set of indexes, independent of the database indexes. Because fields have their own indexes, and a field is typically a small subset of the whole database, querying a field is often more efficient than querying those same XML element or JSON properties directly (with `cts:word-query`, for example).

Also, because fields have their own sets of indexes, relevance for fields is calculated based on the content in the field, not based on all of the content in the database. This provides finer-grain relevance for field searches than for other searches.

You can use fields to create portions of the content that you might want to query as a single unit. Additionally, you can configure a field with indexing options over and above the ones configured in the database. For example, consider a database containing many technical articles, each article containing a brief abstract. You might want to build an application that allows greater capabilities for searching through the abstracts than for searching through the rest of the articles. Assume your

main content does not have wildcard indexes, but you want to be able to search through the abstracts using wildcard searches. You can create a field on the abstract, and then add wildcard indexes to that field. Because the field represents only a relatively small percentage of the content, the relative cost of the extra indexing is small.

Indexing of JSON and XML content differs slightly. This introduces differences in the behavior of field value queries and field range queries over the two types of content. For details, see [How Field Queries Differ Between JSON and XML](#) in the *Application Developer's Guide*.

15.2 Understanding Field Configurations

Field search of words and phrases in MarkLogic Server is based on the query constructor `cts:field-word-query`. You can control the behavior of these field searches by changing the database configuration for the field you query. You can exclude and/or include elements from path and root fields, and you can add extra indexing options for some elements. This section describes the options available in the configuration and includes the following parts:

- [Overview of Field Configuration Options](#)
- [Root and Path Fields](#)
- [Metadata Fields](#)
- [Understanding the Index Option Configuration](#)

15.2.1 Overview of Field Configuration Options

The following lists the main options you can set in the field query configuration to control how queries against the specified field are resolved:

- By default, no XML elements or JSON properties are included in the field query configuration and the indexing options are the same as the database indexing options. You must specify at least one element or property to include for the field to include anything.
- All field configurations are set on a per-database basis.
- The field configuration controls the behavior of the `cts:field-word-query`, `cts:field-value-query`, `cts:field-range-query`, `cts:field-words`, and `cts:field-word-match` APIs. This includes controlling the terms that get indexed as well as controlling the terms that are returned from the filter (evaluator) portion of query evaluation.
- Fields inherit the database index settings as a starting point for its index settings.
- You can add extra index options for each field. These added index options will not affect other queries (for example, `cts:word-query`, `cts:element-word-query`, `cts:element-attribute-word-query`, `cts:json-property-word-query`).
- If you check index options in a field that are enabled in the database, it will not change any behavior. However, if you subsequently disable a database index setting that is checked in the field setting, it will remain for the field.

- You can include and/or exclude named XML elements or JSON properties from path and root fields.
- For any XML element you include, you can optionally constrain it by a value for a specified XML element attribute.
- For any XML element or JSON property you include in a path or root field, you can optionally specify a weight. The weight is used when determining relevance scores, where a weight greater than 1.0 will boost scores and a weight lower than 1.0 will lower scores for matches within the element or property.
- Each field has its own set of indexes; it does not share the indexes with the word query indexes. Therefore, if you have a field with fewer elements than word query, there is a smaller amount of content to index and fewer I/O operations are needed to resolve the query from the indexes (index resolution phase of query processing).

There are three types of fields:

- [Root Fields](#)
- [Path Fields](#)
- [Metadata Fields](#)

Root and Path fields are described in “Root and Path Fields” on page 161. Metadata fields are described in “Metadata Fields” on page 165.

15.2.2 Root and Path Fields

You can include and/or exclude elements from a root or path field. This is useful if you know you will never want to search some element content. This section describes how MarkLogic Server determines what content is included in the field and what is not when you include and/or exclude elements from the field configuration.

This section describes the options available in the configuration and includes the following parts:

- [Root Fields](#)
- [Path Fields](#)
- [How Field Settings Determine What is Included and Excluded](#)
- [Adding a Weight to Boost or Lower the Relevance of an Included Element or Property](#)
- [Specifying An Attribute Value for an Included or Excluded Element](#)

15.2.2.1 Root Fields

Root fields include and/or exclude document elements regardless of their relative positions in the document. In a root field, you can choose whether or not to include and exclude elements starting at the document root. By default, no element content (all text node children of elements) is included in a field.

15.2.2.2 Path Fields

In a path field, the included and excluded elements are constrained to the sub-tree identified by the path. For example, if the path for the field is `/A/B/C`, only elements in node `C`, such as `A/B/C/D`, `A/B/C/D/E` and `/A/B/C/Z`, are included or excluded from the field.

A path field may include one or more paths. Multiple paths are treated as the union of the paths. Consequently, each of them will identify a root of a field-instance in a given document.

If a path includes namespace prefixes on some elements, the namespaces must be defined in the same manner used for path range indexes, as described in “Defining Namespace Prefixes Used in Path Range Indexes and Fields” on page 402.

If a path for a field ends in a single node or an attribute, the include/exclude definitions are meaningless.

Each path is given a weight, which is used to boost or lower the relevance of text that is contributed by the path.

15.2.2.3 How Field Settings Determine What is Included and Excluded

Once you define a path or root field, you can select which document elements are included and excluded. When MarkLogic Server determines which elements to include/exclude, it walks the XML tree using the following rules (note that these are the same rules used for including/excluding elements in the word query configuration):

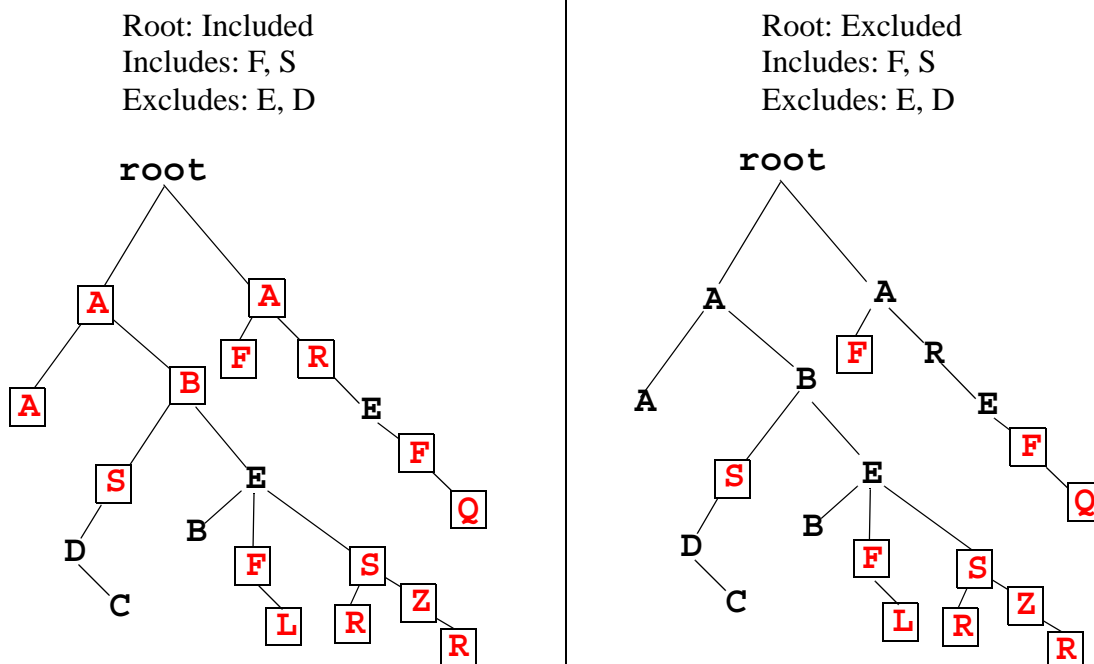
1. Start at the root node of the document.
2. If the field type is path, the explicitly included and excluded elements are constrained to the sub-tree identified by the path. All other elements are excluded.
3. If the field type is root, and if the root element is included (either because it is explicitly included or because `include document root` is set to true), MarkLogic Server includes the immediate text node children of the document root element and then moves to its element children. If the root element is excluded, the text nodes are not included and MarkLogic Server moves down the XML tree to its element children.
4. If the parent element was included, MarkLogic Server keeps walking down the tree and including the text node children until it encounters an explicitly excluded element.
5. If the parent element was not included, MarkLogic Server keeps walking down the tree, not including the text node children, until it encounters an explicitly included element.
6. During this process, when an element is encountered that is neither included nor excluded, it inherits the included state (*not included* or *included*) from the parent element. MarkLogic Server keeps walking down the tree, including or not according to the state

inherited from the parent element, until it encounters the next included element (if its parent is *not included*) or excluded element (if its parent is *included*).

7. MarkLogic Server keeps walking down the XML tree using this logic to determine each element's included state, until it reaches the end of the document.

The only way to guarantee an element's text node children will be included (assuming you have any elements included and/or excluded) is to add it to the included list, and the only way to guarantee an element is not included is to add it to the excluded list.

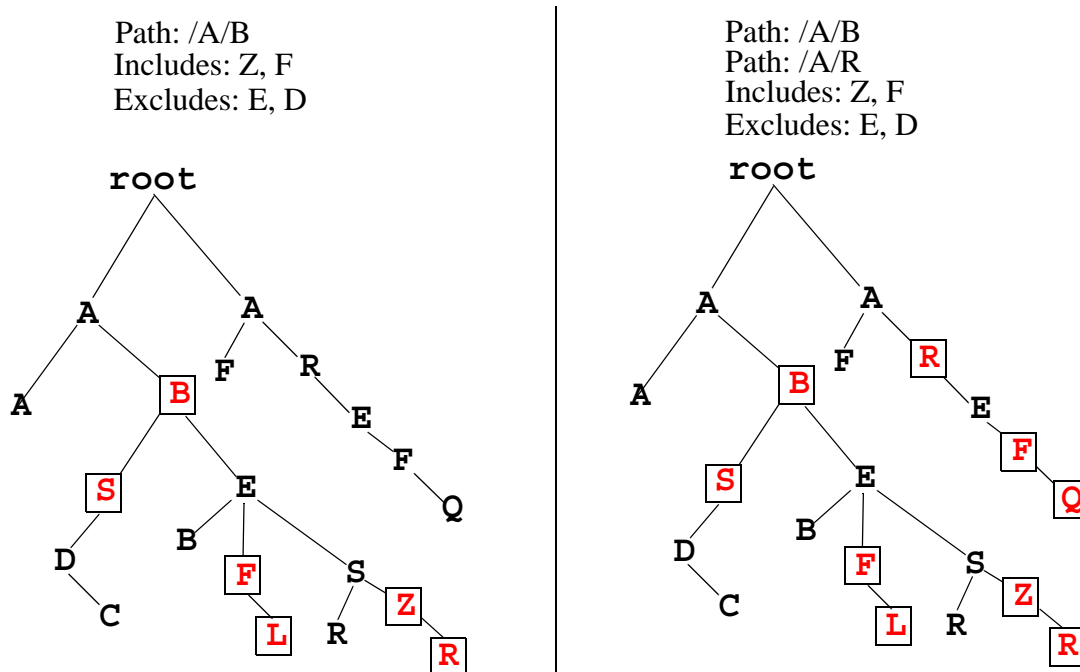
The following figure shows what is included for two possible root field configurations, one with the root node included and one with the root node excluded. Note that the includes and excludes are the same. The lines below the element names represent the text nodes, and the boxed **red** letters indicates that the content in the text node is included in word queries. The `root` represents the root node of an XML structure, with elements `F` and `S` included and elements `E` and `D` excluded. Elements that are not explicitly included or excluded (for example, `A`, `B`, and `C`) inherit from their parents.



The lines indicate text nodes, boxed **red** is included, black is excluded

Notice that the `A`, `B`, and `R` nodes, which is not explicitly included or excluded, sometimes is included and sometimes is not included, depending on the include state of its parent element.

The following figure shows what is included for two possible path field configurations, one with a single path and the other with two paths. As with the previous figure for root field configurations, the includes and excludes are the same.



The lines indicate text nodes, boxed red is included, black is excluded

15.2.2.4 Adding a Weight to Boost or Lower the Relevance of an Included Element or Property

When you include an XML element or JSON property, one of the options is to add a `weight` to the included element or property specification. When you add a weight, all text in this element (including any text in all text node descendants of the element) are weighted by the specified value, changing the relevance at query time. Specifying a weight greater than 1.0 will boost scores and a weight lower than 1.0 will lower scores for matches within the element.

When you specify a weight, the term frequency for any tokens in that element (including tokens in descendant text nodes) is multiplied by that number. This happens during document load, update, or reindexing. For example, if you specify a weight of 2.0, each term will have a term frequency of 2.0, making it as if each term appeared twice (for score calculation purposes). Similarly, if you specify a weight of 0.5, each term will have a term frequency of 0.5.

Note: Because the weight boosting affects term frequency, it will only affect relevance orders for scoring algorithms that include term frequency (for example, `logtf/idf` or `logtf`); scoring algorithms that do not consider weight will not be affected by these weights (for example, `score-simple`).

Adding a weight is useful to boost or lower scores on searches where the match occurs in a given element. For example, if you want matches in `TITLE` elements to contribute more towards the relevancy score than matches in other elements, you can specify a weight of `2.0` for the `TITLE` element. Conversely, if you want matches in `TITLE` elements to contribute less to the relevancy score than matches in other elements, you can specify a weight of `0.5` for the `TITLE` element. For details on how relevance is calculated, see the chapter [Composing cts:query Expressions](#) in the *Search Developer's Guide*.

If a field has two or more elements with different weights and, if one of those elements is a child of another element, then the weight of the parent element is used and the weight of the child element is ignored. For example, you have a field, named `test`, that includes elements `A` and `B`. `A` is given a weight of `10` and `B` is given a weight of `2`. The returned results of a search query that includes `cts:field-value-query("test", ("Foo")), "unfiltered"` will be computed based on a weight of `10` for the following document:

```
<A>
  <B>Foo</B>
</A>
```

15.2.2.5 Specifying An Attribute Value for an Included or Excluded Element

When you include an element, one of the options is to specify an attribute value. This option allows you to only include or exclude elements with a particular attribute/value pair. The attribute/value pair acts as a predicate on which to constrain the content. For example, consider the following XML snippet:

```
<chapter class="history">some text here</chapter>
<chapter class="mathematics">some more text here</chapter>
<chapter class="english">some other text here</chapter>
<chapter class="history">some different text here</chapter>
<chapter class="french">other text here</chapter>
<chapter class="linguistics">still other text here</chapter>
```

For the element `chapter`, if you specify the attribute/value pair of `class` and `history`, then only the following elements will be included:

```
<chapter class="history">some text here</chapter>
<chapter class="history">some different text here</chapter>
```

Similarly, you can specify an attribute value for an excluded element when you configure an excluded element.

15.2.3 Metadata Fields

Metadata fields are used by temporal documents to store valid and system timestamps and archival information, as described in the *Temporal Developer's Guide*. You can also use this capability to associate user-defined key-value metadata with non-temporal documents. Metadata fields are sometimes referred to as just “metadata” or as “key-value metadata”.

Metadata fields differ from root and path fields in that they do not define elements to be included or excluded from search. Instead, metadata fields define key/value combinations that are associated with a document, but stored outside of that document.

To search this type of metadata, you must explicitly create a field based on the metadata key you want to be able to search. For details on configuring a metadata field, see “Configuring a New Metadata Field” on page 175.

Metadata fields can be operated on using any API function that takes a field. For example, you can do all of the following operations on a metadata field:

- Query using a `cts:field-word-query` and `cts:field-value-query` function.
- Create a word lexicon on a metadata field and use it in a `cts:field-words` and `cts:field-word-match` function.
- Create a range index on a metadata field and use it in a `cts:field-range-query`, `cts:field-values`, `cts:field-value-match`, and `cts:field-value-ranges` function.
- Make a range index reference for a metadata field range index and use it in a `cts:values`, `cts:value-match`, `cts:value-ranges`, `cts:value-co-occurrences`, `cts:value-tuples` and `cts:ordering` function.
- Configure tokenizer-overrides.
- Configure stemmed-searches.
- Configure word-searches.
- Configure field-value-searches.
- Configure fast-phrase-searches.
- Configure fast-case-sensitive-searches.
- Configure fast-diacritic-sensitive-searches.
- Configure trailing-wildcard-searches.
- Configure three-character-searches.
- Configure two-character-searches.
- Configure one-character-searches.

Metadata for temporal documents is managed by the temporal APIs, as described in [Managing Temporal Documents](#) in the *Temporal Developer's Guide*. For non-temporal documents, metadata can be inserted along with the document by the `xdmp.documentInsert` or `xdmp.documentLoad` function. You can add or modify document metadata using the `xdmp.documentPutMetadata` and `xdmp.documentSetMetadata` functions. Document metadata can be returned using the `xdmp.documentGetMetadata` and `xdmp.documentGetMetadataValue` functions.

Metadata can also be associated with a document node. Node metadata is managed by means of the `xdmp.nodeMetadata` and `xdmp.nodeMetadataValue` functions.

15.2.4 Understanding the Index Option Configuration

The field configuration allows you to add some extra indexing options from the ones that are currently set in the database configuration. Adding any index options to the field configuration does not add those options to the element-based index options at the database level.

To add or remove a particular index option to a field, you check or uncheck the box corresponding to the index option. Adding any index options that are not enabled in the database configuration will cause new and updated documents to use the new indexing for the field, and will trigger a reindex operation if `reindex enable` is set to true in the database configuration.

Options that are enabled in the database configuration appear in bold in the field configuration. The field settings in the database configuration and the database field configuration are ORed together. For example, if you uncheck the box next to an option with bold-face type in the field configuration, it does not change the equivalent option in the database configuration. To disable a field setting for the database, both the database and field configurations for that option must be consistent.

15.3 Field Word Lexicons and Field Value Lexicons

As with word lexicons, you can create a word lexicon for each field. A *field word lexicon* is a list of all of the unique words in the database that occur in the field. The list is ordered in the specified collation. You can create multiple field lexicons on the same field with different collations. The field word lexicons are accessed with the `cts:field-words` and `cts:field-word-match` APIs.

As with element or attribute lexicons, you can create a value lexicon on a field. A *field value lexicon* is a list of all of the unique values in the database that occur in the field. To create a field value lexicon, define a field range index.

For more details about lexicons, see [Browsing With Lexicons](#) in the *Search Developer's Guide*.

15.4 Configuring Fields

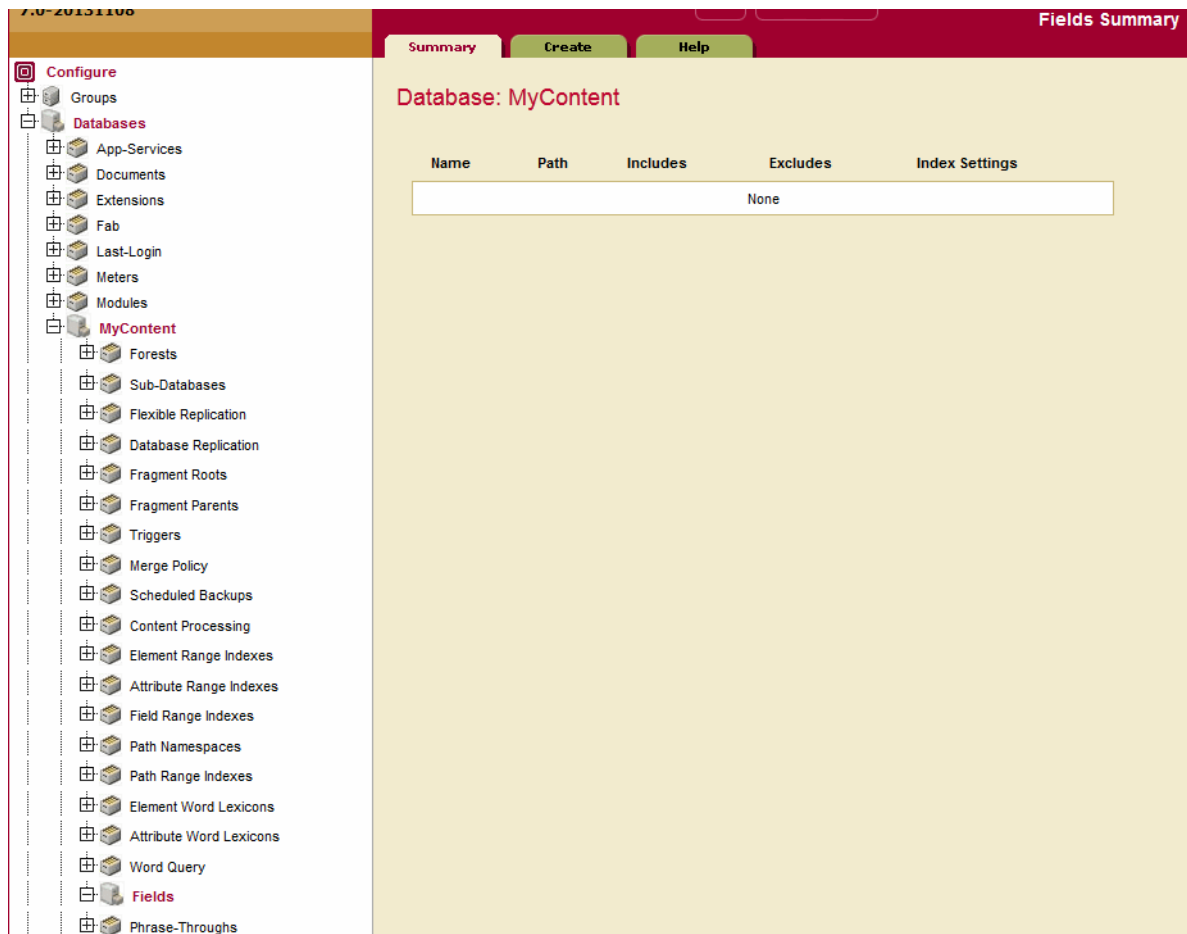
This section provides procedures to create and modify field configurations in a database. For details on what the meaning of the various configuration options in fields, see “Understanding Field Configurations” on page 160. This section includes the following procedures:

- [Configuring a New Path or Root Field](#)
- [Configuring a New Metadata Field](#)
- [Modifying an Existing Field](#)
- [Creating a Range Index on a Field](#)

15.4.1 Configuring a New Path or Root Field

Use the Admin Interface to perform the following steps to add a new field configuration to a database.

1. Navigate to and click the database for which you want to create a field, either from one of the summary tables or in the left tree menu.
2. Under the database in which you want to create the field, click the Fields link. The Field Summary page appears.



3. Click the Create tab. The Create Field in Database page appears.
4. Enter a name for the field.
5. By default, the field type is path. If creating a path field, enter the path expression. If you want to boost or lower the relevance contribution for matches within this path, specify a weight other than the default of 1.0. Weights greater than 1.0 will boost the relevance

contribution and weights lower than 1.0 will lower the contribution. If you are defining multiple paths, click More Items.

The screenshot shows the 'Create Field in Database' dialog box. At the top, there is a red banner with the text 'Software pre-release expires in 69 days'. Below the banner are three tabs: 'Summary' (selected), 'Create', and 'Help'. In the top right corner, there are 'ok' and 'cancel' buttons. The main area is titled 'Create Field in Database'. It contains three sections: 'field name' with a text input field containing 'MyPathField' and a red error message 'Required. You must supply a value for field-name.'; 'field type' with three radio buttons: 'paths' (selected), 'root', and 'metadata'; and 'field path' which is a container for multiple path entries. The first entry has a 'path' input field with '/A/B' and a 'weight' input field with '1.0'. Below the path entries is a 'more field paths' button.

Software pre-release expires in 69 days

Summary Create Help

ok cancel

Create Field in Database

field name MyPathField
The field name.
Required. You must supply a value for field-name.

field type ☒ paths ☐ root ☐ metadata

field path

path /A/B
The path expression. For example: /prefix1:locname1 /prefix2:locname2...

weight 1.0
The weight, used to boost or lower relevance scores.

more field paths

6. Enter as many paths as you need.

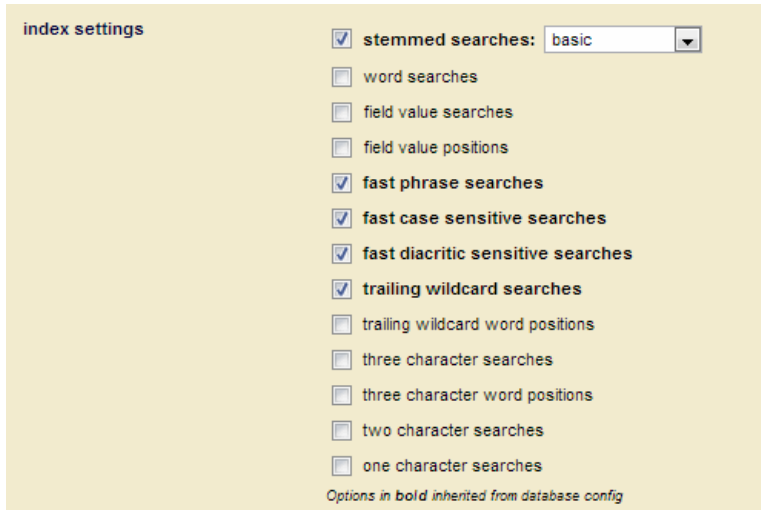
The screenshot shows the 'Create Field in Database' dialog box. It has three tabs at the top: 'Summary', 'Create' (which is active), and 'Help'. In the top right corner are 'ok' and 'cancel' buttons. The main area is titled 'Create Field in Database' and contains the following fields:

- field name:** A text box containing 'MyPathField'. Below it is the text 'The field name.' and a red error message: 'Required. You must supply a value for field-name.'
- field type:** Three radio buttons: 'paths' (selected), 'root', and 'metadata'.
- field path:** A container for multiple path entries.
 - The first entry has a 'path' text box with '/A/B' and a 'weight' text box with '1.0'. Below the path box is the text: 'The path expression. For example: /prefix1:locname1 /prefix2:locname2...'
 - The second entry has a 'path' text box with '/B/A' and a 'weight' text box with '1.0'. Below the path box is the text: 'The path expression. For example: /prefix1:locname1 /prefix2:locname2...'

At the bottom of the 'field path' container is a button labeled 'more field paths'.

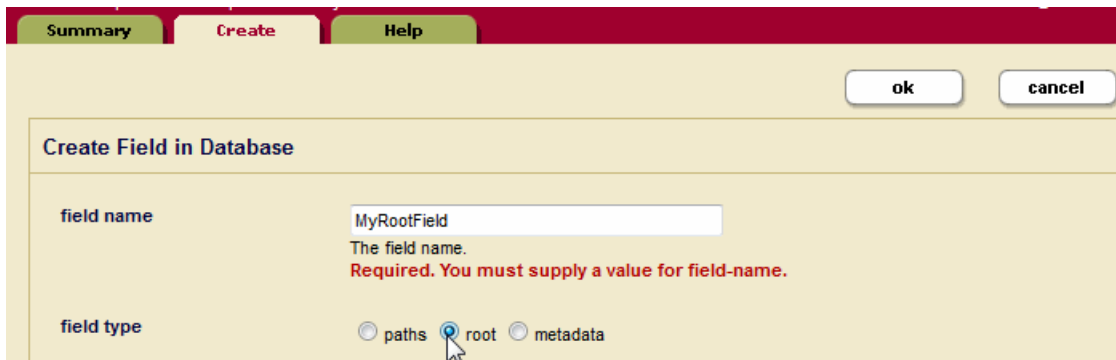
7. [OPTIONAL] Create any Field Range Indexes or Tokenizer overrides. You can also go back and add these later.

8. If you want the field to include any extra index options from the database, or if you want to remove some index options from the field, check or uncheck those index settings. Index settings shown in bold indicate the setting is inherited from the database setting. You can uncheck an inherited index setting to not inherit the setting from the database-level configuration. For details, see “Understanding the Index Option Configuration” on page 167.



The image shows a dialog box titled "index settings". It contains a list of index options with checkboxes. The following options are checked: **stemmed searches** (with a dropdown menu set to "basic"), **fast phrase searches**, **fast case sensitive searches**, **fast diacritic sensitive searches**, and **trailing wildcard searches**. The following options are unchecked: word searches, field value searches, field value positions, trailing wildcard word positions, three character searches, three character word positions, two character searches, and one character searches. At the bottom, a note states: "Options in bold inherited from database config".

9. Alternately, if creating a root field, set the field type to root. Note that in most cases, a path field will give you everything you need, and you are not likely to need to create a root field.



The image shows a dialog box titled "Create Field in Database". It has three tabs: "Summary", "Create", and "Help". The "Create" tab is active. There are "ok" and "cancel" buttons at the top right. The dialog contains two main sections: "field name" and "field type". The "field name" section has a text input field containing "MyRootField" and a red error message below it: "Required. You must supply a value for field-name." The "field type" section has three radio buttons: "paths", "root", and "metadata". The "root" radio button is selected, and a mouse cursor is pointing at it.

10. If you want the root field to include the root element of the document, even if it is not explicitly included, click the `true` button for include document root. Typically, you leave

this set to the default of `false`, unless your field will include most of the elements in the database.

11. Click OK. The configuration page with the field appears, adding the following parts to the bottom of the configuration page:

12. If you want to add a word lexicon for the field, enter the collation URI next in the add text box. The URI for the UCA Default Collation, <http://marklogic.com/collation/>, is useful for many applications. For details on collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*. Click the OK button to add the field word lexicon (if you want to create one). If you want to create other field word lexicons with different collations, repeat this step specifying a different collation URI for the new lexicon.

13. Click the Includes tab to specify elements to include in the field.

Field: myRootField ok cancel Add Field Include

included element -- *The element included in the field.*

namespace uri A namespace URI.

localname One or more localnames.

weight The weight, used to boost or lower relevance scores, of the included element.

attribute namespace uri Namespace of the child attribute.

attribute localname Localname of the child attribute.

attribute value Include only elements with the specified attribute having this value.

ok cancel

14. On the Included Element page, specify a local name for the element to include. If the element is in a namespace, specify the namespace URI for the element to include.
15. [OPTIONAL] If you want to boost or lower the relevance contribution for matches within this element, specify a weight other than the default of 1.0. Weights greater than 1.0 will boost the relevance contribution and weights lower than 1.0 will lower the contribution.
16. [OPTIONAL] If you want to only include elements that have an attribute with a specified value, enter the attribute namespace URI (if needed), the attribute local name, and a value for the attribute. Then only elements containing attributes with the specified value will be included. You must specify the exact value; no wildcard characters are used.
17. When you have specified everything for this element, click OK.
18. Repeat steps [13](#) through [17](#) for each element you want to include.

19. If you want to exclude any elements from the field, click the Excludes tab.
20. Enter the namespace URI (if needed) and the local name for the excluded element.

Add Field Exclude

Field: myRootField

excluded element -- *The element excluded from the field.*

namespace uri
A namespace URI.

localname
One or more localnames.

attribute namespace uri
Namespace of the child attribute.

attribute localname
Localname of the child attribute.

attribute value
Include only elements with the specified attribute having this value.

ok cancel

21. [OPTIONAL] If you want to only exclude elements that have an attribute with a specified value, enter the attribute namespace URI (if needed), the attribute local name, and a value for the attribute. Then only elements containing attributes with the specified value will be excluded. You must specify the exact value; no wildcard characters are used.
22. Click OK.
23. Repeat steps [19](#) through [22](#) for each element you want to exclude.

24. You can delete any included or excluded fields from the tables at the bottom of the field configuration page.

Included Elements					
Localname(s)	Namespace	Attribute	Attribute Namespace	Value	Weight
ABSTRACT					1.0

Excluded Elements				
Localname(s)	Namespace	Attribute	Attribute Namespace	Value
script	http://www.w3.org/1999/xhtml			

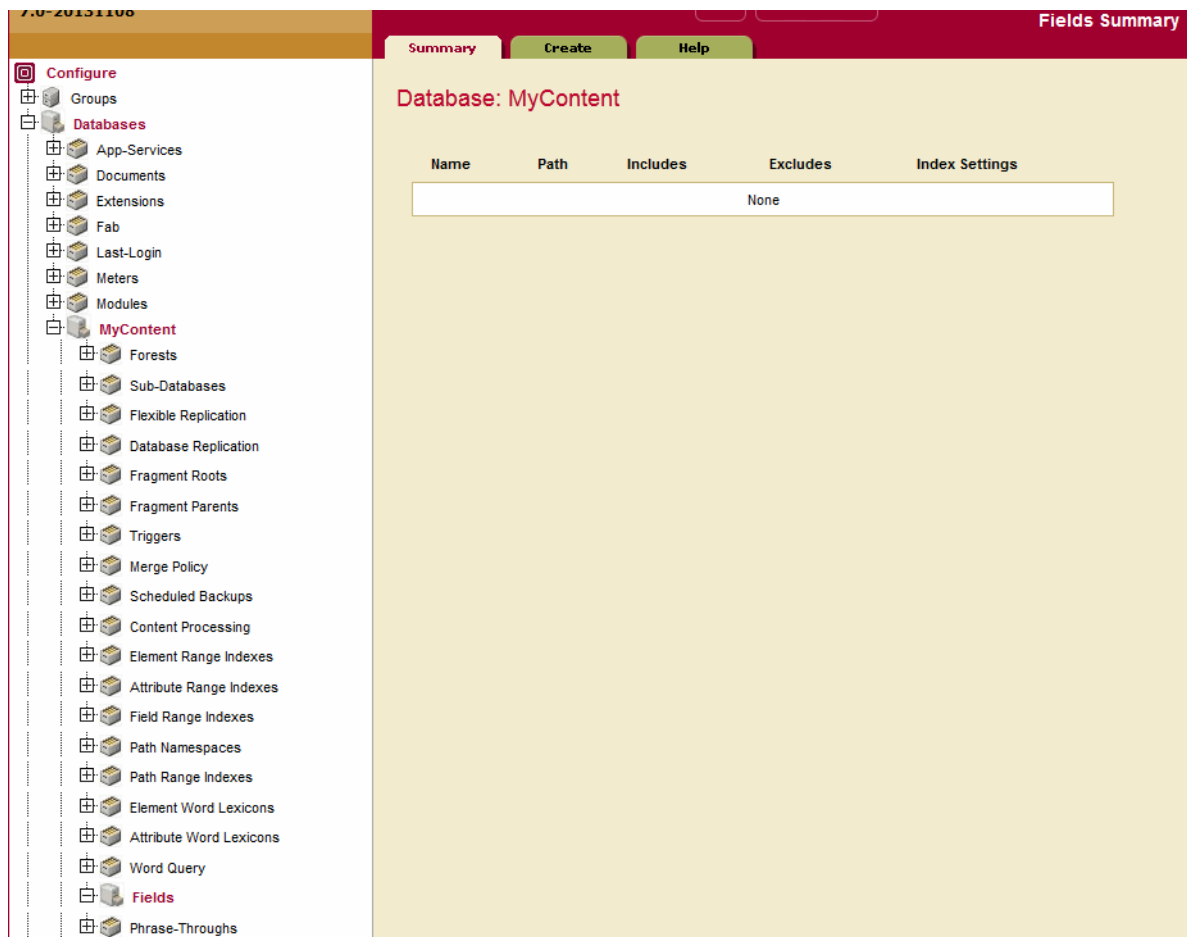
ok cancel

15.4.2 Configuring a New Metadata Field

Use the Admin Interface to perform the following steps to add a new metadata field configuration to a database.

1. Navigate to and click the database for which you want to create a field, either from one of the summary tables or in the left tree menu.

- Under the database in which you want to create the field, click the Fields link. The Field Summary page appears.



- Click the Create tab. The Create Field in Database page appears.
- Enter a name for the field.
- For field type, select metadata.



6. If you want to add a word lexicon for the field, enter the collation URI next in the add text box. The URI for the UCA Default Collation, <http://marklogic.com/collation/>, is useful for many applications. For details on collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*. Click the OK button to add the field word lexicon (if you want to create one). If you want to create other field word lexicons with different collations, repeat this step specifying a different collation URI for the new lexicon.
7. [OPTIONAL] Create any Field Range Indexes or Tokenizer overrides. You can also go back and add these later.

The screenshot shows two sections in a configuration interface:

- word lexicons:** Contains an "[add]" button, a text input field with "Root Collation" and a dropdown arrow, a "collation builder" button, and a "more word lexicons" button.
- tokenizer overrides:** Contains an "[add]" button, a text input field, a "word" dropdown menu, and a "more tokenizer overrides" button.

8. If you want the field to include any extra index options from the database, or if you want to remove some index options from the field, check or uncheck those index settings. Index settings shown in bold indicate the setting is inherited from the database setting. You can uncheck an inherited index setting to not inherit the setting from the database-level configuration. For details, see “Understanding the Index Option Configuration” on page 167.

The screenshot shows the "index settings" section with a list of checkboxes and a dropdown menu:

- ☒ **stemmed searches:** basic (dropdown)
- ☐ word searches
- ☐ field value searches
- ☐ field value positions
- ☒ **fast phrase searches**
- ☒ **fast case sensitive searches**
- ☒ **fast diacritic sensitive searches**
- ☒ **trailing wildcard searches**
- ☐ trailing wildcard word positions
- ☐ three character searches
- ☐ three character word positions
- ☐ two character searches
- ☐ one character searches

Options in bold inherited from database config

Note: The field value positions, trailing wildcard word positions, and three character word positions options can be set, but they will have no effect on queries.

15.4.3 Modifying an Existing Field

Perform the following steps to modify an existing field:

1. To modify an existing field, click on the Fields link in the left tree menu. The Fields Summary page appears.

Name	Includes	Excludes	Index Settings	
myPathField			+stemmed (basic) +fast case sensitive +trailing wildcard	+fast phrase +fast diacritic sensitive
myRootField	ABSTRACT	script	+stemmed (basic) +fast case sensitive +trailing wildcard	+fast phrase +fast diacritic sensitive

2. Click on the name of the field you want to edit. The Field Configuration page appears.
3. If you want to change any of the settings, make any desired modifications and click OK.
4. The remainder of the procedure is the same as the previous procedure for creating a field, starting with step [12](#) to create a field word lexicon, and, in the case of path and root fields, continuing on to add/delete included and excluded elements.

15.4.4 Creating a Range Index on a Field

You can create a range index on a field for faster searches on the field data. You must first create a field before creating a range index on the field. The usual trade-offs between query speed and ingestion speed and server resources described in “Understanding Range Indexes” on page 390 apply to field range index.

Perform the following steps to create a range index on a field:

1. Navigate to and click the database for which you want to create a field range index, either from one of the summary tables or in the left tree menu.
2. Click Field Range Index in the left tree menu.
3. Click the Add tab. The Add Field Range Indexes page appears.

4. Select the type for the range index.
5. Enter the name of an existing field.
6. Optionally, specify if you want the index to store position data. (Metadata fields are positionless, so position settings have no impact.)
7. For Invalid Values, select `reject` to prevent the ingestion of documents with fields that do not match the type specified for the range index. Select `ignore` to allow the ingestion of non-matching documents.
8. Click OK.

The index is created. If the `reindexer enable` setting is `true` for that database, then reindexing will begin immediately. The new index is not available for use in range and lexicon queries until the reindexing operation is complete.

16.0 Understanding and Controlling Database Merges

This chapter describes database merges and how you can control them. It includes the following sections:

- [Overview of Merges: Merges are Good](#)
- [Setting Merge Policy](#)
- [Blackout Periods for Merges](#)
- [Merges and Point-In-Time Queries](#)
- [Setting a Negative Merge Timestamp to Preserve Fragments For a Rolling Window of Time](#)
- [Monitoring a Merge](#)
- [Explicit Merge Commands](#)
- [Configuring Merge Policy Rules](#)

16.1 Overview of Merges: Merges are Good

This section provides an overview of merges, and includes the following parts:

- [Dynamic and Self-Tuning](#)
- [What Happens During a Merge](#)
- [Dangers of Disabling Merges](#)
- [Merges Will Change Scores](#)

16.1.1 Dynamic and Self-Tuning

Merges are a way of self-tuning the performance of the system, and MarkLogic Server continuously assesses the state of each database to see if it would benefit from self-tuning through a merge. In most cases, the default merge settings and the dynamic nature of merges will keep the database tuned optimally at all times. Because merges can be resource intensive (both disk I/O and CPU), however, some DBAs might need to control when merges occur and/or when they do not occur. You can do that by setting your merge policy as appropriate for your environment, as described in “Setting Merge Policy” on page 183.

Dynamic and self-tuning, merges are a “good thing”; they not only reclaim disk space, but improve the query and search performance of the system. Databases are made up of one or more forests, and forests are made up of one or more *stands*. The more stands there are in a forest, the more time it takes to resolve a query. Merges reduce the number of stands in each forest in a database, thereby improving the time it takes to resolve queries.

16.1.2 What Happens During a Merge

A database consists of one or more forests, and each forest consists of one or more stands. Each stand consists of one or more fragments. When a document is updated, new versions of all of the fragments associated with the document update are created in a new stand. Any old versions of the fragment remain in the old stand with a system timestamp that lets MarkLogic Server know that they are old versions of the fragments. Similarly, when a document is deleted, its fragments remain in the old stand with a system timestamp that lets MarkLogic Server know that they are old versions of the fragments.

Merges occur to move any unchanged fragments from an old stand into a new stand, deleting any old versions of fragments (including deleted fragments), thereby freeing up disk space and compacting the usable fragments so they are all together on disk. Additionally, merges combine index data for all of the fragments in a stand, thereby optimizing the indexes. Merges are a normal part of database operation, and they ensure that the system continues to perform at its best as updates and deletes occur.

To summarize, as part of merging, the following occurs:

- Multiple stands are combined into one for improved performance.
- Disk space is reclaimed.
- Indexes and lexicons are combined and re-optimized based on their new size.

The result is a database that is smaller and can resolve queries much faster than before the merge.

16.1.3 Dangers of Disabling Merges

MarkLogic Server is designed to periodically merge. It is dangerous to leave merges disabled on a database when there are any updates occurring to the system. While disabling merges might eliminate some contention for resources during periods where merges and other requests are simultaneously occurring on the system, the performance of MarkLogic Server will degrade over time if merges are not allowed to proceed when changes (inserts, updates, deletes) are made to the database.

Furthermore, disabling or eliminating merging may eventually lead to a condition in which the server is unable to make changes to the database. For example, when an in-memory stand fills up, it is written to an on-disk stand. MarkLogic Server has a fixed limit for the maximum number of stands (64), and eventually, that limit will occur and you will no longer be able to update your system. Therefore, there is no control available to disable merges. If you feel you need to disable merges and you have an active maintenance contract, you can contact MarkLogic Technical Support for help.

In most cases where merges are causing disruptions to your system, you should be able to adjust the merge policy parameters to settings that will work in your environment. If you feel you need to disable merges and you have an active maintenance contract, you can contact MarkLogic Technical Support for help. Monitor the system and make sure the number of stands per forest does not grow too high. For details on setting merge controls, see “Description of Merge Policy Parameters” on page 184 and “Configuring Merge Policy Rules” on page 193.

In some cases, especially in environments with many forests and constantly changing content across many of the forests, an alternative to disabling merges is to set one or more forests to be delete-only. For details, see “Making a Forest Delete-Only” on page 326.

16.1.4 Merges Will Change Scores

When a database merges, it deletes old fragments that exist in the database, therefore changing (making it smaller) the total number of fragments in the database. Because the number of fragments in the database is used in determining the score for a `cts:search` operation, merges will have an impact on search scores, which in turn might impact the order of search results (which are ordered by relevance score).

The amount of impact that merges have on scores is dependent on how many old versions of fragments there are waiting to be merged, the content of the old fragments, and the overall size of the database. For large databases with relatively little amount of change, the difference in the scores will be very small. For smaller databases with large amount of change, the differences in scores can be significant before and after a merge completes.

16.2 Setting Merge Policy

This section describes the tools you can use to control merges, and has the following parts:

- [Overview of the Merge Policy Controls](#)
- [Description of Merge Policy Parameters](#)

In some cases, especially in environments with many forests and constantly changing content across many of the forests, another tool for setting merge policy is to set one or more forests to be delete-only (`updates allowed` set to `false`). For details, see “Making a Forest Delete-Only” on page 326.

16.2.1 Overview of the Merge Policy Controls

If you determine that you need to manage your merges, there are several types of controls to help you manage the conditions in which merges occur:

- The following controls determine the conditions under which MarkLogic Server deems a merge is desirable:
 - `merge min size`
 - `merge min ratio`

- The following controls determine the conditions under which a merge will be allowed:
 - `merge max size`
 - `merge blackout periods`
- The following control determines if multiple versions of fragments are preserved when a merge is performed:
 - `merge timestamp`
- The following controls explicitly initiate a merge (see “Manually Initiating a Merge” on page 192):
 - `xdmp:merge()`
 - The merge button in Admin Interface.
- The Admin Interface has controls for cancelling a merge (see “Cancelling a Merge” on page 192).

For more information on how set up your system to better control merges, see “Configuring Merge Policy Rules” on page 193.

16.2.2 Description of Merge Policy Parameters

The merge policy determines when automatic merges occur on a database, as well as other administrative functions. Perform the following to configure merge policy:

1. In the Admin Interface tree menu, click the Databases > *db_name* link, where *db_name* is the name of the database in which you want to specify merge policy .
2. Click `Merge Policy` in the left hand menu. The Merge Policy Configuration page appears.

Telemetry is not enabled

Merge Policy Configuration

Configure

Create

Help

Database: Documents

ok

cancel

merge policy -- Parameters controlling database merges

merge priority

lower

The CPU scheduler priority for merges.

merge max size

49152

Maximum allowable size (in megabytes) for merges, or 0 for no limit.

merge min size

1024

Stands with fewer than this number of fragments are merged together.

merge min ratio

3

Larger ratios trigger more merges.

merge timestamp

0

get current timestamp

The earliest system timestamp allowed for requests, or 0 to indicate the timestamp corresponding to the time of latest merge. Merges discard information about earlier timestamps.
Entering a value of type xs:dateTime will have it automatically converted to its corresponding timestamp.
A negative value indicates a timestamp relative to the time of the latest merge, at ten million ticks per second. For example, -6000000000 means ten minutes before the latest merge.
A value in red indicates that you have filled in the text field with the current timestamp, but have not clicked ok to save the value to your config file.

retain until backup

☐ true ☒ false

Retain deleted fragments until backup.

merge blackout periods -- Periods during which merges will not occur.

none

The following table describes the settings available on Merge Policy page.

Database Setting	Description
<code>merge priority</code>	<p>Specifies the CPU scheduler priority at which merges should run. The settings are:</p> <ul style="list-style-type: none"> <code>normal</code> specifies the same CPU scheduler priority as for requests. <code>lower</code> specifies a lower CPU scheduler priority than for requests. <p>Merges always run with normal priority on forests with more than 16 stands.</p>
<code>merge max size</code>	<p>The maximum size, in megabytes, of a stand that will result from a merge. If a stand grows beyond the specified size, it will not be merged. If two stands would be larger than the specified size if merged, they will not be merged together. If you set this to smaller sizes, large merges (which may require more disk and CPU resources) will be prevented. The default is 48 GB (49152 MB), which is recommended because it provides a good balance between keeping the number of stands low and preventing very large merges from using large amounts of disk space. Set this to 0 to allow any sized stand to merge. Use care when setting this to a non-zero value lower than the default value, as this can prevent merges which are ultimately required for the system to maintain performance levels and to allow optimized updates to the system.</p>
<code>merge min size</code>	<p>The minimum number of fragments that a stand can contain. Two or more stands with fewer than this number of fragments are automatically merged.</p>
<code>merge min ratio</code>	<p>A positive integer indicating the minimum ratio between the number of fragments in a stand and the number of fragments in all of the other smaller stands (that is stands with fewer fragments) in the forest. Stands with a fragment count below this ratio relative to all smaller stands are automatically merged with the smaller stands. For an example, see “If You Want to Reduce the Number of ‘Large’ Merges” on page 194.</p>

Database Setting	Description
merge timestamp	<p>The timestamp stored on merged stands. This is used for point-in-time queries, and determines when space occupied by deleted fragments and old versions of fragments may be reclaimed by the database. If a fragment is deleted or updated at a time after the merge timestamp, then the old version of the fragment is retained for use in point-in-time queries. Set this to 0 (the default) to let the system reclaim the maximum amount of disk space during merge activities. A setting of 0 will remove all deleted and updated fragments when a merge occurs. Set this to 1 before loading or updating any content to create a complete archive of the changes to the database over time. Set this to the current timestamp to preserve all versions of content from this point on. Set this to a negative number to specify a window of timestamp values, relative to the last merge, at ten million ticks per second. The timestamp is a number maintained by MarkLogic Server that increments every time a change occurs in any of the databases in a system (including configuration changes from any host in a cluster). To set to the current timestamp, click the <code>current timestamp</code> button; the timestamp is displayed in red until you press OK to activate the timestamp for future merges. For details on point-in-time queries, see the <i>Application Developer's Guide</i>.</p> <p>Click Get Current Timestamp to return the current merge timestamp.</p>
retain until backup	<p>Specify whether the deleted fragments are retained since the last full or incremental backup. When enabled, <code>retain until backup</code> supersedes <code>merge timestamp</code>. Deleted fragments are not merged until backups are finished, regardless of the <code>merge timestamp</code> setting. Enabling <code>retain until backup</code> is same to setting the <code>merge timestamp</code> to the timestamp of the last backup. For more information, see “Incremental Backup with Journal Archiving” on page 262.</p>
merge blackout periods	<p>Specify times when merges are disabled. To specify a merge blackout period, click the Create tab and specify when you want the blackout to occur. You can make it a recurring blackout period, or specify a one-time blackout period. Use caution when setting large blackout periods when there are significant updates occurring on the system; merges are a normal part of the self-tuning mechanism of the database, and disabling them completely or for long periods of time can cause performance degradation.</p>

16.3 Blackout Periods for Merges

Although merges are a normal part of system behavior, there are times when it is inconvenient for a merge to start. Merge blackout periods allow you to specify times when a merge should not begin. This section describes merge blackouts and includes the following parts:

- [Understanding Merge Blackouts](#)
- [Configuring Merge Blackout Periods](#)
- [Deleting Merge Blackout Periods](#)

16.3.1 Understanding Merge Blackouts

A merge blackout is a predetermined time period in which automatic merges are disabled. A Merge that starts before a merge blackout period will continue until either it completes or until it is canceled, even if the merge continues into a blackout period. If you want to stop any merges at the beginning of a blackout period, you must cancel them manually as described in “Cancelling a Merge” on page 192. Because merges that start just before a blackout period will continue into the blackout period, if you want to be sure no merges occur during a time period you should make the blackout period start earlier. This is especially true for merges that might run a long time.

If the system determines that a merge is required and it is during a blackout period, the merge will not begin until the blackout period is past.

16.3.2 Configuring Merge Blackout Periods

Perform the following to configure merge blackout periods:

1. In the Admin Interface tree menu, click the Databases > *db_name* link, where *db_name* is the name of the database in which you want to specify merge blackout periods.
2. Click the Merge Policy menu item under your database. The Merge Policy Configuration page appears.
3. Click the Create tab. The Add Merge Blackout page appears.

Add Merge Blackout Periods to a Database

merge blackout type

☒ recurring ☐ one time

this blackout will

☒ disable merges completely ☐ limit merges to: MBs

days

☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday ☐ Saturday ☐ Sunday

The days this blackout is active.

this blackout will last

☒ all day ☐ for a time period

ok

cancel

4. Fill in the form as needed for the blackout period you want to create. Clicking the radio buttons will bring up more forms to complete.
5. Click OK to create the blackout period.

The new blackout period will take effect immediately.

16.3.3 Deleting Merge Blackout Periods

Perform the following to delete a merge blackout period:

1. In the Admin Interface tree menu, click the Databases > *db_name* link, where *db_name* is the name of the database in which you want to delete a merge blackout period.
2. Click the Merge Policy menu item under your database. The Merge Policy Configuration page appears.
3. In the area corresponding to the blackout period you want to delete, click the Delete button.
4. Click OK on the confirmation page to delete the blackout period.

The blackout period is deleted immediately.

16.4 Merges and Point-In-Time Queries

When a merge occurs, it deletes all fragments from the stands being merged that have a system timestamp older than the configured `merge timestamp` (unless the `merge timestamp` is set to 0, in which case it will delete all fragments older than the current timestamp). This can keep multiple versions of some fragments in the database. You can query the older fragments using point-in-time queries. For details, see the chapter on “Point-In-Time Queries” in the *Application Developer's Guide*.

16.5 Setting a Negative Merge Timestamp to Preserve Fragments For a Rolling Window of Time

If you are doing update operations and you want the ability to roll back to the point in time when you started, you can set the `merge timestamp` to a negative number to preserve fragments for the specified number of ticks. The ticks are calculated at 10,000,000 ticks per second.

For example, if you want to preserve deleted fragments for 24 hours (relative to the last merge), then you can set the `merge timestamp` to -864,000,000,000 (10,000,000 ticks/second times 60 seconds/minute times 60 minutes/hour times 24 hours/day). You can then use `xtmp:forest-rollback` on all of the forests in the database to roll back up to a day (or whatever time period you have set your negative merge timestamp).

If you do set a negative value for the `merge timestamp` parameter, keep in mind that you will keep deleted fragments for that period of time, so your database will be that much larger during that period. This could be significant, especially if you end up reloading several times during that period.

The following table shows the negative `merge timestamp` for specified periods of time.

Time Period to Preserve Fragments	Calculation	<code>merge timestamp</code> Value
5 minutes	$10000000 * 60 * 5$	-30000000000
1 hour	$10000000 * 60 * 60$	-360000000000
24 hours	$10000000 * 60 * 60 * 24$	-864000000000

16.6 Monitoring a Merge

There are two main places to look for monitoring information about merges:

- [Messages in the ErrorLog.txt File](#)
- [Database Status Page](#)

16.6.1 Messages in the ErrorLog.txt File

MarkLogic Server logs INFO level messages to the `ErrorLog.txt` file whenever a merge begins, completes, or is canceled. Additionally, there are other log messages that are logged at more detail logging levels during a merge. The following are some sample log messages for a typical merge:

```
2006-04-20 13:43:11.151 Info: Merging /var/opt/MarkLogic/Forests/bill/
00000004 and /var/opt/MarkLogic/Forests/bill/00000005 to /var/opt/
MarkLogic/Forests/bill/00000006
2006-04-20 13:43:15.726 Debug: OnDiskStand /var/opt/MarkLogic/Forests/
bill/00000006, disk=47MB, memory=20MB
2006-04-20 13:43:15.726 Info: Merged 81 MB in 4 s at 20 MB/s to /var/
opt/MarkLogic/Forests/bill/00000006
2006-04-20 13:43:15.806 Debug: ~OnDiskStand /var/opt/MarkLogic/
Forests/bill/00000004
2006-04-20 13:43:15.806 Debug: ~OnDiskStand /var/opt/MarkLogic/
Forests/bill/00000005
2006-04-20 13:43:15.859 Info: Deleted /var/opt/MarkLogic/Forests/bill/
00000004
2006-04-20 13:43:15.894 Info: Deleted /var/opt/MarkLogic/
Forests/bill/00000005
```

If you cancel a merge, you will see an message similar to the following in the `ErrorLog.txt` file:

```
2006-05-08 17:45:44.027 Error: PooledThread::run: XDMP-CANCELED:
Canceled merge of stands: 13419435601900621379, 6182944041533805976 to:
C:\Program Files\MarkLogic\Data\Forests\bill\0000009a
```

By examining the `ErrorLog.txt` file, you can determine when a merge started, when it completed, which stands were merged together, what stand they were merged into, the size of the merge, and other useful information.

Note: There must be sufficient disk space on the file system in which the forest data is stored for a merge to complete successfully; if a merge runs out of disk space, it will fail with an error message. Also, there must be sufficient disk space on the file system in which the log files reside to log any activity on the system. If there is no space left on the log file device, MarkLogic Server will abort. Additionally, if there is no disk space available to add messages to the log files, MarkLogic Server will fail to start.

16.6.2 Database Status Page

You can access the Database Status page by clicking the Databases > *db_name* link in the tree menu, then clicking the Status tab in the Admin Interface. The Database Status page lists the merge state, which indicates if a merge is going on, shows the size of the merge, and estimates how long it will take the merge to complete. Additionally, the Database Status page includes a link to cancel the current merge (for details, see “Cancelling a Merge” on page 192).

During a merge, the merge rates are reported, as shown below. The rate reported in the Merging status is the merge rate of all merges on the forest, averaged over the last few seconds. The Merge Reads and Writes reported in the Rates status are the merge rates for the current merge, averaged over the entire duration of that merge.

Forest	Stand	Merging			Stands	Size	Rate	Estimated Completion			
normal1	0000002f	00000021, 0000002d, 0000002c			3	5,225 MB	9.73 MB/s	00:07:10		[cancel]	
normal2	0000002f	00000021, 0000002d, 0000002c			3	5,225 MB	9.52 MB/s	00:07:22		[cancel]	
normal4	0000002f	00000021, 0000002d, 0000002c			3	5,217 MB	9.64 MB/s	00:07:12		[cancel]	
normal3	0000002f	00000021, 0000002d, 0000002c			3	5,219 MB	9.71 MB/s	00:07:10		[cancel]	
		Total			12	20,886 MB	38.6 MB/s	00:07:22			
Rates (Megabytes per Second)											
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes
normal1	0.01058813	224.5725	47.83655	255.4856	262.1359	0	0	0	0	0	0
normal2	0.0105857	224.2224	47.49013	256.3462	262.5916	0	0	0	0	0	0
normal4	0.01058748	224.1669	47.64601	247.1471	253.5545	0	0	0	0	0	0
normal3	0.01059297	225.6196	47.74155	253.7335	260.6433	0	0	0	0	0	0

16.7 Explicit Merge Commands

This section describes how to manually perform the following operations:

- [Manually Initiating a Merge](#)
- [Cancelling a Merge](#)

16.7.1 Manually Initiating a Merge

You can manually initiate a merge, either by explicitly issuing the `xmdp:merge` command as described in [Merging the Forests in a Database](#) in the *Scripting Administrative Tasks Guide*, or by clicking the Merge button on the database configuration page of the Admin Interface. Either of these actions will immediately begin a merge on the database (if using `xmdp:merge`, on the database to which the App Server that responds to the request is connected, or if using the Admin Interface, the database being configured). Manually initiated merges continue even when merges are disabled for a database.

When you issue an `xmdp:merge` command or click the Merge button, it will begin a merge even if one would not occur automatically. If no options are specified to `xmdp:merge`, default values are used (not the configured values for the database).

Note: If you have updates occurring on the system while a merge is in progress, the new fragments will not be merged during the active merge operation; they will be merged during a subsequent merge.

Manually initiating a merge is useful when you have your merge controls set such that very large merges do not occur (for example, `merge min ratio` set to 1), but you want to run the large merges during a period of low activity on your system. It can also be useful for expunging deleted fragments that have not yet reached the threshold for automatic merges. Note that if a `merge timestamp` is set on the database, even a forced merge will not merge out deleted fragments up to the merge timestamp. In normal situations, deleted fragments are retained for a short period of time. If you want to forcibly merge those, you need to explicitly set the `merge-timestamp` option to the current timestamp in your `xmdp:merge` call.

The `xmdp:merge` API also allows you to specify options to the merge to control the maximum merge size, the forests which are merged, whether to merge to a single stand, as well as other options. For details, see `xmdp:merge` in the *MarkLogic XQuery and XSLT Function Reference*.

16.7.2 Cancelling a Merge

You can cancel a merge in the Database Status page of the Admin Interface (Databases > `db_name` > Status tab). If you access the status page for a database during a merge, on the part of the status page for the stand(s) being merged, there is a cancel button (usually on the bottom right of the status page).

Forest	Stand	Merging	Stands	Size	Rate	Estimated Completion
bill	00000063	00000062	1	52 MB	2.58MB/s	00:00:17 [cancel]
Total			1	52 MB	n/a	n/a

When you cancel a merge, the new stand that has not completed its merge is discarded, leaving the unmerged stands as they were before the merge began. Note that if you cancel an automatic merge, it might start up a new merge as soon as it is canceled (if the merge controls are set such that a merge is triggered). To avoid this situation, you can change some of the merge control parameters before you cancel an automatic merge.

To cancel a merge:

1. Click the Databases menu item in the Admin Interface.
2. Click the name of the database, either from the tree menu or from the summary page.
3. Click the Status tab.
4. At the bottom right of the Database Status page, click the cancel button on the row for the stand being merged.
5. Click OK on the Cancel Merge confirmation page.

The merge is canceled and the Database Status page appears again.

16.8 Configuring Merge Policy Rules

By changing some of the merge policy parameters, you can effectively control certain aspects of your merges. The descriptions in “Description of Merge Policy Parameters” on page 184 describes what each parameter does. This section describes some scenarios with suggestions for how to tune the merge control parameters to satisfy the conditions. It includes the following parts:

- [Determine the Baseline for Your Merges](#)
- [If You Want to Reduce the Number of ‘Large’ Merges](#)
- [Other Solutions](#)

16.8.1 Determine the Baseline for Your Merges

The merge characteristics of your system depend on many factors, including the size of your forests, the amount of update activity on the system, and the way your data is fragmented. If you feel you need to change the configuration of your merges, the first step is to determine the merge characteristics for your database. This requires running your system under normal loads, then analyzing the log files to determine the following about your merges:

- average size of the merges
- average frequency of the merges
- average time it takes for the merges to complete

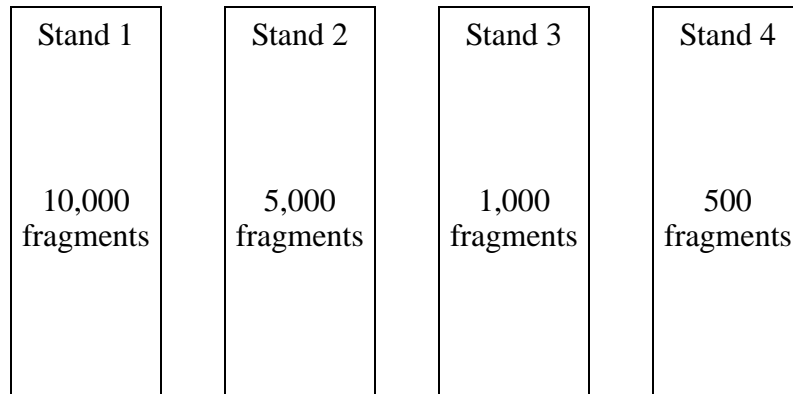
If it turns out that your merges are never taking more than a few minutes to complete, then there is probably no need to change any of your settings.

16.8.2 If You Want to Reduce the Number of ‘Large’ Merges

In most cases, MarkLogic Server will perform relatively small merges just often enough to keep the system properly optimized. Small merges are generally not very disruptive and reasonably fast. In some cases, however, you might find that your merges are too large and are taking too much time. Exactly how large constitutes a “Large” merge is difficult to measure, but if you determine that your merges are too large, then you might want to try and configure your settings to avoid a really large merge.

One way to avoid large merges is to set the `merge max size` value. If you do set this value, however, you should only set it to a value as a temporary way to control your maximum merge size, as it can lead to a state where the database really needs to perform a large merge but cannot. Such a situation can lead to a poorly optimized system. One way to think about large merges is to compare them to sleeping for people; a person can go without much sleep for relatively short periods of time (a day or two or maybe even three for some people), but eventually, the person needs sleep or else he begins to function extremely poorly. Similarly, if a database is growing, it will eventually need to perform a large merge. Also, be careful not to set `merge max size` to such a small value that you end up with a very large number of stands. Always use care when setting the `merge max size` value, as you might end up with a large number of stands in your database, which can cause it to perform poorly and, when it reaches the maximum number of stands (64), will cause it to go offline.

Another way to accomplish a goal of reducing the number of large merges is to lower the value for `merge min ratio` to 1. A value of 1 for `merge min ratio` will not stop large merges from happening, but will make large merges only occur when the number of fragments in your largest stand is equal to the number of fragments in all of the other stands combined. Therefore, the only time merges will be more than 1/2 the size of your forest is when the fragment count of the sum of all but the largest stand is equal to or greater than the fragment count of the largest stand. To illustrate this, consider a forest with the following scenario:



If the `merge min ratio` is set to 1, then a stand can merge if the following ratio is less than 1:

$$\frac{\text{\# of fragments in a stand}}{\text{total \# of fragments in all other smaller stands in the forest}}$$

Substituting in the values from the example for stand 1 yields:

$$10000 / (5000 + 1000 + 500) = 10000 / 6500 = 1.54$$

which is greater than 1. Therefore stand 1 is not merged. Next putting in the values for stand 2 yields:

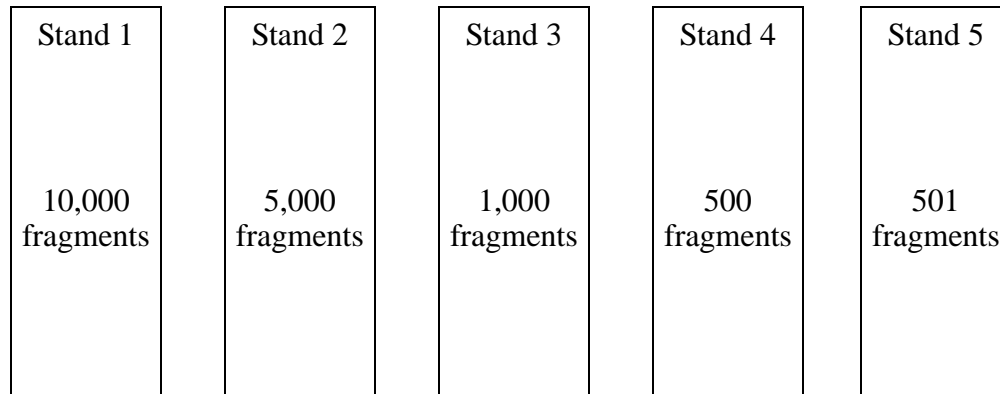
$$5000 / (1000 + 500) = 5000 / 1500 = 3.33$$

which is greater than 1. Therefore stand 2 is not merged. Next putting in the values for stand 3 yields:

$$1000 / 500 = 2.0$$

which is greater than 1. Therefore stand 3 is not merged. Therefore, if the forest remains in a steady state (that is, no new content is added), then a `merge min ratio` of 1 will cause this forest to not be merged.

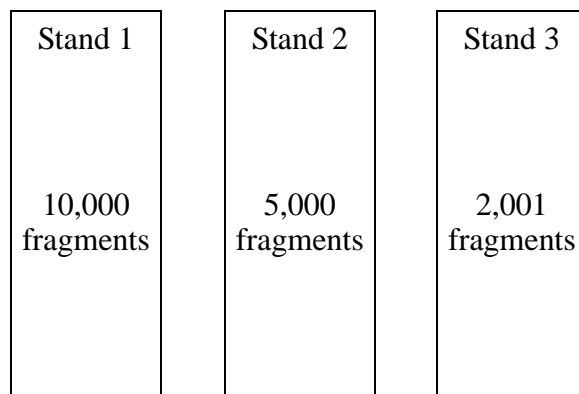
Now, consider that a load is happening during this time and a stand that has 501 fragments is saved into the forest. The result is 5 stands as follows:



Now, substituting in the values for stand 3 yields:

$$1000 / (500 + 501) = 1000 / 1001 = 0.99$$

which is less than 1. Therefore stand 3 is merged. Note that stands 4 and 5 are smaller than stand 3, so the sum of the fragments in those stands appear in the denominator of the `merge min ratio`. Therefore stands 3, 4, and 5 are merged. Therefore, a `merge min ratio` of 1 will cause this forest to be merged down to 3 stands, where stands 1 and 2 remain unmerged and stands 3, 4, and 5 are merged together into a new stand. The stands will now look as follows:



Note that, in a real world scenario with relatively large forests, this scenario (where the sum of the smaller stands fragment counts have as many fragments as the largest stand) will not happen very often, but will happen occasionally. For example, if another 3,000 fragments continued to accumulate in this forest, then stand 1 would merge with the other stands.

16.8.3 Other Solutions

In some cases, changing the merge parameters might not be the best solution for your system. For example, if your merges are taking a very long time due to slow disk drives or other system contention, addressing those issues might do more to help your merge times than any amount of tuning can do. Also, if your merges are extremely large, it could be that the forests are larger than optimal. There is no fixed maximum size for a forest, but experience in the field has shown that when forests grow over 512GB, query performance tends to start to decrease while merge times tend to start to increase. If your forests are larger than 512GB, consider breaking them into multiple forests.

17.0 Database Rebalancing

As your needs for data in a database expand and contract, the more evenly the content is distributed among the database forests, the better its performance and the more efficient its use of storage resources. This chapter describes the database rebalancing mechanism that enables MarkLogic Server to evenly distribute content among the database forests.

This chapter includes the following topics:

- [Overview of the Database Rebalancer](#)
- [Rebalancer Trigger Events](#)
- [Rebalancer Document Assignment Policies](#)
- [How the Rebalancer Moves Documents](#)
- [Configuring the Rebalancer on a Database](#)
- [Configuring the Rebalancer on a Forest](#)
- [Retiring a Forest from the Database](#)
- [Checking the Rebalancer Status](#)
- [How the Rebalancer Interacts with other Database and Forest Settings](#)
- [Rebalancer Settings after Upgrading from an Earlier Release](#)

17.1 Overview of the Database Rebalancer

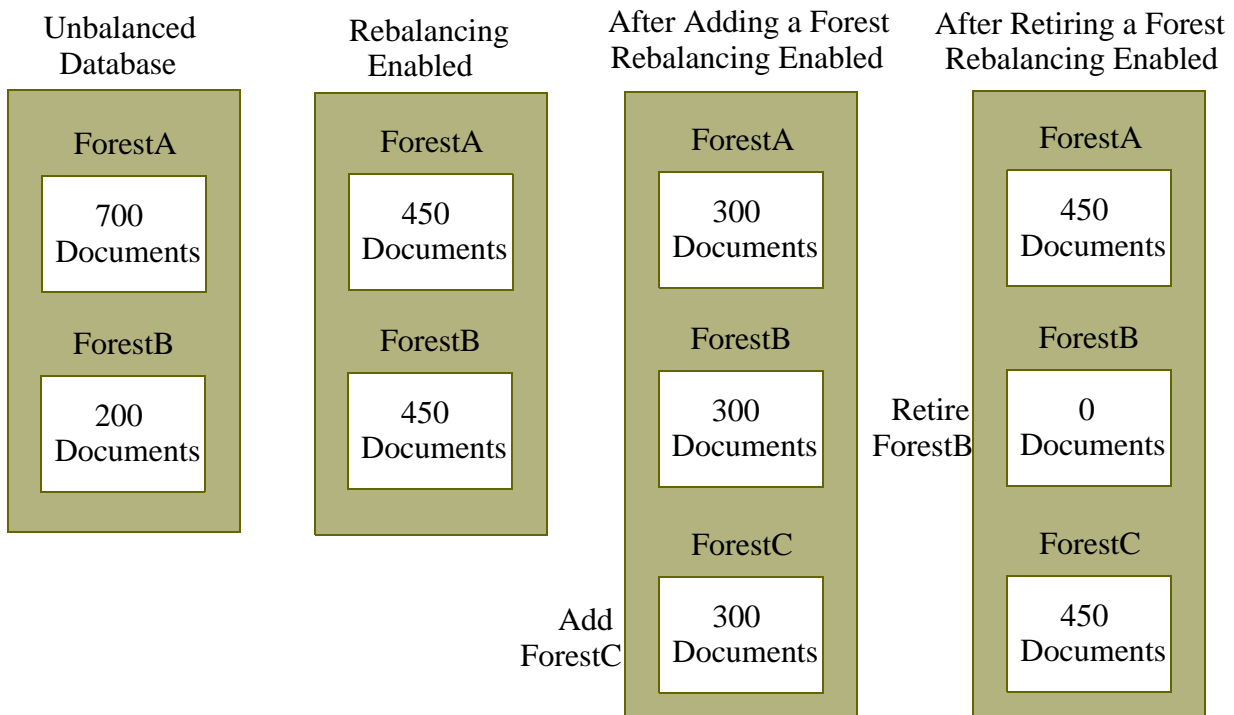
A database rebalancer consists of two parts: an *assignment policy* for data insert and rebalancing and a *rebalancer* for data movement. The rebalancer can be configured with one of several assignment policies, which define what is considered “balanced” for a database. You choose the appropriate policy for a database. The rebalancer runs on each forest and consults the database's assignment policy to determine which documents do not “belong to” this forest and then pushes them to the correct forests.

Note: Document loads and inserts into the database follow the same document assignment policy used by the rebalancer, regardless of whether the rebalancer is enabled or disabled.

When you add a new forest to a database configured with a rebalancer, the database will automatically redistribute the documents among the new forest and existing forests. You can also *retire* a forest in a database to remove all of the documents from that forest and redistribute them among all of the remaining forests in the database.

In addition to enabling and disabling on the database level, the rebalancer can also be enabled or disabled at the forest level. For the rebalancer to run on a forest, it must be enabled on both the database and the forest.

The following illustration shows how 900 documents might be distributed between database forests before rebalancing, after rebalancing, after adding a new forest to the database, and after retiring a forest from the database.



17.2 Rebalancer Trigger Events

In addition to the rebalancer periodically rebalancing the database, the following events trigger the rebalancer process:

- Any configuration changes to the database, such as adding a new forest or retiring an existing forest.
- Upon completion of a restore operation on the database.
- Upon completion of a backup operation on the database.

17.3 Rebalancer Document Assignment Policies

A database is given an *assignment policy* that defines the logic used by the forests when reassigning documents to the other forests participating in the rebalancer process. Though they run in separate threads, both the rebalancer process and the document load/insert process follow the same assignment policy set on the database for the rebalancer.

The five commonly used assignment policies are as follows:

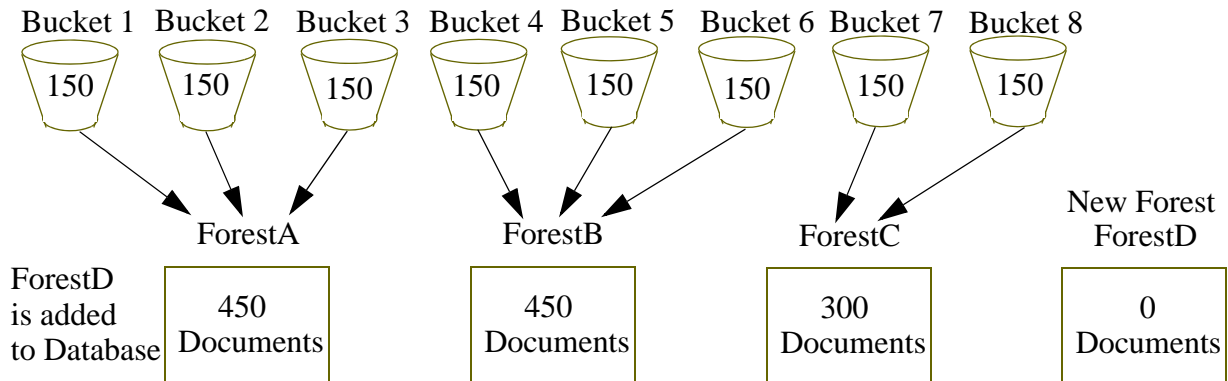
- [Bucket Assignment Policy](#)
- [Segment Assignment Policy](#)
- [Statistical Assignment Policy](#)
- [Range Assignment Policy](#)
- [Query Assignment Policy](#)
- [Legacy Assignment Policy](#)

17.3.1 Bucket Assignment Policy

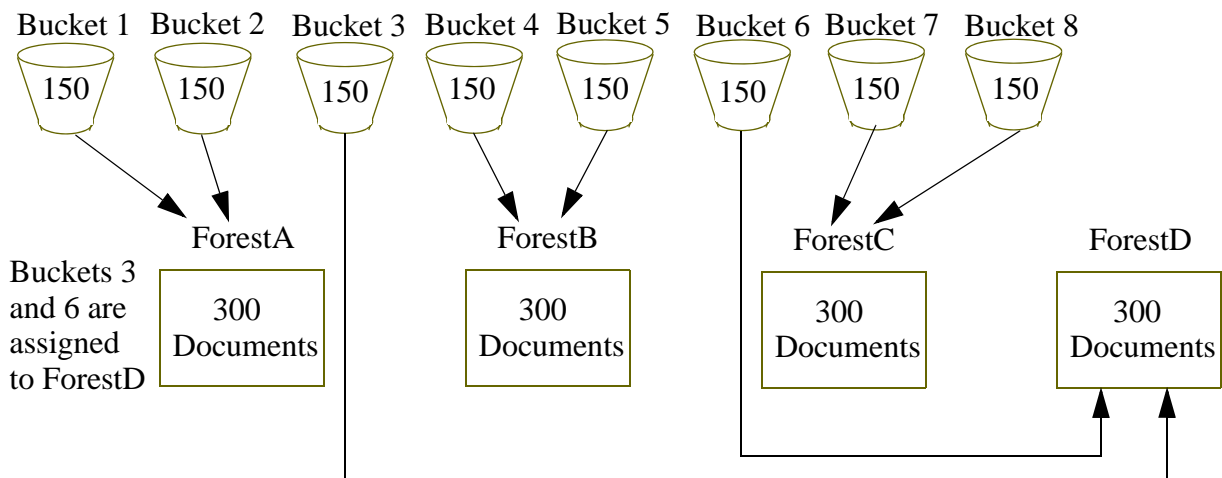
The bucket policy uses the URI of a document to decide which forest the document should be assigned to. The URI is first "mapped" to a bucket then the bucket is "mapped" to a forest. The mapping from a bucket to a forest is kept in memory for fast access. The number of buckets is always 16K, regardless of the number of forests in the database.

Note: How document URIs are mapped to buckets and buckets are mapped to forests are non-configurable implementation details.

Though there are 16K buckets used by the bucket assignment policy, for the purposes of the example illustrated below, assume there are eight buckets that distribute the 1200 documents across three forests: ForestA, ForestB, and ForestC and that the document URIs allow for even distribution of them among the buckets. ForestD is then added to the database and the rebalancer moves 1/3 of the documents from Forests A and B to ForestD by reassigning Bucket 3 from ForestA to ForestD and Bucket 6 from ForestB to ForestD.



Rebalancer Process Begins



The bucket assignment policy is, in most situations, the most efficient document assignment policy because it is deterministic and it moves the least amount of data of the deterministic assignment policies.

17.3.2 Segment Assignment Policy

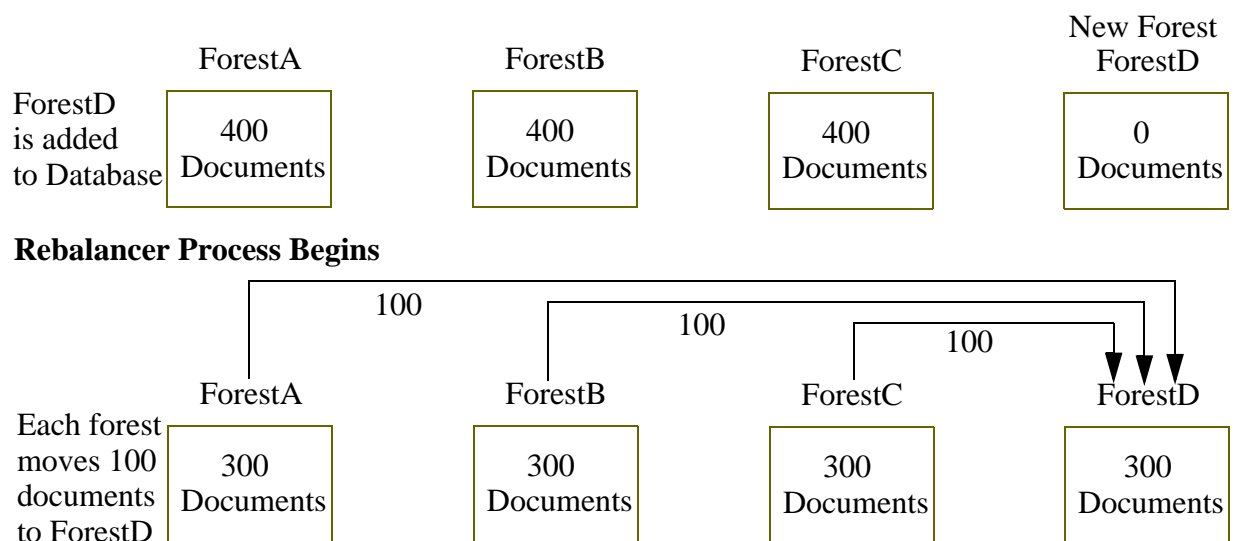
Unlike the legacy policy, described in “Legacy Assignment Policy” on page 207 that ensures that documents are evenly distributed across forests in the database, the segment policy ensures that fragments are evenly distributed across the forests. The segment policy assigns fragments to forests based on their document URIs to allow for fast locking.

The segment policy is the most efficient rebalancing policy when you are adding or reducing the number of forests by 30% or more. For example, if the number of forests doubles, the half of the fragments in the existing forests are assigned to the newly added forests. Conversely, if the number of forests is reduced by half, all of the fragments in a retired forests are assigned to the remaining forests.

17.3.3 Statistical Assignment Policy

The statistical policy does not map a URI to a forest. Instead, each forest keeps track of how many documents it has and broadcasts that information to the other forests through heartbeats. The rebalancer then moves documents from the forests that have the most documents to the forests that have the least number of documents. When a new forest is added, the statistical policy moves the least number of documents to get to a balanced state. All forests don't have to have the exact same amount of documents for a database to be considered “balanced.”

For example, as shown in the figure below, a new forest, ForestD, is added to the database that already has three forests: ForestA, ForestB, and ForestC, each contains 400 documents. Each of the existing forests move 100 documents to the new forest, ForestD.



Note: The number of documents in above example is used for the purposes of illustrating the behavior of the rebalancer when the statistical policy is set. In practice, it is inefficient to move such a small number of documents between forests. Typically, you will not see any significant rebalancing of documents between forests until the number of documents in the database exceeds 100,000.

Note: If your database is balanced (the document count on each forest is roughly the same), setting the assignment policy to statistical will not trigger major data movement and any new inserts from then on will be automatically balanced across the forests.

17.3.4 Range Assignment Policy

The range policy is designed for use with Tiered Storage Range Partitions described in “Range Partitions” on page 220. It uses a range index value to decide which forest a document should be assigned to. When setting the range policy, you specify a range index for use as the *partition key* and configure each forest attached to the database with a *range* that defines a lower and upper end.

Note: Avoid using the range policy to manage documents that might have more than one value for a range index, as the behavior in such a circumstance is undefined.

There may be multiple forests that cover the same range, but two forests cannot have partially overlapped ranges. For example, it is valid for both ForestA and ForestB to cover (1 to 10) but not valid for ForestA to cover (1 to 6) while ForestB covers (4 to 10). It is also not valid for ForestA to cover (1 to 10) while ForestB covers (4 to 9). Among those forests that cover the same range, documents are assigned to the forests based on their document count, following a similar mapping process as the statistical policy described in “Statistical Assignment Policy” on page 203.

Note: In order to accommodate range “gaps” and documents that do not contain an element used as the partition key, you should always configure a *default forest*, as described below.

If a document has been processed by the Content Processing Framework (CPF), the property documents associated with the document may have a partition key value that is different from that in the document. When using the range policy, you may want to use the

`xdmp:document-add-properties` or `xdmp:document-set-properties` function to put the same partition key value as specified in the document into the property documents to ensure that they are moved to the same forest as the original document. For example, the partition key is `creation-date` and the `example.xml` document has a `creation-date` of `2010-01-02`, but its associated property documents contain no `creation-date` element. You could then use the `xdmp:document-add-properties` function as follows to add a matching `creation-date` element to the `example.xml` property documents.

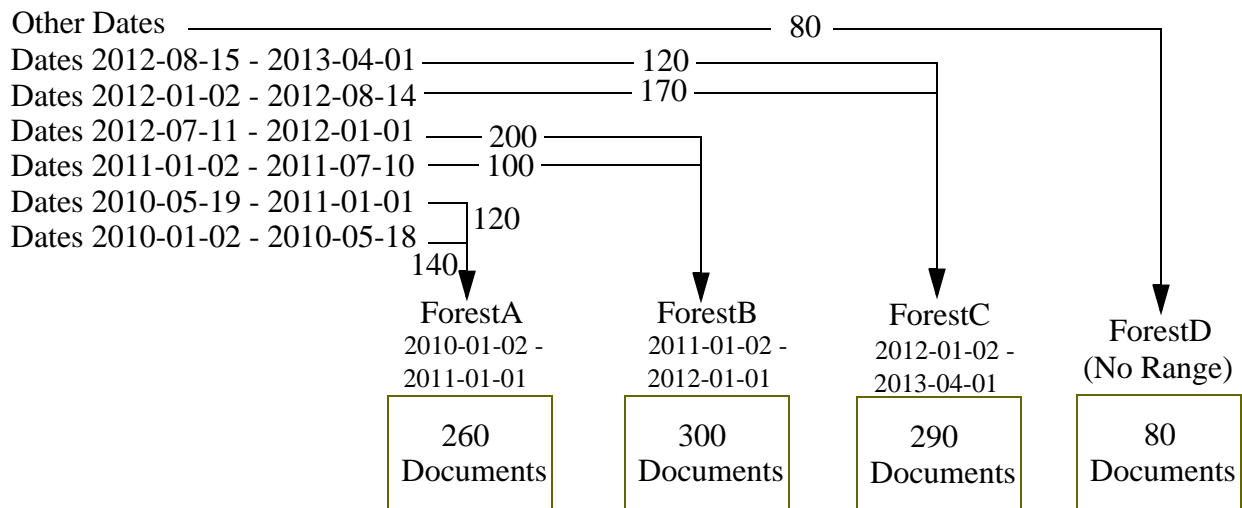
```
xdmp:document-add-properties (
  "example.xml",
  (<creation-date>2010-01-02</creation-date>))
```

A forest with no range value behaves as the default forest, which means that documents that do not fit into any of the ranges set on the other forests are moved to the default forest. You cannot retire a forest unless there is another forest for the documents to move to, which means that there must either be another forest with the same range as the retired forest or that there is a default forest (no range set) attached to the database. If a database contains no default forest, an attempt to retire a forest containing documents with partition key values that do not match the ranges in the other forests will not be successful.

Note: You should always define a default forest when configuring the range assignment policy.

For example, as shown in the figure below, you have documents that are organized into 6 volumes and each document contains a `<creation-date>` element that indicates when that document was created. You can create an element range index, named `creation-date`, of type `date` and identify `creation-date` as the partition key for the range policy. If you have four forests, you can set the lower bound of the range on the ForestA to `2010-01-02` and the upper bound to `2011-01-01`; on ForestB, the lower bound to `2011-01-02` and the upper bound to `2012-01-01`, and on ForestC, the lower bound to `2012-01-02` and the upper bound to `2013-04-01`. The fourth forest, ForestD, is designated as the default forest by not specifying a range. Any documents that have dates that fall outside of the date ranges set for the other forests and directed to the default forest.

Volume Date Ranges



17.3.5 Query Assignment Policy

The query assignment policy, like the range assignment policy, is designed for use with Tiered Storage Query Partitions described in “Query Partitions” on page 222. The query assignment policy works in a similar manner as the range assignment policy. However, rather than using lower and upper bound values to determine which documents are in a partition, the query assignment policy uses a query to determine which documents are in a partition. Users have the flexibility to use multiple keys and use different conditions for different types of documents.

With range assignment policy, the boundaries are fixed. However, you might want to rebalance the documents based on the difference between the entry time and the current time. When a range query compares a `dateTime` with duration, it becomes an age query.

For example, the following query will match documents where “LastModified” is within past year:

```
cts:element-range-query(
  xs:QName("LastModified"),
  ">=",
  xs:yearMonthDuration("P1Y"))
```

When creating a query partition, you assign it a partition number. Unlike range partitions, queries set for partitions using the query assignment policy can have “overlaps,” but, in the event of an overlap, the partition with lower number is selected before partitions with higher numbers.

Note: As is the case with range assignment policy, you should always define a default partition when configuring the query assignment policy.

The following is an example of query assignment policy setup. MD and AD are elements in the documents.

Partition Name	Tier1	Tier2	Tier3	Tier4
Partition Number	1	2	3	4
Query	(Termination eq yes) OR (Source eq "Hiring" AND MD > 30 days) OR (Source eq "CFO" AND MD > 30 days)	(Source eq "Hiring" AND MD <= 30 days AND MD > 1 year) OR (Source eq "CFO" AND MD <= 30 days AND MD > 60 days) OR (Source eq "Benefits" AND AD > 1 year)	(Source eq "Hiring" AND MD <= 1 year AND MD > 3 years) OR (Source eq "CFO" AND MD <= 60 days) OR (Source eq "Benefits" AND AD <= 1 year)	(Source eq "Hiring" AND MD <= 3 years)
Default	Yes	No	No	No

There is only one `cts:query` per partition.

When the query assignment policy is used, the following rules are used for document insert:

- The partition number is used for priority. If there is more than one query that match the document, the partition with the lower partition number is used.
- If none of the queries matches the document, the default partition is used.
- If there is no default partition, the forests without a partition number are used.
- Otherwise, it is an error.

Among the forests in a partition, the documents are assigned to the forests using the statistical assignment policy.

The query requires the proper indexes to be configured in the database. The complexity of the query affects the performance of insert and rebalancing. Therefore slow queries such as those with wildcard matching are not recommended.

See “Setting the Query Assignment Policy for the Query Partition” on page 231 for details on how to set the query assignment policy.

17.3.6 Legacy Assignment Policy

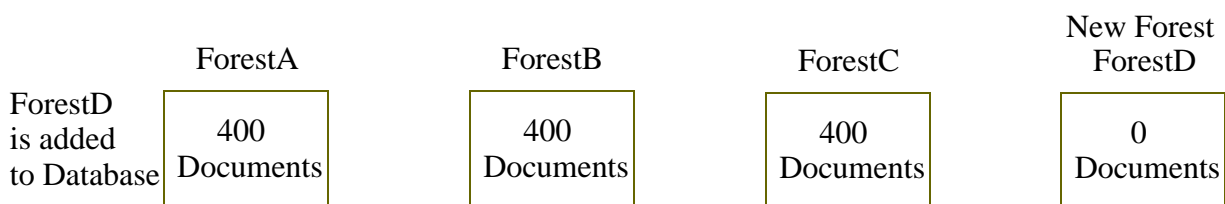
After upgrading to MarkLogic 7.0 or a later version, existing databases will be configured with the rebalancer disabled and the legacy assignment policy. This is to preserve the expected behavior when new documents are loaded into the database.

Note: Under most circumstances you would not use the legacy policy when the database rebalancer is enabled. The segment policy, described in “Segment Assignment Policy” on page 202, is generally preferred over the legacy policy.

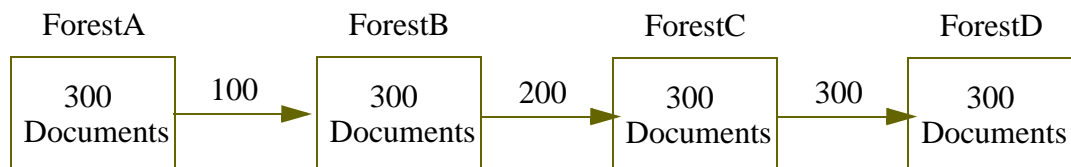
The legacy policy uses the URI of a document to decide which forest the document should be assigned to. The mapping from a URI to a forest uses the same algorithm as the one used on older releases of MarkLogic Server.

For example, as shown in the figure below, a new forest, ForestD, is added to the database that already has three forests: ForestA, ForestB, and ForestC, each contains 400 documents because the document URIs allow for even distribution of them among the forests. The data is rebalanced as follows:

- ForestA moves 100 documents to ForestB
- ForestB moves 200 documents to ForestC
- ForestC moves 300 documents to ForestD



Rebalancer Process Begins



The legacy policy is the least efficient rebalancer policy, as it requires the greatest amount of document movement to rebalance the documents among the forests. For this reason, you should only use the legacy policy on legacy databases with the rebalancer disabled.

17.3.7 Summary of Assignment Policies

The following table summarizes the characteristics of the rebalancer assignment policies:

Policy	Data Movement	Deterministic?	Backward Compatible?
Bucket	Less	Yes (URI based)	No
Segment	Most	Yes (URI based)	No
Statistical	Least	No	No
Range	Less	Yes (Partition key based)	No
Query	Less	Yes (Partition key based)	No
Legacy	Most	Yes (URI based)	Yes

17.4 How the Rebalancer Moves Documents

There are many similarities between the rebalancing process and the reindexing process. Rebalancing is configured at the database level and individual rebalancing processes run separately on each forest.

The main task of the rebalancer is to consult the assignment policy associated with the database to get a list of documents (URIs) that do not “belong to” this forest and then push them out to the right forests. The deletion of documents from the rebalancing forest and the insertion of them into the right forests happens in the same transaction. All fragments with the same URI are handled by the same transaction. Each transaction moves a batch of documents.

When rebalancing is enabled, you can configure the rebalancer throttle for a database. The rebalancer throttle works the same as the reindexer throttle in that it establishes the priority of system resources devoted to rebalancing. When the rebalancer throttle is set to 5 (the default), the rebalancer works aggressively, starting the next batch of rebalancing soon after finishing the previous batch. When set to 4, it waits longer between batches, when set to 3 it waits even longer, and so on until when it is set to 1, it waits the longest. The higher numbers give rebalancing a higher priority and uses the most system resources.

The following sections describe how documents are moved when forests are reconfigured for the database:

- [How Data is Moved when a Forest is Attached to the Database](#)

- [How Data is Moved when a Forest is Retired from the Database](#)

17.4.1 How Data is Moved when a Forest is Attached to the Database

Attaching an empty forest to a database is the same as adding a new forest. If the forest contains existing documents, they will participate in the rebalancing with the documents that are in the other forests that are already attached to the database.

17.4.2 How Data is Moved when a Forest is Retired from the Database

If a rebalancer-enabled forest is retired, the rebalancer empties the forest by “balancing out” all of the documents to the other forests attached to the database. The rebalancers on other forests re-calculate document routing as if the retired forest no longer exists. For new inserts, the retired forest is excluded from consideration by the document assignment policy.

Note: Retire is a separate operation from detach or delete. A read-only forest cannot be retired. To preserve all of the documents in the database, you must first retire a forest to rebalance the documents on the remaining forests in the database before detaching that forest.

17.5 Configuring the Rebalancer on a Database

You can configure and monitor the rebalancing process through the Admin Interface or the Admin APIs.

To configure the rebalancer on a database, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Decide which database for which you want to configure the rebalancer.
3. Click the database name, either on the tree menu or the summary page.

The Database Configuration page displays.

4. Scroll down the Database Configuration page to the assignment policy and set the Rebalancer Enable to `true`.

- From the assignment policy pull-down menu, select the assignment policy. For details on the available rebalancer assignment policies, see “Rebalancer Document Assignment Policies” on page 200.

- From the rebalancer throttle pull-down menu, select the rebalancer throttle setting. For details on the rebalancer throttle, see “How the Rebalancer Moves Documents” on page 208.
- Click OK.

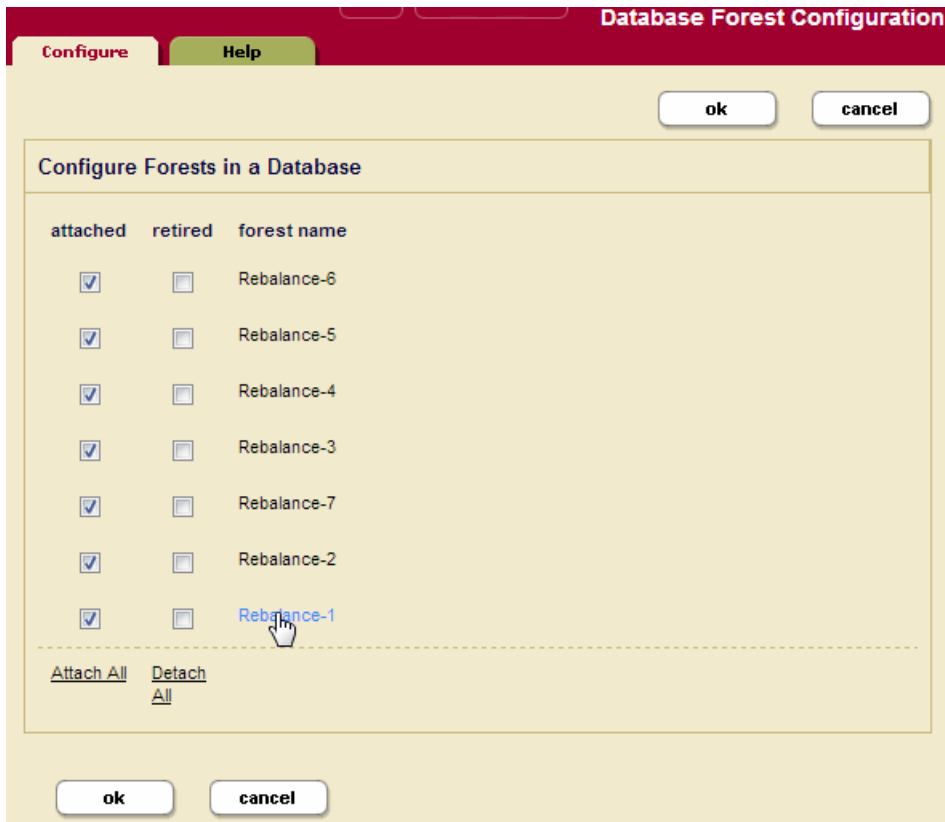
17.6 Configuring the Rebalancer on a Forest

In addition to enabling and disabling on the database level, as described in “Configuring the Rebalancer on a Database” on page 209, the rebalancer can also be enabled or disabled on each individual forest. For the rebalancer to run on a forest, it must be enabled on both the database and the forest.

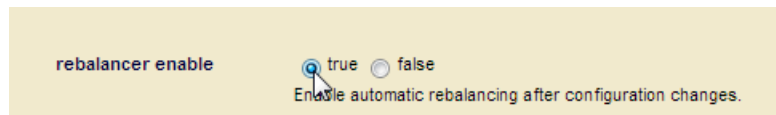
Note: The rebalancer is enabled on each new forest by default.

To configure the rebalancer on a forest, complete the following procedure:

- Click the Databases icon on the left-tree menu.
- Select the database for which you want to configure the forest.
- Click the database name, either on the tree menu or the summary page.
- In the left-tree menu under the database name, select Forests.
- In the Database Forest Configuration page, select the forest for which you want to enable or disable the rebalancer.



6. In the Forest Configuration page, scroll down to Rebalancer Enable and set to `true` to enable the rebalancer or `false` to disable the rebalancer.



7. If you have configured the forest's database with the range assignment policy, you can set the range for this forest in the lower bound and upper bound fields. Do not set a range if this forest is to serve as a default forest.

range -- Range configuration for the range assignment policy.

lower bound	<input type="text"/>	The lower bound of the range on the forest.
upper bound	<input type="text"/>	The upper bound of the range on the forest.

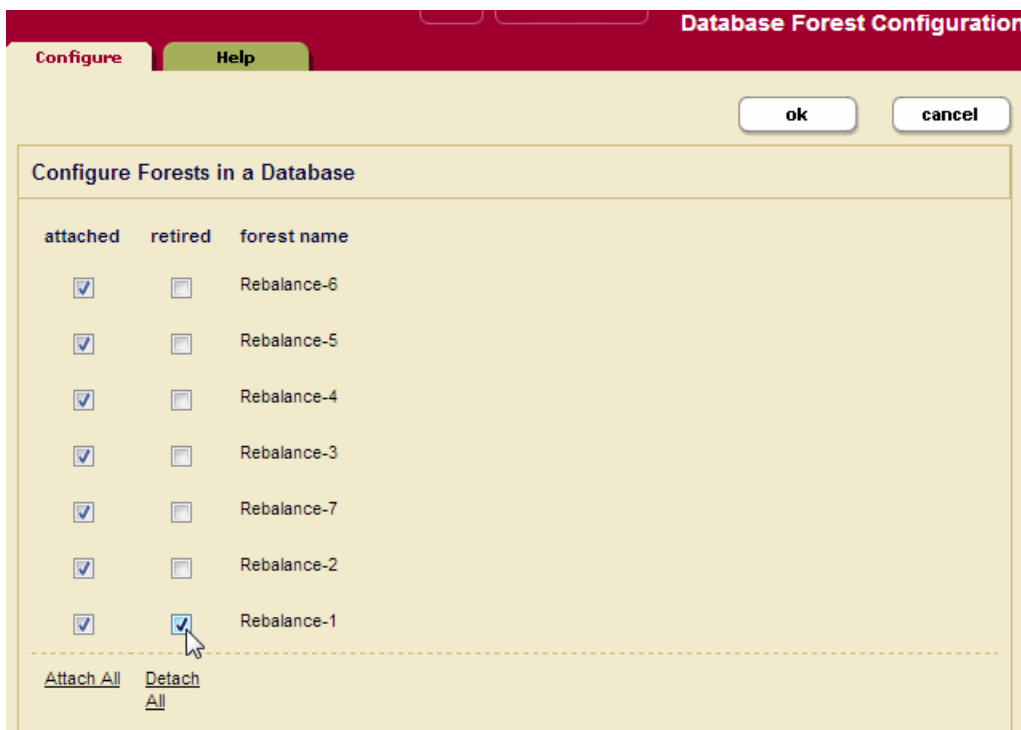
8. Click OK.

17.7 Retiring a Forest from the Database

You can “retire” a forest from a database in order to move all of its documents to the other forests and rebalance them among those forests, as described in “How Data is Moved when a Forest is Retired from the Database” on page 209. If you want to preserve forest documents in a database, you must first retire the forest before detaching it from the database.

To retire a forest from a database, complete the following procedure:

1. Click the Databases icon on the left-tree menu.
2. Decide which database for which you want to retire a forest.
3. Click the database name, either on the tree menu or the summary page.
4. In the left-tree menu under the database name, select Forests.
5. In the Database Forest Configuration page, check the Retired box for the forest you want to retire from the database. If you want to preserve forest documents in a database, leave the forest Attached box checked.



6. Click OK. The documents in the retired forest will be evenly redistributed to the other forests in the database.

7. After the rebalancer has emptied the retired forest, if the forest is no longer needed, you can detach the forest from the database, as described in “Attaching and/or Detaching Forests to/from a Database” on page 142.

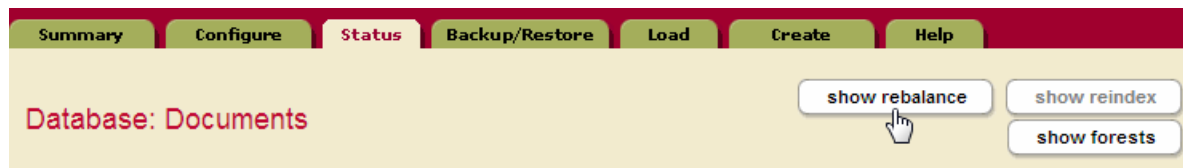
17.8 Checking the Rebalancer Status

When the rebalancer is enabled on the database, you can check the state of the rebalancer, along with an estimated completion time, on the Database Status page.

For example, if the database is rebalancing documents, you will see status similar to the following:

Rebalancing State	rebalancing in progress 1,006 fragments to be completed. Estimated completion: 00:15:22
--------------------------	---

When the rebalancer is disabled on the database, you can click on the Show Rebalance button at the top of the Database Status page to view the number of fragments that are pending rebalancing.



This will display a table like the following toward the middle of the Database Status page:

Rebalancing	
Destination	Fragments Remaining
1 -- 10	0
11 -- 20	0
21 -- 30	0
31 -- 40	0
41 -- 100	0
101 -- 200	0
201 -- 300	0
301 -- 400	16
DEFAULT	1,227
401 -- 500	99

17.9 How the Rebalancer Interacts with other Database and Forest Settings

This section describes how the database rebalancer interacts with other database and forest settings. The topics are:

- [Database Replication](#)
- [Restoring a Database from a Backup](#)
- [Tiered Storage](#)
- [Fast Locking](#)
- [Delete-only and Read-only Forests](#)

17.9.1 Database Replication

If you have configured a database for database replication and that database is enabled for rebalancing with the segment, legacy or bucket policy, the order of the forests in the database configuration is important, and it should be the same on the master and replica databases. If the order of the master and replica forests is different, you will see a message similar to the following in the log:

```
Warning: forest order mismatch: local forest XXX is at position A
while foreign master forest YYY (cluster=ZZZ) is at position B.
```

Should you see this error, you can execute the `admin:database-reorder-forests` function on the replica database to reorder the forests to match the same order as on the master. If you do not reorder the forests so the master and replica match, then rebalancing will occur if replication is deconfigured.

17.9.2 Restoring a Database from a Backup

If you have a database enabled for database rebalancing with the segment, legacy or bucket policy, the order of forests on the database may differ from the order of forests when the database was backed up. You can execute `xdmp:database-restore-validate` function to return a backup-plan containing a `database` element that shows the order of the forests when the backup was done. If the order of the forests do not match, then you should execute the `admin:database-reorder-forests` function to reorder the forests on your database before restoring it from the backup.

Note: When using the segment, legacy or bucket policy, if the order of forests on the database being restored differs from the order of forests when the database was backed up, the restore operation may trigger major data movement between the forests on the restored database.

17.9.3 Tiered Storage

The range assignment policy described in “Range Assignment Policy” on page 204 is designed to support tiered storage. For details on tiered storage, see “Tiered Storage” on page 217.

17.9.4 Fast Locking

Fast locking works with the segment, legacy, and bucket policy. However, a database cannot use the statistical policy or the range policy with fast locking. With the statistical policy, two transactions that insert the same URI do not know which forest the other one will pick, so the server must use strict locking. With the range policy, there may be two transactions that insert the same URI but with different values for the range index, so the server must use strict locking.

17.9.5 Delete-only and Read-only Forests

Delete-only (DO) and read-only (RO) forests affect how documents are assigned. The following table summarizes the interaction between this feature and DO/RO forests.

Policy	New Insert	RW -> DO/RO	DO/RO -> RW
Legacy	DOs/ROs are excluded from assignment.	Recalculate routing for every URI; lots of movement.	Recalculate routing for every URI; lots of movement.
Segment	DOs/ROs are excluded from assignment.	Recalculate routing for every URI; lots of movement.	Recalculate routing for every URI; lots of movement.
Bucket	DOs/ROs are still included in the routing table calculation, but a URI that belongs to a DO/RO is re-assigned in a deterministic way.	No movement.	Only move documents that are reassigned (to non DO/RO) during insert.
Statistical	DOs/ROs are excluded from assignment; RWs get balanced load.	No movement since all RWs are already balanced.	Some movement until all RWs are balanced.
Range and Query	DOs/ROs are excluded from assignment. Within each partition, RWs get balanced load.	No movement within a partition because RWs are already balanced.	Some movement within a partition until all RWs are balanced.

Note that the second and the third columns cover what the rebalancers on RWs do when a forest is changed from RW to DO/RO or DO/RO to RW.

The rebalancer on a RO forest is always off. The rebalancer on a DO forest is off unless it is "retired".

A flash-backup forest is generally handled as a RO forest except that on new inserts, if the assignment logic cannot find a forest to insert the documents but there is at least one flash-backup forest, a Retry (instead of Exception) is thrown.

17.10 Rebalancer Settings after Upgrading from an Earlier Release

For a brand new database, the rebalancer is enabled by default and the assignment policy is bucket. The bucket policy moves less data than the legacy policy when adding or deleting a forest and it is still deterministic.

After upgrading from an earlier release of MarkLogic Server, the rebalancer is disabled on existing databases and the policy is set to legacy.

At the forest level, in both cases, the rebalancer is enabled by default.

18.0 Tiered Storage

MarkLogic Server allows you to manage your data at different *tiers* of storage and computation environments, with the top-most tier providing the fastest access to your most critical data and the lowest tier providing the slowest access to your least critical data. Infrastructures, such as Hadoop and public clouds, make it economically feasible to scale storage to accommodate massive amounts of data in the lower tiers. Segregating data among different storage tiers allows you to optimize trade-offs among cost, performance, availability, and flexibility.

Tiered storage is supported by the XQuery, JavaScript, and REST APIs. This chapter describes the tiered storage operations using the REST API, which supports all of the operations you will want to integrate into your storage-management scripts.

Note: To use Tiered Storage, a license that includes Tiered Storage is required.

This chapter contains the following topics:

- [Terms Used in this Chapter](#)
- [Overview of Tiered Storage](#)
- [Range Partitions](#)
- [Query Partitions](#)
- [Partition Migration](#)
- [Configuring a Database with Range Partitions](#)
- [Configuring a Database with Query Partitions](#)
- [Overview of the Tiered Storage REST API](#)
- [Common Forest and Partition Operations](#)
- [Partitions with Forest-Level Failover](#)

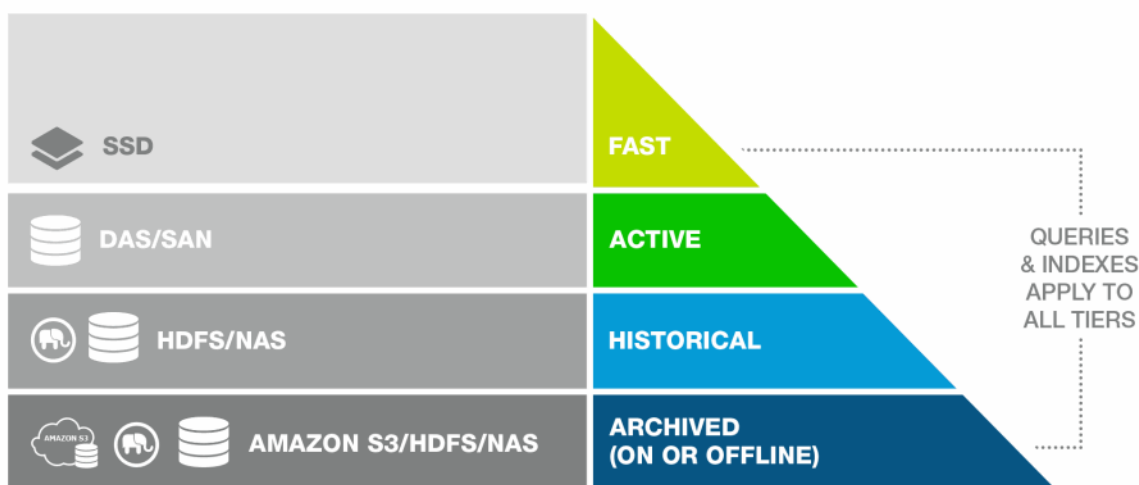
18.1 Terms Used in this Chapter

- A *Partition* is a set of forests sharing the same name prefix and same partition definition. Typically forests in a partition share the same type of storage and configuration such as updates allowed, availability, and enabled status. Partitions are based on forest naming conventions. A forest's partition name prefix and the rest of the forest name are separated by a dash (-). For example, a forest named `2011-0001` belongs to the `2011` partition.
- A *Range Partition* is a partition that is associated with a range of values. Documents with a partition key value that fall within the range specified for a partition are stored in that range partition.
- A *Query Partition* is a partition that is associated with a query. Documents that are returned by the query specified for a query partition are stored in that query partition.

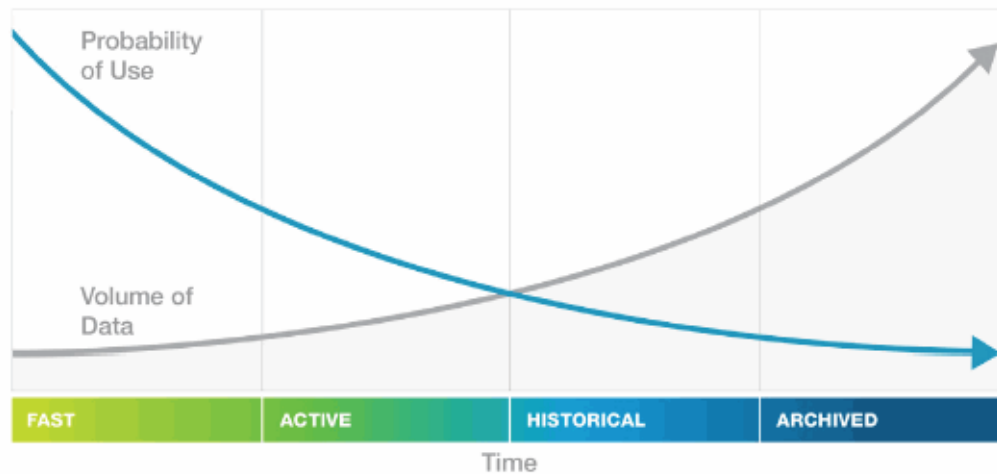
- A *Partition Key* defines an element or attribute on which a range index, collection lexicon, or field is set and defines the context for the range set on the range partitions in the database. The partition key is a database-level setting.
- A *Default Partition* is a partition with no defined range or query. Documents that have no partition key or a partition key value that does not fall into any of the partition ranges or queries are stored in the default partition.
- A *Super-database* is a database containing other databases (sub-databases) so that they can be queried as if they were a single logical database.
- A *Sub-database* is a database contained in a super-database.
- *Active Data* is data that requires low-latency queries and updates. The “activeness” of a particular document is typically determined by its recency and thus changes over time.
- *Historical Data* is less critical for the lowest-latency queries than “active” data, but still requires online access for queries. Historical data is not typically updated.
- *Archived Data* is data that has aged beyond its useful life in the online storage tiers and is typically taken offline.
- An *Online* partition or forest is available for queries and updates.
- An *Offline* partition or forest is not available for queries, but is tracked by the cluster. The benefit of taking data offline is to spare the RAM, CPU, and network resources for the online data.
- The *Availability* of a partition or forest refers to its online/offline status.

18.2 Overview of Tiered Storage

The MarkLogic tiered storage APIs enable you to actively and easily move your data between different tiers of storage. For example, visualize how data might be tiered in different storage devices in a pyramid-like manner, as illustrated below.

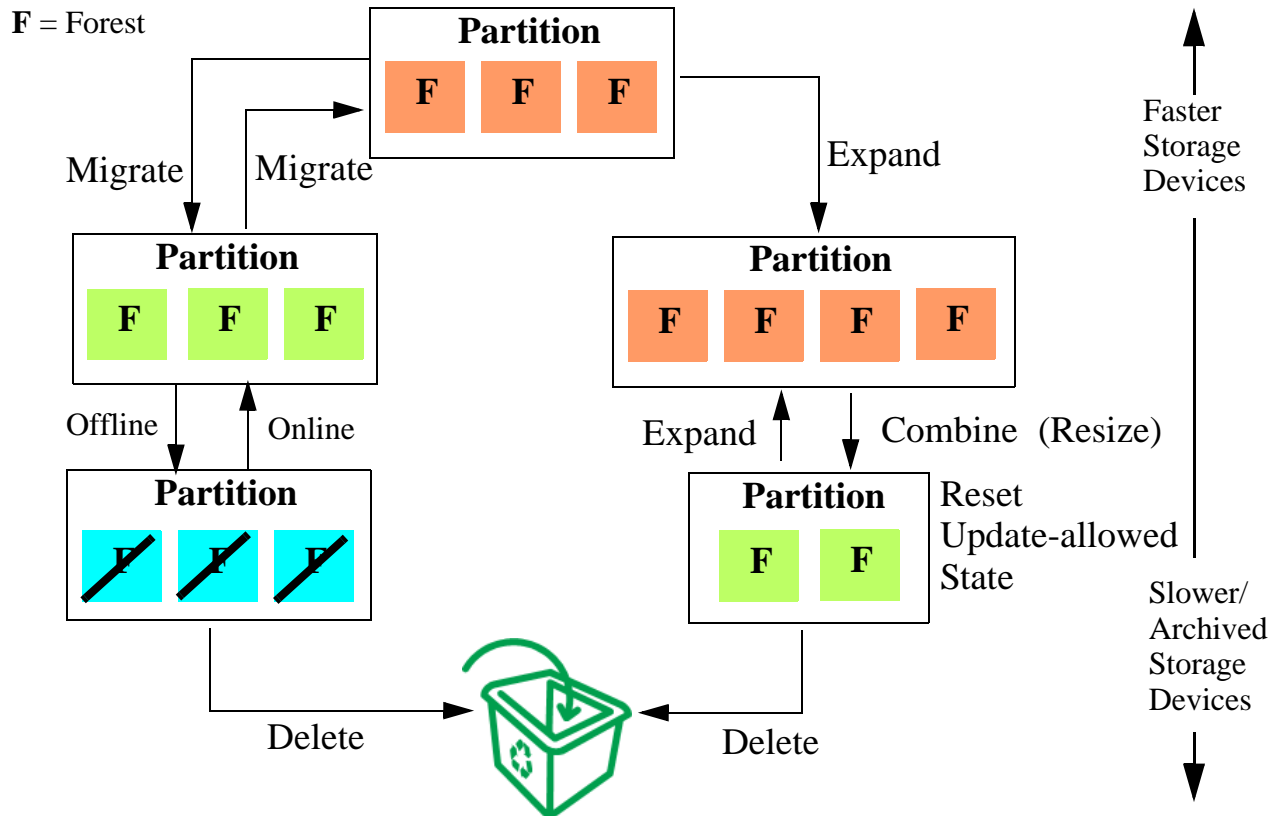


As data ages and becomes less updated and queried, it can be migrated to less expensive and more densely-packed storage devices to make room for newer, more frequently accessed and updated data, as illustrated in the graph below.



The illustration below shows the basic tiered storage operations:

- Migrate a partition to a different database, host, and/or directory, which may be mounted on another storage device.
- Resize the partition to expand or contract the number of forests it contains.
- Combine a number of forests into a single forest.
- Reset the update-allowed state of a partition. For example, make the partition read-only, so it can be stored more compactly on a device that is not required to reserve space for forest merges.
- Take a partition offline to archive the partition. The partition data is unavailable to query, update, backup, restore and replicate operations.
- Take a partition online to make the partition data available again.
- Delete a partition when its data has outlived its useful life.



Warning Forest migrate, forest combine, partition migrate and partition resize may result in potential data loss when used during XA transactions.

There are two types of partitions:

- [Range Partitions](#)
- [Query Partitions](#)

18.3 Range Partitions

A range partition consists of a group of database forests that share the same name prefix and the same *range assignment policy* described in “Range Assignment Policy” on page 204.

Note: When deploying forests in a cluster, you should align forests and forest replicas across hosts for parallelization and high availability, as described in the *Scalability, Availability, and Failover Guide*.

The range of a partition defines the scope of element or attribute values for the documents to be stored in the partition. This element or attribute is called the *partition key*. The partition key is based on a range index, collection lexicon, or field set on the database. The partition key is set on the database and the partition range is set on the partition, so you can have several partitions in a database with different ranges.

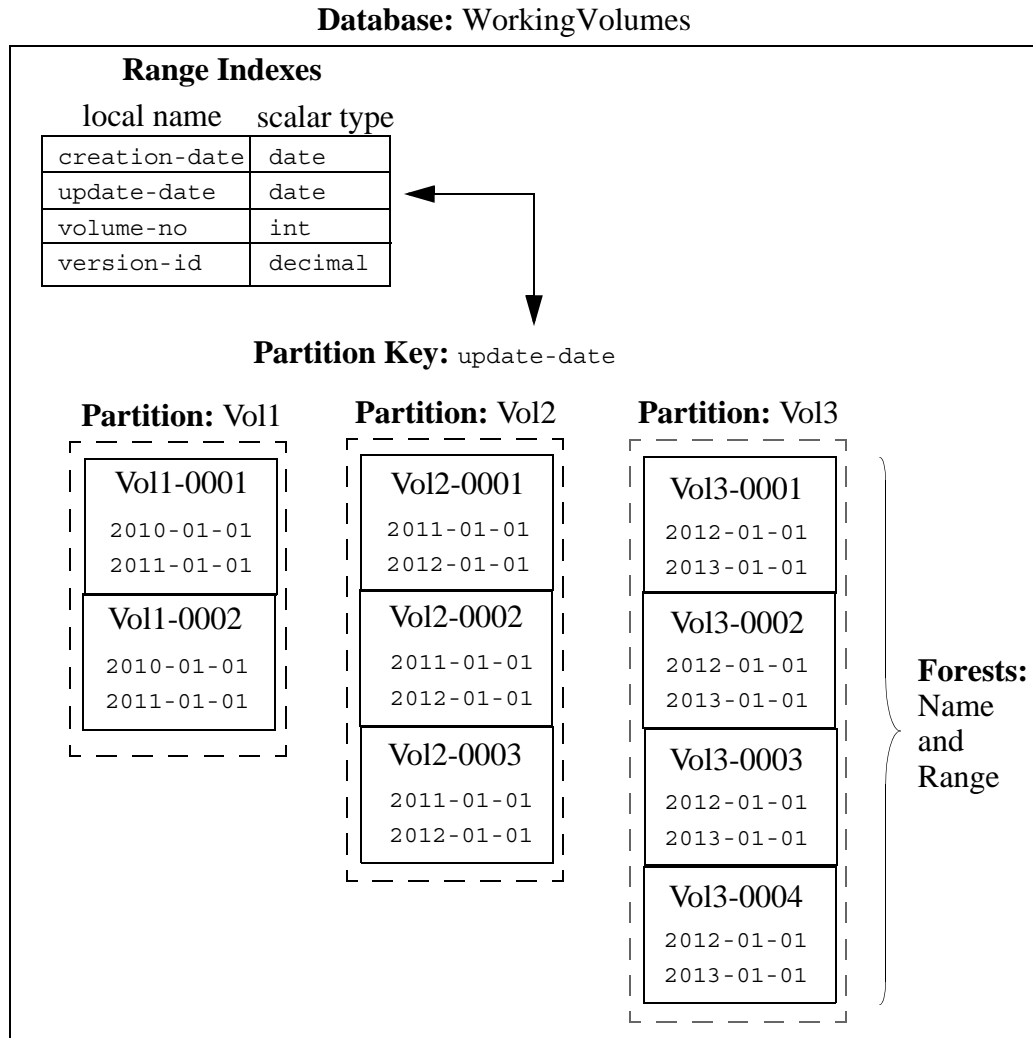
For example, you have a database, named `WorkingVolumes`, that contains nine forests that are grouped into three partitions. Among the range indexes in the `WorkingVolumes` database is an element range index for the `update-date` element with a type of `date`. The `WorkingVolumes` database has its partition key set on the `update-date` range index. Each forest in the `WorkingVolumes` database contains a lower bound and upper bound range value of type `date` that defines which documents are to be stored in which forests, as shown in the following table:

Partition Name	Forest Name (<i>prefix-name</i>)	Partition Range Lower Bound	Partition Range Upper Bound	Lower Bound Included
Vol1	Vol1-0001 Vol1-0002	2010-01-01	2011-01-01	false
Vol2	Vol2-0001 Vol2-0002 Vol2-0003	2011-01-01	2012-01-01	false
Vol3	Vol3-0001 Vol3-0002 Vol3-0003 Vol3-0004	2012-01-01	2013-01-01	false

Note: When `Lower Bound Included` is set to `false` on a database, the lower bound of the partition ranges are ignored. With this setting, documents with a partition key value that match the lower bound value are excluded from the partition and documents that match the upper bound value are included.

In this example, a document with an `update-date` element value of `2011-05-22` would be stored in one of the forests in the `Vol2` partition. Should the `update-date` element value in the document get updated to `2012-01-02` or later, the document will be automatically moved to the `Vol3` partition. How the documents are redistributed among the partitions is handled by the database rebalancer, as described in “Range Assignment Policy” on page 204.

Below is an illustration of the `WorkingVolumes` database, showing its range indexes, partition key, and its partitions and forests.



18.4 Query Partitions

A query partition consists of a group of database forests that share the same name prefix and the same *query assignment policy* described in “Query Assignment Policy” on page 205.

Note: Query partitions query documents in an unfiltered manner. For details about unfiltered queries, see the [Fast Pagination and Unfiltered Searches](#) chapter in the *Query Performance and Tuning Guide*.

Each query partition is associated with a query that determines which documents are stored in that partition. When creating a query partition, you assign it a partition number. Unlike range partitions, queries set for partitions using the query assignment policy can have “overlaps,” so that a document may be matched by the query set for more than one partition. In the event of an overlap, the partition with lower number is selected over partitions with higher numbers.

Note: As is the case with range assignment policy, you should define a default partition when configuring the query assignment policy. If you do not define a default partition, the database forests that are not associated with a query partition are used.

For example, you have three query partitions, a default partition and two partitions associated with the following types of queries:

Query Partition 1: (Default -- no query)

Query Partition 2:

Requirement	Query Type
the author includes “twain”	word
there is a paperback edition	value
the price of the paperback edition is less than 9.00	range

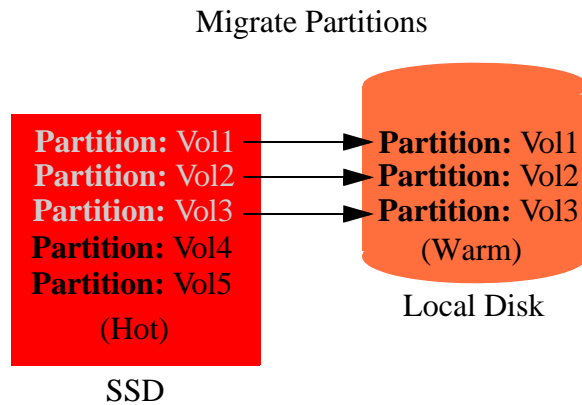
Query Partition 3:

Requirement	Query Type
the title includes “Adventures”	word
the characters include “Huck”	word
the class is “fiction”	word

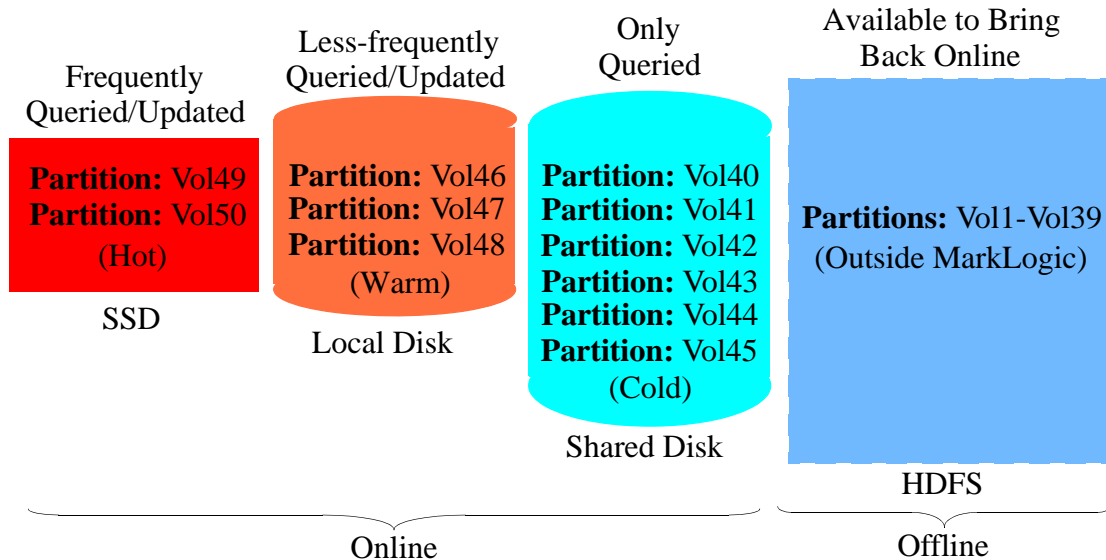
In this example, the document, “Adventures of Huckleberry Finn” matches both queries, but is stored in Query Partition 2 because it is the partition with the lower number. On the other hand, the document, “Moby Dick” doesn’t match either query, so it is stored in Partition 1, the Default Query Partition.

18.5 Partition Migration

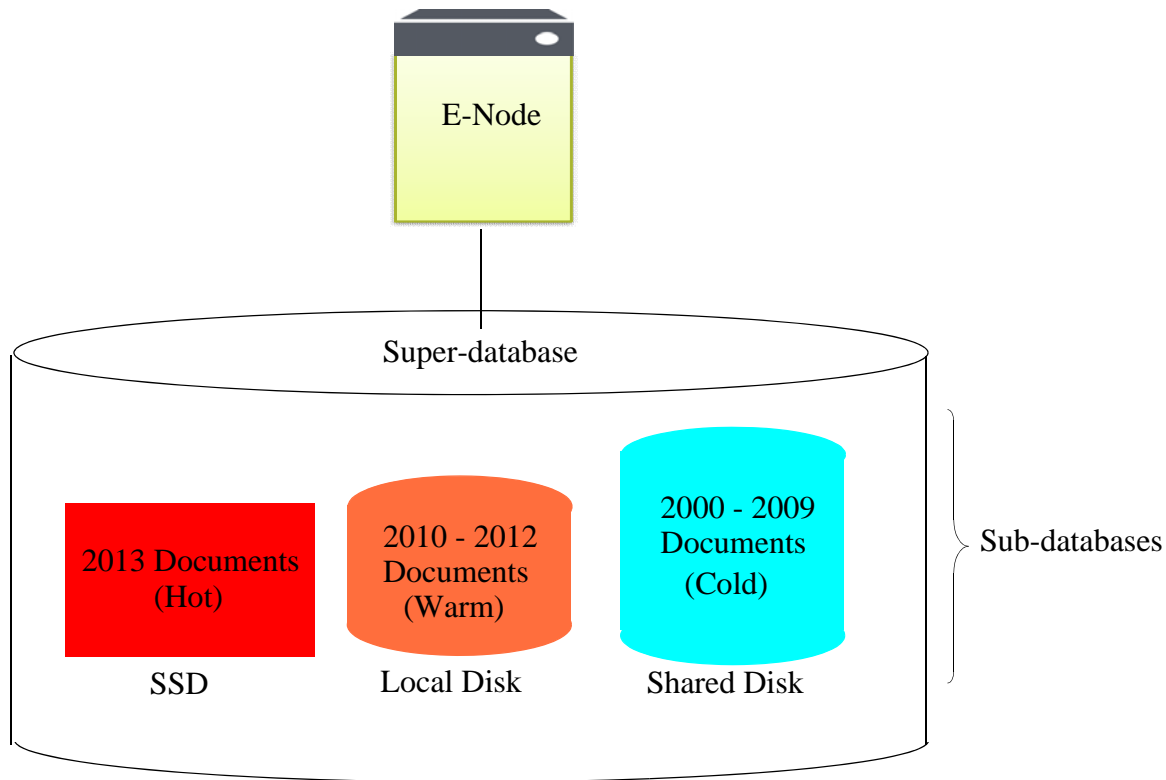
Both range and query partitions can be migrated between different types of storage. For example, you have the range partitions created in “Range Partitions” on page 220 and, after a few months, the volumes of documents grow to 5 and there is no longer enough space on the fast SSD device to hold all of them. Instead, the oldest and least queried volumes (Vol1-Vol3) are migrated to a local disk drive, which represents a slower storage tier.



After years of data growth, the volumes of documents grow to 50. After migrating between storage tiers, the partitions are eventually distributed among the storage tiers, as shown below.



Multiple databases, even those that serve on different storage tiers, can be grouped into a *super-database* in order to allow a single query to be done across multiple tiers of data. Databases that belong to a super-database are referred to as *sub-databases*. A single sub-database can belong to multiple super-databases. For details on super-databases and sub-databases, see “Super Databases and Clusters” on page 249.



18.6 Configuring a Database with Range Partitions

If a database is to participate in a tiered storage scheme using range partitions, it must have the following set:

- Rebalancer enable set to `true`
- Rebalancer Assignment Policy set to `range`
- Locking set to `strict`
- A range index established for the partition key, as described in “Range Indexes and Lexicons” on page 389
- A partition key, as described in “Defining a Range Partition Key” on page 226
- Range partitions, as described in “Creating Range Partitions” on page 227

Warning All of the forests in a database configured for tiered storage using range partitions must be part of a partition.

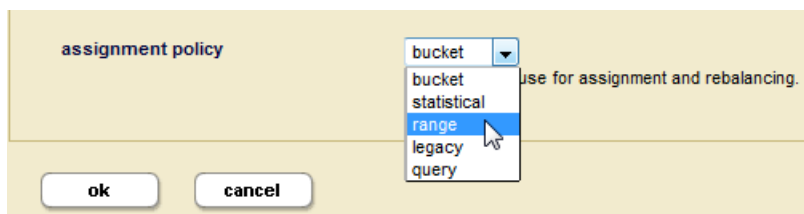
For details on how to configure the database rebalancer with the range assignment policy, see the sections “Range Assignment Policy” on page 204, “Configuring the Rebalancer on a Database” on page 209, and “Configuring the Rebalancer on a Forest” on page 210.

18.6.1 Defining a Range Partition Key

The partition key describes a common element or attribute in the stored documents. The value of this element or attribute in the document determines the partition in which the document is stored. A partition key is based on a range index, collection lexicon, or field of the same name set for the database. The range index, collection lexicon, or field used by the partition key must be created before the partition key is created.

For example, assume your documents all have an `update-date` element with a date value. The following procedure describes how to create a partition key for the `update-date` element:

1. Create an element range index, named `update-date`, on the database of type `date`. The details on how to create an element range index are described in “Defining Element Range Indexes” on page 397.
2. In the Admin UI, open the configuration page for the database, set the assignment policy to range. Additional settings appear under the assignment policy.



3. Set the `Lower Bound Included` to `true` if you want to include documents with a partition key value that matches the lower bound value and exclude documents that match the upper bound value. Set the `Lower Bound Included` to `false`, if you want to exclude documents with a partition key value that matches the lower bound value and include documents that match the upper bound value. For example, if the range is `2011-01-01` (lower) to `2012-01-01` (upper) and `Lower Bound Included` is set to `false`, documents with an `update-date` value of `2011-01-01` will not be included in the partition, but documents with an `update-date` value of `2011-01-02` and `2012-01-01` will be included.
4. Note the type and scalar type of the range index, field, or collection lexicon you want to use as your partition key. In this example, we use an `Element` range index with a scalar

type of `date`. Set the index and scalar types in the drop down menus to list the matching range indexes, fields, or collection lexicons set for the database.

assignment policy

range

What policy to use for assignment and rebalancing.

☒ true ☐ false

Lower Bound Included.

Element

Attribute

Field

Path

Collection Lexicon

int

An atomic type specification.

Available range indexes by scalar type

5. Select the range index, field, or collection lexicon you want to use as your partition key, which is `update-date` in this example.

assignment policy

range

What policy to use for assignment and rebalancing.

☒ true ☐ false

Lower Bound Included.

Element

Range indexes type.

date

An atomic type specification.

Name	
<input checked="" type="radio"/>	update-date
<input type="radio"/>	creation-date

Available range indexes by scalar type

18.6.2 Creating Range Partitions

Range partitions are based on forest naming conventions. A forest's partition name prefix and the rest of the forest name are separated by a dash (-). For example, a forest named `June-0001` belongs to the `June` partition.

Note: It is a best practice to create a default partition (a partition without a range) before creating partitions with ranges. Doing this will allow you to load documents into the default partition before you have finished creating the other partitions. As new partitions with ranges are created, the documents will be automatically moved from the default partition to the partitions with matching ranges.

Warning All of the forests in a database configured for tiered storage must be part of a partition.

There are two ways to create a range partition:

- [Creating a Range Partition with New Forests](#)
- [Creating a Range Partition from Existing Forests](#)

18.6.2.1 Creating a Range Partition with New Forests

You can use the `POST:/manage/v2/databases/{id|name}/partitions` REST resource address to create a new range partition with empty forests. When creating a range partition, you specify the partition range and the number of forests to be created for the partition. You can also specify that the range partition be created for multiple hosts in a cluster, in which case the specified number of forests will be created on each host.

For example, the following creates a range partition, named `2011`, in the `Documents` database on hosts, `MyHost1` and `MyHost2`, with a range of `2011-01-01 - 2012-01-01` and four empty forests, named `2011-0001`, `2011-0002`, `2011-0003`, and `2011-0004`, on `MyHost1` and four empty forests, named `2011-0005`, `2011-0006`, `2011-0007`, and `2011-0008`, on `MyHost2`:

```
$ cat create-partition.xml
<partition xmlns="http://marklogic.com/manage">
  <partition-name>2011</partition-name>
  <upper-bound>2012-01-01</upper-bound>
  <lower-bound>2011-01-01</lower-bound>
  <forests-per-host>4</forests-per-host>
  <hosts>
    <host>MyHost1</host>
    <host>MyHost2</host>
  </hosts>
</partition>

$ curl --anyauth --user user:password -X POST \
-d @create-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions
```

You can also include an `options` element to create replica forests for shared-disk or local-disk failover. For details, see “Partitions with Forest-Level Failover” on page 247.

18.6.2.2 Creating a Range Partition from Existing Forests

You can create a range partition from existing forests simply by renaming the forests so that they adhere to a range partition naming convention. For example, you have four forests, named `1-2011`, `2-2011`, `3-2011`, and `4-2011`. You can make these four forests into a range partition, named `2011`, by renaming `1-2011` to `2011-1`, and so on. You should also specify a common range for each renamed forest, or leave the range fields blank to identify the forests as belonging to a default range partition. Default range partitions store the documents that have partition key values that do not fit into any of the ranges set for the other range partitions.

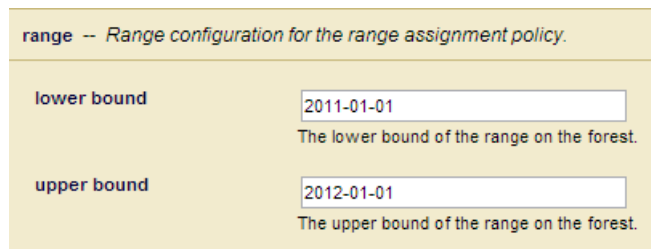
For example, to rename the `1-2011` forest to `2011-1` and set the range to `2011-01-01 - 2012-01-01`, do the following:

1. Open the Forest Configuration page in the Admin UI, as described in “Creating a Forest” on page 322.
2. In the forest name field, change the name from `1-2011` to `2011-1`:



The screenshot shows a form with the label "forest name" and a text input field containing "2011-1". Below the input field is the text "The forest name."

3. In the range section of the Forest Configuration page, set the lower bound value to `2011-01-01` and the upper bound value to `2012-01-01`:



The screenshot shows the "range" section of the Forest Configuration page. It has a title "range -- Range configuration for the range assignment policy." and two input fields. The "lower bound" field contains "2011-01-01" with the text "The lower bound of the range on the forest." below it. The "upper bound" field contains "2012-01-01" with the text "The upper bound of the range on the forest." below it.

4. Click Ok.

Note: You can also accomplish this operation using the XQuery, JavaScript, and REST APIs. For example, in XQuery using the `admin:forest-rename` and `admin:forest-set-range-policy-range` functions.

18.7 Configuring a Database with Query Partitions

If a database is to participate in a tiered storage scheme using query partitions, it must have the following set:

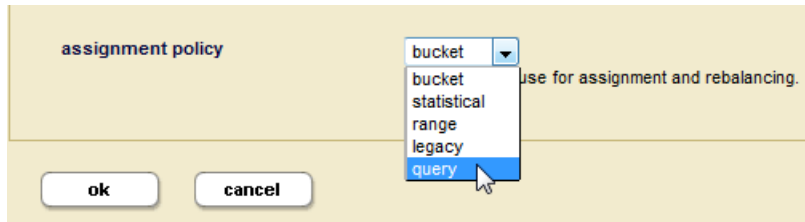
- Rebalancer enable set to `true`
- Rebalancer Assignment Policy set to `query`
- Locking set to `strict`
- Indexes established for the elements or properties to be queried
- Query partitions, as described in “Creating Query Partitions” on page 230

Note: Unlike range partitions, it is not necessary for all of the forests in a database configured for tiered storage to be part of a query partition.

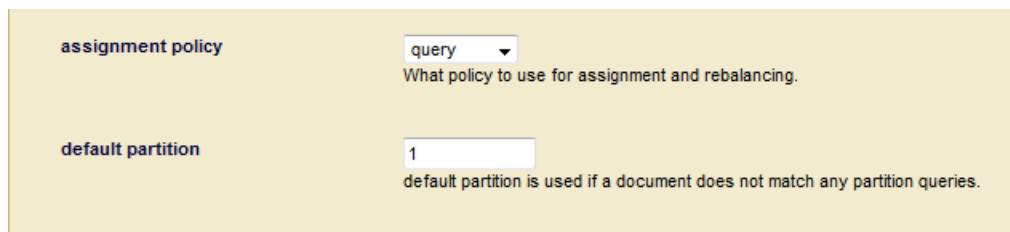
For details on the database rebalancer with the query assignment policy, see the sections “Query Assignment Policy” on page 205, “Configuring the Rebalancer on a Database” on page 209, and “Configuring the Rebalancer on a Forest” on page 210.

The following procedure describes how to configure a database to use the query assignment policy:

1. In the Admin UI, open the configuration page for the database, set the assignment policy to query. The default partition setting then appears under the assignment policy.



2. You can optionally enter the partition number for a default query partition in the Default Partition field. If you don't define a default query partition, then your database must have forests that are not part of a query partition. These forests will serve the same role as a default partition.



18.7.1 Creating Query Partitions

When creating a query partition, you specify the query partition name, number, and the number of forests to be created for the query partition. You can also specify that the query partition be created for multiple hosts in a cluster, in which case the specified number of forests will be created on each host.

Query partitions are based on forest naming conventions. A forest's partition name prefix and the rest of the forest name are separated by a dash (-). For example, a forest named `tier1-0001` belongs to the `tier1` partition. Unlike range partitions, it is not necessary for all of the forests in a database configured for tiered storage to be part of a query partition.

Note: It is a best practice to create a default query partition (a partition without a query). Doing this will allow you to load documents into the default partition before you have finished creating the other partitions. As new partitions with queries are

created, the documents will be automatically moved from the default partition to the query partitions with matching queries.

For details on how to configure the database rebalancer with the query assignment policy, see the sections “Query Assignment Policy” on page 205, “Configuring the Rebalancer on a Database” on page 209, and “Configuring the Rebalancer on a Forest” on page 210.

Query partitions do unfiltered searches, which means that the results are not filtered for validation. For details about unfiltered queries, see the [Fast Pagination and Unfiltered Searches](#) chapter in the *Query Performance and Tuning Guide*.

For example, the following creates query partition number 1, named `tier1`, with two forests in the `Documents` database on the host, `MyHost1`:

```
curl -X POST --anyauth --user admin:admin \
-H "Content-type: application/json" \
-d '{
  "partition-name": "tier1",
  "partition-number": "1",
  "forests-per-host": 2,
  "host": [ "MyHost1" ],
  "option": [ "failover=none" ]
}' \
http://MyHost1:8002/manage/v2/databases/Documents/partitions
```

18.7.2 Setting the Query Assignment Policy for the Query Partition

After creating a query partition, you can use the

`POST:/manage/v2/databases/{id|name}/partition-queries` REST resource address to assign to it a query assignment policy, as described in “Query Assignment Policy” on page 205.

Note: Any indexes required for the query must be created before creating the query partition.

A query assignment policy in XML takes the form:

```
<partition-query-properties
xmlns="http://marklogic.com/manage/partition-query/properties">
  <partition-number>1</partition-number>
  <query>
    ....cts:query.....
  </query>
</partition-query-properties>
```

A query assignment policy in JSON takes the form:

```
{
  "partition-number": "1",
  "query": {
    ....cts.query.....
  }
}
```

```
    }
  }
```

The search portion is a `cts:query` expression, as described in the [Composing cts:query Expressions](#) chapter in the *Search Developer's Guide*. There can be only one `cts:query` per partition.

The query requires the proper index to be configured in the database. The complexity of the query affects the performance of insert and rebalancing. Therefore slow query like wildcard matching is not recommended.

For example to direct all documents that have either the word “Manager” or “Engineer” in them to the `tier1` query partition created above, you would do the following:

```
$ cat query1.xml
<partition-query-properties
  xmlns="http://marklogic.com/manage/partition-query/properties">
  <partition-number>1</partition-number>
  <query>
    <cts:or-query xmlns:cts="http://marklogic.com/cts">
      <cts:word-query>
        <cts:text xml:lang="en">Manager</cts:text>
      </cts:word-query>
      <cts:word-query>
        <cts:text xml:lang="en">Engineer</cts:text>
      </cts:word-query>
    </cts:or-query>
  </query>
</partition-query-properties>

curl -X POST --anyauth -u admin:admin \
-H "Content-Type:application/xml" -d @query1.xml \
http://gordon-1:8002/manage/v2/databases/Schemas/partition-queries
```

The following query assignment policy will match documents where "LastModified" is within past year:

```
<partition-query-properties>
  <partition-number>1</partition-number>
  <query>
    <element-range-query operator="&gt;=">
      <element>LastModified</element>
      <value type="xs:yearMonthDuration">P1Y</value>
    </element-range-query>
  </query>
</partition-query-properties>
```

The same query assignment policy in JSON:

```
{
  "partition-number": 1,
  "query": {
```



```

    "element-range-query": {
      "operator": ">=",
      "element": "LastModified",
      "value": {
        "type": "xs:yearMonthDuration",
        "val": "P1Y"
      }
    }
  }
}

```

For queries against a `dateTime` index, when `$value` is an `xs:dayTimeDuration` or `xs:yearMonthDuration`, the query is executed as an age query. `$value` is subtracted from `fn:current-dateTime()` to create a `xs:dateTime` used in the query. If there is more than one item in `$value`, they must all be the same type.

For example, given a `dateTime` index on element `startDateTime`, queries

```

cts:element-range-query(xs:QName ("startDateTime"), ">", xs:dayTimeDuration("P1D")) and
cts:element-range-query(xs:QName ("startDateTime"), ">", fn:current-dateTime() -
xs:dayTimeDuration("P1D"))

```

are the same; both match values within the last day.

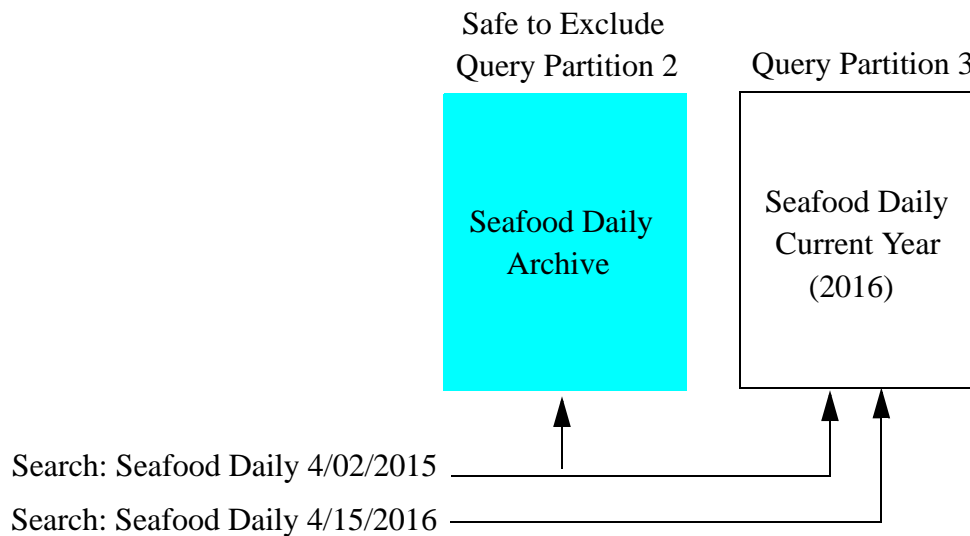
18.7.3 Isolating a Query Partition

By default, when a search query is given to MarkLogic, all query partitions are searched, regardless of the query assignment policy set on the partition. To avoid this overhead, you can use the `tieredstorage:partition-set-exclusion-enabled` function to set `safe-to-exclude` on the query partition so that it will not be searched if the search query does not match the query assignment policy set for that partition.

When documents are distributed in query partitions based on time and date, you may want the option to always search a particular tier (typically the tier holding the most recent documents) because it may be the case that some of the documents in that tier are about to be migrated to a different tier but have not yet been moved. So if a search only matches the query set in a “lower” tier, the non-matching “higher” tier will also be searched to locate the matching documents that have not yet moved to the lower tier.

For example, you have two query partitions that hold the documents, “Seafood Daily,” as shown below. The query assignment policy for each compares the date of the document with the current date and sorts the documents so that one partition contains the issues from the current year and the other archives the issues from previous years. The query partition serving as the archive is set to `safe-to-exclude` and the query partition containing this year’s issues is not set with this option.

The current year is 2016 and a search query is given that matches the query for Archive Partition will also result in a search on Current Year Partition. However, a search query that matches the Current Year Partition will exclude the Archive Partition.



18.7.4 Look Up Partitions Queries

To look up partitions queries:

1. Use the management REST API via </manage/v2/databases/{id|name}/partition-queries>
2. Look in the associated schemas database for the partition queries with `tieredstorage:partition-queries`

18.8 Overview of the Tiered Storage REST API

Tiered storage is supported by the XQuery, JavaScript, and REST APIs. All of the operations you will want to integrate into your storage-management scripts to automate repetitive storage management operations are available through the REST API. However, some of the initial, one-time set-up operations, such as those related to setting the range policy and partition key on the database, are only supported by the Admin Interface and the XQuery API.

Note: The Tiered Storage REST API supports both JSON and XML formats. The XML format is used for all of the examples in this chapter.

The topics in this section are:

- [Asynchronous Operations](#)
- [Privileges](#)
- </manage/v2/databases/{id|name}/partitions>

- </manage/v2/databases/{id|name}/partitions/{name}>
- </manage/v2/databases/{id|name}/partitions/{name}/properties>
- </manage/v2/databases/{id|name}/partition-queries>
- </manage/v2/databases/{id|name}/partition-queries/{partition-number}>
- </manage/v2/databases/{id|name}/partition-queries/{partition-number}/properties>
- </manage/v2/forests>
- </manage/v2/forests/{id|name}>
- </manage/v2/forests/{id|name}/properties>

18.8.1 Asynchronous Operations

The partition resize and migrate, as well as the forest migrate and combine operations are processed asynchronously. This is because these operations may move a lot of data and take more time than generally considered reasonable for control to return to your script. Such asynchronous operations are tracked reusing ticket endpoints. This asynchronous process is initiated by GET: `/manage/v2/tickets/{tid}?view=process-status`, as outlined in the following steps:

The generated ticket is returned in the form:

```
/manage/v2/tickets/{id}?view=process-status.
```

You can view the status of the operation by visiting the URL. For example if the returned ticket is:

```
/manage/v2/tickets/8681809991198462214?view=process-status
```

and your host is `MyHost`, you can view the status of your operation using the following URL:

```
http://MyHost:8002/manage/v2/tickets/8681809991198462214?view=process-status
```

Note: Historical ticket information can always be accessed by viewing the ticket default view.

18.8.2 Privileges

The following privileges are required for the resource addresses described in this section:

- GET operations require the `manage-user` privilege.
- PUT, POST, and DELETE operations require the `manage-admin` privilege.

18.8.3 /manage/v2/databases/{id|name}/partitions

Method	Description	Parameters	XQuery Equivalent
GET	Gets a list of partitions on the database	format? (json xml)	<code>tieredstorage:database-partitions</code>
POST	Add a range or query partition to the database	format? (json xml)	<code>tieredstorage:range-partition-create</code> <code>tieredstorage:query-partition-create</code>

For examples, see:

- [Viewing Partitions](#)
- [Creating Range Partitions](#)
- [Creating Query Partitions](#)

18.8.4 /manage/v2/databases/{id|name}/partitions/{name}

Method	Description	Parameters	XQuery Equivalent
GET	Gets a summary of the partition, including links to containing database, links to member forests, and link to configuration	format? (json xml)	<code>tieredstorage:partition-forests</code>
DELETE	Deletes the partition	delete-data? (true false)	<code>tieredstorage:partition-delete</code>
PUT	Invokes one of the following operations on the partition: <ul style="list-style-type: none"> • resize (asynchronous) • transfer (synchronous) • migrate (asynchronous) 	format? (json xml)	<code>tieredstorage:partition-resize</code> <code>tieredstorage:partition-transfer</code> <code>tieredstorage:partition-migrate</code>

For examples, see:

- [Deleting Partitions](#)
- [Resizing Partitions](#)
- [Transferring Partitions between Databases](#)

- [Migrating Forests and Partitions](#)

18.8.5 /manage/v2/databases/{id|name}/partitions/{name}/properties

Method	Description	Parameters	XQuery Equivalent
GET	Gets the partition properties (enabled, updates-allowed)	format? (json xml)	
PUT	Modifies the partition properties (updates-allowed, online offline)	format? (json xml)	tieredstorage:partition-set-availability tieredstorage:partition-set-updates-allowed

For examples, see:

- [Taking Forests and Partitions Online and Offline](#)
- [Setting the Updates-allowed State on Partitions](#)

18.8.6 /manage/v2/databases/{id|name}/partition-queries

Method	Description	Parameters	XQuery Equivalent
GET	Gets the query assignment policies for the query partitions set for the specified database.	format? (json xml)	tieredstorage:partition-queries
POST	Sets the query assignment policy for a query partition.		tieredstorage:partition-set-query

For examples, see:

- [Setting the Query Assignment Policy for the Query Partition](#)

18.8.7 /manage/v2/databases/{id|name}/partition-queries/{partition-number}

Method	Description	Parameters	XQuery Equivalent
GET	Gets the query assignment policy of the query partition with the specified number.	format? (json xml)	<code>tieredstorage:partition-get-query</code>
DELETE	Deletes the query assignment policy for the query partition with the specified number.		<code>tieredstorage:partition-delete-query</code>

18.8.8 /manage/v2/databases/{id|name}/partition-queries/{partition-number}/properties

Method	Description	Parameters	XQuery Equivalent
GET	Gets the properties of the query for the query partition with the specified number.	format? (json xml)	<code>tieredstorage:partition-get-query</code>
PUT	Update the query assignment policy in the query partition with the specified number.	format? (json xml)	<code>tieredstorage:partition-set-query</code>

18.8.9 /manage/v2/forests

Method	Description	Parameters	XQuery Equivalent
GET	Gets a summary and list of forests.	format? (json xml) view database-id group-id host-id fullrefs	admin:get-forest-ids xdmp:forests
POST	Creates new forest(s)	format? (json xml)	admin:forest-create
PUT	<p>Invokes one of the following operations on the forest:</p> <ul style="list-style-type: none"> forest-combine forest-migrate <p>These operations are asynchronous</p>	format? (json xml)	tieredstorage:forest-combine tieredstorage:forest-migrate

For examples, see:

- [Migrating Forests and Partitions](#)
- [Combining Forests](#)

18.8.10 /manage/v2/forests/{id|name}

Method	Description	Parameters	XQuery Equivalent
GET	Gets a summary of the forest.	format? (json xml) view	admin:forest-get-*
POST	Initiates a state change on the forest.	state (clear merge restart attach detach retire employ)	xdmp:forest-clear xdmp:merge xdmp:forest-restart admin:database-attach-forest admin:database-detach-forest admin:database-retire-forest admin:database-employ-forest
DELETE	Deletes the forest.	level (config-only full)	admin:forest-delete

For an example, see:

- [Retiring Forests](#)

18.8.11 /manage/v2/forests/{id|name}/properties

Method	Description	Parameters	XQuery Equivalent
GET	Gets the properties on the forest	format? (json xml)	admin:forest-get-enabled admin:forest-get-rebalancer-enable admin:forest-get-updates-allowed admin:database-get-attached-forests admin:forest-get-failover-enable admin:forest-get-availability
PUT	Initiates a properties change on the forest. The properties are: enable disable forest enable disable rebalancer modify updates-allowed specify failover hosts or replica forests availability	format? (json xml)	admin:forest-set-enabled admin:forest-set-rebalancer-enable admin:forest-set-updates-allowed admin:database-attach-forest admin:database-detach-forest admin:forest-set-failover-enable admin:forest-set-availability

18.9 Common Forest and Partition Operations

This section describes the following partition operations:

- [Viewing Partitions](#)
- [Migrating Forests and Partitions](#)
- [Resizing Partitions](#)
- [Transferring Partitions between Databases](#)
- [Combining Forests](#)
- [Retiring Forests](#)
- [Taking Forests and Partitions Online and Offline](#)
- [Setting the Updates-allowed State on Partitions](#)

- [Deleting Partitions](#)

Some of these operations operate asynchronously and immediately return a ticket number that you can use to check the status of the operation. For example, if the following is returned:

```
<link><kindref>process-status</kindref><uri ref>/manage/v2/tickets/4678516920057381194?view=process-status</uri ref></link>
```

You can check the status of the operation by entering a resource address like the following:

```
http://MyHost:8002/manage/v2/tickets/4678516920057381194?view=process-status
```

For details on asynchronous processes, see “Asynchronous Operations” on page 235.

18.9.1 Viewing Partitions

You can return all of the information on a partition.

For example, to return the details of the 2011 range partition on the `Documents` database, do the following:

```
curl -X GET --anyauth --user admin:admin --header \
"Content-Type:application/xml" \
http://MyHost:8002/manage/v2/databases/Documents/partitions/2011
```

18.9.2 Migrating Forests and Partitions

Forests and partitions can be migrated from one storage device to another. For example, a range partition on an SSD has aged to the point where it is less frequently queried and can be moved to a slower, less expensive, storage device to make room for a more frequently queried range partition.

For example, the 2011 range partition on the `Documents` database is mounted on a local disk on the host, `MyHost`. To migrate the 2011 range partition to the `/warm-storage` data directory mounted on a shared disk on the host, `OurHost`, do the following:

```
$ cat migrate-partition.xml
<migrate xmlns="http://marklogic.com/manage">
  <hosts>
    <host>OurHost</host>
  </hosts>
  <data-directory>/warm-storage</data-directory>
  <options>
    <option>failover=none</option>
    <option>local-to-shared</option>
  </options>
</migrate>
```

```
$ curl --anyauth --user user:password -X PUT \
-d @migrate-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions/2011
```

Note: If you do not specify a data-directory, the default data directory is used.

The tiered storage migration operations allow you to migrate a forest or partition between different types of storage. The following table lists the four migration options. The migration option you select determines the sequence of steps taken by tiered storage during the migration operation.

Migration Option	Description
local-to-local (default)	Indicates that the migration is to move data from local storage to local storage. This is the default if no migration option is specified and the type of storage cannot be derived from the data directory path.
local-to-shared	Indicates that the migration is to move data from local storage to shared storage. This type of migration supports changing hosts.
shared-to-local	Indicates that the migration is to move data from shared storage to local storage. This type of migration supports changing hosts.
shared-to-shared	Indicates that the migration is to move data from shared storage to shared storage. This type of migration supports changing hosts.

You can use the `PUT:/manage/v2/forests` resource address to migrate individual forests. For example, the forests `2011-0001` and `2011-0002`, are mounted on a local disk on the host, `MyHost`. To migrate these forests to the `/warm-storage` data directory mounted on a shared disk on the host, `OurHost`, do the following:

```
$ cat migrate-forests.xml
<forest-migrate xmlns="http://marklogic.com/manage">
  <forests>
    <forest>2011-0001</forest>
    <forest>2011-0002</forest>
  </forests>
  <host>MyHost</host>
  <data-directory>/warm-storage</data-directory>
  <options>
    <option>local-to-shared</option>
  </options>
</forest-migrate>

$ curl --anyauth --user user:password -X PUT \
-d @migrate-forests.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/forests
```

Note: If failover is configured on your forests, do a full backup of database after a forest or partition migrate operation to ensure that you can recover your data should something go wrong. You may also need to increase the timeout setting on the migrate operation, as it will take longer when failover is configured.

18.9.3 Resizing Partitions

You can increase or decrease the number of forests in a partition. Once the resize operation has completed, the documents in the partition forests will be rebalanced for even distribution.

For example, to resize the 2011 range partition up to five forests, do the following:

```
$ cat resize-partition.xml
<resize xmlns="http://marklogic.com/manage">
  <forests-per-host>5</forests-per-host>
  <hosts>
    <host>MyHost</host>
  </hosts>
</resize>

$ curl --anyauth --user user:password -X PUT \
-d @resize-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions/2011
```

In addition to resizing your partition, you can migrate your partition to another host by specifying a different host in the payload. Additionally, you can move the partition to a different storage tier (such as local-to-shared) by specifying one of the migration options described in “Migrating Forests and Partitions” on page 242.

Note: If you resize partitions for databases configured for database replication, first resize the replica partitions before resizing the master partitions.

18.9.4 Transferring Partitions between Databases

You can move a partition from one database to another. For example, to transfer the 2011 range partition from the DB1 database to the DB2 database, do the following:

```
$ cat transfer-partition.xml
<transfer xmlns="http://marklogic.com/manage">
  <destination-database>DB2</destination-database>
</transfer>

$ curl --anyauth --user user:password -X PUT \
-d @transfer-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/DB1/partitions/2011
```

18.9.5 Combining Forests

You can use the `PUT:/manage/v2/forests` resource address to combine multiple forests into a single forest. For example, to combine the forests, `2011-0001` and `2011-0002`, into a single forest, named `2011`, do the following:

```
$ cat combine-forests.xml
<forest-combine xmlns="http://marklogic.com/manage">
  <forests>
    <forest>2011-0001</forest>
    <forest>2011-0002</forest>
  </forests>
  <forest-name>2011</forest-name>
  <hosts>
    <host>MyHost</host>
  </hosts>
</forest-combine>

$ curl --anyauth --user user:password -X PUT \
-d @combine-forests.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/forests
```

You can both combine forests and migrate the combined forest to another host in a single operation by specifying a different host value. You can also move the forests to a different storage tier (such as local-to-shared) by specifying one of the migration options described in “Migrating Forests and Partitions” on page 242.

Note: If you want to combine forests that are attached to databases configured for database replication, first combine the foreign replica forests with the `snapshot` option before combining the master forests.

Note: If failover is configured on your forests, do a full backup of database after a forest combine operation to ensure that you can recover your data should something go wrong. You may also need to increase the timeout setting on the combine operation, as it will take longer when failover is configured.

18.9.6 Retiring Forests

You can “retire” a forest from a database in order to move all of its documents to the other forests and rebalance them among those forests, as described in “How Data is Moved when a Forest is Retired from the Database” on page 209.

For example, to retire the forest, `2011`, from the `Documents` database, do the following:

```
curl -i -X POST --digest --user user:password -H \
"Content-Type:application/x-www-form-urlencoded" \
--data "state=retire&database=Documents" \
http://MyHost:8002/manage/v2/forests/2011
```

18.9.7 Taking Forests and Partitions Online and Offline

You can take a forest or partition offline and store it in an archive, so that it is available to later bring back online, if necessary. The benefit of taking data offline is to spare the RAM, CPU, and network resources for the online data.

An offline forest or partition is excluded from query, update, backup, restore and replicate operations performed by the database to which it is attached. An offline forest or partition can be attached, detached, or deleted. Operations, such as rename, forest-level backup and restore, migrate, and combine are not supported on an offline forest or partition. If a forest is configured with failover, the replica forest inherits the online/offline setting of its master forest, so disabling an offline master forest does not trigger a failover.

For example, to take the 2011 range partition in the DB2 database offline, do the following:

```
$ cat partition-offline.xml
<partition-properties xmlns="http://marklogic.com/manage">
  <availability>offline</availability>
</partition-properties>

$ curl --anyauth --user user:password -X PUT \
-d @partition-offline.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/DB2/partitions/2011/properties
```

18.9.8 Setting the Updates-allowed State on Partitions

You can change the updates-allowed state of a partition to make its forests. The possible states are shown in the table below.

State	Description
all	Read, insert, update, and delete operations are allowed on the partition.
delete-only	Read and delete operations are allowed on the partition, but insert and update operations are not allowed.
read-only	Read operations are allowed on the partition, but insert, update, and delete operations are not allowed. A transaction attempting to make changes to fragments in the partition will throw an exception. Note: Resizing a read-only partition to fewer forests preserves its original forests.
flash-backup	Puts the partition in read-only mode without throwing exceptions on insert, update, or delete transactions, allowing the transactions to retry.

For example, to set the updates-allowed state in the 2011 range partition in the Documents database to read-only, do the following:

```
$ cat read-only-partition.xml
<partition-properties xmlns="http://marklogic.com/manage">
  <updates-allowed>read-only</updates-allowed>
</partition-properties>

$ curl --anyauth --user user:password -X PUT \
-d @read-only-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions/2011/properties
```

18.9.9 Deleting Partitions

You can delete a partition, along with all its forests. For example, to delete the 2011 range partition from the `Documents` database, do the following:

```
$ curl --anyauth --user user:password -X DELETE \
-H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions/2011
```

18.10 Partitions with Forest-Level Failover

The partition create, migrate and resize operations allow you to specify an `options` element to create replica forests for shared-disk or local-disk failover, as described in the [Configuring Local-Disk Failover for a Forest](#) and [Configuring Shared-Disk Failover for a Forest](#) chapters in the *Scalability, Availability, and Failover Guide*.

To create replica forests for forest-level failover, you must create the partition on at least two hosts. For each master forest created on one host a replica forest will be created on another host. For example, to create a single replica forest for each forest in the 2011 range partition and configure the forests for local-disk failover between `MyHost1`, `MyHost2`, and `MyHost3`, do the following.

```
$ cat create-partition.xml
<partition xmlns="http://marklogic.com/manage">
  <partition-name>2011</partition-name>
  <upper-bound>2012-01-01</upper-bound>
  <lower-bound>2011-01-01</lower-bound>
  <forests-per-host>4</forests-per-host>
  <data-directory>/forests</data-directory>
  <hosts>
    <host>MyHost1</host>
    <host>MyHost2</host>
    <host>MyHost3</host>
  </hosts>
  <data-directory></data-directory>
  <large-data-directory></large-data-directory>
  <fast-data-directory></fast-data-directory>
  <options>
    <option>replicas=1</option>
    <option>failover=local</option>
  </options>
</partition>
```

```
</options>
</partition>
```

```
$ curl --anyauth --user user:password -X POST \
-d @create-partition.xml -H 'Content-type: application/xml' \
http://MyHost:8002/manage/v2/databases/Documents/partitions
```

Keep in mind the following when configuring partitions or forests with forest-level failover:

- If failover is configured on your forests, do a full backup of database after doing a partition or forest migrate or a forest combine to ensure that you can recover your data should something go wrong. You may also need to increase the timeout setting on the migrate or combine operation, as these operations will take longer when failover is configured.
- It is not recommended to configure local-disk failover for forests attached to a database with journaling set to `off`.
- You cannot configure a partition with shared-disk or local-disk failover on Amazon Simple Storage Service (S3), unless its fast data directory, as designated by `<fast-data-directory>`, is not on S3.
- If your deployment of MarkLogic is on Amazon Elastic Compute Cloud (EC2) or is distributed across multiple data centers, be sure to specify an equal number of hosts on different zones when creating, migrating, or resizing your partition with forest-level failover. For example, two hosts on `us-east-1a`, two hosts on `us-east-1b`, and two hosts on `us-east-1c`. In this example, tiered storage will ensure that master and their replica forests are created on hosts in different zones. This ensures that the partition will remain accessible should a forest, host, or entire zone go down.

19.0 Super Databases and Clusters

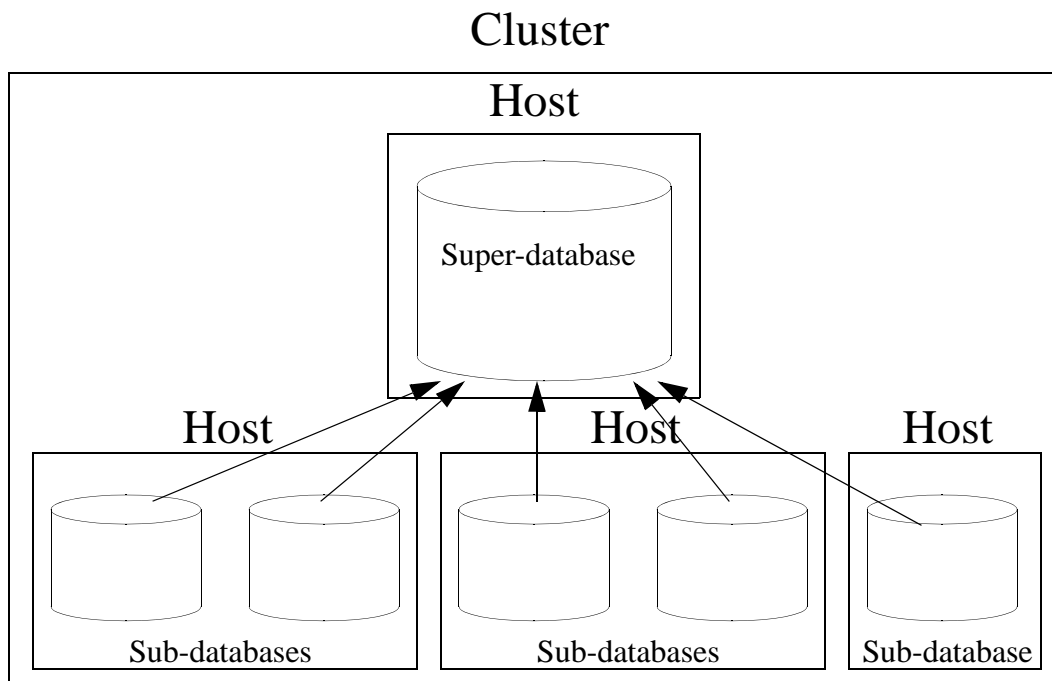
MarkLogic Server allows you to group multiple databases into a *super-database* in order to allow a single query to be done across multiple databases. Databases contained in a super-database are called *sub-databases*. Sub-databases can be distributed on different storage tiers and on different clusters (collectively called *super-clusters*). A sub-database can be either `active` (online) or `archive` (offline), as specified by the `kind` element.

This chapter contains the following topics:

- [Overview](#)
- [Creating a Super-database](#)
- [Creating a Super-cluster](#)
- [Viewing Super-databases and Sub-databases](#)

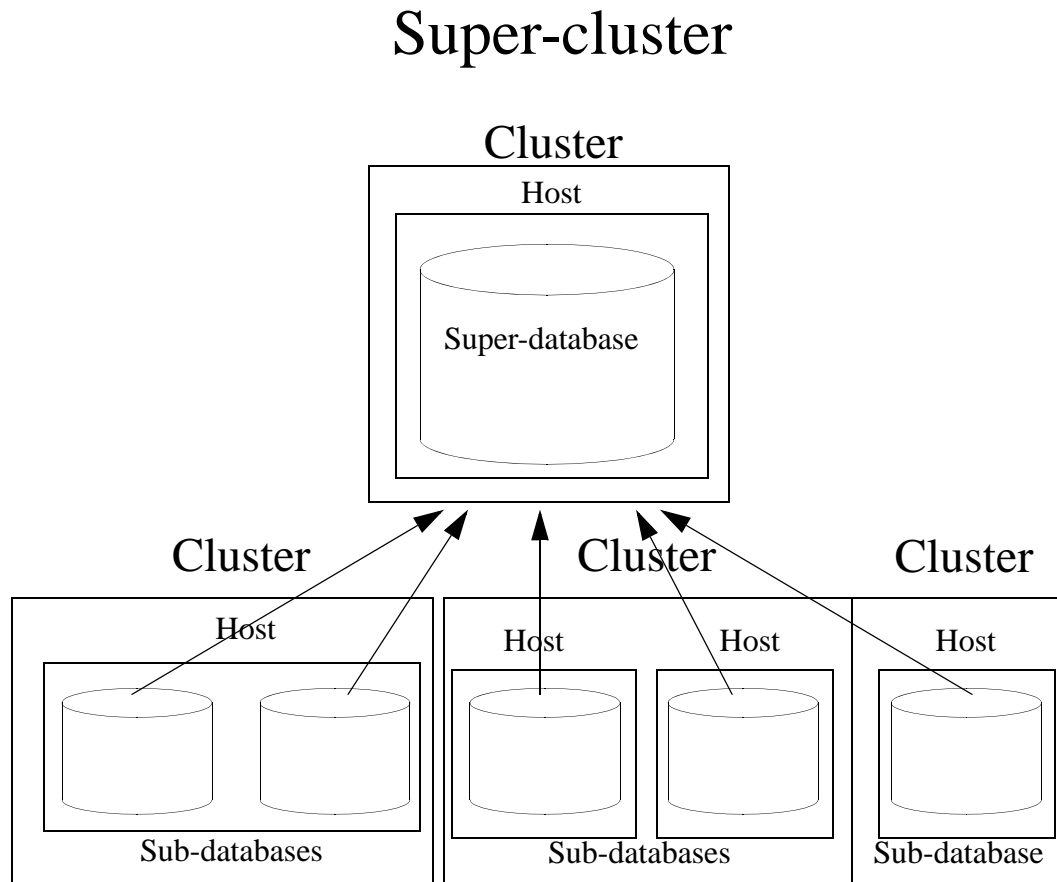
19.1 Overview

Updates are made on the sub-databases and then made visible for read in the super-database. Below is an illustration of a super-database and its sub-databases configured on a single cluster.



Below is a super-database configured with sub-databases on different clusters. The cluster hosting the super-database must be coupled with the foreign clusters hosting the sub-databases. For details on how to couple clusters, see [Coupling Clusters](#) in the *Administrator's Guide*.

Note: Each foreign cluster should have multiple bootstrap hosts, so that, if a one bootstrap host does down, the super database can use the other bootstrap host to query the sub-databases on that cluster.



The following describes the characteristics of super-databases and sub-databases:

- Only one level of sub-databases is supported for a super-database, which means that a sub-database cannot also be configured as a super-database with sub-databases of its own.
- Updates to the sub-databases are made visible on the super-database. You cannot write to a super-database and have the update propagated to its sub-databases. A super-database must have local forests for it to be updated. However, configuring a super-database with local forests is not recommended.
- Sub-databases and their super-databases must have the same index settings. Otherwise, queries will not work.
- Because super-databases and their sub-databases are effectively a single database, you cannot have documents with the same URI in super-databases and their sub-databases. It is a best practice to use directories to ensure that your document URIs are unique.
- You cannot run Flexible Replication on a super-database.

- When sub-databases are distributed across foreign clusters, the Security and Schemas databases must be the same for accessing the databases on each cluster. To ensure this, you should use Database Replication to replicate the Security and Schemas database on each cluster.
- When inserting data to a sub-database on a foreign cluster, you can read the inserted document on the super-database after the `request-timestamp` moves past the commit timestamp of the insert. Typically, this takes a few seconds.

19.2 Creating a Super-database

You can call the `POST:/manage/v2/databases` resource address to create a super-database. To create a super-database, simply specify which databases are to be its sub-databases.

For example, to define the `mySuperDatabase` database as a super-database containing the `subDB1`, `subDB2`, and `subDB3` sub-databases on the same cluster, do the following:

```
$ curl --anyauth --user user:password -X POST \
-d '{"database-name": "mySuperDatabase",
  "subdatabases": [
    "subdatabase":{"cluster-name":"localhost", "database-name":"subDB1"},
    "subdatabase":{"cluster-name":"localhost", "database-name":"subDB2"},
    "subdatabase":{"cluster-name":"localhost", "database-name":"subDB3"}]
}'
-H 'Content-type: application/json' \
http://MyHost:8002/manage/v2/databases
```

19.3 Creating a Super-cluster

Before creating a super-cluster, you must couple the clusters as described in [Coupling Clusters](#) in the *Administrator's Guide*.

For example, to define the `mySuperCluster` database as a super-cluster containing the `subDB1`, `subDB2`, and `subDB3` sub-databases on different clusters, do the following:

```
$ curl --anyauth --user user:password -X POST \
-d '{"database-name": "mySuperCluster",
  "subdatabases": [
    "subdatabase":{"cluster-name":"cluster1", "database-name":"subDB1"},
    "subdatabase":{"cluster-name":"cluster2", "database-name":"subDB2"},
    "subdatabase":{"cluster-name":"cluster3", "database-name":"subDB3"}]
}'
-H 'Content-type: application/json' \
http://MyHost:8002/manage/v2/databases
```

Note: The maximum capacity for super-clusters is 32 clusters.

19.4 Viewing Super-databases and Sub-databases

You can call the `GET:/manage/v2/databases/{id|name}/super-databases` resource address to return a list of the super-databases associated with a sub-database. For example, to view the super-databases of the `subdb1` database, do the following:

```
$ curl --anyauth --user user:password -X GET \  
-H 'Content-type: application/xml' \  
http://MyHost:8002/manage/v2/databases/subdb1/super-databases
```

You can call the `GET:/manage/v2/databases/{id|name}/sub-databases` resource address to return a list of the sub-databases associated with a super-database. For example, to view the sub-databases of the `superdb1` database, do the following:

```
$ curl --anyauth --user user:password -X GET \  
-H 'Content-type: application/xml' \  
http://MyHost:8002/manage/v2/databases/superdb1/sub-databases
```

Note: Since updates can happen at both the super-database and the sub-database level, duplicate URIs are more likely in super-databases. Some automatically generated URIs may produce duplicates at the super-database level. This is true not only for automatically-generated URIs for graph documents, but also may be a problem for the bitemporal LSQT documents, and for directory properties fragments created with automatic-directory-creation. Duplicate URIs will generate a `DUPURI` exception.

20.0 Backing Up and Restoring a Database

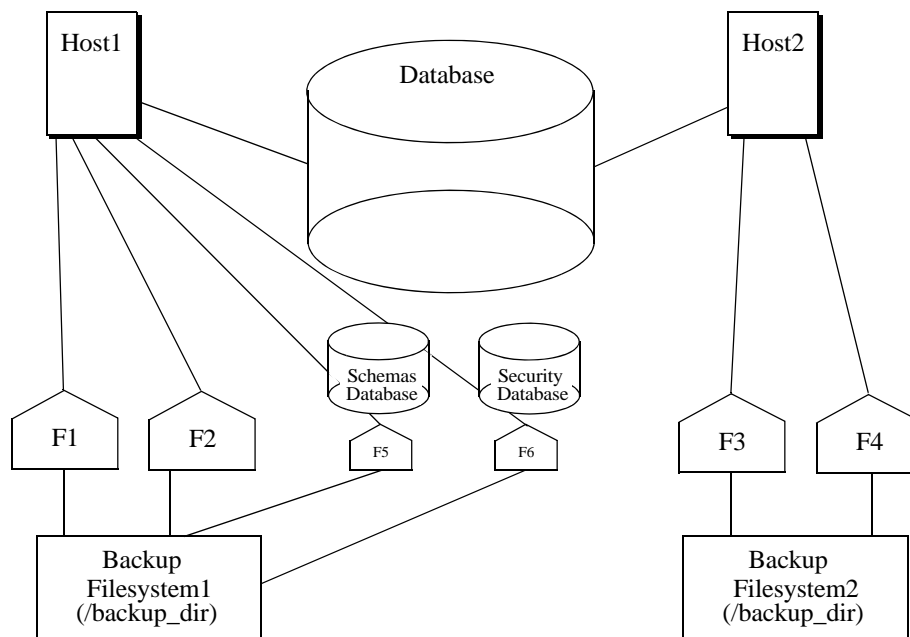
MarkLogic Server provides a facility to make a consistent backup of a database. This section describes the backup and restore architecture and provides procedures for backing up and restoring a database. The following topics are included:

- [Backup and Restore Overview](#)
- [Backing Up Databases with Journal Archiving](#)
- [Incremental Backup](#)
- [Backing Up a Database](#)
- [Restoring a Database from a Backup](#)
- [Backing up and Restoring a Database Following Local Disk Failover](#)

20.1 Backup and Restore Overview

Database backup and restore operations in MarkLogic Server are distributed over all of the data nodes in a cluster (that is, all of the nodes that contain forests), and provide consistent database-level backups and restores.

The directory you specify for a backup or restore operation must exist on each data node associated with the database (it can be either a shared or unshared directory). For example, if you have a data node on Host1 with forests F1 and F2, and another data node on Host2 with forests F3 and F4, then the backup directory you specify must exist on both Host1 and Host2. The following figure shows such a configuration, where the Schemas and Security databases have forests F5 and F6 respectively, and they are also attached to Host1.



20.1.1 Consistent, Database-Level Backup

By default, when you back up a database you backup everything associated with it, including the following:

- The configuration files.
- The Security database, including all of its forests.
- The Schemas database, including all of its forests.
- The Triggers database, including all of its forests.
- All of the forests of the database you are backing up.

If you choose to back up all forests, you will have a backup that you can restore to the exact same state as when the backup begins copying files.

You can also backup any individual forests that you choose, choosing only the ones you need to backup. These forest-level backups are consistent for the data in the forest and any other forests included in the backup, but might not be consistent with changes that occur in other forests not included in the backup.

You can also choose not to backup the Security, Schemas, and Triggers databases. While having backups of these databases that are synchronized with the database backups is important to get the exact same view of the system as when the backup began, you might have separate processes for backing up these databases that can ensure proper consistency. For example, if they do not change frequently, you may only need to back them up when they change.

The database-level backup and restore in MarkLogic Server provides the flexibility for you to decide how much or how little you want to backup or restore. The choices you make depend on the amount of change in your system and your unique backup and restore requirements.

20.1.2 Admin Interface

You use the Admin Interface to initiate backup and restore operations. Use the `Backup/Restore` tab for each database configured in your system to initiate backup and restore operations. For specific procedures for backup and restore operations, see “Backing Up a Database” on page 263 and “Restoring a Database without Journal Archiving” on page 272.

20.1.3 Backup and Restore Transactions

Backup and restore operations are transactional and therefore guarantee a consistent view of the data. They do not lock the database, however. Therefore, if the data in a database changes after a backup or restore operation begins but before it completes, those changes are not reflected in the backup or restore operation. Similarly, changes to the Security, Triggers, and Schemas databases during a backup or restore operation are allowed, but will not be reflected in the backup or restore.

Database and Forest administrative tasks such as drop, clear, and delete cannot take place during a backup; any such operation is queued up and will initiate after the backup transaction has completed.

20.1.4 Backup Directory Structure

When you back up a database, you specify a backup directory. That directory must exist on each host in your configuration, and must be readable and writable by the user running MarkLogic Server (by default `daemon` on UNIX and the local System user on Windows). Because of the importance of database backup integrity, MarkLogic recommends backing up to a reliable filesystem. The backup directory structure for each host is the same, except that the forests are only backed up on the host from which they are served.

Below the specified backup directory, a subdirectory is created with a name based on the date when the backup begins. Each of these subdirectories contain one backup. The following is the basic backup directory structure.

```
<specified_backup_dir>/
  <date_1>-1/
    *.xml
    BackupTag.txt
    Forests/
      <security_forest_1>/
        <forest_files_and_directories>
      <security_forest_n>/
        <forest_files_and_directories>
      <schemas_forest_1>/
        <forest_files_and_directories>
      <schemas_forest_n>/
        <forest_files_and_directories>
      <database_forest_1>/
        <forest_files_and_directories>
      <database_forest_n>/
        <forest_files_and_directories>
      <triggers_forest_1>/
        <forest_files_and_directories>
      <triggers_forest_n>/
        <forest_files_and_directories>
  <date_1>-n/
    <backup_directory structure>
  <date_n>-1/
    <backup_directory structure>
  <date_n>-n/
    <backup_directory structure>
```

For example, if you back up a database to the `/space/backups` directory on September 1, 2004, a directory structure similar to the following is created:

```

/space/backups
  20040901-1/
    *.xml
    BackupTag.txt
    Forests/
      Documents/
        Label
        000001e1/
        Journals/
      Schemas/
        Label
        000001e1/
        Journals/
      Security/
        Label
        000001e1/
        Journals/
      Triggers/
        Label
        000001e1/
        Journals/

```

Incremental backups are stored in the directory under the full backup. In this first example, the backup directory (backup-dir) is `/space/backup` and the incremental backup directory (incremental-dir) is not used:

```

/space/backups
  20140801-1223942093224    (full backup on 8/1)
    20140802
      331006226070    (incremental backup on 8/2)
    20130803
      1341007528950    (incremental backup on 8/3)

```

The first part, `20140801`, is the year, month and day of the backup. The second part, `1223942093224`, is the hour, minute, second, and nanosecond of the backup.

In this example, the backup directory (backup-dir) is `/space/backup` and the incremental backup directory (incremental-dir) is `/space/incremental`.

```

/space/backups
  20140801-1223942093224    (full backup on 8/1)

/space/incremental
  20140801-1223942093224
    20140802
      331006226070    (incremental backup on 8/2)
    20140803
      341007528950    (incremental backup on 8/3)

```


The directory 20130801-1223942093224 is created on `/space/incremental` so that when the backup 20130801-1223942093224 is purged, its incremental backups can be purged easily.

If an incremental backup directory is specified, after the first incremental backup is done, the full backup can be archived to another location. The subsequent incremental backups do not need to examine the full backup.

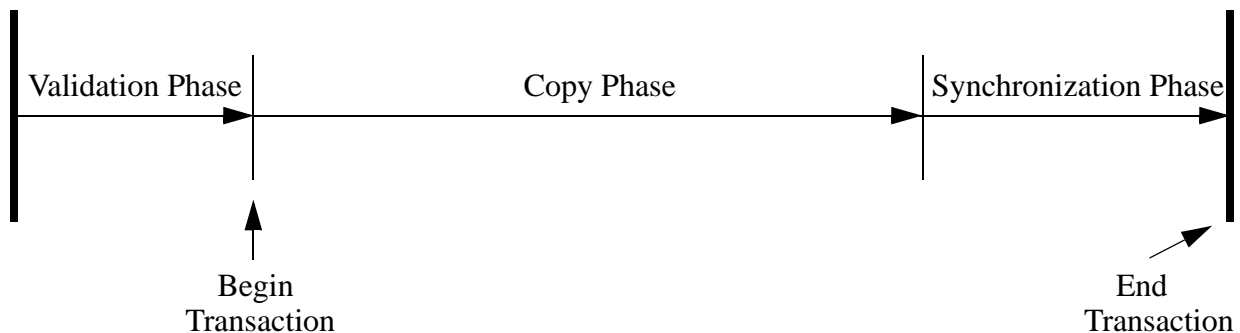
Note: Once you restore an incremental backup, you can no longer use the previous full backup location for ongoing incremental backups. After the restore, you need to make a fresh full backup and use the full backup location for ongoing incremental backups. This means that after restore of an incremental backup, scheduled backups need to be updated to use the fresh full backup location.

20.1.5 Phases of Backup or Restore Operation

Backup and restore operations are divided into the following phases:

- Validation
- Copy
- Synchronization

The following figure shows the phases of a backup or restore operation:



20.1.5.1 Validation Phase

The validation phase is where the backup directories are checked to make sure that all of the needed files exist and that all of the needed backup directories exist and are writable. For backup operations, they are checked for sufficient disk space. For restore operations, the configuration files are read and the other backup files are checked to make sure they appear to be valid. The validation phase does not actually write any data and is completely asynchronous.

20.1.5.2 Copy Phase

The copy phase is where the files are actually copied to or from the backup directory. The configuration files are copied at the beginning of the backup operation, and at this point a timestamp is written to the `BackupTag.txt` file. The copy phase might take a significant amount of time, depending on the size of the database. The start of the copy phase starts a transaction; if the transaction fails on a restore operation, the database remains unchanged from its original state.

20.1.5.3 Synchronization Phase

During a backup or restore operation, the synchronization phase is where cleanup tasks such as deleting temporary files takes place, leaving the database in a consistent state. During a restore operation, the synchronization phase also takes the old version of the database offline and replaces it with the newly restored version.

Note: Any “cold” administrative tasks (tasks that require a server restart) will cause any backup or restore operations to fail. Do not perform any “cold” administrative tasks during a backup or restore operation. For a list of “hot” and “cold” operations, see “Appendix A: ‘Hot’ versus ‘Cold’ Admin Tasks” on page 465.

20.1.6 Notes about Backup and Restore Operations

This section provides notes and restrictions about backing up and restoring MarkLogic Server databases.

- For backing and restoring a database with encryption, see [Backup and Restore](#) in the *Security Guide*.
- The backup files are platform specific—backups on a given platform should only be restored onto the same platform. This is true for both database and forest backups.
- You can restore an individual forest using a database backup by unchecking all forests except the one you want to restore on the Confirm Restore screen (see step [11](#) in “Restoring a Database without Journal Archiving” on page 272).
- We recommend using the database-level backup/restore, not the forest-level backup/restore. If you do use the forest-level backup/restore, note that you cannot restore a backup created with the forest-level backup as a database-level restore operation; forest-level backups created with the forest backup/restore utility must be restored from the forest restore utility. For details, see “Restoring a Forest” on page 333.

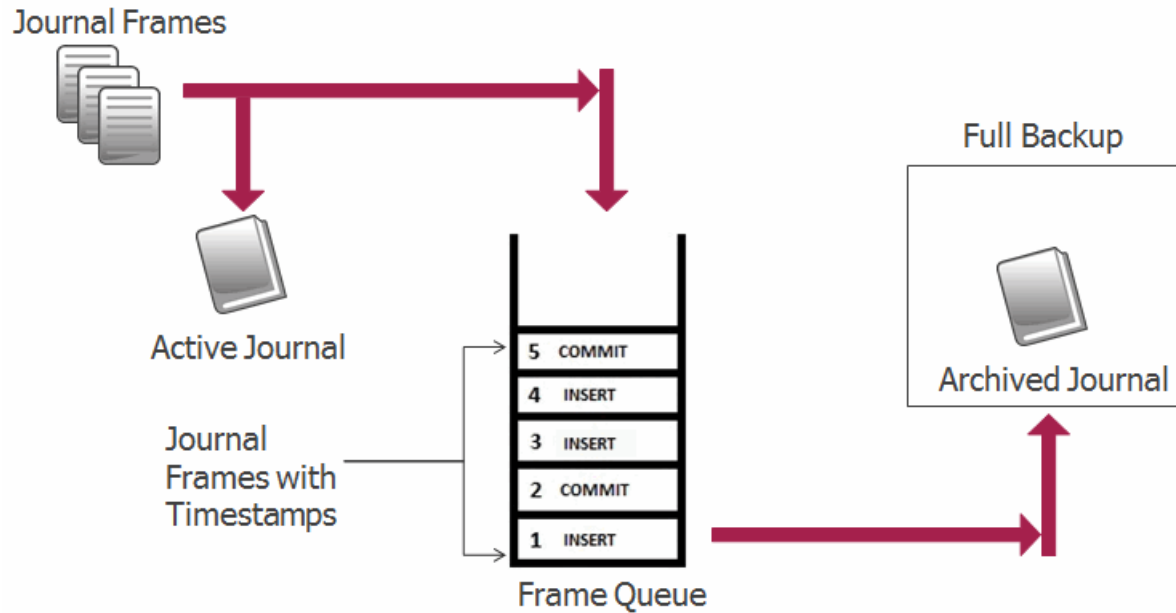
- The restore operation is designed to restore into a database that has the same configuration settings as the one that was backed up, but it neither requires nor checks that the configurations are the same. The restore operation must occur on a database that has its configuration defined. Also, the restore operation does not change the database configuration files. Because the configuration files hold all of the database configuration information such as index options, fragmentation, range indexes, and so on, the restored database will take on the configuration information of the database to which it is restored. If this configuration information is different from the database that was backed up, and if reindexing is enabled, the database will reindex to the new configuration after the restore completes.
- If a database's backup is canceled, the in-flight backup is deleted. A database backup can be canceled by clicking the cancel button for the backup in the host status page in the Admin Interface, by the host or cluster being restarted (either from the Admin Interface or from the `xdmp:restart` command), or by errors in the backup (such as out-of-disk space errors). The process of deleting the in-flight backup during a clean restart might take some time, which can increase the time it takes to restart MarkLogic Server. If you are restarting using the startup scripts (`/sbin/service MarkLogic <command>`) on UNIX systems and the control panel on Windows systems), then the script will delete as much of the backup as it can in 20 seconds; if any backup is in-flight during these types of system shutdown or restart operations, then you should manually remove them after the operation.
- After you restore from an incremental backup, you can't use the previous full backup location for ongoing incremental backups. You will need to make a fresh full backup after the restore and use that full backup location for the ongoing incremental backups. This means that after the restore of an incremental backup, any scheduled backups will need to be updated to use the new full backup location.

20.2 Backing Up Databases with Journal Archiving

The backup/restore operations with journal archiving enabled provide a point-in-time recovery option that enables you to restore database changes to a specific point in time between full backups with the input of a wall clock time. When journal archiving is enabled, journal frames are written to backup directories by near synchronously streaming frames from the current active journal of each forest.

Note: When you create scheduled backups with journal archiving enabled, and then later delete the backup, it does not stop journal archiving from occurring even though the backups stop happening. The `xdmp:stop-journal-archiving` function must be to explicitly called to stop journal archiving.

When journal archiving is enabled, you will experience longer restore times and slightly increased system load as a result of the streaming of journal frames.



Note: Journal archiving can only be enabled at the time of a full backup. If you restore a backup and want to reenable journal archiving, you must perform a full backup at that time.

When journal archiving is enabled, you can set a lag limit value that specifies the amount of time (in seconds) in which frames being written to the forest's journal can differ from the frames being streamed to the backup journal. For example, if the lag limit is set to 30 seconds, the archived journal can lag behind a maximum of 30 seconds worth of transactions compared to the active journal. If the lag limit is exceeded, transactions are halted until the backup journal has caught up.

The active and backup journal are synchronized at least every 30 seconds. If the lag limit is less than 30 seconds, synchronization will be performed at least once in that period. If the lag limit is greater than 30 seconds, synchronization will be performed at least once every 30 seconds. The default lag limit is 15 seconds.

The decision on setting a lag limit time is determined by your Recovery Point Objective (RPO), which is the amount of data you can afford to lose in the event of a disaster. A low RPO means that you will restore the most data at the cost of performance, whereas a higher RPO means that you will potentially restore less data with the benefit of less impact to performance. In general, the lag limit you chose depends on the following factors:

A lower lag limit implies:

- Accurate synchronization between active and backup journals at the potential cost of system performance.
- Use when you have an archive location with high I/O bandwidth and your RPO objective is low.

A higher lag limit implies:

- Delayed synchronization between active and backup journals, but lesser impact on system performance.
- Higher server memory utilization due to pending frames being held in memory.
- Use when you have an archive location with low I/O bandwidth and your RPO objective is high.

20.3 Incremental Backup

An incremental backup stores only the data that has changed since the previous full or incremental backup. Typically a series of incremental backups are done between full backups. Incremental backups are more compact than archived journals and are faster to restore. It is possible to schedule frequent incremental backups (for example, by ranges of hours) because an incremental backup generally takes less time to complete than a full backup. In normal conditions, it is recommended an incremental backup not be configured for a frequency less than every four hours.

To enable an incremental backup, set Incremental backup to `true` while initiating or scheduling a backup. See “Backing Up a Database” on page 263 for details. Full and incremental backups need to be scheduled separately. An example configuration might be:

- Full backups scheduled monthly
- Incremental backups scheduled daily

A full backup and a series of incremental backups can allow you to recover from a situation where a database has been lost. Incremental backup can be used with or without journal archiving. If you enable both incremental backup and journal archiving, you can replay the journal starting from the last incremental backup timestamp. See “Backing Up Databases with Journal Archiving” on page 259 for more about journal archiving.

Note: When you restore from an incremental backup, you need to do a full backup before you can continue with incremental backups.

Incremental backup and journal archiving both provide disaster recovery. Incremental backup uses less disk space than journal archiving, and incremental backup is faster than using journal archiving.

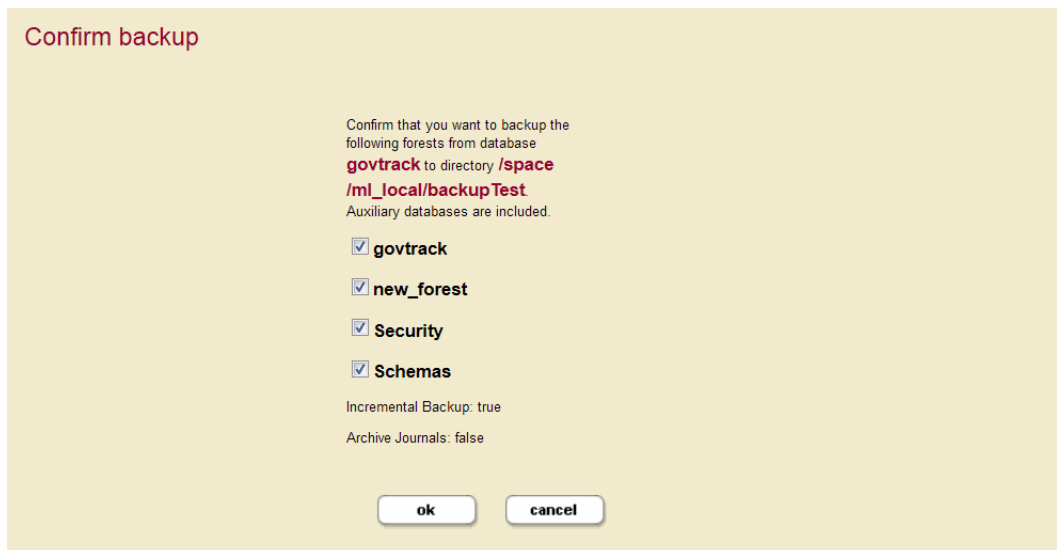
Note: If MarkLogic Server cannot memory-map files from the backup in the underlying file system, it cannot create an incremental backup. So MarkLogic incremental backups require that the backup file system support memory-mapping operations (mmap).

For recovery you only need to specify the timestamp for the recovery to start and the server will figure out which full backup and which incremental backup(s) to use. You only need to schedule the incremental backup; the server will link together (or chain) the sequence the incremental backups automatically. See “Restoring from an Incremental Backup with Journal Archiving” on page 276 for details.

20.3.1 Incremental Backup of New Forest

Incremental backup supports backup of a forest added since last full backup. If you add a new forest after a full backup of your database, you can include the new forest as part of your next incremental backup.

After you attach a new forest to your database, it will be included in the list of forests to be backed up in the Confirm backup step (Step [12](#) in “Backing Up a Database Immediately” on page 263).



The image shows a 'Confirm backup' dialog box with a light yellow background. At the top, the title 'Confirm backup' is in red. Below the title, the text reads: 'Confirm that you want to backup the following forests from database', followed by 'govtrack' and '/ml_local/backupTest' in red, and 'Auxiliary databases are included.' in smaller text. There are four checked checkboxes: 'govtrack', 'new_forest', 'Security', and 'Schemas'. Below these, it says 'Incremental Backup: true' and 'Archive Journals: false'. At the bottom are 'ok' and 'cancel' buttons.

Select the forest to include it in the backup and click ok. See “Backing Up a Database” on page 263 for more information.

20.4 Incremental Backup with Journal Archiving

Incremental backup improves restore both time and space requirements over journal archiving, but it’s not an either/or decision. You can, and should, use both where appropriate. If your goal is to be able to restore to any arbitrary point in time, while minimizing potential data loss, we suggest the following:

1. Configure a scheduled full backup at some coarse granularity (for example, weekly) and enable journal archiving
2. Configure a scheduled incremental backup as some finer granularity (for example, hourly), and specify `purge-journal-archiving=true`.

3. Set `retain until backup` on the database Merge Policy so that deleted fragments are retained until they have been included in an incremental backup. See “Setting Merge Policy” on page 183 or `admin:database-set-retain-until-backup` for details.

This configuration means that journal archives are only needed for the most recent hour, and the older ones are purged once there is an incremental backup that covers that hour. Enabling `retain until backup` ensures that the incremental backups have sufficient state to restore the database to any point since the previous incremental backup.

When you restore, the full and incremental backups can be used to return to any point in time prior to the most recent backup, and the journal archive will only be used if your restore point is more recent than the last incremental backup.

20.5 Backing Up a Database

You can either initiate a database backup immediately or you can schedule a backup to occur in the future with the following procedures:

- [Backing Up a Database Immediately](#)
- [Scheduling a Database Backup](#)

The backup procedures include options to specify journal archiving and/or incremental backup. You can choose to do a full backup or incremental backup, with or without journal archiving enabled.

20.5.1 Backing Up a Database Immediately

Perform the following steps to initiate a database backup:

1. Log into the Admin Interface as a user with the `admin` role.
2. Click the `Databases` link in the left menu of the Admin Interface.
3. Click the database name for the database you want to back up, either from the tree menu or on the summary page.
4. Click the `Backup/Restore` tab. The Backup/Restore screen appears.
5. Enter the directory to which you want the database backed up in the `Backup to directory` field.

Note: The backup directory path must exist on all hosts that serve any forests in the database. The directory you specified can be an operating system mounted directory path, it can be an HDFS path, or it can be an S3 path. For details on using HDFS and S3 storage in MarkLogic, see [Disk Storage Considerations](#) in the *Query Performance and Tuning Guide*. Additionally, if you are using Windows and are

backing up to a remote Windows path, you must set the registry settings and permissions as described in [Windows Shared Disk Registry Settings and Permissions](#).

6. If you want to encrypt your backup, enter an encryption password.
7. If you have configured forests for local-disk failover, you can optionally set `Include Replica Forests` to true if you want to include the replica forests in the backup. For details on configuring forests for local-disk failover, see [Configuring Local-Disk Failover for a Forest](#) in the *Scalability, Availability, and Failover Guide*.
8. Set `Incremental backup` to true to create an incremental backup. The default is a full backup (false).
9. Set `Archive Journals` to true and set the `Journal Archiving Lag Limit` if you want to enable point-in-time recovery. The `Journal Archiving Lag Limit` is described in “Backing Up Databases with Journal Archiving” on page 259.

Note: If Journal Archiving is enabled, you cannot include auxiliary forests, as they should have their own separate backups.

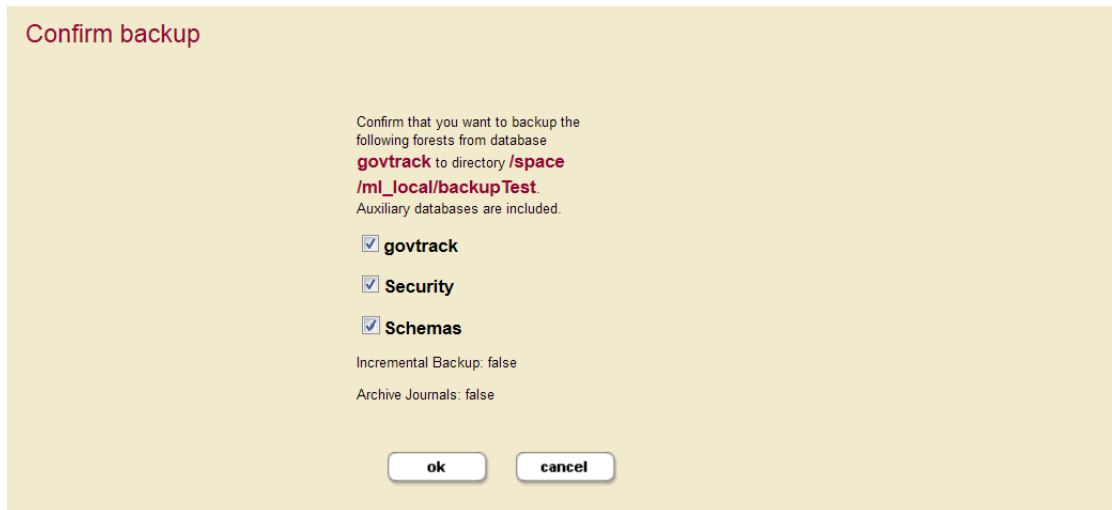
The screenshot shows a dialog box titled "Backup the govtrack database." with the following fields and options:

- Backup to directory:** A text field containing "/space/ml_local/backupTest". Below it, a note says "The backup directory pathname. Required."
- Encryption password:** An empty text field. Below it, a note says "Optional password to use for encrypting or decrypting backup files."
- Include replica forests:** Radio buttons for "true" (selected) and "false".
- Incremental backup:** Radio buttons for "true" and "false" (selected).
- Archive journals:** Radio buttons for "true" and "false" (selected).

At the bottom are "ok" and "cancel" buttons. A mouse cursor is pointing at the "ok" button.

10. Click OK.
11. If a directory creation error appears, then the directory is not writable. Either change the permissions on an existing directory or create a new directory with the proper permissions (readable and writable by the user running MarkLogic Server, by default `daemon` on UNIX and the local System user on Windows) and click OK again.

12. The `Confirm backup` screen appears and lists all the forest selected for back up.



13. Click OK to begin the backup immediately, or deselect forests that you do not want to back up.

Note: If you deselect any of the forests to backup, you might not have a completely consistent view of the database to restore. Only deselect any forests if you are sure you understand the implications of what you are backing up. To guarantee the exact same view of the database, backup all of the forests associated with the database, including the Schemas and Security database forests.

14. After the backup is underway, the Admin Interface redirects you to the Database Status page.

Database: govtrack [show rebalance](#) [show reindex](#) [show forests](#)

database status -- A detailed view of this database's status.

Database	govtrack
Mount State	available (backing up) since July 14, 2014 3:34:25 PM
Size	300 MB
Large Data Size	0 MB
Forests	1
Merge State	0 merges in progress
Rebalancing State	Not rebalancing
Reindexing/Refragmenting State	Not reindexing/refragmenting
Backup/Recovery State	Backup in progress (see below for details)
Last Backup	2014-07-14T14:51:54.433-07:00
Last Restore	2014-07-14T14:41:16.031-07:00
Content Processing State	Not installed
Non-Blocking Timestamp	2014-07-14T15:43:01.042
Configured for Database Replication	No

Forest	Host	State	Documents	Fragments	Deleted Fragments	Stands	Size	Free Space	Large Free Space	Fast Free Space
govtrack	hp8470-2361.marklogic.com	open (backing up)	17,378	17,378	0	2	300 MB	397,498 MB	n/a	n/a
Total			17,378	17,378	0	2	300 MB			

Offline Forest	Host	State	Fragments	Size	Minimum Data Value	Maximum Data Value
None						
Total						

Forest	List Cache Hits	Misses	Ratio	Hit Rate	Miss Rate	Ratio
govtrack	282	620	31%	0	0	n/a
Total	282	620	31%	0	0	n/a

Forest	Compressed Tree Cache Hits	Misses	Ratio	Hit Rate	Miss Rate	Ratio
govtrack	0	0	n/a	0	0	n/a

15. You can refresh the Database Status screen to view the progress of the backup. The Backups table lists when the backup was started, provides an estimate of the amount of time left, and lists other status information about the backup operation.

Backups												
Forest	Path	Start Time	Estimated Completion In	Current Size	Final Size							
govtrack	/space/ml_local/backupTest /20140714-1542588550000/Forests/govtrack	3:42 PM July 14, 2014	00:00:21	33 MB	300 MB							
Rates (Megabytes per Second)												
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes	
govtrack	0	0	0	0	0	1.995201	1.974032	0	0	0	0	
Loads (Seconds per Second)												
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes	
govtrack	0	0	0	0	0	0.04247266	0.03109375	0	0	0	0	

When the backup is complete, the entry in the backup table disappears.

If the status for any of the forests was something besides “completed,” then an error occurred during the backup operation. Check the `MarkLogicData/Logs/ErrorLog.txt` file for any errors, correct them, and try the backup operation again.

20.5.2 Scheduling a Database Backup

You can schedule database backups to periodically back up a database. You can schedule backups to occur daily, weekly, monthly, or you can schedule a one-time backup. You can create as many scheduled backups as you want. To create a scheduled backup, perform the following steps using the Admin Interface:

1. Click the `Databases` icon on the left tree menu.
2. Select the database for which you want to schedule a backup, either on the tree menu or from the `Database Summary` page. The `Database Configuration` page appears.
3. Click the `Scheduled Backup` link in the tree menu for the database. The `Scheduled Backup Configuration` page appears.
4. On the `Scheduled Backup Configuration` page, you can delete any existing scheduled backups if you no longer need them.

5. Click the **Create** tab. The **Schedule a Database Backup** page appears:

The screenshot shows the "Schedule a Database Backup" dialog box. The "Create" tab is selected. The settings are as follows:

- backup directory:** /space/ml_local/backupTest. Below the text box, it says: "The backup directory pathname. Required. You must supply a value for backup-directory."
- backup type:** Radio buttons for minutely, hourly, daily, weekly (selected), monthly, and once.
- backup period:** Text box containing "1". Below it: "How often this backup should run (every n months, weeks, days, hours or minutes)."
- days:** Checkboxes for Monday, Tuesday, Wednesday, Thursday, Friday, Saturday (checked), and Sunday. Below it: "The days on which this backup occurs."
- backup start time:** Text box containing "08:00". Below it: "The starting time (in 24:00 notation) for this backup."
- max backups:** Text box containing "2". Below it: "The maximum number of backups to keep for this scheduled backup (This setting does not apply for incremental backups)."
- backup security database:** Radio buttons for true and false (selected). Below it: "Backup the security database for this database."
- backup schemas database:** Radio buttons for true and false (selected). Below it: "Backup the schemas database for this database."
- backup triggers database:** Radio buttons for true and false (selected). Below it: "Backup the triggers database for this database."
- include replicas:** Radio buttons for true (selected) and false. Below it: "Backup the replica forests as well as the master forests."
- incremental backup:** Radio buttons for true and false (selected). Below it: "Is this an incremental backup?"
- journal archiving:** Radio buttons for true (selected) and false.
- journal archive lag limit:** Text box containing "15". Below it: "Number of seconds the archived journal can lag the active journal."

At the bottom of the dialog are "ok" and "cancel" buttons.

6. Enter the absolute path to the backup directory. The backup directory must have permissions such that the MarkLogic Server process can read and write to it.

Note: The backup directory path must exist on all hosts that serve any forests in the database. The directory you specified can be an operating system mounted directory path, it can be an HDFS path, or it can be an S3 path. For details on using HDFS and S3 storage in MarkLogic, see [Disk Storage Considerations](#) in the *Query Performance and Tuning Guide*.

7. Choose a scheduled or one-time for the backup type:

- For minutely, enter how many minutes between each backup.
 - For hourly, enter how many hours between each backup. The `Backup Minute` setting specifies how many minutes after the hour the backup is to start. Note that the `Backup Minute` setting does not add to the interval.
 - For daily, enter how many days between each backup and the time of day.
 - For weekly, enter how many weeks between each backup, check one or more days of the week, and the time of day for the backup to start.
 - For monthly, enter how many months between each backup, select one day of the month (1-31), and the time of day for the backup to start.
 - For one-time, enter the backup start date in MM/DD/YYYY notation (for example, 07/29/2009 for July 29, 2009) and time in 24:00 notation.
8. Enter the time of day to start the backup.
 9. Enter the maximum number of backups to keep. When you reach the specified maximum number of backups, the next backup will delete the oldest backup. Specify 0 to keep an unlimited number of backups.
 10. Choose whether you want the backups to include the security database, the schemas database, and/or the triggers database for this scheduled backup.
 11. Choose whether you want the backups to include the replica forests, as well as the master forests.
 12. Choose whether you want to schedule an incremental backup or a full backup.
 13. Choose whether you want the backups to enable Journal Archiving for point-in-time recovery. For details on Journal Archiving, see “Backing Up Databases with Journal Archiving” on page 259.

Note: If Journal Archiving is enabled, you cannot include auxiliary forests, as they should have their own separate backups.
 14. If you have enabled Journal Archiving, you can change the lag limit to control the amount of time in seconds in which a journal being backed up can differ from the current active journal.
 15. Click OK to create the scheduled backup.

The backups will automatically start according to the specified schedule.

20.6 Restoring a Database from a Backup

There are a number of ways to restore a database from a backup, as described in the following sections.

- [Admin Interface for Database Restore](#)
- [Restoring a Database without Journal Archiving](#)
- [Restoring Databases with Journal Archiving](#)
- [Restoring from an Incremental Backup with Journal Archiving](#)
- [Restoring to the Safe Timestamp](#)
- [Restoring to a Specific Timestamp](#)
- [Restoring Based on Sample Documents](#)
- [Restoring a Reconfigured Database](#)

Note: Depending on how the backup was made and what has changed since then, some restore operations may require a combination of these procedures.

Warning Do not restore the App-Services database from another cluster because this type of backup causes the following error: `MANAGE-TIMESTAMPOLD: Config files out of date on host.`

20.6.1 Admin Interface for Database Restore

This section describes the Admin Interface used to restore a database.

To access the database restore page, perform the following steps:

1. Log into the Admin Interface as a user with the `admin` role.
2. Click the `Databases` link in the left menu of the Admin Interface.
3. Click the database name for the database you want to restore, either on the tree menu or on the summary page. This database should have the same configuration settings (index options, fragmentation, range indexes) as the one that was backed up.
4. Click the `Backup/Restore` tab. The Backup/Restore screen appears.

Restore the **Documents** database.

Restore from directory
 The backup directory pathname for this database. Each database should use a different backup directory.
Required.

Encryption password
 Optional password to use for encrypting or decrypting backup files. Password must be between 16 and 1000 characters.

Include replica forests ☒ true ☐ false

Use incremental backup ☐ true ☒ false

Use journal archive ☐ true ☒ false

Forest topology changed ☐ true ☒ false

Include auxiliary databases ☐ true ☒ false

Restore to time:
 Leave blank for latest restore time or use xs:DateTime-Format like 2018-08-22T14:44:12.996598-07:00

The database restore settings are described in the table below.

Database Restore Setting	Description
Restore from directory	Specifies the fully-qualified pathname for the directory from which to restore a backup. If the top-level backup directory is specified, then the restore operation restores the most recent backup. If a specific backup is specified, then that backup is restored.
Encryption password	An optional password to use for encrypting or decrypting backup files. Password must be between 16 and 1000 characters.
Include Replica Forests	Specifies whether to include the replica forests used for local-disk failover in the backup.
Use journal archive	Specifies whether to enable the point-in-time recovery feature.
Forest topology changed	Specifies whether the forest topology has changed the last backup.
Include auxiliary databases	Specifies whether to include the auxiliary databases.

Database Restore Setting	Description
Restore to time	Specifies the time to which the database is to be restored. Leave blank for latest restore time.

20.6.2 Restoring a Database without Journal Archiving

This section describes how to restore a database if no journal archiving was enabled for the last backup.

Note: If your last backup enabled Journal Archiving, stop here and follow the procedure described in “Restoring Databases with Journal Archiving” on page 274.

To restore an entire database from a backup, perform the following steps:

1. Log into the Admin Interface as a user with the `admin` role.
2. Click the `Databases` link in the left menu of the Admin Interface.
3. Click the database name for the database you want to restore, either on the tree menu or on the summary page. This database should have the same configuration settings (index options, fragmentation, range indexes) as the one that was backed up.
4. Click the `Backup/Restore` tab. The Backup/Restore screen appears.
5. Enter the directory in which the back up exists in the `Restore From Directory` field.
6. If the backup was encrypted, enter the encryption password.

Note: If you enter a directory that contains multiple backups of the same database, the latest one is used. If you want to choose a particular backup to restore, enter the `date_stamp` subdirectory corresponding to the backup you want to restore. For details of the directory structure, see “Backup Directory Structure” on page 255.

7. If you have configured forests for local-disk failover, you can optionally set `Include Replica Forests` to true if you want to restore the replica forests from the backup. In order to use this option, you must have enabled the option to include the replica forests in the backup. For details on configuring forests for local-disk failover, see [Configuring Local-Disk Failover for a Forest](#) in the *Scalability, Availability, and Failover Guide*.
8. If you want to restore an incremental back up, set `Use Incremental Backup` to true.

Note: If you restore from an incremental backup, you can’t use the previous full backup location for ongoing incremental backups. You need to make a fresh full backup after the restore and use the full backup location for the ongoing incremental

backups. After doing a restore from an incremental backup, any scheduled backups will need to be updated to use the new full backup location.

9. Leave `Use Journal Archive` false.

The screenshot shows a dialog box titled "Restore the *Documents* database." with a yellow background. It contains several fields and options:

- Restore from directory:** A text field containing `/space/ml_local/backupTest`. Below it, a label reads "The backup directory pathname. Required."
- Encryption password:** A text field. Below it, a label reads "Optional password to use for encrypting or decrypting backup files."
- Include replica forests:** Radio buttons for `true` (selected) and `false`.
- Use incremental backup:** Radio buttons for `true` and `false` (selected).
- Use journal archive:** Radio buttons for `true` and `false` (selected).
- Forest topology changed:** Radio buttons for `true` and `false` (selected).
- Include auxiliary databases:** Radio buttons for `true` and `false` (selected).
- Restore to time:** A text field. Below it, a label reads "Leave blank for latest restore time or use xs:DateTime-Format like 2017-11-17T08:31:01.519859-08:00".

At the bottom are two buttons: "ok" (with a mouse cursor over it) and "cancel".

10. Click OK.
11. The `Confirm Restore` screen appears and lists all the forest selected for restoring.

The screenshot shows a dialog box titled "Confirm restore" with a yellow background. It contains the following information:

- A confirmation message: "Confirm that you want to restore the following forests to database **govtrack** from directory **/space/ml_local/backupTest**".
- Three checked checkboxes: `govtrack`, `Schemas`, and `Security`.
- Summary information: "Use Incremental Backup: false", "Use Journal Archive: false", and "RestoreToTime:".
- Backup details: "Backup was completed: 2014-07-14T15:43:10" and "Server version used: 8.0-20140714".

At the bottom are two buttons: "ok" and "cancel".

The `Confirm Restore` screen also lists the date the backup was performed and the server version used for the backup you selected.

12. By default, all of the forests associated with a database are checked to restore. If you do not want to restore all of the forests, deselect any forests you do not want to restore.

Note: If you deselect any of the forests to restore, you might not be restoring a completely consistent view of the database. Only deselect any forests if you are sure you understand the implications of what you are restoring. To guarantee the exact same view of the database, restore all of the forests associated with the database, including the Schemas, Triggers, and Security database forests.

13. Click OK to begin the restore operation.

The `Restores` table lists when the restore was started, provides an estimate of the amount of time left, and lists other status information about the restore operation.

Restores											
Forest	Path	State	Start Time	Estimated Completion	Current Size	Final Size					
govtrack	/space/ml_local/backupTest/20140714-1438327200000/Forests/govtrack	copying	2:41 PM July 14, 2014	00:00:03	143 MB	300 MB					

Rates (Megabytes per Second)											
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes
govtrack	0	0	0	0	0	0	0	7.23478	7.240455	0	0

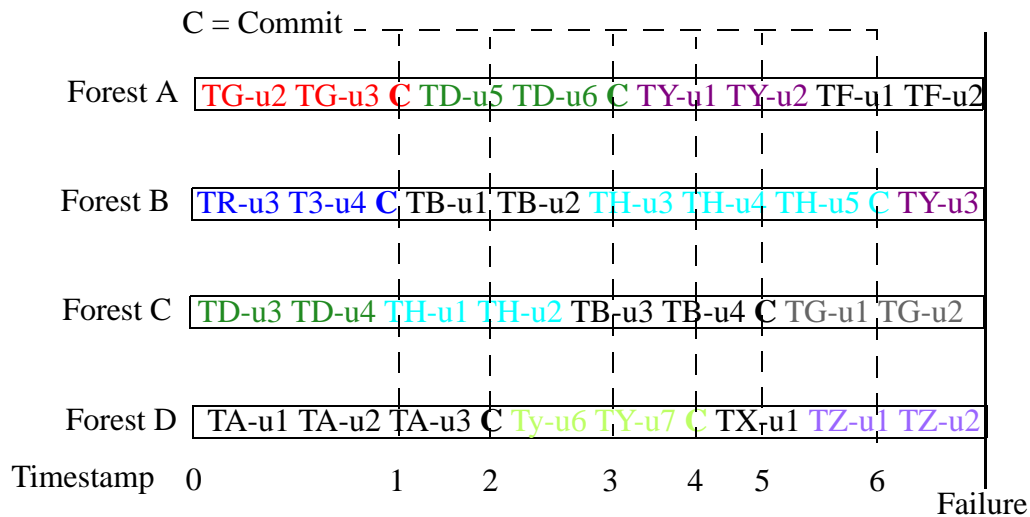
Loads (Seconds per Second)											
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes
govtrack	0	0	0	0	0	0	0	0	0.1784313	0	0

When the restore is complete, the entry in the backup table disappears. If the status for any of the forests was something besides “completed,” then an error occurred during the restore operation. Check the `Mark_Logic_Data/Logs/ErrorLog.txt` file for any errors, correct them, and try the restore operation again.

20.6.3 Restoring Databases with Journal Archiving

After you restore a database with Journal Archiving enabled, each forest will likely have committed its last transaction at different timestamps.

For example, the illustration below shows four forests and their committed transactions. Updates for each transaction are identified by the convention ‘T#-u#’ and commits are identified by a ‘C’. Each forest completed its last commit at a different point in time when the restore is finished. In this example, we are restoring from timestamp 0 to 6, Forest A has only committed transactions up to timestamp 3 while Forest B has committed transactions up to timestamp 6. This means that, in order to return the database to a transactionally consistent state, all forests must be rolled back to timestamp 3 or earlier.



Your options for recovering your data and returning the database to a transactionally consistent state are as follows:

- Restore as much data as possible. Follow the procedure described in “Restoring to the Safe Timestamp” on page 278.
- Restore data at a specific timestamp. Follow the procedure described in “Restoring to a Specific Timestamp” on page 280.
- Restore data at a specific timestamp based on the state of some sample documents. Follow the procedure described in “Restoring Based on Sample Documents” on page 281.

The following sections describe how to use the XQuery API to restore the database. You can also use the Admin Interface to accomplish some of the tasks.

Note: If you are using XA distributed transaction processing, a restore to a point in time may revive some XA transactions that were prepared before the target restore time, and committed/aborted after that time. For details on how to identify XA transactions, see [Heuristically Completing a MarkLogic Server Transaction](#) in the *XCC Developer's Guide*

Note: You cannot roll back through a database clear operation, so you should check the server logs for points in time that any clear operations occurred.

20.6.4 Restoring from an Incremental Backup with Journal Archiving

To restore from an incremental backup, the server uses the base backup in the backup tag to get a series of incremental backups that lead to the full backup. The restore then starts with a full backup and restores using the incremental backups in reverse order. You need to specify the full backup directory and optionally the incremental backup directory. If no restore timestamp is specified, the server finds the latest backup from which to restore. Once you have completed this process, you can use journal archiving to restore the database to the current time.

If a restore timestamp is specified, the server finds a backup where the restore timestamp is between the minimum query timestamp and the backup timestamp. If no backup meets the requirement and there is a journal archive, the server finds the latest backup with backup timestamp smaller than the restored timestamp. It restores to that backup and then replays the journal to the restored timestamp.

If the journal archive exists, the server will find the backup timestamp of the last incremental backup and replay the journal starting from that timestamp.

Note: Once you restore from an incremental backup, you can no longer use the previous full backup location for ongoing incremental backups. After the restore, you need to make a fresh full backup and use that full backup location for the ongoing incremental backups. This means after the restore from an incremental backup, any scheduled backups will need to be updated to use the new full backup location. Using the old full backup location for incremental backup after a restore will cause an error.

This procedure describes how to restore a database to the current point in time using a full backup, one or more incremental backup, and journal archiving. You need to have a full backup using journal archiving and one or more incremental backups using journal archiving.

1. Log into the Admin Interface as a user with the `admin` role. Click the Databases link in the left menu of the Admin Interface.
2. Click the database name for the database you want to restore, either on the tree menu or on the summary page. This database should have the same configuration settings (index options, fragmentation, range indexes) as the one that was backed up.

Note: For journal archiving, you need either the timestamp for the restore target or the current timestamp. This example uses a blank field (latest restore time/current timestamp) for the restore target.

3. Click the `Backup/Restore` tab. The Backup/Restore screen appears. In the Restore from directory field, enter the directory where the backup exists.

Note: If you enter a directory that contains multiple backups of the same database, the latest one is used. If you want to choose a particular backup to restore, enter the

date_stamp subdirectory corresponding to the backup you want to restore. For details of the directory structure, see “Backup Directory Structure” on page 255.

4. If you have configured forests for local-disk failover, you can optionally set `Include Replica Forests` to `true` if you want to restore the replica forests from the backup. In order to use this option, you must have enabled the option to include the replica forests in the backup. For details on configuring forests for local-disk failover, see [Configuring Local-Disk Failover for a Forest](#) in the *Scalability, Availability, and Failover Guide*.

Restore the **Documents** database.

Restore from directory:
The backup directory pathname.
Required.

Encryption password:
Optional password to use for encrypting or decrypting backup files.

Include replica forests: ☒ true ☐ false

Use incremental backup: ☒ true ☐ false

Use journal archive: ☒ true ☐ false

Forest topology changed: ☒ true ☐ false

Include auxiliary databases: ☐ true ☒ false

Restore to time:
Leave blank for latest restore time or use xs:DateTime-Format like 2017-11-17T08:31:01.519859-08:00

5. Set `Use incremental backup` to `true`. Set `Use Journal Archive` to `true`. Leave the `Restore to time` blank or enter a time in `xs:DateTime-Format`.

Note: For Journal archiving to work, you need a `Restore to time`, otherwise the restore will proceed with last Incremental backup it finds at the location. Also, the Merge Timestamp should be older than the Restore Time.

When restoring a backup with journal archiving enabled, be sure to change the merge timestamp from 0 to a non-zero value. Using zero for the merge timestamp will result in an error when restoring with journal archiving and restore-to-time set to zero. The merge timestamp must be set to a non-zero value.

6. Click OK to begin the restore process.
7. The Confirm restore screen lists the options you selected for restoring. Click OK.

Confirm restore

Confirm that you want to restore the following forests to database **govtrack** from directory **/space/ml_local/backupTest**

Note: Auxiliary databases (Modules, Schemas, Triggers and Security) need to be restored separately as Archive Journals is enabled.

☒ **govtrack**

Use Incremental Backup: true

Use Journal Archive: true RestoreToTime:

Backup was completed:
2014-07-15T14:57:05

Server version used: 8.0-20140714

ok **cancel**

The Restores table lists when the restore was started, provides an estimate of the amount of time left, and lists other status information about the restore operation.

Restores												
Forest	Path	State	Start Time	Estimated Completion	Current Size	Final Size						
govtrack	/space/ml_local/backupTest /20140715-1456511200000/Forests/govtrack	copying	2:30 PM July 16, 2014	unknown	0 MB	300 MB						

Rates (Megabytes per Second)												
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes	
govtrack	0	0	0	1.15625	0	0	0	5.849562	7.285945	0	0	

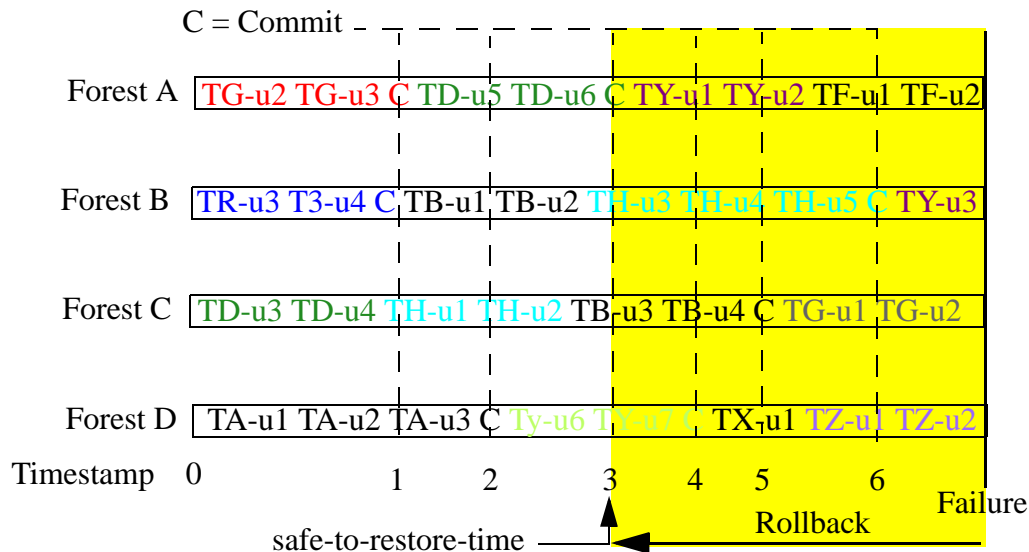
Loads (Seconds per Second)												
Forest	Query Reads	Journal Writes	Save Writes	Merge Reads	Merge Writes	Backup Reads	Backup Writes	Restore Reads	Restore Writes	Large Reads	Large Writes	
govtrack	0	0	0	0.007625	0	0	0	0.003810196	0.1271666	0	0	

When the process is complete, the Restores table entry will disappear.

20.6.5 Restoring to the Safe Timestamp

If you want to restore as much data as possible, you can restore your data to the minimum safe timestamp.

For example, the database you want to restore has four forests, as shown below. You use the `xdmp:host-status` function to locate the `safe-restore-to-time` value, which is earliest of the four last-commit timestamps. In this example, the `safe-restore-to-time` is the timestamp of the last committed transaction in Forest A.



The following procedure describes how to restore to the minimum timestamp using the XQuery API.

Note: This same procedure can be done using the Admin Interfaces described in “Setting Merge Policy” on page 183, “Admin Interface for Database Restore” on page 270, and “Rolling Back a Transaction” on page 318.

1. Use the `admin:database-get-merge-timestamp` function to get the current merge timestamp. Save this value so it can be reset after you have completed the rollback operation.
2. Use the `admin:database-set-merge-timestamp` function to set the merge timestamp to any time before your minimum safe timestamp. This will preserve fragments in merge after this timestamp until you have rolled back your forest data.
3. Use the `xdmp:database-restore` function with `$journal-archiving` set to `fn:true()` and `$restoreToTime` set to `null()` to restore the database to the latest timestamp.
4. After the restore operation has completed, use the `xdmp:forest-rollback` function to roll back the forests to the `safe-restore-to-time` timestamp returned by the `xdmp:host-status` function.

For example, if you are restoring the Documents database, you can use the following query to rollback your forest data:

```

xquery version "1.0-ml";
declare namespace host = "http://marklogic.com/xdmp/status/host";

let $timestamp :=
  xdmp:wallclock-to-timestamp(
    xs:dateTime(xdmp:host-status(xdmp:host("your-host.com"))
      /host:restore-jobs/host:restore-job/host:safe-restore-to-time
      /fn:data(.)))

return
  xdmp:forest-rollback(
    xdmp:database-forests(xdmp:database("Documents")),
    $timestamp)

```

5. Use `admin:database-set-merge-timestamp` function to set the merge timestamp back to the value you saved in Step 1.

20.6.6 Restoring to a Specific Timestamp

The following procedure describes how to restore a database to a specific timestamp using the XQuery API.

Note: This same procedure can be done using the Admin Interfaces described in “Setting Merge Policy” on page 183, “Admin Interface for Database Restore” on page 270, and “Rolling Back a Transaction” on page 318.

1. Use the `admin:database-get-merge-timestamp` function to get the current merge timestamp. Save this value so it can be reset after you have completed the rollback operation.
2. Use the `admin:database-set-merge-timestamp` function to set the merge timestamp to any time before the restore timestamp. This will preserve fragments in merge after this timestamp until you have rolled back your forest data.
3. Use the `xdmp:database-restore` function with `$journal-archiving` set to `fn:true()` and `$restoreToTime` set to the restore timestamp to restore the database.
4. After the restore operation has completed, use the `xdmp:forest-rollback` function to roll back the forests to the restore timestamp. For example, if you are restoring the Documents database and the restore timestamp is `2011-09-13T10:50:21.201832-07:00`, your `xdmp:forest-rollback` function call would be:

```

xdmp:forest-rollback(
  xdmp:database-forests(xdmp:database("Documents")),
  xdmp:wallclock-to-timestamp(
    xs:dateTime("2011-09-13T10:50:21.201832-07:00")))

```

5. Use `admin:database-set-merge-timestamp` function to set the merge timestamp back to the value you saved in Step 1.

20.6.7 Restoring Based on Sample Documents

You may want to use the state of some sample documents to determine the time at which to restore the database.

The following procedure describes how to restore to the state of some documents using the XQuery API.

Note: This same procedure can be done using the Admin Interfaces described in “Setting Merge Policy” on page 183, “Admin Interface for Database Restore” on page 270, and “Rolling Back a Transaction” on page 318.

1. Use the `admin:database-get-merge-timestamp` function to get the current merge timestamp. Save this value so it can be reset after you have completed the rollback operation.
2. Use the `admin:database-set-merge-timestamp` function to set the merge timestamp to any time before the backup was taken. This will preserve fragments in merge after this timestamp until you have rolled back your forest data.
3. Use the `xdmp:database-restore` function with `$journal-archiving` set to `true` and `$restoreToTime` set to `null ()` to restore the database to the latest timestamp.
4. After the restore operation has completed, use point-in-time queries described in the [Point-In-Time Queries](#) chapter in the *Application Developer's Guide* to determine the time at which the sample documents last looked correct.
5. Use the `xdmp:forest-rollback` function to roll back the forests to the timestamp used for the successful point-in-time queries. For example, if you are restoring the Documents database and the documents at the timestamp `2011-09-13T10:57:25.201832-07:00` look correct, your `xdmp:forest-rollback` function call would be:

```
xdmp:forest-rollback(  
  xdmp:database-forests(xdmp:database("Documents")),  
  xdmp:wallclock-to-timestamp(  
    xs:dateTime("2011-09-13T10:50:21.201832-07:00"))  
)
```

6. Use `admin:database-set-merge-timestamp` function to set the merge timestamp back to the value you saved in Step 1.

20.6.8 Restoring a Reconfigured Database

You can restore a database from a backup, even if forests have been added to or subtracted from the database after the backup. When the number of database forests are asymmetrical to the backup forests, the following mapping rules apply:

- Restore a single database forest from a single backup forest.

- Restore a single database forest from multiple backup forests.

When restoring a database that has added or subtracted forests since the backup, click on the **Backup/Restore** tab, go to the **Restore** section of the page, enable the **Forest topology changed** option, and click **ok**.

Restore the *Documents* database.

Restore from directory
The backup directory pathname.
Required.

Encryption password
Optional password to use for encrypting or decrypting backup files.

Include replica forests ☒ true ☐ false

Use incremental backup ☐ true ☒ false

Use journal archive ☐ true ☒ false

Forest topology changed ☒ true ☐ false

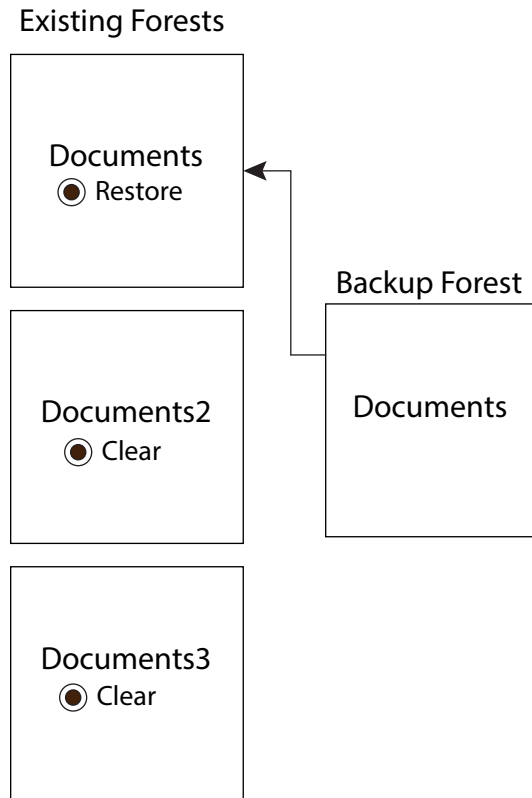
Include auxiliary databases ☐ true ☒ false

Restore to time:
Leave blank for latest restore time or use xs:DateTime-Format like 2017-11-17T08:31:01.519859-08:00

ok **cancel**

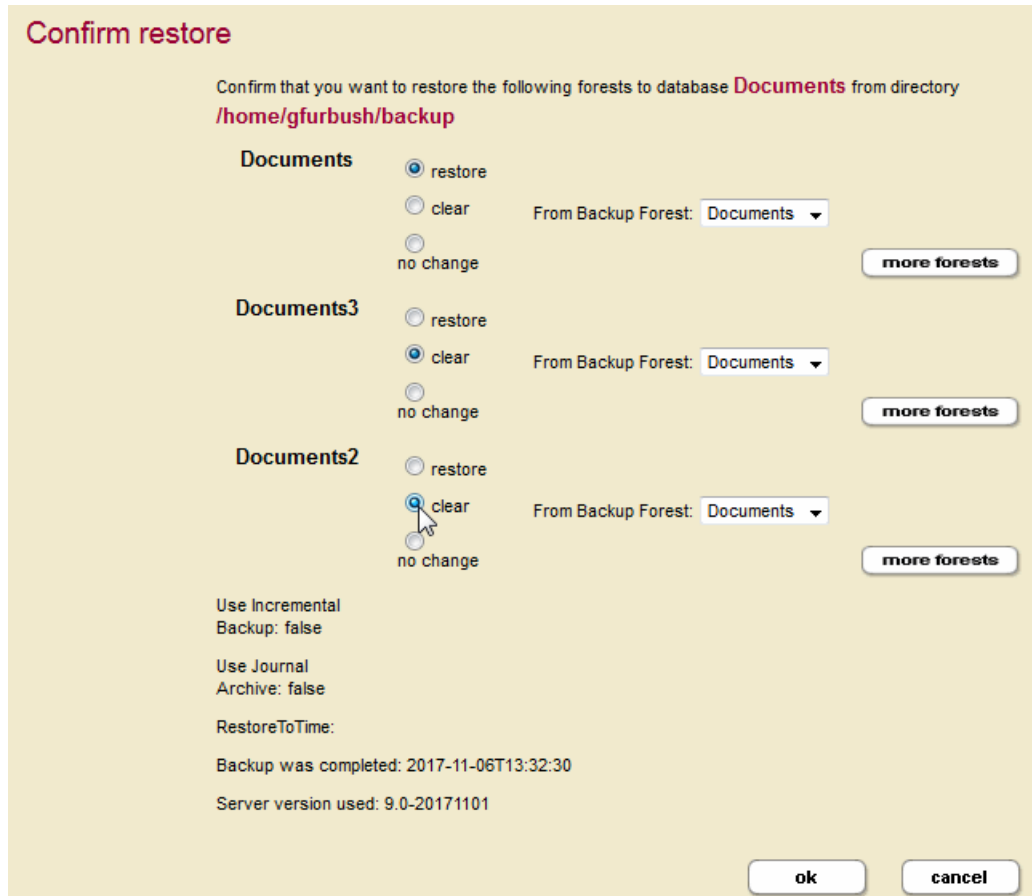
The **Confirm Restore** page appears, showing the existing forests for the database on the left and the backed up forests as pull down menus on the right.

For example, you want to restore from a backup that was done when the `Documents` database had only one forest (`Documents`) and the restore operation is done after adding two more forests (`Documents2` and `Documents3`) to the `Documents` database. You can only restore a backup forest to a single existing forest. In this example, we are populating the `Documents` forest from the backup of the `Documents` forest.



The `Confirm Restore` page below shows the restore operation. This operation is restoring the `Documents` forest from the `Documents` backup forest. To ensure that the `Documents`

database is restored with the data from the backup, set the `Documents2` and `Documents3` forests to `clear` to remove any data added since the backup.



Confirm restore

Confirm that you want to restore the following forests to database **Documents** from directory **/home/gfurbush/backup**

Documents	<input checked="" type="radio"/> restore <input type="radio"/> clear <input type="radio"/> no change	From Backup Forest: Documents ▼	more forests
Documents3	<input type="radio"/> restore <input checked="" type="radio"/> clear <input type="radio"/> no change	From Backup Forest: Documents ▼	more forests
Documents2	<input type="radio"/> restore <input checked="" type="radio"/> clear <input type="radio"/> no change	From Backup Forest: Documents ▼	more forests

Use Incremental Backup: false

Use Journal Archive: false

RestoreToTime:

Backup was completed: 2017-11-06T13:32:30

Server version used: 9.0-20171101

ok **cancel**

The following are the restore options for each existing forest.

Setting	Description
restore	Restore forest from backup forest.
clear	Do not restore forest and clear any data from the existing forest.
no change	Do not restore forest and leave the contents of the existing forest unchanged.

To restore from a backup that contains more than one forest, select **More Forests** and chose the additional backup forests from the pull down menus, as shown below.

Confirm restore

Confirm that you want to restore the following forests to database **Documents** from directory **/home/gfurbush/backup**

Documents

☒ restore From Backup Forest: Documents ▼
☐ clear From Backup Forest: Documents ▼
☐ no change

[more forests](#)

Use
Incremental
Backup: false

Use Journal
Archive: false

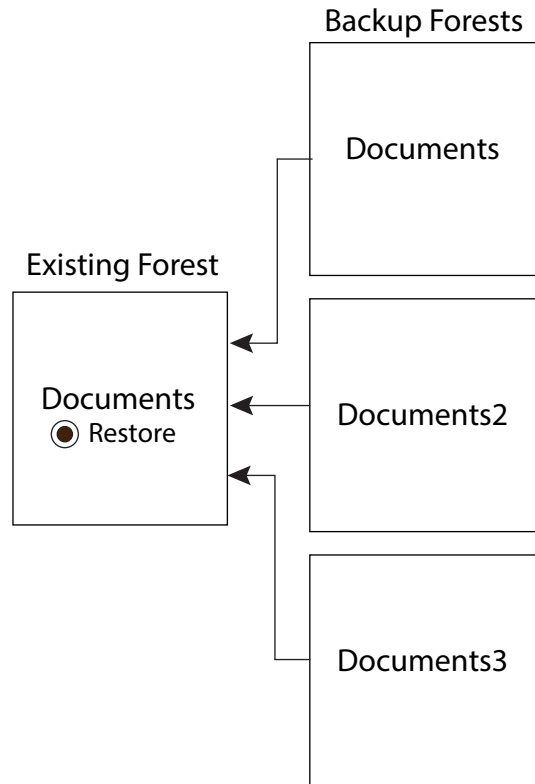
RestoreToTime:

Backup was completed: 2017-11-01T11:01:07

Server version used: 9.0-20171101

ok **cancel**

For example, you want to restore from a backup that was done when the `Documents` database had three forests, `Documents`, `Documents2`, and `Documents3` and the restore operation is done after deleting the `Documents2` and `Documents3` forests. In this example, we are populating the singular `Documents` forest from the `Documents`, `Documents2`, and `Documents3` backup forests.



The `Confirm Restore` page below illustrates the restore operation.

Confirm restore

Confirm that you want to restore the following forests to database **Documents** from directory **/home/gfurbush/backup**

Documents

☒ restore From Backup Forest: Documents ▼

☐ clear From Backup Forest: Documents2 ▼

☐ no change From Backup Forest: Documents ▼ **more forests**

Use
Incremental
Backup: false

Use Journal
Archive: false

RestoreToTime:

Backup was completed: 2017-11-01T11:01:07

Server version used: 9.0-20171101

ok cancel

To restore a database that has added or subtracted forests since the backup, along with the auxiliary databases (Security, Schemas, and Triggers); click on the Backup/Restore tab; go to the Restore section of the page; enable the Forest topology changed and Include auxiliary databases options, and click Ok.

Note: The Include auxiliary databases option is only relevant when Forest topology changed is enabled.

Restore the **Documents** database.

Restore from directory:
The backup directory pathname.
Required.

Encryption password:
Optional password to use for encrypting or decrypting backup files.

Include replica forests: ☒ true ☐ false

Use incremental backup: ☐ true ☒ false

Use journal archive: ☐ true ☒ false

Forest topology changed: ☒ true ☐ false

Include auxiliary databases: ☒ true ☐ false

Restore to time:
Leave blank for latest restore time or use xs:DateTime-Format like 2017-11-17T08:31:01.519859-08:00

20.7 Backing up and Restoring a Database Following Local Disk Failover

Following a failure of a host that contains a master forest configured for local disk failover, the database attached to the master forest fails over to the replica forest. This section describes how to back up the surviving replica forest data and restore the data after the host containing the master forest has been restored. In the example procedure described in this section, the `Documents` database is attached to the `Documents-master` forest on one host and is configured for local-disk failover to the `Documents-rep` forest on another host.

For details on how to configure local disk failover, see the [Configuring Local-Disk Failover for a Forest](#) chapter in the *Scalability, Availability, and Failover Guide*.

1. Before the failure, the `Documents-master` forest is in the `open` state and the `Documents-rep` forest is in the `sync replicating` state.

Forest	Host	State	Documents
Documents-master	gordon-3.marklogic.com	open	290,001
Documents-rep	gordon-2.marklogic.com	sync replicating	290,001
Total			290,001

2. A failure occurs on the host containing the `Documents-master` forest and the `Documents` database automatically fails over to the `Documents-rep` forest. The `Documents-rep` forest is now in the `open` state and servicing updates on behalf of the `Documents` database.

Note: The configuration of the `Documents` database remains unchanged from before the failover.

Forest	Host	State	Documents
Documents-master - This forest has an error, is disabled, or is ca			
Documents-rep	gordon-2.marklogic.com	open	290,001
Total			290,001

To back up the `Documents-rep` forest, do the following.

Note: Both the backup and restore procedures must be done on the host that contains the `Documents-rep` forest.

1. On the host machine that contains the `Documents-rep` forest, backup the `Documents` database. Leave `Include Replica Forests` set to `true`.

Backup the `Documents` database.

Backup to directory
The backup directory pathname.
Required.

Encryption password
Optional password to use for encrypting or decrypting backup files.

Include replica forests ☒ true ☐ false

Incremental backup ☐ true ☒ false

Archive journals ☐ true ☒ false

2. Select only the `Documents-rep` forest for backup.

Confirm that you want to backup the following forests from database `Documents` to directory `/tmp`.
Auxiliary databases are included.

☐ `Security-rep` ☐ `Security`

☐ `Schemas-rep` ☐ `Schemas`

☐ `Triggers-rep` ☐ `Triggers`

☒ `Documents-rep`

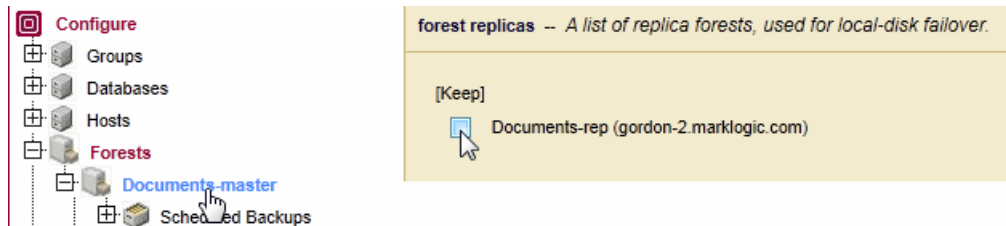
Archive Journals: false

- Once the host containing the Documents-master forest is restored, the Documents-master forest becomes the replica forest and receives replicated updates from the Documents-rep forest.

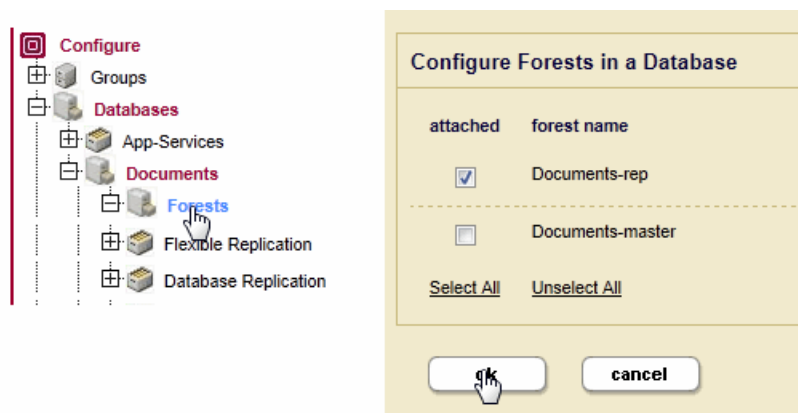
Forest	Host	State	Documents
Documents-master	gordon-3.marklogic.com	sync replicating	286,001
Documents-rep	gordon-2.marklogic.com	open	286,001
Total			286,001

Before you can restore data from the Documents-rep forest that you backed up after the failover, you must reconfigure local disk failover from the Documents-rep forest to the Documents-master forest, so that the Documents-master forest is the new replica forest.

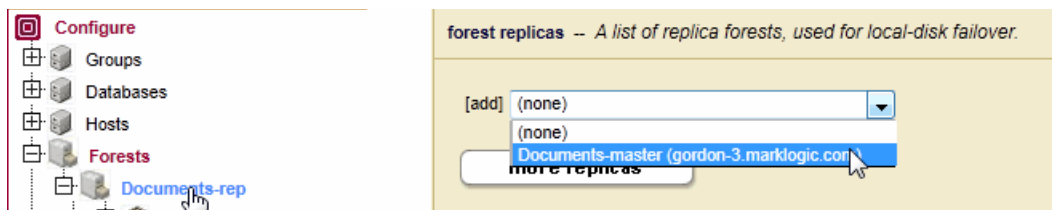
- In the configuration page Documents-master forest, disable replication to Documents-rep forest.



- Navigate to the Forests configuration page for the Documents database. Unattach the Documents-master forest and attach the Documents-rep forest.



- Navigate to the configuration page for the `Documents-rep` forest and select the `Documents-master` forest for local-disk failover.



- On the host containing the `Documents-rep` forest, confirm that the forest is in the `open` state and restore the `Documents` database from the backup taken after the failover.

Restore the *Documents* database.

Restore from directory
 The backup directory pathname.
Required.

Encryption password
 Optional password to use for encrypting or decrypting backup files.

Include replica forests ☒ true ☐ false

Use incremental backup ☐ true ☒ false

Use journal archive ☐ true ☒ false

Use forest map ☐ true ☒ false

Restore to time:
 Leave blank for latest restore time or use xs:DateTime-Format like 2017-11-06T14:36:10.750322-08:00

- Make sure only the `Documents-rep` forest is selected for restoration.

Confirm that you want to restore the following forests to database **Documents** from directory **/tmp**

☒ **Documents-rep** ☐ **Documents-master**

Use Journal Archive:
false RestoreToTime:

6. Once the `Documents-rep` forest is restored, the updates are replicated to the `Documents-master` forest.

Forest	Host	State	Documents
Documents-master	gordon-3.marklogic.com	sync replicating	290,001
Documents-rep	gordon-2.marklogic.com	open	290,001
Total			290,001

21.0 Rolling Upgrades

Users with highly available clusters under heavy transaction loads may want to upgrade to a newer version of MarkLogic in a seamless manner. A rolling upgrade, where hosts in a cluster are upgraded one by one, is one approach to addressing this need. Rolling upgrades are used to upgrade a large cluster with many hosts to a newer version of MarkLogic Server without incurring any downtime in availability or interruption of transactions. A rolling upgrade may also be used to apply patches to multiple hosts.

The goal in performing a rolling upgrade is to have zero downtime of your server availability or transactional data. This is most useful for large high availability (HA) clusters that have a large number of ongoing transactions. A rolling upgrade can be performed on both a primary cluster and a disaster recovery (DR) cluster.

Your cluster must have MarkLogic 8.0-6 or later installed to perform a rolling upgrade. The rolling upgrade feature works on all supported platforms. Rolling upgrades will only work when upgrading from MarkLogic 8.0-6 or later to MarkLogic 10.0-x or later.

Note: Do not change your application to take advantage of any 10.0-1 features until all the nodes in your cluster have been upgraded to 10.0-1. In addition, you should avoid making any configuration changes to your cluster during a rolling upgrade.

This chapter describes rolling upgrades and includes the following sections:

- [Understanding Rolling Upgrades](#)
- [Example—Rolling Upgrade](#)
- [Performing Rolling Upgrades](#)
- [Rolling Back a Partial Upgrade](#)
- [APIs for Rolling Upgrades](#)
- [Interaction with Other MarkLogic Features](#)
- [Important Points to Note Before Performing Rolling Upgrades](#)
- [Other Upgrade Options](#)

21.1 Understanding Rolling Upgrades

A rolling upgrade incrementally installs a later version of MarkLogic Server (host by host), rather than having to take down the whole cluster to install the newer version. Performing a rolling upgrade means that your cluster may be in a mixed state (where more than one version of MarkLogic Server is running) for some period of time during the upgrade process. During the process, the features in the newer version of MarkLogic will not be available until the whole

cluster has been committed to the new version. Because of this you may have to change or modify some of your application code prior to starting the rolling upgrade, so that code will work in a mixed environment. For example, JavaScript code may need modification (because of the new version of V8 for server-side JavaScript) before you commit the upgrade.

The security database and the schemas database must be on the same host, and that host should be the first host you upgrade when upgrading a cluster.

Note: In a mixed node cluster, before the upgrade has been committed, the node that has been upgraded to MarkLogic 10.0-1 will be read-only. This is to prevent any configuration changes from the 10.0-1 node. We strongly recommend that you not make any configuration changes until you have finished upgrading the entire cluster.

21.1.1 When Cluster Has Nodes at Different Software Version Levels

The rolling upgrade feature is designed to enable business continuity while a cluster is being upgraded. The window of time when a cluster has nodes of varying versions should be small. During this time, do not make application code changes and/or configuration changes.

Configuration changes involve the following:

- Changes to index, forest, database, application server, host, group, and cluster setting
- Changes to security settings such as adding/changing/deleting roles, users, privileges, credentials, certificates, etc.
- Adding/removing/updating TDE templates
- Adding/removing/updating redaction rules

In addition, do not perform any manual merges and disable reindexing while the cluster has nodes that are at different software version levels. Changing error log settings and adding trace events to debug issues should be fine.

21.1.2 Rolling Upgrade Process

You can upgrade your cluster with a minimal amount of transactional downtime (less than 5-10 minutes) without using the rolling upgrade feature. Consider whether the tradeoff in added complexity warrants using rolling upgrades instead of the regular upgrade process. See [Upgrading from Previous Releases](#) in the *Installation Guide* for information about the regular upgrade process.

Here are the steps in the rolling upgrade process:

- Backup - back up any hosts that you are going to upgrade. See “Backing Up and Restoring a Database” on page 253 for details.
- Preparation - prepare any code or application that you may need to prior to the upgrade (See “Interaction with Other MarkLogic Features” on page 306 for details).

- Upgrade - perform the actual upgrade.
- Cleanup

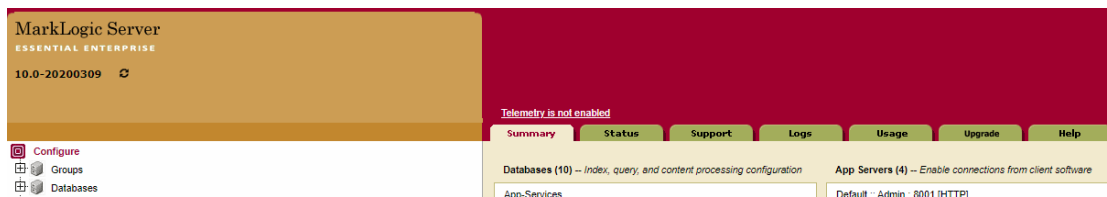
Before you start your upgrade, you will need to backup the hosts you are going to upgrade. Then do any preparation of code or applications that is necessary prior to the upgrade (see “Interaction with Other MarkLogic Features” on page 306) for possible preparations.

When you have completed the upgrade, you may need to perform some clean up.

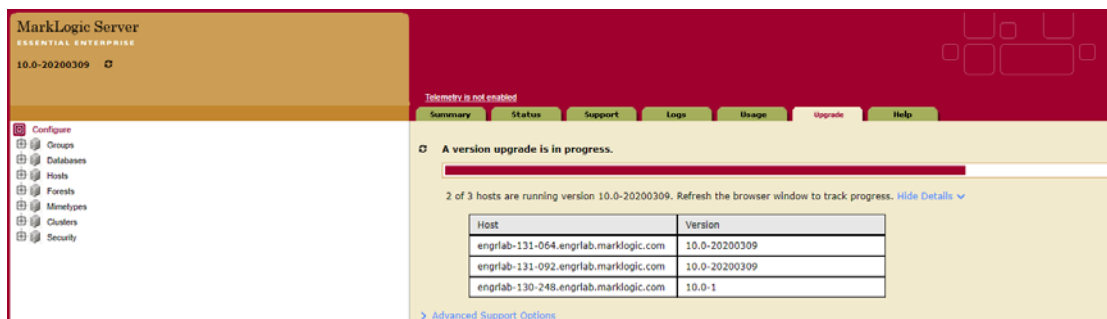
21.1.3 Rolling Upgrade Status in Admin UI

To view the rolling upgrade status in the Admin UI:

1. Click the Configure icon in the left tree menu.



2. Click the Upgrade tab to view the upgrade progress of each host in the cluster. The displayed version number is the highest version number on any host in the cluster.



Note: If a rolling upgrade is in progress, a Sync icon will appear to the right of the version number from which you are upgrading, which is located above the left tree menu. Click the Sync icon to navigate to the Upgrade tab.

Note: If a rolling upgrade is not in progress, all hosts in the cluster are running the same version. Click the Upgrade tab to verify the version number.

21.1.4 Effective version and software version

Until you commit the upgrade, the *effective version* of the hosts in the cluster is the earlier version, not the newer version (for example 9.0-6, not 10.0-1). The effective version is the version that the cluster as a whole is running. The *software version* is the version of MarkLogic Server that is installed on each host. You will be prompted to upgrade the Security database when you log into the Admin UI.

Note: After committing a rolling upgrade you can only restore to the later version (for example, 10.0-1), not to the earlier version (for example, 9.0-6). Running your cluster in an uncommitted state is equivalent to running in the previous (earlier) version of MarkLogic. No 10.0-1 features are available until the upgrade has been committed.

An upgrade of the Security database is required after you have committed the new version of MarkLogic.

21.2 Example—Rolling Upgrade

The following is a simplified step-by-step process for a rolling upgrade, on a small, three host cluster. The general outline is to first backup all of your hosts, make any changes to software applications, then proceed with the rolling upgrade, failing over and upgrading each node. When all nodes in the cluster have been upgraded, verify that you can commit the upgrade and change the cluster effective version to the new version. Finish by doing any cleanup that is necessary.

In addition, prior to starting the upgrade, you may need to modify some of your existing software to run in mixed version cluster. See “Interaction with Other MarkLogic Features” on page 306 for details.

1. Backup all hosts in your existing cluster. See “Backing Up and Restoring a Database” on page 253 for details on backing up your hosts.
2. Modify any code that will need to be modified. See “Interaction with Other MarkLogic Features” on page 306 for a list of potential software issues.
3. Upgrade your cluster to Red Hat Enterprise Linux 7 (x64). See [Supported Platforms](#) in the *Installation Guide* for details.

Note: MarkLogic 9 will not work on Red Hat Enterprise Linux 6. See [Supported Platforms](#) in the *Release Notes* for more information.

4. Take down the first host and start the upgrade. Use these commands from the command line.
 - a. Stop MarkLogic. Use this `curl` command so that you can also take advantage of the fast failover feature.


```
curl -X POST --anyauth --user admin:admin -d "state=shutdown&failover=true"
"http://node1:8002/manage/v2/hosts/node3"
```

Note: The `failover` parameter was added to `POST:/manage/v2/hosts/{id|name}` in MarkLogic version 9.0-5. The above call will fail in previous versions of MarkLogic.

b. Uninstall the existing RPM

```
rpm uninstall MarkLogic-8.0-1.x86_64.rpm
```

c. Install the new RPM

```
rpm install MarkLogic-10.0-3.x86_64.rpm
```

d. Bring the host back up, and start MarkLogic.

```
sudo /sbin/service MarkLogic start
```

5. Repeat steps 3 through 5 for each of the hosts in the cluster. (You will need to perform the upgrade process node-by-node.)
6. When you have completed all of the host upgrades, check the software version and the effective version for the cluster, and then commit the upgrade.

Use this XQuery command to check the cluster's effective version:

```
xquery version "1.0-ml";
import module namespace admin = "http://marklogic.com/xdmp/admin"
  at "/MarkLogic/admin.xqy";

let $config := admin:get-configuration()
return
  admin:cluster-get-effective-version($config)

=>
(: returns the effective software version of this cluster :)
```

Use this query to check if the cluster is ready to commit the upgrade:

```
xquery version "1.0-ml";
import module namespace admin = "http://marklogic.com/xdmp/admin"
  at "/MarkLogic/admin.xqy";

admin:can-commit-upgrade()
```

```
=>
(: returns true if the cluster is ready to commit the upgrade :)
```

The cluster version should be 9000100 or later for the upgrade to commit.

Upgrade the Security database on the host cluster.

After committing the upgrade, verify the upgrade with this query:

```
xquery version "1.0-ml";
import module namespace admin = "http://marklogic.com/xdmp/admin"
  at "/MarkLogic/admin.xqy";

let $config := admin:get-configuration()
return
  admin:cluster-get-effective-version($config)

=>
(: returns the effective software version of the cluster :)
```

This step-by-step example for a simple rolling upgrade can also be scripted. For an example model for a script, see “Rolling Upgrades Using REST Management APIs” on page 298.

21.3 Performing Rolling Upgrades

You can perform a rolling upgrade via scripting through the REST Management APIs or by using the XQuery APIs. You can also perform a rolling upgrade on an AWS cluster. This section describes the different options for configuring and performing a rolling upgrade.

- [Rolling Upgrades Using REST Management APIs](#)
- [Upgrading an EC2 Instance](#)
- [Rolling Upgrades Using XQuery](#)
- [Rolling Upgrades on Both Production and DR Clusters](#)

21.3.1 Rolling Upgrades Using REST Management APIs

After backing up your hosts and preparing your applications, you can perform a rolling upgrade using the REST Management APIs. The following example assumes a three node cluster with 8.0-6 installed, upgrading to 10.0-x.

Note: Upgrade your cluster to Red Hat Enterprise Linux 7 (x64) before starting the MarkLogic upgrade. MarkLogic 10 will not work on Red Hat Enterprise Linux 6. See [Supported Platforms](#) in the *Release Notes* for more information.

The following code sample can be used as a model to script an upgrade of a single three-node cluster.

Note: Please note that, when doing a rolling upgrade from 8.0 to 10.0 (with 9.0 being skipped), you must not use the Management REST API at all. You may use the Admin API, but only to read cluster configuration. This does not affect rolling upgrades from 8.0 to 9.0 or upgrades from 9.0 to 10.0.

```
(: This is an end-to-end scenario to orchestrate a rolling upgrade on a
3-node 9.0 cluster to a 10.0 build. :)
```

```
(: Iterate over each host in the cluster :)
```

```
GET:/manage/v2/hosts
```

```
(: Remove host from load-balancer rotation if necessary :)
```

```
PUT:/manage/v2/hosts/{id|name}/properties
```

```
(: Disable any local-disk forests on the host to force a failover :)
```

```
PUT:/manage/v2/forests/{id|name}/properties
```

```
(: Change primary host for any shared-disk forests :)
```

```
PUT:/manage/v2/forests/{id|name}/properties
```

```
(: Restart any failover forests that are open on the host :)
```

```
PUT:/manage/v2/forests/{id|name}?state=restart
```

```
$ curl --anyauth --user user:password -i -X POST \
  -d '{"operation": "restart-local-cluster"}'\
  http://localhost:8002/manage/v2
```

```
(: Wait for task-server and app servers to become idle :)
```

```
GET:/manage/v2/servers, GET:/manage/v2/servers/{id|name}?view=status
```

```
(: Stop the host :)
```

```
$ curl -v -X POST --anyauth --user admin:admin \
  -H "Content-Type:application/x-www-form-urlencoded" \
  -d '{"operation": "shutdown-local-cluster"}'\
  "http://localhost:8002/manage/v2"
```

```
(: Start the host :)
```

```
$ curl -v -X POST --anyauth --user admin:admin \
  -H "Content-Type:application/x-www-form-urlencoded" \
  -d '{"operation": "restart-local-cluster"}'\
  "http://localhost:8002/manage/v2"
```

```
(: Enable any local-disk failover forest on the host :)
```

```
PUT:/manage/v2/forests/{id|name}/properties
```

```
(: Restore primary host for any shared-disk forests :)

PUT:/manage/v2/forests/{id|name}/properties

(: Restart any failover forests that should fail back. :)

PUT:/manage/v2/forests/{id|name}?state=restart

PUT:/manage/v2/forests/{id|name}/properties

(: upgrade security db :)
curl -v -X POST --anyauth --user admin:admin \
  --header "Content-Type:application/x-www-form-urlencoded" \
  -d '{"operation": "security-database-upgrade-local-cluster"}'\
  "http://localhost:8002/manage/v2"

(: verify cluster version :)
curl -v -X GET --anyauth --user admin:admin
  --header "Content-Type:application/json"
  http://localhost:8002/manage/v2?format=json | tools/jq/jq '.
  ["local-clusterlocalhost-default"] ["effective-version"]'`
```

Note: The jq tool is used to parse out the json properties. It is a free download from <https://stedolan.github.io/jq/>

21.3.2 Upgrading an EC2 Instance

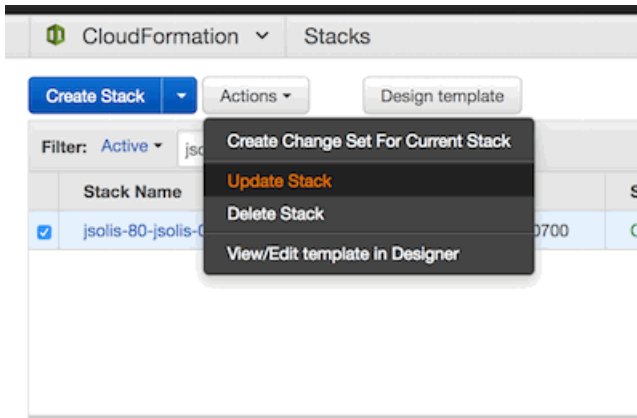
The process for performing a rolling upgrade in EC2 (AWS) is fairly simple. It is very similar to a normal update of the Cloud Formation templates. See [Upgrading MarkLogic on AWS](#) in the *MarkLogic Server on Amazon Web Services (AWS) Guide* for details about a normal update.

This example assumes an existing 3-node cluster running MarkLogic 8.0 from Cloud Formation templates. Before you upgrade your instance, you need to upgrade your Cloud Formation template to reference the new AMI (9.0 CF template). See <http://developer.marklogic.com/products/aws> for details about upgrading your templates.

Here are the additional steps:

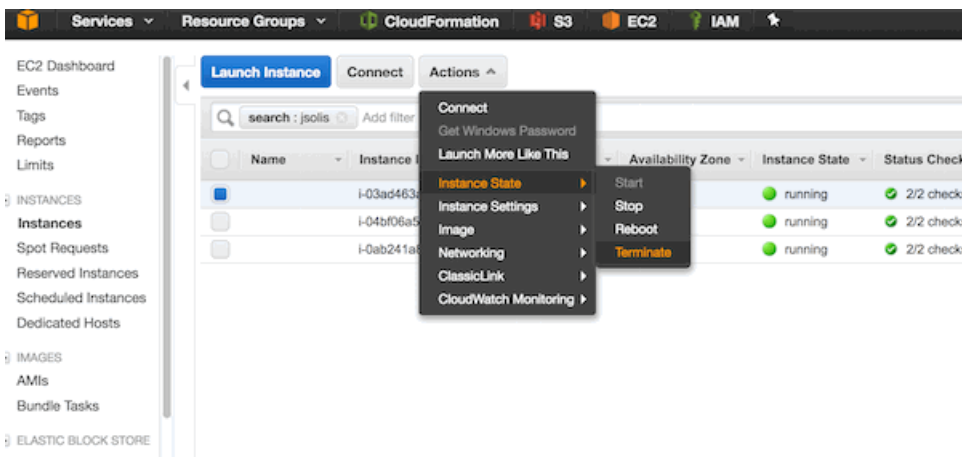
1. Backup any important data before performing the upgrade.

2. Update stack with your updated Cloud Formation template. Make sure the stack update is complete.



Note: We do not recommend that you automatically swap out the Cloud Formation template. Instead, make a copy of your existing template (if it contains the AMI IDs), edit just the AMI IDs, and then use that for the update. (If the AMI ID is passed as a parameter or other means, use those means).

3. In the EC2 dashboard, terminate one instance at a time and wait for it to be replaced with a new one. Starting with the “master” instance or node that contains the Security database. The host will automatically be restarted by the managed cluster feature.



Wait for the host to come back up (with new host name).







4. In the EC2 dashboard, repeat the process and terminate node2.
5. When all nodes have been updated, check the cluster state from the Query Console using this query:

```

xquery version "1.0-m1";

<hosts>{
  for $i in xdmp:host-name(xdmp:hosts())
  return (
    let $response :=
      xdmp:http-get(concat("http://localhost:8002/manage/v2/hosts/",
        $i,"?view=status&format=json"),
        <options xmlns="xdmp:http">
          <authentication method="digest">
            <username>admin</username>
            <password>admin</password>
          </authentication>
          <headers>
            <content-type>application/json</content-type>
          </headers>
        </options>)
    return (
      <host>
        <name>{$response[2]//*:name/data()}</name>
        <host-software-version>
          {$response[2]//*:software-version/value/data()}
        </host-software-version>
        <cluster-effective-version>{$response[2]//*:
          effective-version/value/data()}
        </cluster-effective-version>
      </host>
    ) ) }</hosts>

```

Run   Result  Auto  Raw  Profile  Explorer

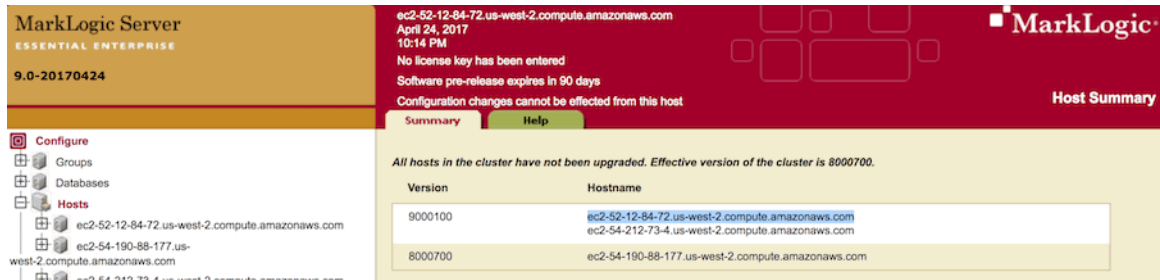
Returned sequence of 1 item in 1970.075 ms.

```

<name>ec2-54-190-88-177.us-west-2.compute.amazonaws.com</name>
<host-software-version>8000700</host-software-version>
<cluster-effective-version>8000700</cluster-effective-version>
</host>
<host>
  <name>ec2-52-12-84-72.us-west-2.compute.amazonaws.com</name>
  <host-software-version>9000100</host-software-version>
  <cluster-effective-version>8000700</cluster-effective-version>
</host>
<host>
  <name>ec2-54-212-73-4.us-west-2.compute.amazonaws.com</name>
  <host-software-version>9000100</host-software-version>
  <cluster-effective-version>8000700</cluster-effective-version>
</host>
</hosts>

```

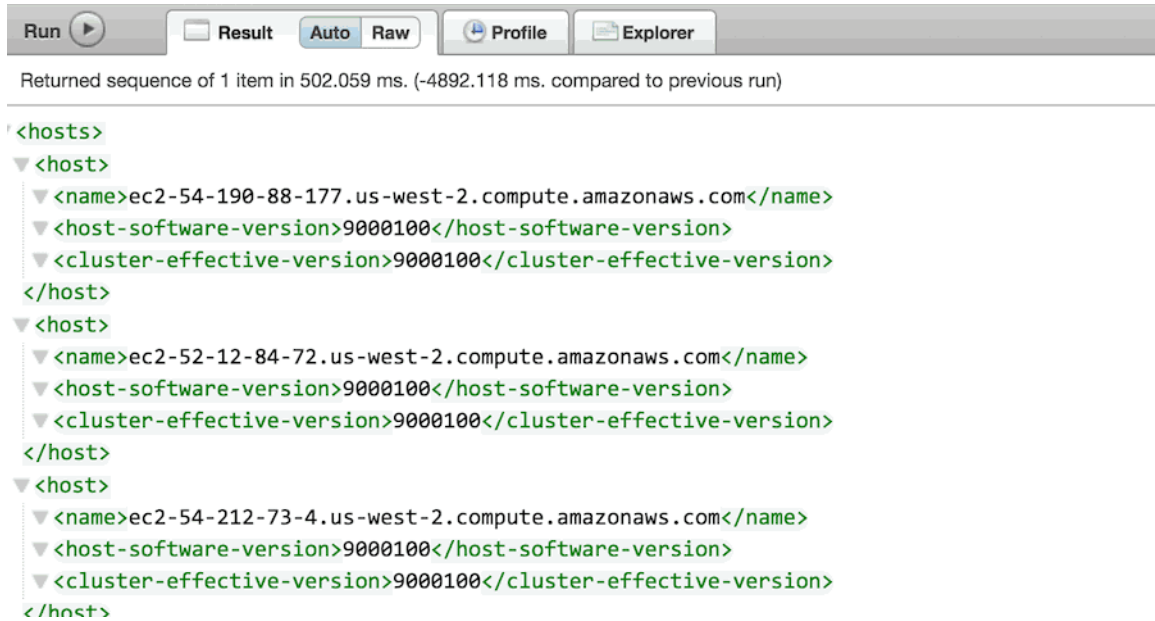
6. Make a call from 8001 to check host status. The exact URL to check this, is <http://hostname:8001/host-summary.xqy?section=host>.



7. Repeat these steps for node3.
8. When the node3 update is complete, check to verify that the upgrade is complete by checking the cluster effective version.

```
xquery version "1.0-ml";

<hosts>{
  for $i in xdmp:host-name(xdmp:hosts())
  return (
    let $response :=
      xdmp:http-get(concat("http://localhost:8002/manage/v2/hosts/",
        $i, "?view=status&format=json"),
        <options xmlns="xdmp:http">
          <authentication method="digest">
            <username>admin</username>
            <password>admin</password>
          </authentication>
          <headers>
            <content-type>application/json</content-type>
          </headers>
        </options>)
    return (
      <host>
        <name>{$response[2]//*:name/data()}</name>
        <host-software-version>
          {$response[2]//*:software-version/value/data()}
        </host-software-version>
        <cluster-effective-version>
          {$response[2]//*:effective-version/value/data()}
        </cluster-effective-version>
      </host>
    )
  )
}</hosts>
```



9. Navigating anywhere in the port 8001 Admin UI will prompt you to upgrade your Security database. To upgrade the Security database, go to <http://ec2-52-12-84-72.us-west-2.compute.amazonaws.com:8001/security-upgrade.xqy>. When that has been done, then the upgrade is complete.

21.3.3 Rolling Upgrades Using XQuery

The XQuery Admin APIs can be used to set up and perform a rolling upgrade through the Query Console. This section contains sample code that you can use from the Query Console.

To get the host versions via REST:

```

xquery version "1.0-m1";

<hosts>{
  for $i in xdmp:host-name(xdmp:hosts())
  return (
    let $response :=
      xdmp:http-get(concat("http://localhost:8002/manage/v2/hosts/", $i, "?view=status&format=json"),
        <options xmlns="xdmp:http">
          <authentication method="digest">
            <username>admin</username>
            <password>admin</password>
          </authentication>
          <headers>
            <content-type>application/json</content-type>
          </headers>
        </options>)
    return (
      <host>

```



```

        <name>{$response[2]//*:name/data()}</name>
    <host-software-version>{$response[2]//*:software-version/value/data()}
    </host-software-version>
    <cluster-effective-version>{$response[2]//*:effective-version/value/data()}
    </cluster-effective-version>
  </host>
)
}
</hosts>

```

To complete the upgrade, log onto the Admin UI to upgrade the Security database.

Note: Committing the upgrade results in the updated configuration being saved with a re-read delay of 5 seconds to ensure that all online hosts have received the new file before XDQP connections start dropping.

See step #10 in “Upgrading an EC2 Instance” on page 300. If the servers don’t have the correct version, there may be a host that is in maintenance mode. The `admin:can-commit-upgrade` function will return `true` if all servers have the correct software version. See “Admin APIs” on page 305 for more about the XQuery Admin APIs available.

21.3.4 Rolling Upgrades on Both Production and DR Clusters

Upgrade the disaster recovery cluster first. It is important to upgrade the disaster recovery cluster first, since the newer version of the software will be able to receive fragments and journal frames encoded on the master cluster.

Once the disaster recovery cluster has been upgraded, then upgrade the production cluster.

21.4 Rolling Back a Partial Upgrade

As long as you have not committed your upgrade to MarkLogic 9 or later, you can reinstall the earlier version of the server (MarkLogic 8.0-6) on each node.

In the event that you need to roll back an upgrade that has not been completed and committed, you can roll back the partial upgrade by re-installing the previous version of MarkLogic (for example 8.0-6) on the machines that have been upgraded.

21.5 APIs for Rolling Upgrades

These APIs are available for managing rolling upgrades in a MarkLogic cluster.

21.5.1 Admin APIs

These Admin API functions are available for rolling upgrades:

- `admin:cluster-get-effective-version`
Returns the cluster’s effective MarkLogic version (for example, 8000600 for 8.0-6).

- `admin:can-commit-upgrade`

Returns `true` if the cluster is ready to commit the upgrade, returns `false` otherwise.

21.5.2 REST Management APIs

The following REST Management endpoints provide useful information and functionality when performing a Rolling Upgrade operation.

- `GET:/manage/v2/properties` includes effective version
- `GET:/manage/v2/hosts?view=status` - includes version and effective-version.
- `GET:/manage/v2/hosts/{id|name}?view=status` - includes version and effective-version.

21.6 Interaction with Other MarkLogic Features

For existing features that will work as expected with MarkLogic 9, a rolling upgrade will not have any impact. Some existing features may not work as expected until the rolling upgrade is complete and the cluster has been committed to the newer version.

One possible example of this would be semantic triples, where the triple count may be increased after inserting same data twice in during a rolling upgrade in mixed mode. During a rolling upgrade, the MarkLogic 9 triple index is not able to return triples in the correct order for MarkLogic 8 semantics. A user would need to have multiple triples that are identical, except for the types of the values for this situation to occur.

Features introduced in MarkLogic 9 may or may not work in a mixed cluster (a cluster that has not been completely upgraded to MarkLogic 9, and has an effective version of 8.0-x). The following is a list of features that may need to be monitored while performing rolling upgrade.

- [SQL](#)
- [Server-Side JavaScript](#)
- [Java Client API](#)
- [Custom UDFs](#)
- [Reverse Queries Involving Circles](#)

21.6.1 SQL

Beginning in MarkLogic 9, an updated version of SQL using the triple index is introduced. The existing version (pre-MarkLogic 9) will continue to work in a mixed cluster, and after the cluster has been upgraded to 9.0-x or 10.0-x. The updated version of SQL will not work in a mixed cluster. You will need to upgrade to the newer version of MarkLogic and commit the upgrade before those features are available.

The earlier version of SQL based on range indexes will work in the mixed cluster (prior to committing the upgrade), and it will also work with MarkLogic 9 and later.

21.6.2 Server-Side JavaScript

In the new version of Server-Side JavaScript, `ValueIterator` has been replaced by `Sequence`. The `ValueIterator` interface used to represent sequences of value in MarkLogic 8 has been replaced by the new `Sequence` interface. A `Sequence` is a JavaScript Iterable object. All functions which previously operated on or returned a `ValueIterator` now use a `Sequence` instead.

In many cases, this change is transparent to your code. However, code that depends on the following `ValueIterator` properties and methods must be changed:

- `ValueIterator.next` - Use a `for..of` loop to iterate over a `Sequence`. Use `fn.head` if you just want to pick off the first or only value in a `Sequence`.
- `ValueIterator.count` - Use `fn.count` instead.
- `ValueIterator.clone` - No longer needed. You can iterate over the same `Sequence` multiple times.

To prepare your code for a possible mixed environment, you might use a safe coding pattern similar to this:

```
var list = xdmp.arrayValues(...);
if (list instanceof Sequence) {
  ... ML9 idiom ...
} else {
  ... ML8 idiom ...
}
```

See [Sequence](#) in the *JavaScript Reference Guide* and [Sequence](#) in the *MarkLogic Server-Side JavaScript Function Reference* for more information.

21.6.3 Java Client API

When upgrading to MarkLogic 9, you can upgrade a Java application from Java Client API 3.x to 4.x before upgrading from MarkLogic 8 to MarkLogic 9. However, you must first upgrade your JRE to version 1.8 or later. The Java Client API version 4.x only supports JRE 1.8 or later.

21.6.4 Custom UDFs

When upgrading from MarkLogic 8, plugins will not work in a mixed cluster because the interface for UDFs has changed. You cannot have the same code compiled against two different sets of definitions from two different releases. You must recompile and redeploy your UDF libraries for MarkLogic 9 and later.

21.6.5 Reverse Queries Involving Circles

In MarkLogic 9, a change was made to store circle radii as kilometers instead of miles. When operating in a mixed cluster consisting of 9.0-1 and 9.0-2 nodes, you may receive unexpected results for reverse queries involving circles. No issues exist when upgrading from MarkLogic 8.0-x.

21.7 Important Points to Note Before Performing Rolling Upgrades

- Your cluster must have MarkLogic 8.0-6 or later installed to perform a rolling upgrade.
- The cluster needs to be configured for High Availability (HA).
- Do not change your application to take advantage of any 10.0-x features until all the nodes in your cluster have been upgraded to 10.0-x.
- You may have to change or modify some of your application code prior to starting the rolling upgrade, so that code will work in a mixed environment.
- The security database and the schemas database must be on the same host, and that host should be the first host you upgrade when upgrading a cluster.
- In a mixed node cluster, before the upgrade has been committed, the node that has been upgraded to MarkLogic 10.0-x will be read-only. This is to prevent any configuration changes from the 10.0-x node. We strongly recommend that you not make any configuration changes until you have finished upgrading the entire cluster.
- The window of time when a cluster has nodes of varying versions should be small. During this time, do not make application code changes and/or configuration changes. Configuration changes involve the following:
 - Changes to index, forest, database, application server, host, group, and cluster settings
 - Changes to security settings such adding/changing/deleting roles, users, privileges, credentials, certificates, etc.
 - Adding/removing/updating TDE templates
 - Adding/removing/updating redaction rules
- Do not perform any manual merges and disable reindexing while the cluster has nodes that are at different software version levels. Changing error log settings and adding trace events to debug issues should be fine.
- After committing a rolling upgrade, you can only restore to the later version (for example, 10.0-1), not to the earlier version (for example, 9.0-6). Running your cluster in an uncommitted state is equivalent to running in the previous (earlier) version of MarkLogic. No 10.0-1 features are available until the upgrade has been committed.
- An upgrade of the Security database is required after you have committed the new version of MarkLogic.
- Please note that, when doing a rolling upgrade from 8.0 to 10.0 (with 9.0 being skipped), you must not use the Management REST API at all. You may use the Admin API, but only to read cluster configuration. This does not affect rolling upgrades from 8.0 to 9.0 or upgrades from 9.0 to 10.0.
- Upgrade your cluster to Red Hat Enterprise Linux 7 (x64) before starting the MarkLogic upgrade. Both MarkLogic 9 and MarkLogic 10 will not work on Red Hat Enterprise Linux 6.

- It is important to upgrade the disaster recovery cluster first, since the newer version of the software will be able to receive fragments and journal frames encoded on the master cluster.

21.8 Other Upgrade Options

There are alternatives to rolling upgrades for applying patches or upgrading your hosts. You can perform an upgrade to hosts in a cluster with very minimal downtime. See [Upgrading from Previous Releases](#) in the *Installation Guide* for more information.

22.0 Hosts

A host is an instance of MarkLogic Server. A host is not configured individually but as a member of a group. A host is added to the *Default* group if it is not joined to another group during the installation process. For example, in cases where MarkLogic is running in a single host environment, the host is added to the *Default* group.

Forests are created on hosts and added to a database to interact with HTTP, ODBC, and XDBC Servers running on the same or other hosts.

See the chapters “Groups” on page 53 and “Databases” on page 127 for more details on hosts as they relate to groups and databases.

A host is managed from both the Group and Hosts configuration screens. Use the following procedures to administer your hosts

- [Adding a Host to a Cluster](#)
- [Changing the Group of the Host](#)
- [Shutting Down or Restarting a Host](#)
- [Clearing a Forest on a Host](#)
- [Deleting a Forest on a Host](#)
- [Leaving the Cluster](#)
- [Displaying License Options](#)
- [Changing the License Key For a Host](#)
- [Rolling Back a Transaction](#)

This chapter describes how to use the Admin Interface to manage hosts. For details on how to manage hosts programmatically, see [Host Maintenance Operations](#) in the *Scripting Administrative Tasks Guide*.

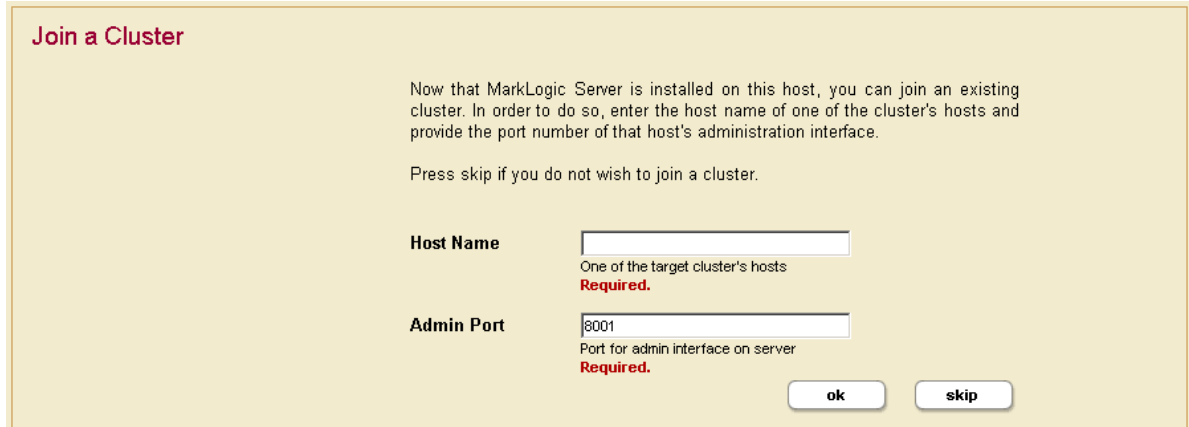
22.1 Adding a Host to a Cluster

This only applies for multi-host clusters. For information about installing MarkLogic and a more detailed procedure about joining a cluster, see the *Installation Guide*.

To add a host to a cluster, perform the following steps using the Admin Interface:

1. Install MarkLogic Server on the host if it is not already installed.
2. Start MarkLogic Server.
3. Access the Admin Interface on the host in which you want to add to the cluster and accept the license agreement.

4. After the server restarts, you will be prompted to join a cluster.



Join a Cluster

Now that MarkLogic Server is installed on this host, you can join an existing cluster. In order to do so, enter the host name of one of the cluster's hosts and provide the port number of that host's administration interface.

Press skip if you do not wish to join a cluster.

Host Name
One of the target cluster's hosts
Required.

Admin Port
Port for admin interface on server
Required.

5. Enter the DNS name or the IP address of one of the machines in the cluster. For example, if this is the second host you are installing, you can enter the DNS name of the first host you installed.
6. You will be prompted for an admin username and password. Enter the admin username and password for the security database used by the cluster. Click OK.
7. Select a Group to assign this host. Click OK.
8. Click OK to confirm that you are joining the cluster.
9. Click OK for the confirmation message that indicates that you have joined the cluster.

22.2 Changing the Group of the Host

To change the group to which a host belongs, perform the following steps using the Admin Interface:

1. Click the Hosts icon in the left tree menu.
2. Click the name of the host you want to change, either on the tree menu or the summary page.
3. Select from the available groups in the Group drop-down menu.
4. Click OK to confirm the change.

Changing the group to which a host belongs is a “cold” task; the server restarts to reflect the changes.

22.3 Shutting Down or Restarting a Host

To shut down or to restart a host, perform the following steps:

1. Click the Hosts icon on the left tree menu.
2. Click the name of the host you want to shut down or restart, either on the tree menu or the summary page.
3. Click the Status tab at the top right.
4. Click the Shutdown or the Restart button as appropriate.
5. Click OK to confirm to confirm the shutdown or restart operation.
6. If you have forest failover enabled for any of the host forests, you will see a “Immediately fail over forests to replica hosts” option. Check the box to fail over the forests to replica hosts.

Note: The restart operation normally completes within a few seconds. It is possible, however, for it to take longer under some conditions (for example, if the Security database needs to run recovery or if the connectivity between hosts in a cluster is slow). If it takes longer than a few seconds for MarkLogic Server to restart, than the Admin Interface might return a `503: Service Unavailable` message. If you encounter this situation, wait several seconds and then reload the Admin Interface.

22.4 Clearing a Forest on a Host

Clearing a forest on a host permanently deletes the data in the forest. The configuration information of the forest will be preserved. For example, you may want to clear the forest if you want to load new data into the same configuration.

To clear the data from a forest, perform the following steps using the Admin Interface:

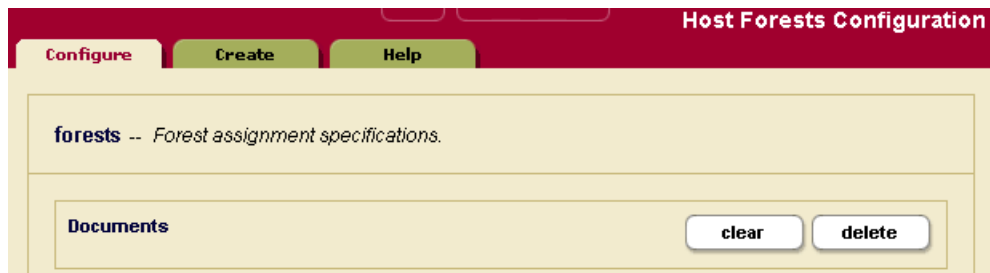
1. Click the Hosts icon on the left tree menu.
2. Click the name of the host which contains the forest you want to clear, either on the tree menu or the summary page.
3. Click the Forests icon under the selected host.
4. Click the Clear button corresponding to the forest you want to clear.
5. Click OK to confirm clearing the data from the forest.

22.5 Deleting a Forest on a Host

Deleting a forest on a host permanently deletes the data in the forest as well as the configuration information. A forest cannot be deleted if it is still attached to a database. You must first detach the forest from the database before you can delete from a host.

Assuming that the forest is not attached to any database, perform the following steps to delete a forest from a host.

1. Click the Hosts icon on the left tree menu.
2. Click the name of the host which contains the forest you want to delete, either on the tree menu or the summary page.
3. Click the Forests icon under the selected host.
4. Click on the Delete button corresponding to the forest you want to delete.
5. Click OK to confirm deleting the forest from the host.



6. Click the Delete button.
7. Click OK to confirm dropping the host.

Deleting a host is a “hot” admin task for the other hosts in the group.

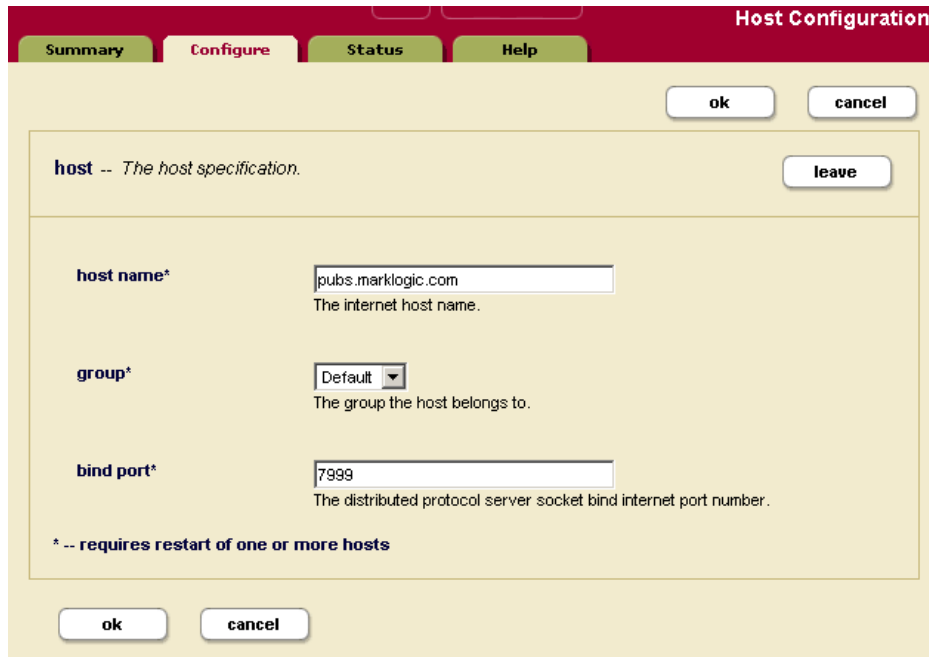
22.6 Leaving the Cluster

A host has to leave a cluster first to be moved to another cluster. Leaving a cluster is also a way to switch a host from a single host environment to a multi-host environment or vice versa. A host cannot leave a cluster if there are still forests assigned to it or if it has any foreign clusters associated with it; you must delete all forests assigned to the host and de-couple any clusters associated with a host before you can leave the cluster. In a single-host environment, a host cannot leave a cluster because it will always have forests assigned to it.

Perform the following steps to make a host leave a cluster:

1. Access the Admin Interface from any host in the cluster.

2. Click on the Hosts icon in the left tree menu.
3. Click on the name of the host you want to remove from the cluster. The host configuration screen appears:



The image shows a 'Host Configuration' dialog box with a red header bar. The header bar contains four tabs: 'Summary', 'Configure' (which is active), 'Status', and 'Help'. Below the tabs are two buttons: 'ok' and 'cancel'. The main content area has a title bar that says 'host -- The host specification.' and a 'leave' button. Below this, there are three fields: 'host name*' with the value 'pubs.marklogic.com' and a description 'The internet host name.'; 'group*' with a dropdown menu set to 'Default' and a description 'The group the host belongs to.'; and 'bind port*' with the value '7999' and a description 'The distributed protocol server socket bind internet port number.' At the bottom of the main content area, there is a note: '* -- requires restart of one or more hosts'. At the very bottom of the dialog box are two buttons: 'ok' and 'cancel'.

4. Click on the Leave button.
5. Click OK to confirm leaving the cluster.
6. The host restarts to load the new configuration.
7. Click OK to self-install initial databases and application servers.
8. You will be prompted to join a cluster.
9. To join another cluster, enter the name of one of the hosts in that cluster and click OK. Otherwise, click Skip.
10. Set up an admin user name and password if prompted.
11. Log in with the admin user name and password if prompted.

You should see the Admin Interface.

22.7 Displaying License Options

In addition to the features that come standard in MarkLogic, there are optionally licensed features that you may want to take advantage of for more advanced projects.

To display the license options for a host, perform the following steps using the Admin Interface:

1. Click the Hosts icon on the left tree menu.
2. Click the name of the host in which contains you want to change the license key, either on the tree menu or the summary page. The Host Configuration page appears.
3. Click the Status tab. The Host Status page appears.
4. The License Options are listed in the Options field.

The screenshot shows the MarkLogic Admin Interface for a host. At the top, there are tabs for Summary, Configure, Status (selected), and Help. The host name is **rh7v-intel64-90-opsdir-1.marklogic.com**. Below the tabs, there are buttons for **license key**, **restart**, and **shutdown**. The main content area displays the following information:

Host	rh7v-intel64-90-opsdir-1.marklogic.com
Group	Default
Online	Host up since August 25, 2017 4:16:10 PM
Version	9.0-20170825
Effective Version	9000300
License Key	3981-CE27-75BB-9D3C-B81C-E067-1B39-DDFE-0875-C37E-D3F0-A76C-34E5-2F86-76BB-ADDD-E677-CB3F-D5FE-4773-C3CD-5EE8-87BC-36E5-3F71-0C15
Licensee	MarkLogic - Version 9 QA Test License
Edition	Essential Enterprise
Environment	production
Cores	64
Options	conversion, failover, geospatial, alerting, compartment security, advanced security, redaction, external key management, flexible replication, tiered storage, semantics, French, German, Italian, Spanish, Russian, Dutch, Persian, Korean, Arabic, Japanese, Traditional Chinese, Simplified Chinese, Portuguese, Bokmal, Nynorsk, Swedish, location services, English
Data Directory Available	3,085,509 MB
Log Space Available	3,085,509 MB

Option	Description
Advanced Geospatial	<p>This License Option is required when using:</p> <ul style="list-style-type: none"> • <code>geo:complex-polygon-contains</code> or <code>geo:complex-polygon-intersects</code> API's (polygon/polygon intersection) . • Double precision Coordinates including <code>wgs84/double</code>, <code>etrs89/double</code> or <code>raw/double</code>. • Use of <code>cts:reverse-query</code> with Geospatial Constraints (geo alerting). <p>Other uses of Geospatial Search do not require Advanced Geospatial License Option.</p>
Advanced Security	<p>This License Option is required when using:</p> <ul style="list-style-type: none"> • Compartment Security • Redaction • External key management system (KMS) or Keystore
Semantics	<p>This License Option is required to use Sparql features.</p> <p>Use of API's leveraging Semantics without Sparql, such as the SQL API, do not do not require a Semantics Option license.</p>
Flexible Replication	This License Option is required to use Flexible Replication.
XA	This License Option is required to use XA.
Tiered Storage	This License Option is required to use Tiered Storage.

22.8 Changing the License Key For a Host

At any time, you can change the license key for a host from the Host Status page. You might need to change the license key if your license key expires, if you need to use some features that are not covered in your existing license key, if you upgrade your hardware with more CPUs and/or more cores, if you need a license that covers a larger database, if you require different languages, or for various other reasons. Changing the license key sometimes results in an automatic restart of MarkLogic (for example, if your new license enables a new language).

To change the license key for a host, perform the following steps using the Admin Interface:

1. Click the Hosts icon on the left tree menu.

2. Click the name of the host in which contains you want to change the license key, either on the tree menu or the summary page. The Host Configuration page appears.
3. Click the Status tab. The Host Status page appears.
4. Click the License Key button. The License Key Entry page appears.
5. Enter your new license key information. For information about licensing of MarkLogic Server, contact your MarkLogic sales representative.
6. After entering valid information in the Licensee and License Key fields, click OK. If it needs to, MarkLogic will automatically restart, and the new license key will take effect.

Note: Any optionally licensed features enabled by your license key appear in the License Key Options field.

22.9 Rolling Back a Transaction

Use Host Status page of the Admin Interface to rollback stalled or long-running transactions, discarding any updates made by the transaction. The Host Status page includes a list of transactions which have started but not yet completed.

Note: To rollback the MarkLogic Server portion of a prepared XA transaction, see “Rolling Back a Prepared XA Transaction Branch” on page 336.

To rollback a transaction using the Admin Interface, complete the following steps:

1. Open the Admin Interface in your browser by navigating to `http://yourhost:8001`.
2. Click Hosts in the left tree menu. The tree expands to show available hosts.
3. Click the name of the target host in the left tree menu. The configuration view for the host appears.
4. Click the Status tab at the top of the page. The status view for the host appears.
5. Locate the target transaction in transaction list. If you do not see a transaction list on the status page, then there are no open transactions on this host.
6. Click `[rollback]` next to a transaction to initiate a transaction rollback. For example:

Transaction ID	Decision State (Coordinator)	Coordinator	Other Forests
5670604965531323769	prepare	samples-1	<code>[rollback]</code>

A confirmation dialog appears.

7. Click OK to confirm the rollback. The host status page appears.

There may be a slight delay between when a rollback is initiated and when the transaction terminates. During this period, the transaction still appears on the host status page, with a transaction status of “awaiting rollback”.

23.0 Forests

This section describes forests in the MarkLogic Server, and includes the following sections:

- [Understanding Forests](#)
- [Creating a Forest](#)
- [Making a Forest Delete-Only](#)
- [Making a Forest Read-Only](#)
- [Attaching and Detaching Forests Using the Forest Summary Page](#)
- [Making Backups of a Forest](#)
- [Restoring a Forest](#)
- [Rolling Back a Forest to a Point In Time](#)
- [Merging a Forest](#)
- [Clearing a Forest](#)
- [Disabling a Forest](#)
- [Deleting a Forest from a Host](#)
- [Rolling Back a Prepared XA Transaction Branch](#)

This chapter describes how to use the Admin Interface to manage forests. For details on how to manage forests programmatically, see [Creating and Configuring Forests and Databases](#) and [Database Maintenance Operations](#) in the *Scripting Administrative Tasks Guide*.

23.1 Understanding Forests

A forest is a collection of XML, JSON, text, or binary documents. Forests are created on hosts and attached to databases to appear as a contiguous set of content for query purposes. A forest can only be attached to one database at a time. You cannot load data into a forest that is not attached to a database.

A forest contains in-memory and on-disk structures called *stands*. Each stand is composed of XML, JSON, binary, and/or text fragments, plus index information associated with the fragments. When fragmentation rules are in place, XML documents may span multiple stands. MarkLogic Server periodically *merges* multiple stands into a single stand to optimize performance. See “Understanding and Controlling Database Merges” on page 181 for details on merges.

A forest also contains a separate on-disk Large Data Directory for storing large objects such as large binary documents. MarkLogic Server stores large objects separately to optimize memory usage, disk usage, and merge time. A small object is stored directly in a stand as a fragment. A large object is stored in a stand as a small reference fragment, with the full content stored in the Large Data Directory. The size threshold for storing objects in the Large Object Store and the location of the Large Object Store are configurable through the Admin Interface and Admin API. For details, see [Working With Binary Documents](#) in the *Application Developer's Guide*.

By default, the operations allowed on a forest are: read, insert, update, and delete. You can control which operations are allowed on a forest by setting the following update types:

Update Type	Description
All	Read, insert, update, and delete operations are allowed on the forest.
delete-only	Read and delete operations are allowed on the forest, but insert and update operations are not allowed unless a forest ID is specified, in which case it results in the document being moved to another forest. If you do not specify a forest ID when updating a document in a delete-only forest, the update throws an exception. This update type is useful when you want to eliminate the overhead imposed by the merge operation, but still allow transactions to delete data from the forest. See “Making a Forest Delete-Only” on page 326 for details.
read-only (Can only be set in Configure)	Read operations are allowed on the forest, but insert, update, and delete operations are not allowed. A transaction attempting to make changes to fragments in the forest will throw an exception. This update type is useful when you want to put your forests on read-only media and allow them to be queried. See “Making a Forest Read-Only” on page 327 for details.
flash-backup (Can only be set in Configure)	This type puts the forest in read-only mode without throwing exceptions on insert, update, or delete transactions, allowing the transactions to retry. This update type is useful when you want to temporarily quiesce a forest or to disable changes to the forest data when doing a flash backup of the forest. See “Making a Forest Read-Only” on page 327 for details.

Note: To make the entire database read-only, set all of the forests in the database to read-only.

23.2 Creating a Forest

To create a new forest, complete the following procedure:

1. Click the Forests icon in the left tree menu.

- Click the Create tab at the top right. The Create Forest page displays:

Create New Forests

forest -- The forest assignment specification.

forest name
The forest name.
Required. You must supply a value for forest-name.

host
The primary host to which the forest is assigned.

data directory
The optional public directory for forests.

large data directory
The optional directory for large objects in a forest.

fast data directory
The optional smaller but faster directory for forests.

updates allowed
The kinds of updates that should be allowed for this forest.

availability
Availability of the forest data.

rebalancer enable ☒ true ☐ false
Enable automatic rebalancing after configuration changes.

failover enable ☒ true ☐ false
Enable assignment to a failover host if the primary host is down.

failover hosts -- A list of failover hosts for shared-disk failover.

Failover Host Name

[add]

forest replicas -- A list of replica forests, used for local-disk failover.

database replication -- Database replication configuration.

- Enter the name of your forest in the Forest Name textbox. Each forest name must be unique.
- Select the host on which you want the forest to be created.

5. Enter the path to the Data Directory, which specifies where the forest data is stored. This directory should specify a location on the host's file system with sufficient capacity to store your data.

The name of the forest is used by the system as a directory name. Therefore, the forest name must be a legal directory name and cannot contain any of the following 9 characters: \ * ? / : < > | " . Additionally, the name cannot begin or end with a space or a dot (.). MarkLogic recommends that you use an absolute path if you specify a data directory. If you do not specify an absolute path for the data directory, your forest will be created in the default data directory.

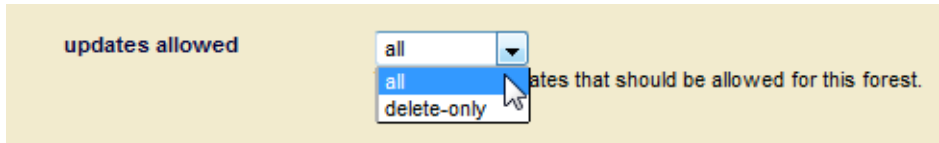
The directory you specified can be an operating system mounted directory path, it can be an HDFS path, or it can be an S3 path. For details on using HDFS and S3 storage in MarkLogic, see [Disk Storage Considerations](#) in the *Query Performance and Tuning Guide*.

The Forests directory is either a fully-qualified pathname or is relative to the Forests directory, set at installation time based on the directory in which MarkLogic Server is installed. The following table shows the default location Forest directory for each platform:

Platform	Program Directory
Microsoft Windows	C:\Program Files\MarkLogic\Data\Forests
Red Hat Linux	/var/opt/MarkLogic/Forests
Mac OS X	~/Library/Application Support/MarkLogic/Data/Forests or ~/Library/"Application Support"/MarkLogic/Data/Forests or "~/Library/Application Support/MarkLogic/Data/Forests"

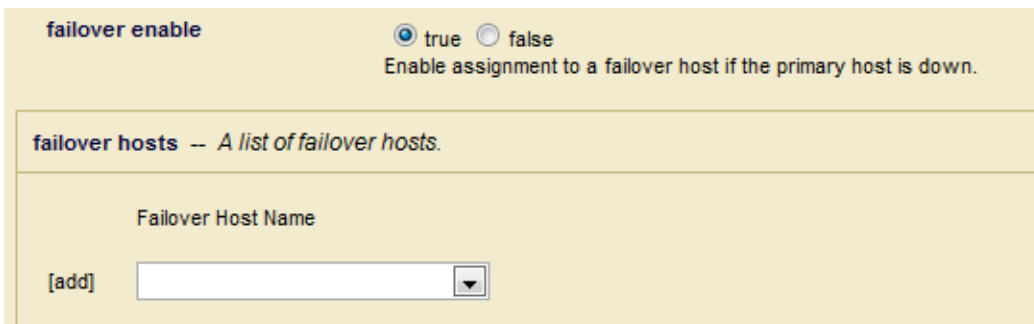
6. If you want to specify a different directory to store large objects (such as large binary documents), specify a Large Data Directory. If you do not specify a large data directory, the Data Directory is used. For details on binary file support, see [Working With Binary Documents](#) in the *Application Developer's Guide*.
7. If you want to specify a high-performance directory to store the journals and as much of the forest data that will fit in this high-performance directory, specify a Fast Data Directory. For further details on disks and the Fast Data Directory, see [Disk Storage Considerations](#) in the *Query Performance and Tuning Guide*.

8. If you want to restrict the types of updates allowed on the field, select the types of updates you want to allow for this forest in the Updates Allowed field. See “Making a Forest Delete-Only” on page 326 for details.



Note: The Read-Only update types described in “Making a Forest Read-Only” on page 327 can be set in the Configure page of an existing forest.

9. In the Availability field, select online to make the forest data available to tiered-storage or offline to make the data unavailable. For details on tiered storage, see “Tiered Storage” on page 217.
10. In the Rebalancer Enable field, specify whether or not you want this forest to participate in the rebalancer process for the database to which this forest is to be attached. For details on the database rebalancer, see “Database Rebalancing” on page 199.
11. If you have enabled the database rebalancer with a document assignment policy of Range, specify the range for this forest in the Range field. For details on the range policy, see “Range Assignment Policy” on page 204.
12. In the Failover Enable field, specify whether or not to failover this forest to another host if the primary host goes down. For details on configuring failover on a forest, see [Configuring Shared-Disk Failover for a Forest](#) in the *Scalability, Availability, and Failover Guide*.
13. Select the Failover Host from the Failover Host Name drop down menu:



14. Click OK.

Creating a forest is a “hot” admin task; the changes take effect immediately. However, toggling between update types restarts the forest.

23.3 Making a Forest Delete-Only

You can configure a forest to only allow read and delete operations, disallowing inserts and updates to any documents stored in the forest. A delete-only forest is useful in cases where you have multiple forests in a database and you want to manage which forests change. To set a forest to only allow delete operations (and disallow inserts and updates), navigate to the configuration page for the forest you want specify as delete-only and set the `updates allowed` field to `delete-only`.

When a forest is set to delete-only, updates to documents in a delete-only forest that do not specify a forest ID will throw an exception. Updates to documents in a delete-only forest that specify one or more forest IDs of other forests in the database will result in the documents moving to one of those other forests. When a document moves forests, the old version of the document will be marked as deleted, and will be removed from the forest during the next merge.

To specify an update that will move a document in a delete-only forest to an updateable forest, you must specify the forest ID of at least one forest in which updates are allowed. One technique to accomplish this is to always specify all of the forest IDs, as in the following

`xdmp:document-insert` example which lists all of the forests in the database for the `$forest-ids` parameter:

```
xdmp:document-insert($uri, $node, (), (), 0,
  xdmp:database-forests(xdmp:database()) )
```

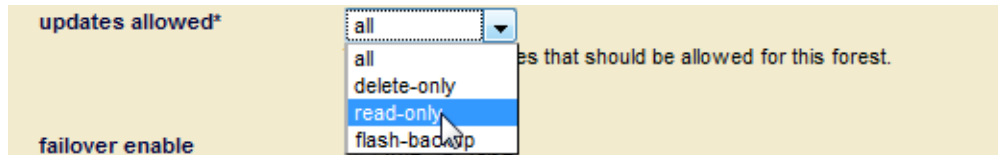
Note: You can only move a document from a delete-only forest to a forest that allows updates using an API that takes forest IDs, and then by explicitly setting the forest IDs to include one or more forests that allow updates. The node-level update built-in functions (`xdmp:node-replace`, `xdmp:node-insert-child`, and so on) do not have a forest IDs parameter and therefore do not support moving documents.

Under normal operating circumstances, you likely will not need to set a forest to be delete-only. Additionally, even if the reindexer is enabled at the database level, documents in a forest that is set to delete-only will not be reindexed.

There are cases where delete-only forests are useful, however. One of the use cases for delete-only forests is if you have multiple forests and you want to control when some forests are merging. The best way to control merges in a forest is to not insert any new content in the forest. In this scenario, you can set some of the forests to be delete-only, and then those forests will not merge during that time (unless you manually specify a merge, either with the `xdmp:merge` API or by clicking the Merge button in the Admin Interface). After a while, you can rotate which forests are delete-only. For example, if you have four forests, you can make two of them delete-only for one day, and then make the other two delete-only the next day, switching the first two forest back to allowing updates. This approach will only have two forests being updated (and periodically merging) at a time, thus needing less disk space for merging. For more details about merges, see “Understanding and Controlling Database Merges” on page 181.

23.4 Making a Forest Read-Only

You can configure an existing forest to only allow reads and to disallow inserts, updates and deletes to any documents stored in the forest.



MarkLogic Server supports two read-only forest settings:

- `read-only` — When this update type is set, update transactions on the forest are immediately aborted.
- `flash-backup` — When this update type is set, update transactions on the forest are retried until either the update type is reset or the Default Time Limit set for the App Server is reached.

Note: Only existing forests can be set to `read-only` or `flash-backup`. You cannot create a new forest with these settings.

A read-only forest is useful if you want to put your forests on read-only media and allow them to be queried. Another use of `read-only` is to control disk space. For example, in a multi-forest database, it might be useful to be able to mark one or more forests as `read-only` as they reach disk space limits.

One use for `flash-backup` is to prevent updates to the forest during a *flash backup* operation, which is a very fast backup that can be done on some file systems. You can set the `flash-backup` update type to temporarily put the forest in read-only mode for the duration of a flash backup and then reset the update type when the backup has completed. Transactions attempting to make changes to the forest during the backup period are retried.

Note: Toggling between `read-only` or `flash-backup` and other forest update types triggers a forest restart. This activity is visible in the log file.

When the `read-only` or `flash-backup` update type is set, the forest will have the following characteristics:

- If a database has at least one updateable forest, and an insert, update or delete without a place key is requested, it will choose one of the updateable forests to perform the operation.
- No merges are allowed on the forest. Attempts to explicitly merge such forests do nothing.
- No re-indexing/re-fragmenting is allowed on the forest.
- You cannot upgrade from the forest. An attempt to upgrade will return an error.

- If a forest is set to read-only or flash-backup, an insert, update, or delete transaction will either generate an exception (in the case of `read-only`) or retried later (in the case of `flash-backup`).
- You cannot clear, restore, or fully delete the forest. However, you can delete the forest configuration, as described in “Deleting a Forest from a Host” on page 335.
- Backups are permitted on the forests. However, they will not modify the last backup time in the forest label. Consequently, the last backup time in the forest will denote the last time the forest was backed up when it wasn't read-only or flash-backup.
- If the database index settings are changed and index detection is set to ‘automatic’, then the forests will work, but the indexes won't be picked up. If index detection is set to ‘none’, you will get wrong results.
- You can enable failover on a read-only and flash-backup forest.

23.5 Attaching and Detaching Forests Using the Forest Summary Page

The Forest Summary page lists all of the forests in the cluster, along with various information about each forest such as its status, which host is the primary host, and amount of free space for each forest. It also lists which database each forest is attached to, and allows you to attach and/or detach forests from databases. Alternately, you can use the Database Forest Configuration page to attach and detach a forest, as described in “Attaching and/or Detaching Forests to/from a Database” on page 142.

Perform the following steps using the Admin Interface to attach or detach one or more forests to or from a database:

1. Click the Forests icon on the left tree menu. The Forest Summary page appears.

Forest	Status	Database	Primary Host	Free Space	Data Dir
Documents	open	Documents	raymond.marklogic.com	13,703 MB	
elaine	open	elaine	raymond.marklogic.com	13,702 MB	
geo	open	geo	raymond.marklogic.com	13,702 MB	
maha	open		raymond.marklogic.com	13,702 MB	
Modules	open	Modules	raymond.marklogic.com	13,703 MB	
Schemas	open	Schemas	raymond.marklogic.com	13,702 MB	
Security	open	Security	raymond.marklogic.com	13,703 MB	
Triggers	open	Triggers	raymond.marklogic.com	13,702 MB	

ok cancel

2. For each forest whose database assignment you want to change, select the name of the new database assignment.

Note: If you change a database assignment from one database to another, it will detach the forest from the previous setting and attach it to the new setting. Be sure that is what you intend to do. Also, if you detach from one database and attach to another database with different index settings, the forest will begin reindexing if `reindexer enable` is set to `true`.

3. After you have made your selections, click OK to save the forest assignment changes.

The forests you attached or detached are now reflected in the database configuration. Attaching and detaching a forest to a database are “hot” admin tasks.

23.6 Making Backups of a Forest

MarkLogic Server backs up forest data by transactionally creating an image copy of a specified forest. You can back up data at the granularity of a forest or of a database. Use the Admin Interface to back up a forest.

Forest-level backups only back up the data in a forest, and are not guaranteed to have a consistent database state to restore. The data in the forest is consistent, but other parts of the database (other forests, the schema database, and so on) might be different when you restore the data. For a guaranteed consistent backup, perform a complete database backup. For information on backing up a database, see “Backing Up and Restoring a Database” on page 253.

Note: Forest backups do not provide a journal archive feature, as described for database backups in “Backing Up and Restoring a Database” on page 253. However, you can manually invoke the `xdmp:start-journal-archiving` function during a forest backup to make use of journal archiving with your forest backups.

This section describes the forest backup procedures, and includes the following parts:

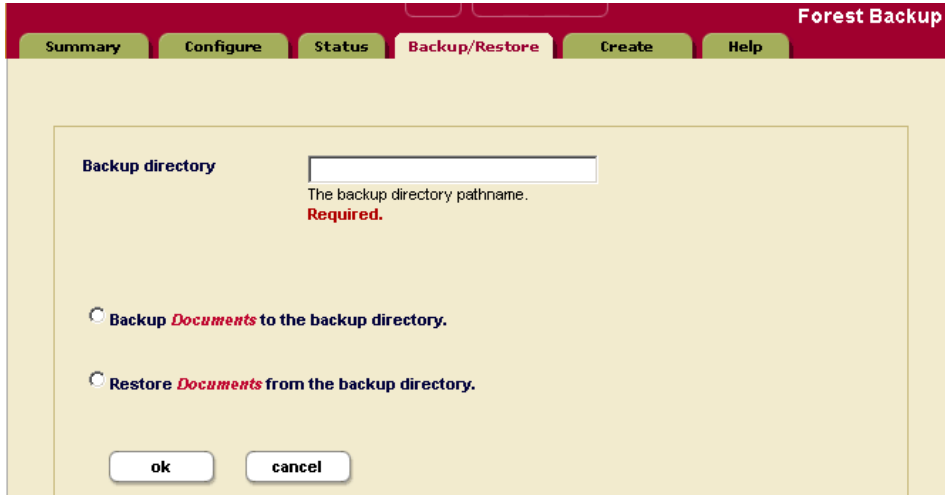
- [Backing Up a Forest](#)
- [Scheduling a Forest Backup](#)

23.6.1 Backing Up a Forest

To initiate a forest backup using the Admin Interface, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest to back up.
3. Click the icon for this forest name.

- Click the Backup/Restore tab at the top right. The Forest Backup screen appears.

The screenshot shows the 'Forest Backup' interface. At the top, there is a red navigation bar with tabs: 'Summary', 'Configure', 'Status', 'Backup/Restore' (which is selected), 'Create', and 'Help'. Below the navigation bar, the main content area has a light beige background. It features a 'Backup directory' label next to a text input field. Below the input field, there is a red note that says 'The backup directory pathname. Required.' Below this, there are two radio button options: 'Backup Documents to the backup directory.' and 'Restore Documents from the backup directory.' At the bottom of the form, there are two buttons: 'ok' and 'cancel'.

- Enter the name of the directory in which you want the backup copy of the forest. You must provide an absolute path. Each directory must be unique for each forest.

Warning The software deletes *all* the files in this directory before writing the new backup. To retain multiple generations of backup, specify a different backup directory for each backup.

- Select Backup.
- Click OK.
- A confirmation message appears. Click OK again to confirm the backup.

Your data in the selected forest is now backed up to the specified directory. Backing up your data is a “hot” admin task; the changes take effect immediately.

Warning When performing backups on the Windows platform, ensure that no users have the Forests or Data directories (or any subdirectories within them) open while the backup is being made.

23.6.2 Scheduling a Forest Backup

You can schedule forest backups to periodically back up a forest. You can schedule backups to occur daily, weekly, monthly, or you can schedule a one-time backup. You can create as many scheduled backups as you want. To create a scheduled backup, perform the following steps using the Admin Interface:

- Click the Forests icon on the left tree menu.

2. Select the forest for which you want to schedule a backup, either from the tree menu or from the Forest Summary page. The Forest Configuration page appears.
3. Click the Scheduled Backup link in the tree menu for the forest. The Scheduled Backup Configuration page appears.
4. On the Scheduled Backup Configuration page, you can delete any existing scheduled backups if you no longer need them.
5. Click the Create tab. The Create Scheduled Backups page appears

Schedule a Forest Backup

backup directory
The backup directory pathname.
Required. You must supply a value for backup-directory.

backup type
☐ minutely ☐ hourly ☐ daily ☒ weekly ☐ monthly ☐ once

backup period
How often this backup should run (every n months, weeks, days, hours or minutes).

days
☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday ☐ Saturday ☐ Sunday
The days on which this backup occurs.

backup start time
The starting time (in 24:00 notation).
Required. You must supply a value for backup-start-time.

6. Enter the absolute path to the backup directory. The backup directory must have permissions such that the MarkLogic Server process can read and write to it.
7. Choose a scheduled or one-time for the backup type:
 - For minutely, enter how many minutes between each backup.
 - For hourly, enter how many hours between each backup. The Backup Minute setting specifies how many minutes after the hour the backup is to start. Note that the Backup Minute setting does not add to the interval.
 - For daily, enter how many days between each backup and the time of day.
 - For weekly, enter how many weeks between each backup, check one or more days of the week, and the time of day for the backup to start.
 - For monthly, enter how many months between each backup, select one day of the month (1-31), and the time of day for the backup to start.

- For one-time, enter the backup start date in MM/DD/YYYY notation (for example, 07/29/2009 for July 29, 2009) and time in 24:00 notation.
8. Enter the time of day to start the backup.
 9. Click OK to create the scheduled backup.

The backups will automatically start according to the specified schedule.

23.7 Restoring a Forest

You can restore a forest from a backup made earlier either using the Admin Interface. Backups are restored at the forest granularity only.

To restore a forest from a backup made previously, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest to restore.
3. Click the icon for this forest name.
4. Click the Backup/Restore tab on the top right.
5. Enter the name of the directory that contains the backup copy of the forest.
6. Select Restore.
7. Click OK.

A confirmation message displays.

8. Confirm that you want to restore data from this backup directory and click OK.

Restoring data from your backup is a “hot” admin task; the changes take effect immediately.

Warning When performing restores on the Windows platform, ensure that no users have the Forests or Data directories (or any subdirectories within them) open while the restore process is executing.

23.8 Rolling Back a Forest to a Point In Time

You can use the `xdmp:forest-rollback` function to roll the state of one or more forests back to a specified system timestamp. To roll forest(s) back to an earlier timestamp, you must first set the merge timestamp to keep deleted fragments from that specified timestamp. For details on rolling back a forest, including the procedure to perform a rollback, see [Rolling Back a Forest to a Particular Timestamp](#) in the *Application Developer's Guide* and the `xdmp:forest-rollback` API documentation in the *MarkLogic XQuery and XSLT Function Reference*.

23.9 Merging a Forest

You can merge the forest data using the Admin Interface. As described in “Understanding and Controlling Database Merges” on page 181, merging a forest improves performance and is periodically done automatically in the background by MarkLogic Server. The Merge button allows you to explicitly merge the data for this forest.

To explicitly merge the forest, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest you want to merge.
3. Click the forest name, either on the tree menu or the summary page.

The Forest Configuration page displays.

4. Click the Merge button on the Forest Configuration page.

A confirmation message displays.

5. Confirm that you want to merge the forest data and click OK.

Merging data in a forest is a “hot” admin task; the changes take effect immediately.

23.10 Clearing a Forest

You can clear the document data from a forest using the Admin Interface. Clearing a forest removes all fragments from the forest, but does not remove its configuration information.

To clear all data from a forest, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest you want to clear.
3. Click the forest name, either on the tree menu or the summary page.

The Forest Configuration page displays.

4. Click the Clear button on the Forest Configuration page.

A confirmation message displays.

5. Confirm that you want to clear the document data from this forest and click OK.

Clearing data in a forest is a “hot” admin task; the changes take effect immediately.

23.11 Disabling a Forest

You can disable a forest using the Admin Interface. Disabling a forest unmounts the forest from the database and clears all memory caches for all the forests in the database. The database remains unavailable for any query operations while any of its forests are disabled.

Disabling a forest does not delete the configuration or document data. The forest can later be re-enabled by clicking Enable.

To disable a forest, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest you want to disable.
3. Click the forest name, either on the tree menu or the summary page.

The Forest Configuration page displays.

4. Click the Disable button on the Forest Configuration page.

A confirmation message displays.

5. Confirm that you want to disable the forest by clicking Disable.

23.12 Deleting a Forest from a Host

You can use the Admin Interface to delete a forest. There are two levels of forest deletion:

- Delete configuration only, which removes the forest configuration information, but preserves the document data.
- Full Delete, which completely removes the document data and the configuration information for the forest.

Note: The forest cannot be deleted if it is still attached to a database. Also, you can delete the configuration information on a Read-Only or Flash-Backup forest, but you cannot do a Full Delete on such forests.

To delete a forest, complete the following procedure:

1. Click the Forests icon on the left tree menu.
2. Decide which forest to delete.
3. Click the forest name, either on the tree menu or the summary page.

The Forest Configuration page displays.

4. Click the Delete button on the Forest Configuration page.

A confirmation message displays.

5. Select either Configuration Only to delete only the configuration information, or Full Delete to delete the configuration information and the document data.
6. Click OK.

Deleting a forest is a “hot” task; the changes take effect immediately.

23.13 Rolling Back a Prepared XA Transaction Branch

MarkLogic Server transactions may participate in global, distributed XA transactions. The XA Transaction Manager usually manages the life cycle of transactions participating in an XA transaction, independent of MarkLogic Server. However, it may be necessary to manually rollback the MarkLogic Server portion of a global transaction (called a *branch*) if the Transaction Manager is unreachable for a long time. For details, see [Heuristically Completing a Stalled Transaction](#) in the *XCC Developer's Guide*.

Note: Heuristic completion bypasses the Transaction Manager and the Two Phase Commit process, so it can lead to data integrity problems. Use heuristic completion only as a last resort.

Before the MarkLogic Server branch of an XA transaction is prepared, the transaction may be rolled back from the host status page of the host evaluating the transaction. See “Rolling Back a Transaction” on page 318.

Once the MarkLogic Server branch of an XA transaction enters the prepared state, the transaction appears only on the forest status page of the coordinating forest. To find the coordinating forest, examine the Forest Status page for each forest belonging to the participating database. The transaction will only appear on the status page for the coordinating forest.

To heuristically rollback the MarkLogic Server portion of an XA transaction using the Admin Interface, follow these steps:

1. Open the Admin Interface in your browser by navigating to `http://yourhost:8001`.
2. Click Forests on the left tree menu. The forest summary page appears.
3. Click the name of the coordinating forest. The Forest Status page appears.
4. Locate the target transaction in the transaction list. If you do not see a transaction list on the status page, then this forest is not the coordinating forest for any prepared transactions.
5. Click `[rollback]` on the right side of the target transaction status to initiate the rollback. The rollback confirmation dialog appears. For example:

Transaction ID	Name	State	Mode	Timestamp	Run Time	Limit	Source	User	
8169053886267504764		active	query	13183478465171180	740.8 ms	600 s	Security	admin	<code>[rollback]</code>
1189889101125869537		idle	update	0	2.7 s	600 s	samples	admin	<code>[rollback]</code>

6. Click OK to confirm the rollback. The rollback completion page appears.
7. Click OK to return to the Forest Status page.

The rolled back transaction enters the “remember abort” state, indicating MarkLogic Server should remember that the local transaction was aborted until the Transaction Manager re-synchronizes the global transaction. Once re-synchronization occurs, the transaction no longer appears in the forest status. For details, see [Heuristically Completing a MarkLogic Server Transaction](#) in the *XCC Developer's Guide*.

You may use the Forest Status page to force MarkLogic Server to forget the rollback without waiting for the Transaction Manager. This is not recommended as it leads to errors and, potentially, a loss of data integrity when the Transaction Manager attempts to re-synchronize the global transaction. If forgetting the rollback is necessary, use the `[forget]` link in the transaction list on the Forest Status:

Transaction ID	Decision State (Coordinator)	Coordinator	Other Forests
5670604965531323769	<code>remember abort</code>	samples-1	<code>[forget]</code>

24.0 Security Administration

MarkLogic Server uses a role-based security model. A user's privileges and permissions are based on the roles assigned to the user. For background information on understanding the security model in MarkLogic Server, see *Security Guide*. This section describes administration tasks related to security, and includes the following sections:

- [Security Entities](#)
- [Users](#)
- [Roles](#)
- [Execute Privileges](#)
- [URI Privileges](#)
- [Amps](#)
- [Protected Collections](#)
- [Realm](#)

This chapter describes how to use the Admin Interface to manage security objects. For details on how to manage security objects programmatically, see [Creating and Configuring Roles and Users](#) and [User Maintenance Operations](#) in the *Scripting Administrative Tasks Guide*.

24.1 Security Entities

The key entities in MarkLogic Server's security model are:

- User

A *user* within the model has a set of roles. A user has privileges and permissions within the system based on the roles he is given.
- Role

A *role* gives privileges and permissions to a user. A role may inherit from multiple roles. Role inheritance is an “is-a” relationship. Hence, an inherited role also has the privileges and permissions of its parent(s).
- Execute Privilege

An *execute privilege* grants authorization to perform a protected action. Only roles (and their inherited roles) specified in the execute privilege can perform the action.
- URI Privilege

A *URI privilege* grants authorization to create a document within a protected base URI. Only roles (and their inherited roles) specified in the URI privilege can create the document within the protected base URI.

- Permission

A *permission* protects a document or a collection. Each permission associates a single role with a capability (Read, Update, Insert). A protected document or collection has a set of associated permissions.

- Collection

A *collection* groups a set of documents that are related. A document may belong to any number of collections. A collection exists in the system when a document in the system states that it is part of that collection. However, an associated collection object is not created and stored in the *Security* database unless it is protected.

Permissions created at the collection level apply to the collection but not to documents within the collection. A user needs to have permissions at the both the collection and document level to be able to add documents to a protected collection.

- Amp

An *amp* gives the User additional roles temporarily while the user is performing a certain task (executing a function).

- Certificate Authority

A *certificate authority* (CA) is a trusted third party that certifies the identity of entities, such as users, databases, administrators, clients, and servers. A CA is used by the SSL (Secure Sockets Layer) security standard to provide encrypted protection between browsers and App Servers. When an entity requests certification, the CA verifies its identity and grants a certificate, which is signed with the CA's private key. If the CA is trusted, then any certificate it issues is trusted unless it has been revoked. For details on SSL support in the MarkLogic Server, see [Configuring SSL on App Servers](#) in the *Security Guide*.

- Certificate Template

A *certificate template* is a MarkLogic construct that is used to generate certificate requests for the various hosts in a cluster. A certificate template is used by the SSL (Secure Sockets Layer) security standard to provide encrypted protection between browsers and App Servers. The template defines the name of the certificate, a description, and identity information about the owner of the certificate. For details on SSL support in the MarkLogic Server, see [Configuring SSL on App Servers](#) in the *Security Guide*.

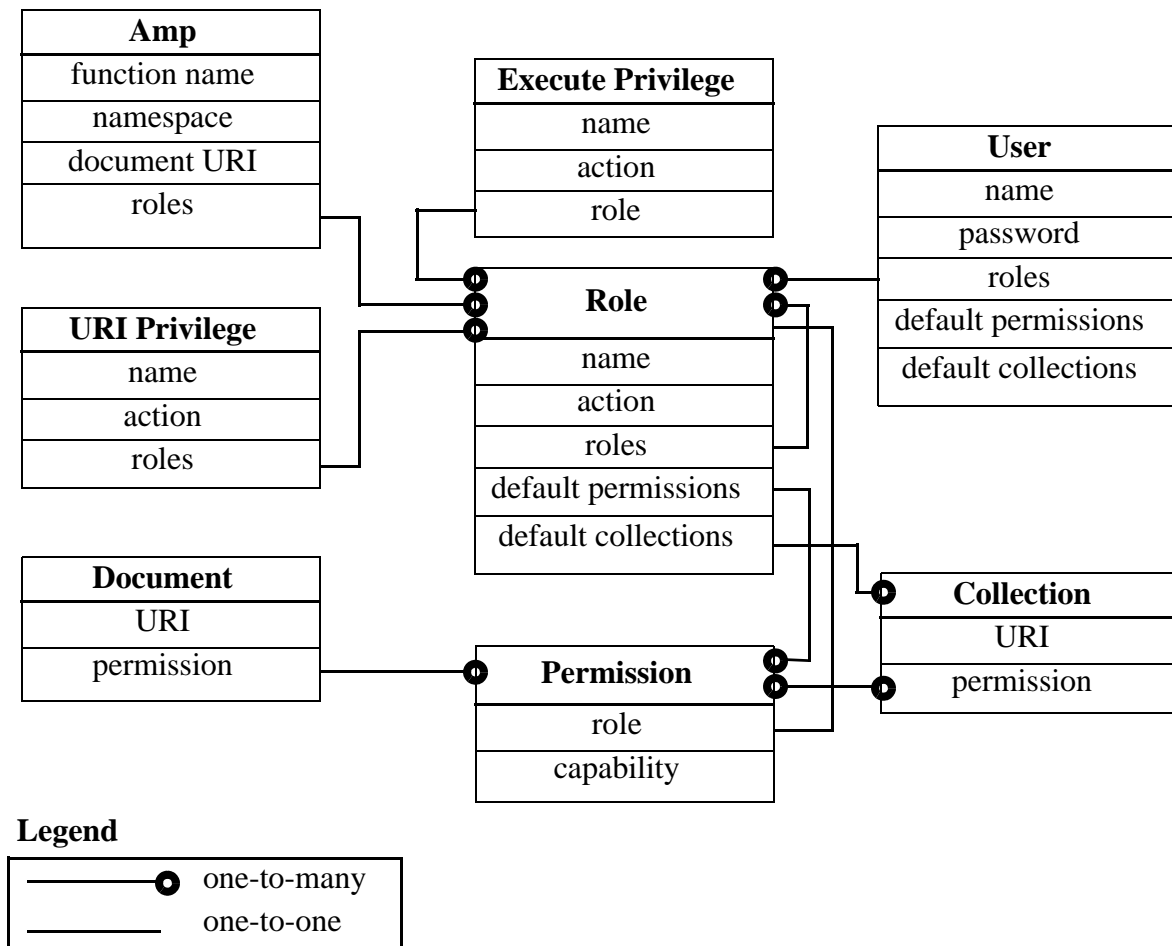
- External Authentication

An *External Authentication Configuration Object* is used to configure MarkLogic Server for external authentication by LDAP or Kerberos. An external authentication configuration object specifies which authentication protocol and authorization scheme to use, along with any other parameters necessary for LDAP authentication. For details on

external authentication with MarkLogic Server, see the [External Security](#) chapter in the *Security Guide*.

- Security Entity Relationships

The following diagram illustrates the relationships between the different entities in the MarkLogic Server security model.



The remaining sections of this chapter detail the procedures to administer MarkLogic Server security entities. All security administrative tasks are “hot”—the changes take effect immediately without a server restart.

Permissions are not administered through the administrative interface and are not described in detail in this document. For more information on using permissions in MarkLogic Server, see the *MarkLogic XQuery and XSLT Function Reference*.

24.2 Users

A User has a set of roles. A user has privileges and permissions within the system based on the roles he is given. A user can perform tasks (execute functions) based on his privileges and access data based on his permissions.

Each user has an associated user name and password. A user also has default collections. When a user creates a document but does not explicitly associate the document with a set of collections, the document is automatically added to the user's default collections. Default permissions can be created for a user. When a user creates a document but does not explicitly set the permissions for the document, the document will be given the user's default permissions.

If security is turned on for an HTTP, ODBC, or XDBC server, all users in the security database will have access to the server. Finer granularity security control to functions in XQuery programs running on the HTTP, ODBC, or XDBC servers are accomplished through the use of `xmmp:security-assert()` within the code. Granular secured access to documents is achieved through the use of permissions associated with each protected document.

Use the following procedures to create, manage and maintain users:

- [Creating a User](#)
- [Viewing a User Configuration](#)
- [Modifying a User Configuration](#)
- [Deleting a User](#)

24.2.1 Creating a User

Follow these steps to create a user:

1. Click the Security icon in the left tree menu.
2. Click the Users icon.

3. Click the Create tab. The User Configuration page appears:

New User ok cancel

user -- *A database user.*

user name
User/login name (unique)
Required. You must supply a value for user-name.

description
An object's description.

password
Encrypted Password.
Required.

confirm password
Encrypted Password.
Required.

external names -- *The external names specifications.*

external name

more external names

password extra -- *For storing extra information for password checking.*

roles -- *The roles assigned.*

Role	Compartment
<input type="checkbox"/> admin	
<input type="checkbox"/> admin-builtins	

4. Enter a name for the user in the username field.
5. Enter the description for the user (optional).
6. Enter a password for the user.

7. Re-enter the password to confirm it.
8. If the user is to be authorized externally by LDAP or Kerberos, enter one or more Distinguished Names (LDAP) or User Principals (Kerberos) in the External Names section. For details on external authorization, see the [External Security](#) chapter in the *Security Guide*.
9. Under the roles section, check the roles to assign the user.
10. Create default permissions for this user (optional). Select a role and pair the role with the appropriate capability (read, insert, update). If there are more than 3 default permissions you want to add for this user, you can do so on the next screen after you click OK.
11. Create default collections for this user (optional). Type in the collection URI for each collection you want to add to the user's default collection. If there are more than 3 default collections you want to add for this user, you can do so on the next screen after you click OK.
12. Click OK.

The user is now added to the system and the user configuration page appears. If you want to add more default permissions or collections to the user, scroll down to the section for default permissions or collections.

24.2.2 Viewing a User Configuration

Perform the following steps to view a user's configuration:

1. Click the Security icon in the left tree menu.
2. Click the Users icon.
3. Locate the name of the user whose settings you want to view, either on the tree menu or on the summary page.

- Click the name. The user configuration page appears where you can view the user's configuration:



The screenshot shows a dialog box titled "User: testuser" with "ok" and "cancel" buttons. Below the title bar, it says "user -- A database user." with a "delete" button. The main area contains four fields: "user name" with the value "testuser" (description: "User/login name (unique)"), "description" with the value "This is a test user" (description: "An object's description."), "password" with masked characters (description: "Encrypted Password."), and "confirm password" with masked characters (description: "Encrypted Password.").

24.2.3 Modifying a User Configuration

Perform the following steps to modify the configuration for a user:

- For the user to which you want to modify, view that user's configuration as described in "Viewing a User Configuration" on page 344.
- Perform any modifications needed to the user's configuration. Modifications might include changing any of the user credentials (including password), adding or removing role assignments, adding or removing default permission settings, or adding or removing default collection settings.

Warning Making changes to the to the user configuration affects the access control policy for that user, which can either increase or decrease the activities authorized for the user. For more details on how the security system works, see *Security Guide*.

- Click OK to save the changes.

The new changes are in effect for all transactions beginning after the user changes are committed.

24.2.4 Deleting a User

Perform the following steps to delete a user from the security database:

- Click the Security icon in the left tree menu.

2. Click the Users icon.
3. Locate the user you want to delete, either on the tree menu or on the summary page.
4. Click the user name.
5. Click on the Delete button.
6. Click OK to confirm deleting the user.

The user is permanently deleted from the security database.

24.3 Roles

MarkLogic Server implements a role-base security model. Therefore, the Role is a central security concept in MarkLogic Server. A role gives a user privileges (both Execute and URI) to perform certain actions in a system. An Execute Privilege allows a user to perform a protected action. A URI Privilege allows a user to create a document under a protected URI. A role also gives a user the permissions to access protected documents.

A role may inherit from multiple roles. The inheritance relationship for roles is an “is-a” relationship. Therefore, a role gets the privileges and permissions of the roles from which they inherit.

MarkLogic Server is installed with the following pre-defined roles:

Role	Description
admin	This role has the privileges and permissions needed to perform administrative tasks. This role has the highest level of access in the system.
admin-builtins	This role has the privileges needed to call the admin-builtins functions.
filesystem-access	This role has the privileges to access the filesystem.
merge	This role has the privileges needed to force a merge in the system.
security	This role has the privileges to perform all the security-related administrative functions.

While you are able to change the configuration settings of these pre-defined roles (except for the `admin` role) or delete any of them, we strongly recommend that you proceed with caution.

A role has default collections. When a user of a role creates a document but does not explicitly associate the document with a set of collections, the document is automatically added to a set of default collections. This set of default collections is the union of the default collections defined for the user, the roles the user has, and the roles from which the user's directly assigned roles inherit.

A role has default permissions. When a user of a role creates a document but does not explicitly set the permissions for the document, the document will be given a set of default permissions. This set of default permissions is the union of the default permissions defined for the user, the roles the user has, and the roles from which the user's directly assigned roles inherit.

For more details about the role-based security model in MarkLogic Server, see *Security Guide*.

Use the following procedures to create, manage and maintain roles:

- [Creating a Role](#)
- [Viewing a Role](#)
- [Modifying a Role Configuration](#)
- [Deleting a Role](#)

24.3.1 Creating a Role

Perform the following steps to create a role.

1. Click the Security icon in the left tree menu.
2. Click the Roles icon.

3. Click the Create tab. The Role Configuration page appears:

Summary **Create** **Help**

New Role

role -- *A security role.*

role name
The Role name (unique)
Required. You must supply a value for role-name.

description
An object's description.

compartment
The compartment that this role is part of.

external names -- *The external names specifications.*

external name

roles -- *The roles assigned.*

Role	Compartment
<input type="checkbox"/> admin	
<input type="checkbox"/> admin-builtins	

4. Type in a name for role in the role name field.
5. Type in a description for the role (optional).
6. If you want to place the role into the named compartment, enter name of the compartment in the Compartment field. If a document has any permissions (role/capability pairs) with roles that have a compartment, then the user must have those roles with each of the compartments (regardless of which permission they are in) to perform any of the capabilities.
7. If the role is to be mapped to an LDAP group, enter one or more group names in the External Names section. For details on external authorization, see the [External Security](#) chapter in the *Security Guide*.

8. Under the roles section, select the roles from which this role will inherit.
9. Under the execute privileges section, select from the available execute privileges to be associated with the role.
10. Under the URI privileges section, select from the available URI privileges to be associated with the role.
11. Create default permissions for this role (optional). Select a role and pair the role with the appropriate capability (read, insert, update). If there are more than 3 default permissions you want to add for this role, you can do so on the next screen after you click OK.
12. Create default collections for this role (optional). Type in the collection URI for each collection you want to add to the role's default collections. If there are more than 3 default permissions you want to add for this user, you can do so on the next screen after you click OK.
13. Click OK.

The role is now added to the system and the Role Configuration page appears. If you want to add more default permissions or collections to the role, scroll down to the section for default permissions or collections.

24.3.2 Viewing a Role

Perform the following steps to create a role.

1. Click the Security icon in the left tree menu.
2. Click the Roles icon.

- Click the name of the role you want to view, either on the tree menu or on the summary page. The Role Configuration page appears.

Error:

Role: security

role -- *A security role.*

role name
The Role name (unique)

description
An object's description.

compartment
The compartment that this role is part of.

external names -- *The external names specifications.*

external name

No Current External Name

[add]

roles -- *The roles assigned.*
(inherited roles in **Bold**)

Role	Compartment
<input type="checkbox"/> admin	
<input type="checkbox"/> admin-builtins	

View the configuration for the role.

24.3.3 Modifying a Role Configuration

Perform the following steps to modify a role configuration:

- For the role to which you want to modify, view the role configuration as described in “Viewing a Role” on page 349.

2. Perform any modifications needed to the role configuration. Modifications might include adding or removing role assignments, adding or removing default permission settings, or adding or removing default collection settings.

Warning Making changes to the to the role configuration affects the access control policy for that role, which can either increase or decrease the activities authorized for any users who have that role (either directly or indirectly). For more details on how the security system works, see *Security Guide*.

3. Click OK to save the changes.

The new changes are in effect for all transactions beginning after the user changes are committed.

24.3.4 Deleting a Role

You can delete a role from the security database. The system does not check to see if there are any users with that role before deleting it. A deleted role is automatically removed from all users still assigned to that role. Users who were assigned to the deleted role lose the permissions and privileges given by that role.

Perform the following steps to delete a role.

1. Click the Security icon in the left tree menu.
2. Click the Roles icon.
3. Click the name of the role you want to delete, either on the tree menu or on the summary page.
4. Click the Delete button.
5. Click OK to confirm deleting the role.

The role is now deleted from the security database.

24.4 Execute Privileges

The actions that a user can perform in the server are determined by the set of privileges that they possess. Privileges are connected to users with roles. An Execute Privilege grants authorization to perform a protected action. An execute privilege specifies a protected action, and the roles that can perform the action. Roles that inherit from the specified roles can also perform the protected action. The protected action is represented as a URI.

Once an execute privilege is created, it is enforced in XQuery programs through the use of `xdmp:security-assert(<protected-action-uri>, "execute")` in the code. That is, `xdmp:security-assert(<protected-action-uri>, "execute")` can be added at the entrance to function or a section of code that has been protected. If the system is executing as a user without the appropriate roles as specified by the execute privilege, an exception is thrown. Otherwise, system satisfies the security-assert condition and proceeds to execute the protected code.

Use the following procedures to create, manage and maintain execute privileges:

- [Creating an Execute Privilege](#)
- [Execute Privilege: grant-my-privilege](#)
- [Viewing an Execute Privilege](#)
- [Modifying an Execute Privilege](#)
- [Deleting an Execute Privilege](#)

24.4.1 Creating an Execute Privilege

Perform the following steps to create an execute privilege:

1. Click the Security icon in the left tree menu.
2. Click on the Execute Privileges icon.
3. Click the Create tab. The Execute Privilege Configuration page appears:

Execute Privilege Configuration

Summary Create Help

New Execute Privilege ok cancel

execute privilege -- Privilege representation.

privilege name
Privilege name (unique).
Required. You must supply a value for privilege-name.

action
A protected "action" (or object).
Required. You must supply a value for action.

roles -- The roles assigned.

☐ admin

☐ admin-builtins

4. Enter the name of the execute privilege. Use a name that is descriptive of the action this execute privilege will protect. For example, `create-user` is the name of an execute privilege that gives a role the authorization to create a user.
5. Enter a protected action, represented as a URI. You can use any URI but we recommend you follow the conventions for your company. For example, the URI for the `create-user` execute privilege is `http://marklogic.com/xdmp/privileges/create-user`.
6. Under the roles section, select the roles that are allowed to perform the protected action.
7. Click OK.

The execute privilege is now added to the security database. You can now use the `xdmp:security-assert()` function in your code to associate this privilege with a protected operation.

24.4.2 Execute Privilege: `grant-my-privilege`

In MarkLogic 10.0-3 and later, the “grant my privilege” feature be used as part of Executive Privileges to assign some privileges to roles. In the context of Data Hub Service, non-admin users (users with the “manage” role but not “admin”, “Security”, or “manage-admin” roles) need to be able to assign some privileges to roles so that they become available to the users in possession of those roles.

A user with the `grant-my-privileges` privilege can assign privileges that they already possess to roles that they are allowed to modify. This feature works in conjunction with the “data roles” feature. The `grant-my-privileges` privilege is useless in isolation, as its only purpose is to assign privileges to roles.

To access the `grant-my-privilege` feature in the Admin UI:

1. Click the Security icon in the left tree menu.
2. Click on the Execute Privileges icon.
3. Scroll down on this page to find `grant-my-privileges` and click on the link.

<code>grant-all-roles</code>	http://marklogic.com/xdmp/privileges/grant-all-roles
<code>grant-my-privileges</code>	http://marklogic.com/xdmp/privileges/grant-my-privileges
<code>grant-my-roles</code>	http://marklogic.com/xdmp/privileges/grant-my-roles

The Execute Privilege: `grant-my-privileges` screen opens, where you can select the roles assigned this privilege.

Telemetry is not enabled

Execute Privilege Configuration (Security)

Summary Configure Describe Create Help

Execute Privilege: grant-my-privileges

ok cancel

execute privilege -- *Privilege representation.* delete

privilege name
Privilege name (unique)

action
A URI to protect.

roles -- *The roles assigned.*

Role	Compartment
<input type="checkbox"/> admin	
<input type="checkbox"/> admin-builtins	
<input type="checkbox"/> admin-configuration-delete	
<input type="checkbox"/> admin-configuration-read	
<input type="checkbox"/> admin-configuration-write	

1. Select the roles to assign to the privilege.
2. Click ok when you are done to save the changes.

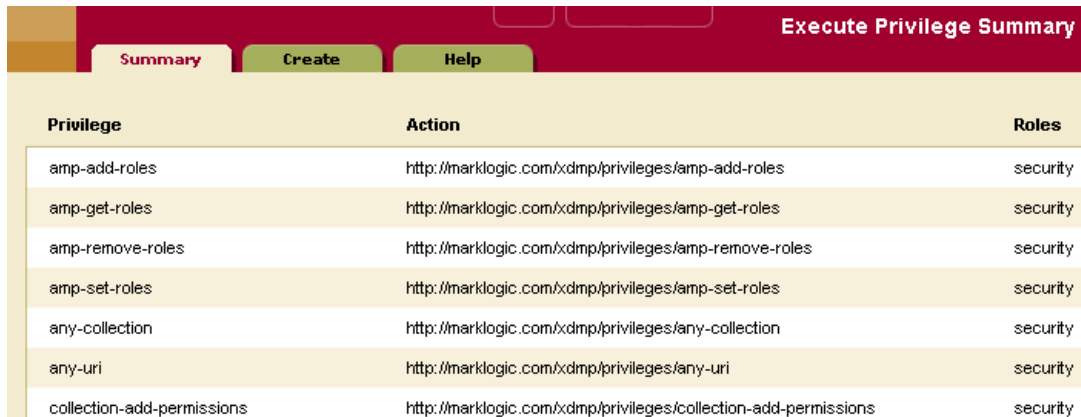
The precise set of privileges that a user can assign is determined by the privileges that they already possess. It is not possible for a user to assign a privilege that they do not possess (admin, for example). If a user attempts to change the privileges associated with a role, the request will succeed if (*and only if*) the following conditions apply:

- The user has the “grant-my-privileges” privilege. A user without this privilege cannot make any changes to the privileges associated with a role.
- The user has the “create-data-roles” privilege and the necessary granular edit privilege for the role that they are modifying. Without these privileges, they cannot modify the role.
- The user possesses all of the privileges that they are attempting to add or remove from the role.

24.4.3 Viewing an Execute Privilege

Perform the following steps to view an execute privilege:

1. Click the Security icon in the left tree menu.
2. Click the Execute Privileges icon. The Execute Privileges Summary page appears:



Privilege	Action	Roles
amp-add-roles	http://marklogic.com/xdmp/privileges/amp-add-roles	security
amp-get-roles	http://marklogic.com/xdmp/privileges/amp-get-roles	security
amp-remove-roles	http://marklogic.com/xdmp/privileges/amp-remove-roles	security
amp-set-roles	http://marklogic.com/xdmp/privileges/amp-set-roles	security
any-collection	http://marklogic.com/xdmp/privileges/any-collection	security
any-uri	http://marklogic.com/xdmp/privileges/any-uri	security
collection-add-permissions	http://marklogic.com/xdmp/privileges/collection-add-permissions	security

3. Click on the name of the execute privilege that you want to view.
4. View the configuration for the execute privilege.

24.4.4 Modifying an Execute Privilege

Perform the following steps to modify an execute privilege:

1. For the privilege to which you want to modify, view the configuration as described in “Viewing an Execute Privilege” on page 355.
2. Perform any modifications needed to the privilege (for example, add or remove role assignments).

Warning Making changes to the execute privilege configuration affects the access control policy for that privilege, which can either increase or decrease the activities authorized for any users who have any of assigned roles (either directly or indirectly). For more details on how the security system works, see *Security Guide*.

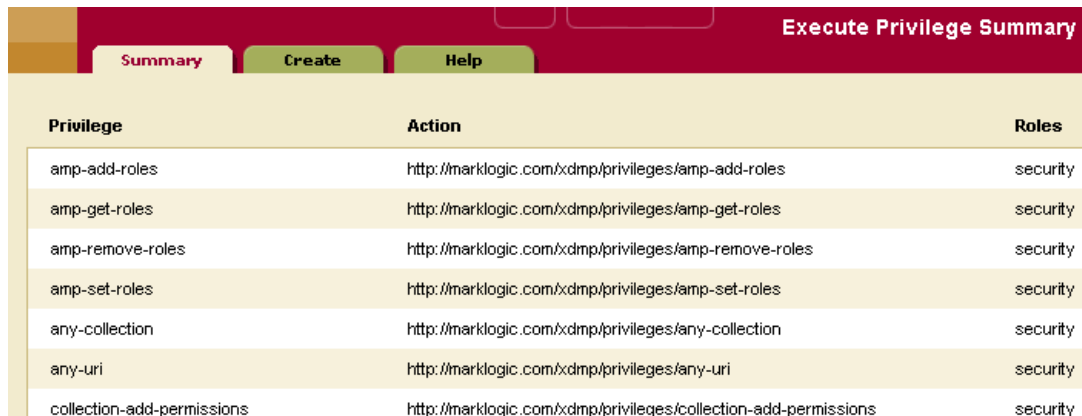
3. Click OK to save the changes.

The new changes are in effect for all transactions beginning after the user changes are committed.

24.4.5 Deleting an Execute Privilege

You can delete an execute privilege from the security database. However, an exception will be thrown when a `security-assert()` on the protected action specified in the deleted execute privilege is encountered. That is, a deleted execute privilege behaves like an execute privilege for which no role has been given access to the protected action. Follow these steps to delete an execute privilege:

1. Click the Security icon in the left tree menu.
2. Click the Execute Privileges icon. The Execute Privileges Summary page appears:



Privilege	Action	Roles
amp-add-roles	http://marklogic.com/xdmp/privileges/amp-add-roles	security
amp-get-roles	http://marklogic.com/xdmp/privileges/amp-get-roles	security
amp-remove-roles	http://marklogic.com/xdmp/privileges/amp-remove-roles	security
amp-set-roles	http://marklogic.com/xdmp/privileges/amp-set-roles	security
any-collection	http://marklogic.com/xdmp/privileges/any-collection	security
any-uri	http://marklogic.com/xdmp/privileges/any-uri	security
collection-add-permissions	http://marklogic.com/xdmp/privileges/collection-add-permissions	security

3. Click the name of the execute privilege that you want to delete.
4. On the Execute Privileges page for the given privilege, click the Delete button.
5. Click OK to confirm deleting the execute privilege.

The execute privilege is now deleted from the security database.

24.5 URI Privileges

A URI Privilege grants authorization to create documents under a protected URI. That is, a URI privilege specifies the roles that are allowed to create documents with the protected URI as the base URI (prefix) in the document URI. Roles that inherit from the specified roles can also create the documents under the protected URI.

Unlike an execute privilege, where `xdmp:security-assert()` needs to be called explicitly to protect a function, a URI privilege is automatically enforced. When `xdmp:document-insert()` is called, the system checks the base URIs (prefix) of the document URI specified to see if they might be protected by a URI privilege. If the base URI has an associated URI privilege, it checks the roles of the user to see if any of the user's roles gives the user authorization to create the document within the protected base URI. If the user has the requisite authorization, the document is inserted into the database. Otherwise, an exception is thrown.

Use the following procedures to create, manage and maintain URI privileges:

- [Creating a URI Privilege](#)
- [Viewing a URI Privilege](#)
- [Modifying a URI Privilege](#)
- [Deleting a URI Privilege](#)

24.5.1 Creating a URI Privilege

Perform the following steps to create a URI privilege:

1. Click the Security icon in the left tree menu.
2. Click the URI Privileges icon.
3. Click on the Create tab. The URI Privilege Configuration page appears:
4. Enter the name of the URI privilege. Use a name that is descriptive of the base URI to be protected. For example, to restrict the creation of documents under a base URI reserved for the accounting group, you might use the name “accounting_files”.
5. In the action field, enter the base URI to be protected. While the base URI does not have to map to an actual directory, it should follow the directory structure convention (for example, /myfiles/accounting_files). In this example, only the user with this URI privilege can create a file with the URI /myfiles/accounting_files/account1.xml.
6. Under the roles section, select the roles that are allowed to create documents under the base URI.
7. Click OK.

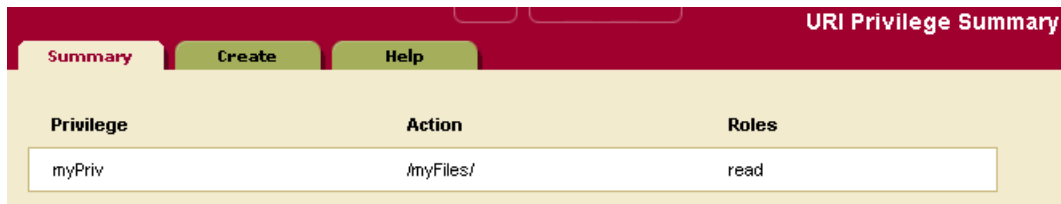
The URI privilege is created and added to the security database.

24.5.2 Viewing a URI Privilege

Perform the following steps to view a URI privilege:

1. Click the Security icon in the left tree menu.

- Click the URI Privileges icon. The URI Privileges Summary Page appears:



URI Privilege Summary		
Summary Create Help		
Privilege	Action	Roles
myPriv	/myFiles/	read

- Click the name of the URI privilege you want to view.
- View the URI privilege.

24.5.3 Modifying a URI Privilege

Perform the following steps to modify an execute privilege:

- For the privilege to which you want to modify, view the configuration as described in “Viewing a URI Privilege” on page 357.
- Perform any modifications needed to the privilege (for example, add or remove role assignments).

Warning Making changes to the to the URI privilege configuration affects the access control policy for that privilege, which can either increase or decrease the activities authorized for any users who have any of assigned roles (either directly or indirectly). For more details on how the security system works, see *Security Guide*.

- Click OK to save the changes.

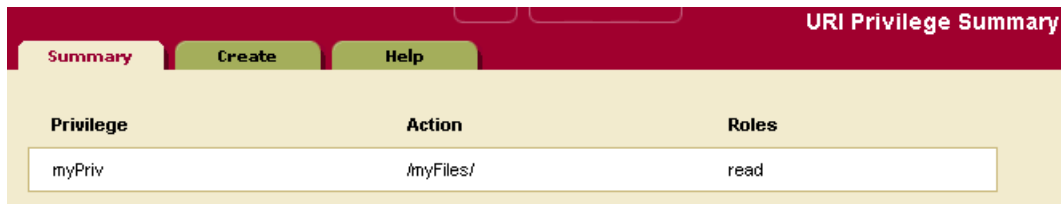
The new changes are in effect for all transactions beginning after the user changes are committed.

24.5.4 Deleting a URI Privilege

You can delete a URI privilege from the security database. Perform the following steps to delete a URI privilege:

- Click the Security icon in the left tree menu.

- Click the URI Privileges icon. The URI Privileges Summary Page appears:



URI Privilege Summary		
Privilege	Action	Roles
myPriv	/myFiles/	read

- On the URI Privileges page for the given privilege, click the Delete button.
- Click OK to confirm deleting the URI privilege.

The URI privilege is now deleted from the security database.

24.6 Amps

An Amp gives the user additional roles temporarily while the user is performing a certain task (executing a function). While the user is executing the “amp-ed” function, the user receives additional privileges and permissions given by the additional roles. An amp is useful when a user needs additional privileges and permissions only while the user is executing a certain function.

Giving the user additional roles permanently could compromise the security of the system. On the other hand, an amp enables granular security control by limiting the effect of the additional roles (privileges and permissions) to a specific function. For example, a user may need a count of all the documents in the database when the user is creating a report. However, the user does not have read permissions on all the documents in the database, and hence does not know the existence of all the documents in the database. An amp can be created for the `document-count()` function to elevate the user to an `admin` role temporarily while the user is executing the function to count the documents in the system.

An amp is defined by the local name of the function, the namespace and the document URI. The document URI must begin with a forward slash “/” and is treated as being rooted relative to the *Modules* directory in the installation path. When resolving an amp, MarkLogic Server looks for the file using a path rooted relative to the *Modules* directory in the installation path. If it finds a function that matches the local name and namespace using the specified path, it applies the amp to the function.

Note: Starting in 9.0-7 for triggers and 10.0-2 for amps, Database names can be used in the trigger and amp creation apis, thus making it easy to support the same functionality on replica clusters for databases with the same names.

For more details about amps, see *Security Guide*. For examples of amps, look at one of the amps created during installation. To view an amp, follow the instructions in the section “Viewing an Amp” on page 361.

Use the following procedures to create, manage and maintain amps:

- [Creating an Amp](#)
- [Viewing an Amp](#)
- [Modifying an Amp](#)
- [Deleting an Amp](#)

24.6.1 Creating an Amp

To create an amp, Perform the following steps:

1. Click the Security icon in the left tree menu.
2. Click the Amps icon.
3. Click on the Create tab. The Amp Configuration page appears:

Amp Configuration

Summary Create Help

New Amp ok cancel

amp -- A role amplification.

local name
A function local-name.
Required. You must supply a value for local-name.

namespace
A namespace.
Required. You must supply a value for namespace.

document uri
A document's URI.
Required. You must supply a value for document-uri.

database (filesystem)
A database the module is found in.

roles -- The roles assigned.

☐ admin

☐ admin-builtins

4. Enter the database in which the function is stored. If the function is stored in the *Modules* directory on the filesystem, set the database to `filesystem` (which is the default value).

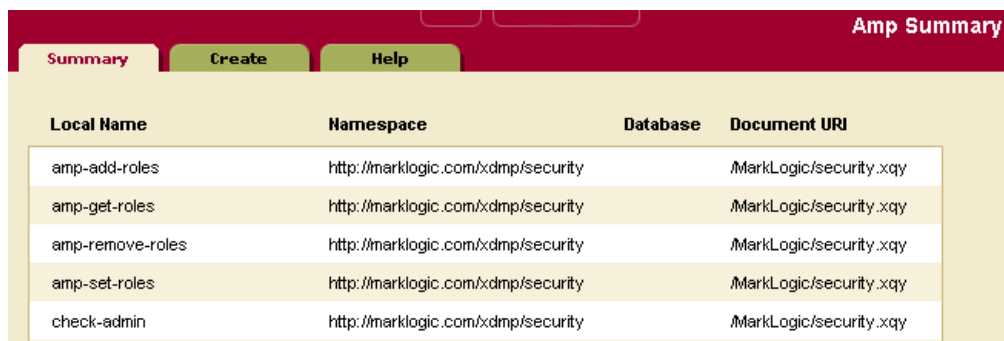
5. Enter the local name of the function (without parentheses) in which the amp takes effect.
For example: `my-function`.
6. Enter the namespace in which the function is defined.
7. Enter the document URI for the document in which the function is defined. This document URI must begin with a forward slash (for example, `/amped-functions.xqy`). The specified document must be placed in the *Modules* directory within the installation path. For example, if `/mydir/my-amps.xqy` is specified in the document uri, `my-amps.xqy` must be placed in `installation-directory/Modules/mydir`.
8. Under the roles section, select the additional roles that will be given to the user while the user is executing the function.
9. Click OK.

The amp is now added to the security database.

24.6.2 Viewing an Amp

Perform the following steps to view an amp:

1. Click the Security icon in the left tree menu.
2. Click the Amps icon. The Amps Summary page appears:



Local Name	Namespace	Database	Document URI
amp-add-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-get-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-remove-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-set-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
check-admin	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy

3. Click on the name of the amp you want to view.
4. View the amp.

24.6.3 Modifying an Amp

Perform the following steps to modify an amp:

1. For the amp to which you want to modify, view the configuration as described in “Viewing an Amp” on page 361.

2. Perform any modifications needed to the amp (for example, add or remove role assignments).

Warning Making changes to the to the amp configuration affects the access control policy for that amp, which can either increase or decrease the activities authorized for any users who have any of assigned roles (either directly or indirectly). For more details on how the security system works, see *Security Guide*.

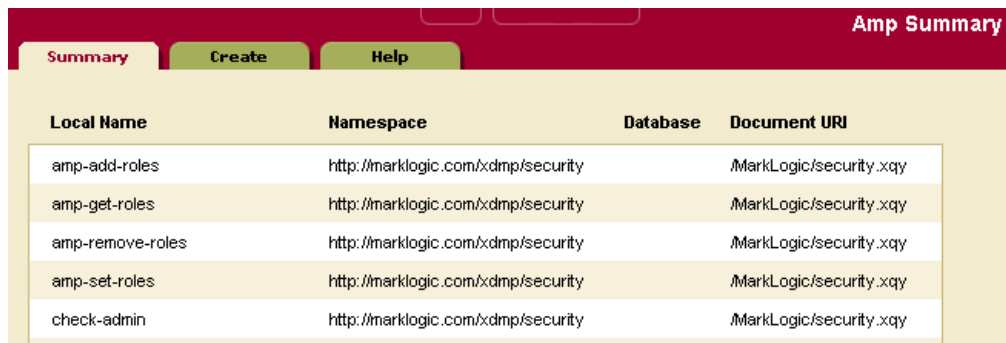
3. Click OK to save the changes.

The new changes are in effect for all transactions beginning after the user changes are committed.

24.6.4 Deleting an Amp

You can delete an amp from the security database. Perform the following steps to delete an amp:

1. Click the Security icon in the left tree menu.
2. Click the Amps icon. The Amps Summary page appears:



Local Name	Namespace	Database	Document URI
amp-add-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-get-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-remove-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
amp-set-roles	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy
check-admin	http://marklogic.com/xdmp/security		/MarkLogic/security.xqy

3. Click on the name of the amp you want to delete.
4. On the Amp page for the given amp, click the Delete button.
5. Click OK to confirm deleting the amp.

The amp is now deleted from the security database.

24.7 Protected Collections

A *collection* groups a set of documents that are related and enables queries to target subsets of documents within a database efficiently. A document may belong to any number of collections simultaneously. A collection exists in the system when a document in the system states that it is part of that collection.

A *protected collection* is one for which only authorized users can associate documents with the collection. When you create a protected collection, an associated protection collection object is created and stored in the security database.

You must understand the following key concepts and limitations of protected collections:

- A protected collection dictates who can *add* documents to the collection. It provides no other access control.
- A protected collection does not control access to the documents in the collection. Use document permissions for this purpose.
- Only users with a role that has update permissions for the collection can add documents to the collection or use explicit collection operations such as `xdmp.documentRemoveCollections` to remove a document from a protected collection.
- A user with update permissions on a document can remove the document from a protected collection by reinserting the document with a different set of collections.

Use the following procedures to create, manage, and maintain collections:

- [Creating a Protected Collection](#)
- [Viewing a Protected Collection](#)
- [Removing a Permission from a Protected Collection](#)
- [Deleting a Protected Collection](#)

24.7.1 Creating a Protected Collection

Perform the following steps to create a protected collection:

1. Click the Security icon in the left tree menu.
2. Click the Collections icon.

3. Click the Create tab, The Collection Configuration page appears:

Collection Configuration

Summary Configure **Create** Help

New Collection ok cancel

collection -- A collection object.

uri
The collection uri.
Required. You must supply a value for uri.

permissions -- Permissions to the collection

Role Name + Capability

<input type="text"/>	read
<input type="text"/>	read
<input type="text"/>	read

ok cancel

4. Enter the URI for the collection.
5. In the permissions section, add permissions (role-capability pair) to the collection. Select from the available roles and pick a capability for the role. You should usually select the update capability as this is the only one that affects how users interact with the collection. Only users with a role with the update capability can add documents to the collection; for details, see “Protected Collections” on page 362.
6. Click OK.

The protected collection is added to the database.

24.7.2 Viewing a Protected Collection

Perform the following steps to view a protected collection:

1. Click the Security icon in the left tree menu.
2. Click the Collections icon. The Collection Summary page appears.

3. Click the name of the collection you want to view, either on the tree menu or on the summary page. The Collection Configuration page appears.
4. View the collection.

24.7.3 Removing a Permission from a Protected Collection

Perform the following steps to remove a permission from a protected collection:

1. Click the Security icon in the left tree menu.
2. Click the Collections icon. The Collection Summary page appears.
3. Click the name of the collection from which you want to remove a permission, either on the tree menu or on the summary page. The Collection Configuration page appears.

The screenshot shows the 'Collection Configuration' page for a collection named 'test'. The page has a red header with tabs for 'Summary', 'Configure', 'Describe', 'Create', and 'Help'. The 'Configure' tab is selected. Below the header, the title 'Collection: test' is displayed, followed by 'ok' and 'cancel' buttons. The main content area is divided into sections. The first section is labeled 'collection -- A collection object.' and contains a 'delete' button. The second section is labeled 'uri' and contains a text input field with the value 'test' and a label 'The collection uri.' below it. The third section is labeled 'permissions -- Permissions to the collection' and contains a table with columns '[Keep]' and 'Role Name (capability)'. The table has one row with a checked checkbox in the '[Keep]' column and the text 'read (read)' in the 'Role Name (capability)' column. Below the table is an '[add]' button and a form with two dropdown menus, the first of which is set to 'read'.

4. In the permissions section, uncheck the box next to the permission you want to remove.
5. Click OK.

The permission is removed from the collection.

24.7.4 Deleting a Protected Collection

Perform the following steps to remove delete a protected collection:

1. Click the Security icon in the left tree menu.

2. Click the Collections icon.
3. Click the name of the collection you want to delete, either on the tree menu or on the summary page. The Collection Configuration page appears.

The screenshot shows the 'Collection Configuration' page for a collection named 'test'. The page has a red header with tabs for 'Summary', 'Configure', 'Describe', 'Create', and 'Help'. The 'Configure' tab is selected. Below the header, the title 'Collection: test' is displayed, followed by 'ok' and 'cancel' buttons. The main content area is divided into sections: 'collection -- A collection object.' with a 'delete' button, 'uri' with a text input field containing 'test' and a description 'The collection uri.', and 'permissions -- Permissions to the collection'. The permissions section contains a table with columns '[Keep]', 'Role Name (capability)', and an '[add]' button. The first row shows a checked checkbox, 'read (read)', and a dropdown menu set to 'read'.

4. Click on the Delete button near the top right.
5. Click OK to confirm deleting the collection.

The protected collection is deleted from the security database.

24.8 Certificate Templates

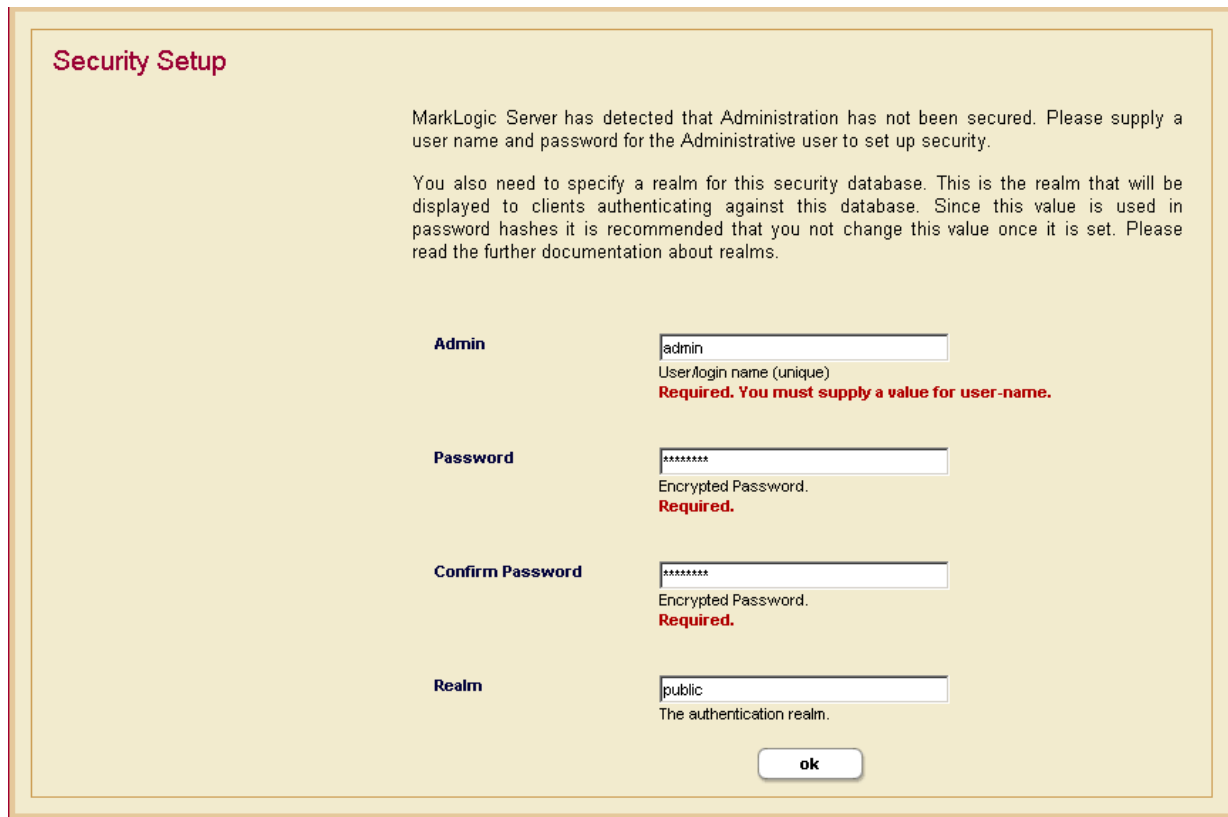
A Certificate Template contains the identification information associated with an SSL certificate. See [Configuring SSL on App Servers](#) in the *Security Guide* for details.

24.9 Realm

MarkLogic Server stores the realms for application servers in the security database. Each application server takes its realm from the security database to which it is connected. Realms are used in computing digest passwords.

24.9.1 Setting the Realm

The realm is stored in the security database to which the Admin Interface is connected, and is set at installation time:



Security Setup

MarkLogic Server has detected that Administration has not been secured. Please supply a user name and password for the Administrative user to set up security.

You also need to specify a realm for this security database. This is the realm that will be displayed to clients authenticating against this database. Since this value is used in password hashes it is recommended that you not change this value once it is set. Please read the further documentation about realms.

Admin	<input type="text" value="admin"/> User/Login name (unique) Required. You must supply a value for user-name.
Password	<input type="password" value="*****"/> Encrypted Password. Required.
Confirm Password	<input type="password" value="*****"/> Encrypted Password. Required.
Realm	<input type="text" value="public"/> The authentication realm.

ok

24.9.2 Changing the Realm

Changing the realm in the security database invalidates all user digest passwords. This only affects application servers whose authentication setting is digest or digestbasic mode.

In digest mode, you need to re-enter all user passwords in the security database. Changing the passwords in the security database will cause the server to recalculate the digest passwords. In digestbasic mode, the first time a user logs into the server after the realm is changed, the user will be prompted to enter their passwords multiple times before they are logged into the system. However, the server will automatically recalculate their digest password with the new realm at that time, and they will have a normal login process for future access.

Warning If you change the realm, any App Servers that uses digest authentication will no longer accept the existing passwords. This includes the Admin Interface, and includes passwords for users with the `admin` role. Therefore, changing the realm will make it so you can no longer log into the Admin Interface.

If you are sure you want to change the realm after installation despite the warning, perform the following steps:

1. Click Security in the left tree menu.
2. Click the Configure tab. The Security Configuration page appears.



3. Change the realm to the desired value.
4. Click OK.
5. Click OK again on the confirmation page. Note that this will invalidate all digest passwords, including the password for the current user running the Admin Interface if the Admin Interface App Server is set to digest authentication (which is the default setting).

25.0 Text Indexing

Before loading documents into a database, you have the option of specifying a number of parameters that will impact how the text components of those documents will be treated. This chapter describes those parameters and includes the following sections:

- [Text Indexes](#)
- [Phrasing and Element-Word-Query Boundary Control](#)
- [Query Behavior with Reindex Settings Enabled and Disabled](#)

Text indexes and phrasing parameters are set on a per-database basis.

25.1 Text Indexes

MarkLogic Server allows you to configure, at the database level, which types of text indexes are constructed and maintained during document loading and updating. Each type of index accelerates the performance of a certain type of query. You can specify whether or not each different type of index is maintained for a given database.

Note: The index settings are designed to apply to an entire database. If you change any index settings on a database in which documents are already loaded, you must reindex your existing data, either by setting the `reindexer enable` setting to `true` for that database or by reloading the data.

Understanding your likely query set will help you determine which of these index types to maintain. The cost of supporting additional indexes is increased disk space and document load times. As more and more indexes are maintained, document load speed decreases. By default, MarkLogic Server builds a set of indexes that is designed to yield the fast query performance in general usage scenarios.

Text index types are configured on a per-database basis. This configuration should be completed before any documents are loaded into the specified database, although it can be changed later. If you change any index settings on a database in which documents are already loaded, you must reindex your existing data, either by setting the `reindexer enable` setting to `true` for that database or by reloading the data.

In addition to the standard indexes, you can configure indexes on individual elements and attributes in a database. You can create range indexes and/or lexicons on individual elements or attributes in a database. For information on these indexes, see “Range Indexes and Lexicons” on page 389. You can also create named fields which can explicitly include or exclude specified elements. For details on fields, see “Fields Database Settings” on page 159.

This section describes the text indexes in MarkLogic Server and includes the following subsections:

- [Understanding the Text Index Settings](#)
- [Viewing Text Index Configuration](#)
- [Configuring Text Indexes](#)

25.1.1 Understanding the Text Index Settings

The following table describes the different types of indexes available. The indexes are not mutually independent. If both the word search and stemmed search indexes are disabled, the configuration of the remaining indexes is irrelevant, as they all depend on the existence of the word and/or stemmed-search index.

Index	Default Setting	Description
language	en	Specifies the default language for content in this database. Any content without an <code>xml:lang</code> attribute will be indexed in the language specified here. You should have a license key if you specify a non-English language; if you specify a non-english language and do not have a license for that language, the stemming and tokenization will be generic.

Index	Default Setting	Description
stemmed searches	Off (index is not built)	<p>Controls whether searches return relevance ranked results by matching word stems. A word <i>stem</i> is the part of a word that is common to all of its inflected variants. For example, in English, "run" is the stem of "run", "runs", "ran", and "running".</p> <p>A stemmed search returns more matching results than the exact words specified in the query. A stemmed search for a word finds the same terms as an unstemmed search, plus terms that derive from the same meaning and part of speech as the search term. For example, a stemmed search for <code>run</code> returns results containing <code>run</code>, <code>running</code>, <code>runs</code>, and <code>ran</code>. For details on stemming, see the chapter Understanding and Using Stemmed Searches in the <i>Search Developer's Guide</i>.</p> <p>There are three types of stemming: basic (one stem per word), advanced (one or more stems per word), and decompounding (advanced plus smaller component words of large compound words).</p> <p>Without either this index or the word searches index, MarkLogic Server is unable to perform relevance ranking and will refuse to execute any <code>cts:word-query()</code>-related built-in function.</p> <p>If both the stemmed search and word search indexes are enabled, MarkLogic Server defaults to performing stemmed searches (unless an unstemmed search is explicitly specified).</p> <p>Turn this index off if you want to disable stemmed searches. If word and stemmed search indexes are both off, then full-text searches are effectively disabled.</p>
word searches (unstemmed)	On (index is built)	<p>Enables MarkLogic Server to return relevance ranked results which match exact words in text elements. Either this index or the stemmed search index is needed for MarkLogic Server to execute any <code>cts:word-query()</code>-related function.</p> <p>For many applications, keeping this word search index off and the stemmed search index on is sufficient to return the desired results for queries.</p> <p>Turn this index on if you want to do exact word-only matches. If word and stemmed search indexes are both off, then full-text searches are effectively disabled.</p>

Index	Default Setting	Description
word positions	Off (index is not built)	Speeds up the performance of proximity queries that use the <code>cts:near-query</code> function and of multi-word phrase searches. Turn this index off if you are not interested in proximity queries or phrase searches and if you want to conserve disk space and decrease loading time. If you turn this option on, you might find that you no longer need <code>fast phrase searches</code> , as they have some overlapping functionality.
fast phrase searches	On (index is built)	Accelerates phrase searches by building additional indexes that describe sequences of words at load (or reindex) time. Without this index, MarkLogic Server will still perform phrase searches, just more slowly. Turn this index off if only a small percentage of your queries will contain phrase searches, and if conserving disk space and enhancing load speed is more important than the performance of those queries.
fast case sensitive searches	On (index is built)	Accelerates case sensitive searches by building both case sensitive and case insensitive indexes at load time. Without this index, MarkLogic Server will still perform case sensitive searches, just more slowly. Turn this index off if only a small percentage of your text searches will be case sensitive, and if conserving disk space and enhancing load speed is more important than the performance of those queries.
fast reverse searches	Off (index is not built)	Speeds up reverse query searches by indexing stored queries. Turn this option on to speed up searches that use <code>cts:reverse-query</code> .
fast diacritic sensitive searches	On (index is built)	Speeds up diacritic-sensitive searches by eliminating some false positive results. Turn this option off if you do not want to do diacritic-sensitive searches.
fast element word searches	On (index is built)	Accelerates searches that look for words in specific elements by building additional indexes at load time. Without this index, MarkLogic Server will still perform these searches, just more slowly. Turn this index off if only a small percentage of your queries rely on finding words within specific document elements, and if conserving disk space and enhancing load speed is more important than the performance of those queries.

Index	Default Setting	Description
element word positions	Off (index is not built)	Speeds up the performance of proximity queries that use the <code>cts:near-query</code> function in an element and of multi-word element phrase searches. Turn this index off if you are not interested in proximity queries and if you want to conserve disk space and decrease loading time.
fast element phrase searches	On (index is built)	Accelerates phrase searches on elements by building additional indexes that describe sequences of words in elements at load (or reindex) time. Without this index, MarkLogic Server will still perform phrase searches, just more slowly. Turn this index off if only a small percentage of your queries will contain phrase searches at the element level, and if conserving disk space and enhancing load speed is more important than the performance of those queries.
element value positions	Off (index is not built)	Speeds up the performance of proximity queries that use the <code>cts:element-value-query</code> function. Turn this index off if you are not interested in proximity queries and if you want to conserve disk space and decrease loading time.
attribute value positions	Off (index is not built)	Speeds up the performance of proximity queries that use the <code>cts:element-attribute-value-query</code> function and speeds up <code>cts:element-query</code> searches that use attribute query constructors. Turn this index off if you are not interested in proximity queries and if you want to conserve disk space and decrease loading time.
field value searches	Off (index is not built)	Speeds up the performance of field value searches that use the <code>cts:field-value-query</code> function. Without this index or the corresponding index on the field definition, queries that use <code>cts:field-value-query</code> will throw an exception. Turn this index off if you are not interested in field value queries and if you want to conserve disk space and decrease loading time.
field value positions	Off (index is not built)	Speeds up the performance of proximity queries that use the <code>cts:field-value-query</code> function. Turn this index off if you are not interested in proximity queries and if you want to conserve disk space and decrease loading time.

Index	Default Setting	Description
trailing wildcard searches	Off (index is not built)	Speeds up wildcard searches where the search pattern contains the wildcard character at the end (for example, <code>abc*</code>). Turn this index on to speed up wildcard searches that match a trailing wildcard. The <code>trailing wildcard search</code> index uses roughly the same space as the <code>three character searches</code> index, but is more efficient for trailing wildcard queries. It does not speed up queries where the wildcard character is at the beginning of the term.
trailing wildcard word positions	Off (index is not built)	Speeds up the performance proximity queries that use trailing-wildcard word searches, such as wildcard queries that use the <code>cts:near-query</code> function and multi-word phrase searches that contain one or more wildcard terms. Turn this index on if you are using trailing wildcard searches and proximity queries together in the same search.
fast element trailing wildcard searches	Off (index is not built)	Faster wildcard searches with the wildcard at the end of the search pattern within a specific element, but slower document loads and larger database files.
three character searches	Off (index is not built)	Speeds up wildcard searches where the search pattern contains three or more consecutive non-wildcard characters (for example, <code>abc*x</code> , <code>*abc</code> , <code>a?bcd</code>). When combined with a codepoint word lexicon, speeds the performance of any wildcard search (including searches with fewer than three consecutive non-wildcard characters). MarkLogic recommends combining the <code>three character search</code> index with a codepoint collation word lexicon. For details on wildcard characters, see Understanding and Using Wildcard Searches in the <i>Application Developer's Guide</i> . When character indexing is turned on, performance is also improved for <code>fn:contains()</code> , <code>fn:matches()</code> , <code>fn:starts-with()</code> and <code>fn:ends-with()</code> for most query expressions. Turn this index on if you want to enable wildcard searches that match three or more characters. If you need wildcard searches to match only two or one characters, then you should enable two character searches and/or one character searches.
three character word positions	Off (index is not built)	Speeds up the performance of proximity queries that use three-character word searches, such as queries that use the <code>cts:near-query</code> function and multi-word phrase searches that contain one or more wildcard terms. Turn this index on if you are using wildcard searches and proximity queries together in the same search.

Index	Default Setting	Description
two character searches	Off (index is not built)	<p>Enables wildcard searches where the search pattern contains two or more consecutive non-wildcard characters. For details on wildcard characters, see Understanding and Using Wildcard Searches in the <i>Application Developer's Guide</i>.</p> <p>When character indexing is turned on in the database, the system also delivers higher performance for <code>fn:contains()</code>, <code>fn:matches()</code>, <code>fn:starts-with()</code> and <code>fn:ends-with()</code> for most query expressions. Turn this index on to speed up wildcard searches that match two or more characters (for example, <code>ab*</code>). This index is not needed if you have <code>three character searches</code> and a word lexicon.</p>
one character searches	Off (index is not built)	<p>Speeds up wildcard searches where the search pattern contains only a single non-wildcard character. For details on wildcard characters, see Understanding and Using Wildcard Searches in the <i>Application Developer's Guide</i>.</p> <p>When character indexing is turned on in the database, the system also delivers higher performance for <code>fn:contains()</code>, <code>fn:matches()</code>, <code>fn:starts-with()</code> and <code>fn:ends-with()</code> for most query expressions. Turn this index on if you want to enable wildcard searches that match one or more characters (for example, <code>a*</code>). This index is not needed if you have <code>three character searches</code> and a word lexicon.</p>
fast element character searches	Off (index is not built)	<p>Turn this index on to improve performance of wildcard searches that query specific XML elements or JSON properties. Also, speeds up element-based wildcard searches. Turn this index on to improve performance of wildcard searches that query specific elements. For details on wildcard characters, see Understanding and Using Wildcard Searches in the <i>Application Developer's Guide</i>.</p>

Index	Default Setting	Description
word lexicons	Off (index is not built)	Maintains a lexicon of all of the words in a database, with uniqueness determined by a specified collation. For details on lexicons, see “Range Indexes and Lexicons” on page 389 and the chapter on lexicons in the <i>Application Developer’s Guide</i> . For details on collations, see the Language Support in MarkLogic Server chapter in the <i>Search Developer’s Guide</i> . Speeds up wildcard searches. Works in combination with any other available wildcard indexes to improve search index resolution and performance. When used in conjunction with the three character search index, improves wildcard index resolution and speeds up wildcard searches. If you have three character search and a word lexicon enabled for a database, then there is no need for either the one character or two character search indexes. For best performance, the word lexicon should be in the codepoint collation (http://marklogic.com/collation/codepoint). For details on wildcard searches, see the chapter on wildcard searches in the <i>Application Developer’s Guide</i> .
uri lexicon	On (index is built)	Maintains a lexicon of all of the URIs used in a database. The URI lexicon speeds up queries that constrain on URIs. It is like a range index of all of the URIs in the database. To access values from the URI lexicon, use the <code>cts:uris</code> or <code>cts:uri-match</code> APIs.
collection lexicon	On (index is built)	Maintains a lexicon of all of the collection URIs used in a database. The collection lexicon speeds up queries that constrain on collections. It is like a range index of all of the collection URIs in the database. To access values from the collection lexicon, use the <code>cts:collections</code> or <code>cts:collection-match</code> APIs.

25.1.2 Viewing Text Index Configuration

To view text index configuration for a particular database, complete the following procedure:

1. Click on the Databases icon on the left tree menu.
2. Locate the database for which you want to view text index configuration settings, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view the settings.

4. Scroll down until the text index settings are visible. The following screen shots show the default configuration of text indexing for a database:

language
The default language assumed for content (if xml:lang encoding is absent)

stemmed searches
Enable stemmed word searches (slower document loads and larger database files).

word searches ☐ true ☒ false
Enable unstemmed word searches (slower document loads and larger database files).

word positions ☐ true ☒ false
Index word positions for faster phrase and near searches (slower document loads and larger database files).

fast phrase searches ☒ true ☐ false
Enable faster phrase searches (slower document loads and larger database files).

fast case sensitive searches ☒ true ☐ false
Enable faster case sensitive searches (slower document loads and larger database files).

fast reverse searches ☐ true ☒ false
Enable faster reverse searches (slower document loads and larger database files).

fast diacritic sensitive searches ☒ true ☐ false
Enable faster diacritic sensitive searches (slower document loads and larger database files).

fast element word searches ☒ true ☐ false
Enable faster element-word searches (slower document loads and larger database files).

element word positions ☐ true ☒ false
Index element word positions for faster element-based phrase and near searches (slower document loads and larger database files).

fast element phrase searches ☒ true ☐ false
Enable faster element phrase searches (slower document loads and larger database files).

element value positions ☐ true ☒ false
Index element value positions for faster near searches involving element-value-query (slower document loads and larger database files).

attribute value positions ☐ true ☒ false
Index attribute value positions for faster near searches involving element-attribute-value-query (slower document loads and larger database files).

field value searches	<input type="radio"/> true <input checked="" type="radio"/> false Index field values for faster searches involving field-value-query (slower document loads and larger database files).
field value positions	<input type="radio"/> true <input checked="" type="radio"/> false Index field value positions for faster near searches involving field-value-query (slower document loads and larger database files).
three character searches	<input type="radio"/> true <input checked="" type="radio"/> false Enable wildcard searches and faster character-based XQuery predicates using three or more characters (slower document loads and larger database files).
three character word positions	<input type="radio"/> true <input checked="" type="radio"/> false Index word positions for three-character searches only when three-character-searches are enabled (slower document loads and larger database files).
fast element character searches	<input type="radio"/> true <input checked="" type="radio"/> false Enable element wildcard searches and element-character-based XQuery predicates (slower document loads and larger database files).
trailing wildcard searches	<input type="radio"/> true <input checked="" type="radio"/> false Enable trailing wildcard searches (slower document loads and larger database files).
trailing wildcard word positions	<input type="radio"/> true <input checked="" type="radio"/> false Index word positions for trailing-wildcard searches only when trailing-wildcard-searches are enabled (slower document loads and larger database files).
fast element trailing wildcard searches	<input type="radio"/> true <input checked="" type="radio"/> false Enable element trailing wildcard searches (slower document loads and larger database files).
word lexicons	<div> <input type="text" value="[add]"/> <input type="text"/> <input type="button" value="collation builder"/> </div> <div> <input type="button" value="more word lexicons"/> </div>
two character searches	<input type="radio"/> true <input checked="" type="radio"/> false Enable wildcard searches and faster character-based XQuery predicates using two character (slower document loads and larger database files).

25.1.3 Configuring Text Indexes

To configure text indexes for a particular database, complete the following procedure:

1. Click on the Databases icon on the left tree menu.
2. Locate the database for which you want to view text index configuration settings, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view the settings.
4. Scroll down until the text indexing controls are visible.

5. Configure the text indexes for this database by selecting the appropriate radio buttons for each index type.

Click on the `true` radio button for a particular text index type if you want that index to be maintained. Click on the `false` radio button for a particular text index type if you do not want that index to be maintained.

Note: If word searches and stemmed searches are disabled (that is, the `false` radio button is selected for `word searches` and `off` is selected for `stemmed searches`), the settings for the other text indexes are ignored, as explained above.

6. Leave the rest of the parameters unchanged.
7. Scroll to the top or bottom of the right frame and click OK.

The database now has the new text indexing configurations.

25.2 Phrasing and Element-Word-Query Boundary Control

MarkLogic Server allows you to specify how XML element constructors impact text phrasing and element-word-query boundaries for searches. This section has the following parts:

- [Phrasing Control](#)
- [Element Word Query Througths](#)
- [Procedures](#)

25.2.1 Phrasing Control

By default, MarkLogic Server assumes that any XML element constructor acts as a phrase boundary. This means that phrase searches (for example, searches for sequences of terms) will not match a sequence of terms that contains one or more XML element constructors. Phrasing control lets you specify which XML elements should be transparent to phrase boundaries (for example, a bold or italic element), and which XML elements should be ignored for phrase purposes (for example, footnotes or graphic captions).

For example, consider the following sample XML fragment:

```
<paragraph>
  These two words <italic>are italicized</italic>. The italic element
  <footnote>Elements are defined in the W3C XML standard.</footnote>
  is a standard part of this document's schema.
</paragraph>
```

By default, MarkLogic Server would extract the following five sequences of text for phrase matching purposes (ignoring punctuation and case for simplicity):

- “these two words”
- “are italicized”
- “the italic element”
- “elements are defined in the w3c xml standard”
- “is a standard part of this document's schema”

If you then attempted to match the phrases “words are italicized” or “element is a standard part” against this XML fragment, no matches would be found, because of the embedded XML element constructors.

In fact, a human looking at this XML fragment would realize that the `italic` element should be transparent for phrasing purposes, and that the `footnote` element is a completely independent text container. Seen from this viewpoint, the XML fragment shown above contains only two text sequences (again, ignoring punctuation and case for simplicity):

- “these two words are italicized the italic element is a standard part of this document's schema”
- “elements are defined in the w3c xml standard”

In this case, “words are italicized” and “element is a standard part” would each properly generate a match. But a search for “the w3c xml standard is a standard” would not result in a match.

MarkLogic Server lets you achieve this type of phrasing control by specifying particular XML element names as `phrase-through`, `phrase-around`, and `element-word-query-through` elements:

Type	Definition
Phrase-through	Elements that should not create phrase boundaries (as in the example above, <code>italic</code> should be specified as a phrase-through element).
Phrase-around	Elements whose content should be completely ignored in the context of the current phrase (as in the example above, <code>footnote</code> should be specified as a phrase-around element).

Phrase controls are configured on a per-database basis. You should complete this configuration before loading any documents into the specified database; otherwise, in order for the changes to take effect with your existing content, you must either reload the content or reindex the database after changing the configuration.

25.2.2 Element Word Query Throughs

Element-word-query-throughs allow you to specify elements that should be included in text searches that use `cts:element-word-query` on a parent element. For example, consider the following XML fragment:

```
<a>
  <b>hello</b>
  <c>goodbye</c>
</a>
```

If you perform a `cts:element-word-query` on `<a>` searching for the word `hello`, the search does not find any matches in this fragment. The following query shows this pattern:

```
cts:search(fn:doc(), cts:element-word-query(xs:QName("a"), "hello"))
```

This query does not find any matches because `cts:element-word-query` only searches for text nodes that are immediate children of the element `<a>`, not text nodes that are children of any child nodes of `<a>`. Because `hello` is in a text node that is a child of ``, it does not satisfy the `cts:element-word-query`.

If you add an `element-word-query-through` for the element ``, however, then the `cts:element-word-query` on `<a>` searching for the word `hello` returns a match. The `element-word-query-through` on `` causes the text node children of `` behave like the text node children of its parent (in this case, `<a>`).

Note: If an element is specified as a phrase-through, then it also behaves as an `element-word-query-through`, and therefore you do not need to specify it as an `element-word-query-through`.

25.2.3 Procedures

Use the following procedures to configure phrase controls for a particular database:

- [Viewing Phrasing and Element-Word-Query Settings](#)
- [Configuring Phrasing and Element-Word-Query Settings](#)
- [Deleting a Phrasing or Element-Word-Query Setting](#)

25.2.3.1 Viewing Phrasing and Element-Word-Query Settings

To view `element-word-query-through`, `phrase-through`, and `phrase-around` settings for a particular database, complete the following procedure in the Admin Interface:

1. Click on the Databases icon on the left tree menu.
2. Locate the database for which you want to view `element-word-query-through`, `phrase-through`, or `phrase-around` settings, either in the tree menu or in the Database Summary table.

3. Click the name of the database for which you want to view the settings.
4. Click the Element-Word-Query-Throughs, Phrase-Throughs, or Phrase-Arounds icon, depending on which one you want to view.
5. The configuration page displays.

The following example shows that the Documents database has been configured with a number of phrase-through elements, including the `<abbr>`, `<acronym>`, ``, `<big>`, `
` and `<center>` elements of the XHTML namespace:

Phrase-Throughs Configuration

Configure Create Help

Database: Documents ok cancel

phrase throughs -- The phrase-through specifications.

phrase through -- Phrases may cross these markup boundaries. delete

namespace uri
A namespace URI.

localname
One or more localnames.

25.2.3.2 Configuring Phrasing and Element-Word-Query Settings

To configure element-word-query-through, phrase-through, and phrase-around settings for a particular database, perform the following procedure in the Admin Interface:

1. Click the Databases icon in the left tree menu.
2. Locate the database for which you want to configure element-word-query-through, phrase-through, or phrase-around settings, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to configure the settings.
4. Click the Element-Word-Query-Throughs, Phrase-Throughs, or Phrase-Arounds icon, depending on which one you want to configure.

Note: The remainder of this procedure will assume that you have chosen to configure phrase-through settings. If you wish to configure phrase-around or element-word-query-through settings, the steps are completely analogous, once you have clicked on the corresponding icon.

- Click the Create tab at the top right. The Phrase-Throughs Configuration page displays:

- Enter the namespace URI of the XML element that you are specifying as a phrase-through element.

Every XML element is associated with a namespace. For the phrase-through setting to be precise, you must specify the namespace of the XML element. Leaving the namespace URI field blank specifies the universal unnamed namespace.

Alternatively, you can specify that the element is namespace independent by putting an asterisk (*) in the namespace URI field.

- Enter the element name in the local name field.

The local name is the name of the XML element that you are specifying as a phrase-through element. If you want to specify more than one element that is associated with the specified namespace, you can provide a comma-separated list of element names.

- To add more phrase-throughs, click the More Items button and repeat steps [6](#) and [7](#) for each phrase-through element as needed.
- Scroll to the top or bottom and click OK.

The new phrase-through is added.

Note: If you change the element-word-query-through, phrase-through, or phrase-around settings for a particular database after documents have already been loaded, you should reindex your existing data, either by setting the `reindexer enable` setting to `true` for that database or by reloading the data.

25.2.3.3 Deleting a Phrasing or Element-Word-Query Setting

To delete an element-word-query-through, phrase-through, or phrase-around setting for a particular database, perform the following procedure in the Admin Interface:

1. Click the Databases icon in the left tree menu.
2. Locate the database for which you want to delete element-word-query-through, phrase-through, or phrase-around settings, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to delete the settings.
4. Click the Element-Word-Query-Throughs, Phrase-Throughs, or Phrase-Arounds icon, depending on which one you want to delete.

The appropriate configuration page displays.

5. Scroll down to the element that you want to delete.
6. Click the Drop button next to the element that you want to delete.

A confirmation message displays.

7. Confirm the delete operation and click OK.

The Phrase-Through or Phrase-Around element is deleted from the database.

Note: If you change the element-word-query-through, phrase-through, or phrase-around settings for a particular database after documents have already been loaded, you should reindex your existing data, either by setting the `reindexer enable` setting to `true` for that database or by reloading the data.

25.3 Query Behavior with Reindex Settings Enabled and Disabled

When you load a document into a database, it is indexed based on the index settings at the time of the load. When you issue a query to a database, it is evaluated based on a consistent view of the index settings. This consistent view might not include all of the index features that are enabled in the database. This section describes the behavior of queries at various index-setting states of the database, and includes the following parts:

- [Understanding the Reindexer Enable Settings](#)
- [Query Evaluation According to the Lowest Common Denominator](#)
- [Reindexing Does Not Apply to Point-In-Time Versions of Fragments](#)
- [Example Scenario](#)

25.3.1 Understanding the Reindexer Enable Settings

At the database level, you can enable or disable automatic reindexing by setting the `reindexer enable` setting to `true` or `false` for that database. When the reindexer is enabled, any index or fragment changes to the database settings will cause all documents in the database that are not indexed/fragmented according to the settings to initiate a reindex operation. Note the following about the database settings and the reindex operation:

- When reindexing is enabled, the reindex operation runs as a background task. You can set a higher or lower priority on the reindexing task by increasing or decreasing the setting of the `reindexer throttle`.
- Any new documents added to or updated in the database will get the new database settings. This is true both with reindexing enabled and with reindexing disabled.
- After changing index or fragmentation settings in a database, because new or modified documents get the new settings, the database can get into a state where some documents are indexed/fragmented differently from other documents in the database.
- After changing index or fragmentation settings in a database in which reindexing is enabled, the old documents are reindexed according to the new settings, but the new settings do not take effect for queries until the reindex operation has completed and all documents are indexed to the state matching the database settings.
- After changing index or fragmentation settings in a database in which reindexing is disabled, new and changed documents get the current settings, but queries will not take advantage of the new settings until all documents in the database match the database settings.
- Even if reindexing is disabled, when you add tokenizer overrides to a field, those tokenization changes take effect immediately, so all new queries against the field will use the new tokenization (even if it is indexed with the previous tokenization).

25.3.2 Query Evaluation According to the Lowest Common Denominator

When queries are evaluated, they use the index settings that are calculated for the database at a given time. The current index settings for a query are determined at the time of query evaluation, and are based on the lowest common denominator of (that is, the index/fragmentation settings that are the least of) the following:

- The index/fragmentation settings defined in the database configuration.
- The actual index/fragmentation of documents/fragments in the database.

At any given time, the current lowest common denominator is invalidated upon the following events:

- system startup
- a change to the database configuration settings
- when a reindexing operation completes

If the lowest common denominator is invalidated, it is recalculated the next time a query is issued against the database.

The net impact is that, when index/fragmentation settings have changed on a database after any data is loaded, queries cannot take advantage of the new settings until the new settings meet the lowest common denominator criteria. Depending on the types of index setting changes you make, this can cause queries that behaved one way before index settings were changed to behave differently after the changes. The next section provides a sample scenario to help illustrate this behavior.

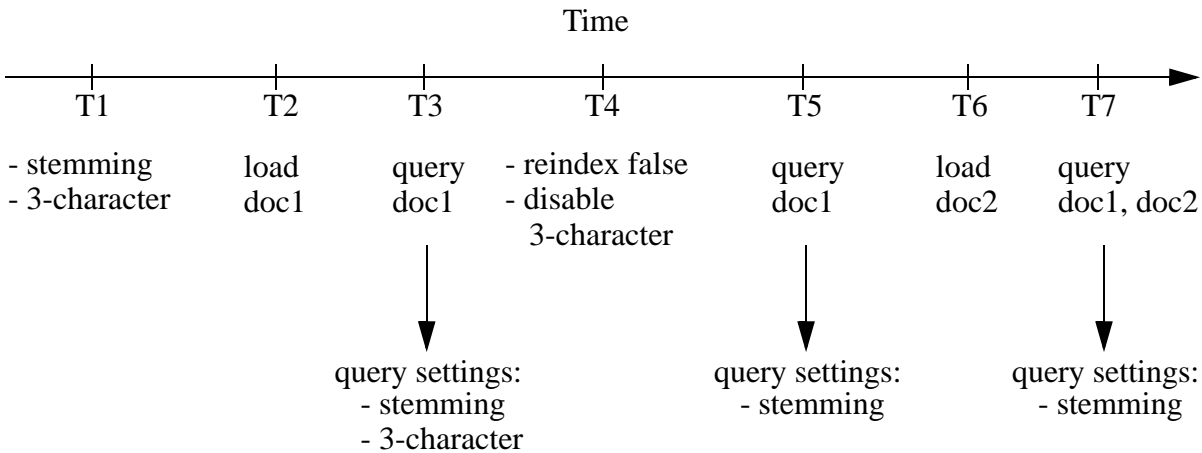
25.3.3 Reindexing Does Not Apply to Point-In-Time Versions of Fragments

If you have set a `merge timestamp` on the database to retain older versions of fragments for point-in-time queries, the older versions of the fragments will retain the indexing properties of the database at the time when they were updated. Because of this, reindexing a database that uses point-in-time queries can cause unpredictable query results. MarkLogic recommends that you do not reindex a database that has the `merge timestamp` parameter set to anything but 0. For details on point-in-time queries, see the “Point-In-Time Queries” chapter in the *Application Developer’s Guide*. For details on setting the `merge timestamp` parameter, see “Merges and Point-In-Time Queries” on page 189.

25.3.4 Example Scenario

This section describes a simple scenario showing the effect of changing index settings on query behavior over time.

The following figure shows how changing the index settings can effect queries that initiate after index setting changes occur.



In this scenario, the query issued at time T3 sees the `doc1` document with stemming and 3-character wildcard indexes enabled. Wildcard queries such as `abc*` will be successful. The same wildcard query at time T5, however, will not be successful, because the 3-character index (which is required for the `abc*` query) was disabled at time T4. Note that the document `doc1` is actually indexed with 3-character and stemming, but the query at time T5 only is able to use the stemming index. At time T7, the database has `doc1` indexed with both stemming and 3-character indexes, but `doc2` only has the stemming index. With reindexing disabled, the query at T7 will use the lowest common denominator, which is in this case stemming.

26.0 Range Indexes and Lexicons

MarkLogic Server allows you to create, at the database level, indexes and lexicons on elements and attributes according to their QNames. This chapter describes these range indexes and lexicons. The following sections are included:

- [Understanding Range Indexes](#)
- [Using Range Indexes for Value Lexicons](#)
- [Understanding Word Lexicons](#)
- [Understanding Path Range Indexes](#)
- [Viewing Element Range Index Settings](#)
- [Defining Element Range Indexes](#)
- [Viewing Attribute Range Index Settings](#)
- [Defining Attribute Range Indexes](#)
- [Viewing Path Range Index Settings](#)
- [Defining Namespace Prefixes Used in Path Range Indexes and Fields](#)
- [Defining Path Range Indexes](#)
- [Viewing Element Word Lexicon Settings](#)
- [Defining Element Word Lexicons](#)
- [Viewing Attribute Word Lexicon Settings](#)
- [Defining Attribute Word Lexicons](#)
- [Defining Value Lexicons](#)
- [Deleting Range Indexes or Lexicons](#)
- [Defining Field Range Indexes](#)

Additionally, you can create range indexes on fields, as described in “Creating a Range Index on a Field” on page 178.

This chapter describes how to use the Admin Interface to create range indexes and lexicons. For details on how to create range indexes programmatically, see [Adding Indexes to a Database](#) in the *Scripting Administrative Tasks Guide*.

26.1 Understanding Range Indexes

This chapter describes the types of range indexes shown in the table below. There are also field range indexes, as described in “Creating a Range Index on a Field” on page 178.

Type	Description
Element range index	A range index on an XML element or JSON property.
Attribute range index	A range index on an attribute in an XML element.
Path range index	A range index on an XML element, XML attribute, or JSON property as defined by an XPath expression.
Field range index	A range index on a field. For details, see “Fields Database Settings” on page 159.

MarkLogic Server maintains a universal index for every database to rapidly search the text, structure, and combinations of the text and structure that are found within collections of XML and JSON documents.

In some cases, however, XML and JSON documents can incorporate numeric or date information. Queries against these documents may include search conditions based on inequalities (for example, `price < 100.00` or `date ≥ thisQtr`). Specifying range indexes for these elements, attributes, and/or JSON properties will substantially accelerate the evaluation of these queries.

Defining a range index also allows you to use the range query constructors (`cts:element-range-query` and `cts:element-attribute-range-query`) in `cts:search` operations, making it easy to compose complex range-query expressions to use in searches. For details, see the [Using Range Queries in cts:query Expressions](#) chapter in the *Search Developer's Guide*.

Similarly, you can create range indexes of type `xs:string`. These indexes can accelerate the performance of queries that sort by the string values, and are also used for lexicon queries (see “Understanding Word Lexicons” on page 394).

If you specify a range index on an element, and if you have elements of that name that have complex content (for example, elements with child elements), the content is indexed based on a casting of the element to the specified type of the range index. For example, if you specify a range index of type `xs:string` on an element named `h1`, then the following element:

```
<h1>This is a <b>bold</b> title.</h1>
```

is indexed with the value of `This is a bold title`, which is the value returned by casting the `h1` element to `xs:string`. The same type casting applies to range indexes on XML attributes, JSON properties, and fields. This behavior allows you to index complex content without pre-processing the content.

Also, range indexes can improve the performance of queries that sort the results using an `order by` clause and return a subset of the data (for example, the first ten items). For details on this order by optimization using range indexes, see [Sorting Searches Using Range Indexes](#) in the *Query Performance and Tuning Guide*.

MarkLogic Server supports range indexes for both elements and attributes across a wide spectrum of XML data types. For the most part, this list conforms to the XML totally ordered data types:

Type	Description
<code>int</code>	Positive and negative integers
<code>unsignedInt</code>	Positive integers (including 0)
<code>long</code>	Large positive and negative integers
<code>unsignedLong</code>	Large positive integers (including 0)
<code>float</code>	32-bit floating point numbers
<code>double</code>	64-bit floating point numbers
<code>decimal</code>	Large floating point numbers
<code>dateTime</code>	Combined date and time
<code>time</code>	Time (including timezone)
<code>date</code>	Full date (year, month, day)
<code>gYearMonth</code>	Year and month only
<code>gYear</code>	Year only
<code>gMonth</code>	Month only
<code>gDay</code>	Day only
<code>yearMonthDuration</code>	Duration of years and months
<code>dayTimeDuration</code>	Duration of days and time
<code>string</code>	String character data
<code>anyURI</code>	A URI string

It is important to note that the date and time types listed above adhere to the XML specification for dates and times. At present, other date and time formats are not supported by MarkLogic Server range indexes. For a more detailed description of the definition of these data types, consult the W3C XML Schema documents.

Range indexes must be explicitly created using the Admin Interface, the XQuery or JavaScript Admin API, or the REST Management API. To create a range index on a JSON property, use the element range index interfaces or functions. The following table outlines the basic information needed to define each kind of index:

Index Type	Required Information
XML element	The element name, the namespace for the element, the data type of the values found in that element.
XML attribute	The attribute name, the name of the attribute's parent element, a namespace for the element, and the data type of the values found in that attribute.
JSON property	The property name and the data type of the values found in that property.
path	An XPath expression and the data type of the values found in the element, attribute, or JSON property expressed by the XPath.
field	The field name and data type of the values in the field. You must also configure the field definition. For details, see “Configuring Fields” on page 167.

Range indexes are populated during the document loading process, and are automatically kept in sync through subsequent updates to indexed data. Consequently, range indexes should be specified for a database before any XML or JSON documents containing the content to be indexed are loaded into that database. Otherwise, the content must be either reindexed or reloaded to take advantage of the new range indexes.

Use the element range index interfaces and APIs to create indexes for JSON documents. Some restrictions apply. For details, see [Creating Indexes and Lexicons Over JSON Documents](#) in the *Application Developer's Guide*.

You can create the same type of index with a path range index as you can with an element or attribute range index. Path range indexes are useful in circumstances in which an element or attribute range index will not work. For example, you may have documents with the same element name appearing under different parent elements and you only want to index the elements appearing under one of the parent elements. In this case, a path range index is required to correctly index that element.

When creating a range index with a scalar type of string (`xs:string`), specify a collation as well as the element/attribute QNames or JSON property name. The collation specifies the unique ordering for the string values. You can have multiple range indexes on the same element, attribute, or JSON property with different collations; that is, the collation is part of the unique identifier for the string range index. For details about collations, see the [Encodings and Collations](#) chapter in the *Search Developer's Guide*.

Because a range index stores typed data, if the data you load does not conform to that type, or if it cannot be coerced to conform to the specified type, it cannot be loaded into the document. For each range index, you can specify what to do for invalid values, either `reject` them and have the document load throw an exception and fail, or `ignore` them and log the coercion errors in the `ErrorLog.txt` file at `Debug` level. The default is to `reject` invalid data.

Range indexes use disk space and consume memory. That is the trade-off for improved performance. Additionally, if you have a large amount of range index data and if your system is updated regularly, you might need to increase the size of your journals. For details on the database journal settings, see “Memory and Journal Settings” on page 137.

26.2 Using Range Indexes for Value Lexicons

In addition to speeding up sorting and comparison queries, MarkLogic Server uses range indexes to resolve XML element, XML attribute, JSON property, and field value lexicon queries. These are queries that use the following search APIs:

- `cts:values`
- `cts:value-match`
- `cts:element-attribute-values`
- `cts:element-attribute-value-match`
- `cts:element-values`
- `cts:element-value-match`
- `cts:field-values`
- `cts:field-value-match`

The `cts:values` and `cts:value-match` functions work on any kind of range index and are equivalent to the corresponding index-specific function when called with a reference to the same type of index. For example, the following two function calls are equivalent:

```
cts:values(cts:element-reference(xs:QName("some-element")))
cts:element-values(xs:QName("some-element"))
```

In order to use any of these APIs, you must create range indexes on the element(s), attribute(s), JSON property(s), or field(s) specified in the query. The type of the range index must match the type specified in the lexicon API.

For details about lexicons, see the [Browsing With Lexicons](#) chapter of the *Search Developer's Guide*. For more details on the lexicon APIs, see the *MarkLogic XQuery and XSLT Function Reference*.

26.3 Understanding Word Lexicons

MarkLogic Server allows you to create a word lexicon that is restricted to a particular XML element, XML attribute, JSON property, or field. You can also define a field word lexicon across a collation. A word lexicon stores all of the unique words that are stored in the specified element, attribute, or JSON property. The words are stored case-sensitive and diacritic sensitive, so the words `Ford` and `ford` would be separate entries in the lexicon.

Word lexicons are used in wildcard searches (when wildcarding is enabled). For details, see [Understanding and Using Wildcard Searches](#) in the *Search Developer's Guide*.

To use a word lexicon, use the following search APIs:

- `cts:element-attribute-words`
- `cts:element-attribute-word-match`
- `cts:element-words`
- `cts:element-word-match`
- `cts:field-words`
- `cts:field-word-match`
- `cts:json-property-words`
- `cts:json-property-word-match`

26.4 Understanding Path Range Indexes

A path range index enables you to define a range index on an XML element, XML attribute, or JSON property using an XPath expression. A path range index can give you finer control over what is indexed. For example, if your content contains elements with the same name at multiple levels, but you only want to index one of them, you can use a path range index to target just that one.

This section describes the XPath expressions you can use to define a path range index. For performance reasons, MarkLogic Server restricts you to a subset of XPath when defining a path range index.

- [Limitations on Index Path Expressions](#)
- [Examples of Index Path Expressions](#)
- [Testing the Validity of an Index Path Expression](#)
- [Using Namespace Prefixes in Index Path Expressions](#)

26.4.1 Limitations on Index Path Expressions

You can only use subset of XPath for defining path range indexes. The limitations are described in [Path Field and Path-Based Range Index Configuration](#) in the *XQuery and XSLT Reference Guide*.

Note: Avoid creating multiple path indexes that end with the same element/attribute, as ingestion performance degrades with the number of path indexes that end in common element/attributes.

You can use `cts:valid-index-path` to test whether or not you can use an XPath expression to define a path range index. For details, see “Testing the Validity of an Index Path Expression” on page 396.

Note numbers, booleans, and nulls in JSON documents are indexed separately rather than all being treated as text. For details on constructing XPath expressions on JSON documents, see [Traversing JSON Documents Using XPath](#) in the *Application Developer’s Guide*.

26.4.2 Examples of Index Path Expressions

The following table provides examples of XPath expressions that are valid and invalid for defining a path range index.

Note: Avoid creating multiple path indexes that end with the same element/attribute, as ingestion performance degrades with the number of path indexes that end in common element/attributes.

Valid	Invalid
<code>//a</code>	<code>./a</code>
<code>/a/b/c</code>	<code>/a/b[c=/p/q]</code>
<code>/a/b[c]</code>	<code>/a/b[c=5+3]</code>
<code>/a/b[c=5 and b=3]</code>	
<code>/a/b[1]</code>	
<code>//a/b[c<5]</code>	
<code>//a/b[c="test"]</code>	
<code>/a/*/c</code>	
<code>a/b</code>	
<code>/a[./b]/c</code>	<code>/a[/b]/c</code>
<code>a</code>	

Valid	Invalid
/a/(b c)	/a/(/b /c)
	(/a/b/c) [2]
author[first-name="John"] [last-name="Smith"]	
author[first-name="John" and last-name="Smith"]	
author[first-name="John" or first-name="Sam"]	
/a/b[./c]	/a/b/[./c]
/a/b[c]	/a/b[//c]
	/a/b/[a/b/c]
/a(/b c)/d	/a(/a/b /a/c)/d
/a/child::*b	/a/parent::*b
/a[fn:matches(@expr, 'is')]	/a/[fn:matches(fn:name(.), "Joe")]
/a/fn:contains("this")	/a/[fn:contains(fn:name(.), "Bob")]

Namespace prefixes are permitted in all valid path expressions. Note that you can also use `fn:matches` and `fn:contains` as part of the path expression, but you cannot use other functions in the path expression. Use `cts:valid-index-path` to test if a path expression is valid for an index path.

26.4.3 Testing the Validity of an Index Path Expression

You can use the XQuery function `cts:valid-index-path` to test whether or not an XPath expression can be used to define a path range index. To test validity, copy the following query into Query Console, modify it to use your path expression, and run it.

```
xquery version "1.0-ml";
cts:valid-index-path("/a/b", fn:true())
```

Use the second parameter to control whether or not to verify that namespace binding definitions are configured for namespace prefixes used in the path expression.

26.4.4 Using Namespace Prefixes in Index Path Expressions

XML namespace prefixes are permitted in all valid path range index expressions, but you must define the namespace binding in your database configuration. For example, if your path expression is `/ns:a/ns:b`, you must configure a namespace binding for the prefix `ns`.

To pre-define a namespace binding, use the Path Namespaces configuration page for your database in the Admin Interface or the XQuery function `admin:database-add-path-namespace`.

For details, see “Defining Path Range Indexes” on page 403.

26.5 Viewing Element Range Index Settings

To view the element range indexes that will be applied to documents as they are loaded or reindexed, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database whose range index you want to view, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view the range index.
4. Click the Element Range Indexes icon.

The Element Index Configuration page displays.

26.6 Defining Element Range Indexes

To define a range index for an XML element or JSON property, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to create a range index, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to create a range index.
4. Click the Element Range Indexes icon in the tree menu, under the selected database.

- Click the Add tab. The Add Range Indexes configuration page displays:

- Select the type of the XML element or JSON property for which you want to build a range index.
- If the index is of type `xs:string`, a collation box appears with a default collation. If you want the index to use a different collation than the default, enter the collation URI. You can click the Collation Builder button for a wizard that constructs the collation URI for you based on the language and other parameters you enter. For details about collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*.
- Enter the namespace URI of the XML element. Skip this step for a JSON property index.
- Enter the element or JSON property name in the localname field.

Every XML element is associated with a namespace. For the description of the element to be precise, you must specify the namespace of the XML element. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

The local name is the name of the XML element to be indexed. If you have more than one element of the same type in the same namespace that you want to index, you can provide a comma-separated list of element names.

10. Choose whether to index range value positions for this index. Setting range value positions to `true` will speed the performance of searches that use `cts:near-query` and `cts:element-query` with this index, but will use more disk space than leaving the positions off (range value positions `false`).
11. In the invalid values field, choose whether to allow insertion of documents that contain elements or JSON properties on which range index is configured, but the value of those elements cannot be coerced to the index data type. It can be configured to either `ignore` or `reject`. By default server rejects insertion of such documents. However, if a user configures invalid values to ignore, these documents can be inserted. This setting does not change the behavior of queries on invalid values after documents are inserted in the database. Performing an operation on an invalid value at query time can still result in an error.
12. To add more indexes, click the More Items button and repeat step [6](#) through step [11](#) for each index as needed.
13. Scroll to the top or bottom and click OK.

The new element range index or element word lexicon is added to the database. These rules are applied to XML and JSON documents loaded into the specified database from this point on.

Note: If you have reindexing enabled for the database and you specify an element that exists in a document, reindexing will run in the background. When the reindexing is complete, the new index will become available to queries.

26.7 Viewing Attribute Range Index Settings

To view the attribute range indexes that will be applied to documents as they are loaded or reindexed, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to view a range index, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view a range index.
4. Click the Attribute Range Indexes icon in the tree menu, under the selected database.

The Attribute Range Index Configuration page displays.

26.8 Defining Attribute Range Indexes

To define a range index for an attribute of a particular element, perform the following steps:

1. Click the Databases icon on the left tree menu.

2. Locate the database for which you want to create an index, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to create an index.
4. Under the selected database, click the Attribute Range Indexes icon in the tree menu for an attribute range index.
5. Click the Add tab. The Add Attribute Range Indexes page displays:

Add Attribute Range Indexes

Configure Add Help

ok cancel

Add Range Element Attribute Indexes to Database

scalar type An atomic type specification.

parent namespace uri A parent element namespace URI.

parent localname One or more parent element localnames.

namespace uri A namespace URI.

localname One or more localnames.

range value positions ☐ true ☒ false Index range value positions for faster near searches involving range queries (slower document loads and larger database files).

invalid values Allow ingestion of documents that do not have matching type of data.

more items

ok cancel

6. Select the type of the XML attribute for which you want to build an attribute range index.
7. If the index is of type `xs:string`, a collation box appears with a default collation. If you want the index to use a different collation than the default, enter the collation URI. You can click the Collation Builder button for a wizard that constructs the collation URI for you based on the language and other parameters you enter. For details about collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*.

8. Enter the namespace URI of the XML element that contains the attribute you want to index into the parent namespace URI field.

Every XML element is associated with a namespace. For the description of the element to be precise, you must specify the namespace of the XML element. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

9. Enter the element name in the parent localname field.

The local name is the name of the XML element that contains the attribute to be indexed. If you have more than one element in the same namespace that contains the attribute you want to index, you can provide a comma-separated list of element names.

10. Enter the namespace URI of the attribute that you want to index into the namespace URI field.

Every XML attribute is associated with a namespace. For the description of the attribute to be precise, you must specify the namespace of the XML attribute. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

11. Enter the attribute name in the localname field.

The local name is the name of the XML attribute to be indexed. If you have more than one attribute in the same namespace within the specified parent element(s) that you want to index, you can provide a comma-separated list of attribute names.

12. Choose whether to index range value positions for this index. Setting the value to `true` will speed the performance of searches that use `cts:near-query` and `cts:element-query` with this index, but will use more disk space than leaving the positions off (range value positions `false`).

13. In the invalid values field, choose whether to allow insertion of documents that contain attributes on which range index is configured, but the value of those attributes cannot be coerced to the index data type. It can be configured to either `ignore` or `reject`. By default server rejects insertion of such documents. However, if a user configures invalid values to `ignore`, these documents can be inserted. This setting does not change the behavior of queries on invalid values after documents are inserted in the database. Performing an operation on an invalid value at query time can still result in an error.

14. To add more indexes, click the More Items button and repeat step [6](#) through step [13](#) for each attribute index as needed.

15. Scroll to the top or bottom and click OK.

The new attribute index is added to the database. These rules are applied to XML documents loaded into the specified database from this point on.

Note: If you have reindexing enabled for the database and you specify an element-attribute pair that exists in a document, reindexing will run in the background. When the reindexing is complete, the new index will become available to queries.

26.9 Viewing Path Range Index Settings

To view the path range indexes that will be applied to documents as they are loaded or reindexed, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to view a range index, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view a range index.
4. Click the Path Range Indexes icon in the tree menu, under the selected database.

The Path Range Index Configuration page displays.

26.10 Defining Namespace Prefixes Used in Path Range Indexes and Fields

When you define a path range index over XML documents and your path uses namespace prefixes, you must pre-define any namespace bindings used in the path expression. These namespace bindings can be used by multiple path range indexes.

To define a namespace binding, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to create a namespace prefix binding, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to create a namespace binding.
4. Click the Path Namespaces icon in the tree menu, under the selected database.

5. Click the Add tab. The Path Namespaces Configuration page displays:

The screenshot shows the 'Path Namespaces Configuration' dialog box. The 'Add' tab is selected. The 'prefix' field contains 'my' and has a red error message: 'Required. You must supply a value for prefix.' The 'namespace uri' field contains 'http://aaa.com'. There is a 'more items' button below the fields. The dialog has 'ok' and 'cancel' buttons at the top right and bottom.

6. In the Prefix field, enter the namespace prefix you intend to use for the element or attribute in the XPath expression in your path range index.
7. In the Namespace URI field, enter the namespace URI of the XML element or attribute in the XPath expression.
8. Click OK.

26.11 Defining Path Range Indexes

To define a range index expressed by an XPath expression, perform the following steps:

1. If you are creating a path range index over XML data, create bindings for any namespaces prefixes used in your index XPath expression. For details, see “Defining Namespace Prefixes Used in Path Range Indexes and Fields” on page 402.
2. Click the Databases icon on the left tree menu.
3. Locate the database for which you want to create a range index, either in the tree menu or in the Database Summary table.
4. Click the name of the database for which you want to create a range index.
5. Click the Path Range Indexes icon in the tree menu, under the selected database.

6. Click the Add tab. The Path Range Index Configuration page displays:

The screenshot shows the 'Add Path Range Indexes' configuration window. It includes the following fields and options:

- scalar type:** A dropdown menu set to 'string'. Below it is the text: 'An atomic type specification.'
- path expression:** A text box containing '/my:a[his:b="B1"]/my:c'. Below it is the text: 'The path expression. For example: /prefix1:locname1/prefix2:locname2...'.
- collation:** A text box containing 'http://marklogic.com/collation/' and a dropdown menu set to 'Root Collation'. Below the text box is a button labeled 'collation builder' and the text: 'A collation URI for string comparisons.'
- range value positions:** Two radio buttons, 'true' and 'false'. The 'false' radio button is selected. Below them is the text: 'Index range value positions for faster near searches involving range queries (slower document loads and larger database files).'
- invalid values:** A dropdown menu set to 'reject'. Below it is the text: 'Allow ingestion of documents that do not have matching type of data.'
- more items:** A button located at the bottom left of the configuration area.
- Buttons:** 'ok' and 'cancel' buttons are located at the top right and bottom of the dialog.

7. Select the type of the XML element, XML attribute, or JSON property for which you want to build a range index.
8. If the index is of type `xs:string`, a collation box appears with a default collation. If you want the index to use a different collation than the default, enter the collation URI. You can click the Collation Builder button for a wizard that constructs the collation URI for you based on the language and other parameters you enter. For details about collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*.
9. Enter the XPath expression in the path expression field. For XML, you can use any namespace prefix you created in step 1. XPath expressions are summarized in [XPath Quick Reference](#) in the *XQuery and XSLT Reference Guide*. Not all XPath features are supported by path range indexes. For details, see “Understanding Path Range Indexes” on page 394.

Note: You can use the `cts:valid-index-path` function to test whether the path is syntactically correct for use in a path range index.

Note: You cannot have a path span across a fragment root. Paths should be scoped within fragment roots

10. Choose whether to index range value positions for this index. Setting the value to `true` will speed the performance of searches that use `cts:near-query`, `cts:element-query`, and

`cts:json-property-scope-query` with this index, but will use more disk space than leaving the positions off (range value positions `false`).

11. In the invalid values field, choose whether to allow insertion of documents that contain XML elements, XML attributes, or JSON properties on which range index is configured, but the value of those elements, attributes, or properties cannot be coerced to the index data type. It can be configured to either `ignore` or `reject`. By default server rejects insertion of such documents. However, if a user configures invalid values to ignore, these documents can be inserted. This setting does not change the behavior of queries on invalid values after documents are inserted in the database. Performing an operation on an invalid value at query time can still result in an error.
12. To add more indexes, click the More Items button and repeat step [7](#) through step [11](#) for each index as needed.
13. Scroll to the top or bottom and click OK.

The new path range index is added to the database. These rules are applied to XML or JSON documents loaded into the specified database from this point on.

Note: If you have reindexing enabled for the database and you specify an XML element, XML attribute, or JSON property that exists in a document, reindexing will run in the background. When the reindexing is complete, the new index will become available to queries.

Note: Once you have created a path range index, you cannot change the path expression. Instead, you must remove the existing path range index and create a new one with the updated path expression.

26.12 Viewing Element Word Lexicon Settings

To view the lexicon that will be applied to documents as they are loaded or reindexed, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database whose range index or lexicon you want to view, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view the lexicon.
4. Click the Element Word Lexicons icon.

The Element Word Lexicon Configuration page displays.

26.13 Defining Element Word Lexicons

To define a lexicon for an XML element or JSON property, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to create lexicon, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to create a lexicon.
4. Click the Element Word Lexicons icon in the tree menu, under the selected database.
5. Click the Add tab. The Element Word Lexicon Configuration page displays:

The screenshot shows the 'Element Word Lexicon Configuration' window with the 'Add' tab selected. The window has a title bar with 'Configure', 'Add', and 'Help' tabs. Below the title bar are 'ok' and 'cancel' buttons. The main content area is titled 'Add Element Word Lexicons to Database'. It contains three input fields: 'namespace uri' with a text box and the description 'A namespace URI.'; 'localname' with a text box and the description 'One or more localnames. Required. You must supply a value for localname.'; and 'collation' with a text box containing 'http://marklogic.com/collation/' and a dropdown menu labeled 'Root Collation'. Below the 'collation' text box is a 'collation builder' button and the description 'A collation URI for string comparisons.' At the bottom of the main content area is a 'more items' button. At the very bottom of the window are 'ok' and 'cancel' buttons.

6. If you are defining a lexicon on an XML element, enter the namespace URI of the XML element.

Every XML element is associated with a namespace. For the description of the element to be precise, you must specify the namespace of the XML element. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

7. Enter the XML element or JSON property name in the localname field.

The local name is the name of the XML element or JSON property to be indexed. If you have more than one element of the same type in the same namespace that you want to index or more than one property name, you can provide a comma-separated list of names.

8. The collation box appears with a default collation. If you want the lexicon to use a different collation than the default, enter the collation URI. You can click the Collation Builder button for a wizard that constructs the collation URI for you based on the language and other parameters you enter. For details about collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*.
9. To add more word lexicons, click the More Items button and repeat step 6 through step 8 for each lexicon as needed.
10. Scroll to the top or bottom and click OK.

The new range index or word lexicon is added to the database. These rules are applied to XML or JSON documents loaded into the specified database from this point on.

Note: If you have reindexing enabled for the database and you specify an element that exists in a document, reindexing will run in the background. When the reindexing is complete, the new index will become available to queries.

26.14 Viewing Attribute Word Lexicon Settings

To view the lexicon that will be applied to documents as they are loaded or reindexed, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database for which you want to view a lexicon, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to view a lexicon.
4. Click the Attribute Word Lexicons icon in the tree menu, under the selected database.

The Element-Attribute Word Lexicon page displays.

26.15 Defining Attribute Word Lexicons

To define a lexicon for an attribute of a particular element, perform the following steps:

1. Click the Databases icon on the left tree menu.

2. Locate the database for which you want to create a lexicon, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to create a lexicon.
4. Under the selected database, click the Attribute Word Lexicon icon.
5. Click the Add tab. The Element-Attribute Word Lexicon Configuration page displays:

The screenshot shows the 'Element-Attribute Word Lexicon Configuration' dialog box with the 'Add' tab selected. The dialog has a title bar with 'Configure', 'Add', and 'Help' tabs, and 'ok' and 'cancel' buttons. The main content area is titled 'Add Element Attribute Word Lexicons to Database'. It contains several input fields with labels and descriptions:

- parent namespace uri**: A text input field. Description: 'A parent element namespace URI.'
- parent localname**: A text input field. Description: 'One or more parent element localnames. Required. You must supply a value for parent-localname.'
- namespace uri**: A text input field. Description: 'A namespace URI.'
- localname**: A text input field. Description: 'One or more localnames. Required. You must supply a value for localname.'
- collation**: A text input field containing 'http://marklogic.com/collation/' and a 'collation builder' button. Description: 'A collation URI for string comparisons.'

Below the input fields is a 'more items' button. At the bottom of the dialog are 'ok' and 'cancel' buttons.

6. Enter the namespace URI of the XML element that contains the attribute you want to index into the parent namespace URI field.

Every XML element is associated with a namespace. For the description of the element to be precise, you must specify the namespace of the XML element. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

7. Enter the element name in the parent localname field.

The local name is the name of the XML element that contains the attribute to be indexed. If you have more than one element in the same namespace that contains the attribute you want to index, you can provide a comma-separated list of element names.

8. Enter the namespace URI of the attribute that you want to index into the namespace URI field.

Every XML attribute is associated with a namespace. For the description of the attribute to be precise, you must specify the namespace of the XML attribute. The asterisk (*) cannot be used to indicate namespace independence. Leaving the namespace URI field blank specifies the universal unnamed namespace.

9. Enter the attribute name in the localname field.

The local name is the name of the XML attribute to be indexed. If you have more than one attribute in the same namespace within the specified parent element(s) that you want to index, you can provide a comma-separated list of attribute names.

10. The collation box appears with a default collation. If you want the lexicon to use a different collation than the default, enter the collation URI. You can click the Collation Builder button for a wizard that constructs the collation URI for you based on the language and other parameters you enter. For details about collations, see the [Language Support in MarkLogic Server](#) chapter in the *Search Developer's Guide*.
11. To add more element-attribute word lexicons, click the More Items button and repeat step [6](#) through step [10](#) for each attribute index as needed.
12. Scroll to the top or bottom and click OK.

The new attribute index or attribute word lexicon is added to the database. These rules are applied to XML documents loaded into the specified database from this point on.

Note: If you have reindexing enabled for the database and you specify an element-attribute pair that exists in a document, reindexing will run in the background. When the reindexing is complete, the new index will become available to queries.

26.16 Defining Value Lexicons

Value lexicons are implemented using range indexes of type `xs:string` on the element(s), attribute(s), JSON properties, or fields specified in a query. Therefore, to create a value lexicon, you create a range index of type `xs:string` for the specified element(s), attribute(s), JSON properties, or fields. Use an element range index for a JSON property value lexicon.

26.17 Deleting Range Indexes or Lexicons

To delete element or attribute indexes or lexicons for a specific database, perform the following steps:

1. Click the Databases icon on the left tree menu.

2. Locate the database for which you want to delete a range index or lexicon, either in the tree menu or in the Database Summary table.
3. Click the name of the database for which you want to delete a range index or lexicon.
4. Determine whether you need to delete an element range index, an attribute range index, an element word lexicon, or an attribute word lexicon.
5. Click the Element Range Index icon, Attribute Range Index icon, Path Range Index icon, Element Word Lexicon icon, or the Attribute Word Lexicon icon. The configuration page for the appropriate index appears.
6. Locate the index you want to delete and click Delete.
7. A confirmation message displays. Confirm the delete and click OK.

The index or lexicon is deleted from the database.

26.18 Defining Field Range Indexes

Fields provide a convenient mechanism for querying a portion of the database based on XML element QNames or JSON property names. You can define a field, and then create a range index or word or value lexicon over it. For details, see “Fields Database Settings” on page 159.

27.0 Fragments

When loading data into a database, you have the option of specifying how XML documents are partitioned for storage into smaller blocks of information called fragments. For large XML documents, size can be an issue, and using fragments may help manage performance of your system. In general, fragments for XML documents should be sized between 10K and 100K. Fragments set too small or too big can slow down performance, so proper fragment sizing is important.

The actual fragmentation of an XML document is completely transparent to an application developer. At the application level, the document appears to be a single integral structure, regardless of how it is stored and managed as fragments on disk. Fragmentation is an application-transparent tuning mechanism.

However, fragmentation *does* impact relevance ranking. The relevance-ranking algorithm considers both term frequency within a target piece of content and overall term frequency within the database to rank results by relevance. Rather than consider term frequency across the entire XML document for ranking purposes, MarkLogic Server considers term frequency within the individual fragment (and its descendants) being ranked. Consequently, different fragmentation strategies may impact relevance rankings—particularly in situations when a single fragment may straddle multiple XML structures that you are trying to differentiate on a relevance basis.

With MarkLogic Server, you specify fragmentation *rules* that are used to partition your XML documents. These rules are applied one document at a time. However, fragmentation rules are specified at the database level—on the assumption that databases contain many documents with similar structures where the same fragmentation rules should be applied.

Fragmentation rules are applied to documents during document loads, updates, and database reindexing. Specifying additional fragmentation rules after documents have been loaded causes future updates and/or reindexing of those documents to use the new fragmentation rules, but does not change the fragmentation of existing documents (if `reindex enable` is set to `true`, however, the documents will eventually be reindexed and take on the new fragmentation policy). As a result, if you want to change the fragmentation rules for already loaded content, you will have to reload your documents or reindex the database so that your new fragmentation rules can take effect.

Use the following procedures for managing fragmentation rules:

- [Choosing a Fragmentation Strategy](#)
- [Defining Fragment Roots](#)
- [Defining Fragment Parents](#)
- [Viewing Fragment Rules](#)
- [Deleting Fragment Rules](#)

27.1 Choosing a Fragmentation Strategy

Proper fragmentation is important to performance. Before you specify how to fragment the XML data being loaded, you need to plan your fragmentation strategy. Apply the following guidelines:

- Fragments are described generically using XML element names.
- Fragments for XML documents should be between 10K and 100K in size (these are just general guidelines; in some situations, larger or smaller fragment sizes can work fine, and there are many factors that will affect performance for a given fragment size including disk block size, how many fragments are in the database, how often fragments are accessed, the types of queries used in the application, and so on).
- Fragments can be (and in many cases, should be) nested hierarchically.
- Smaller fragment sizes allow more efficient element-level updates in the database, but excessively small fragments can slow down both loading speed and query performance.
- Larger fragment sizes can also slow down query performance by requiring excessive loading of data from disk in resolving queries.
- In general, within the size range set above, larger fragment sizes deliver higher-performance overall than smaller fragment sizes.
- Text and small binary documents must fit in a single fragment. Therefore, set the database `in memory tree size` parameter to 1 to 2 MB larger than your largest text or small binary file. The largest small binary file size is always constrained by the “large size threshold” database configuration setting.

After you decide how to fragment your data, you can use either of the following methods:

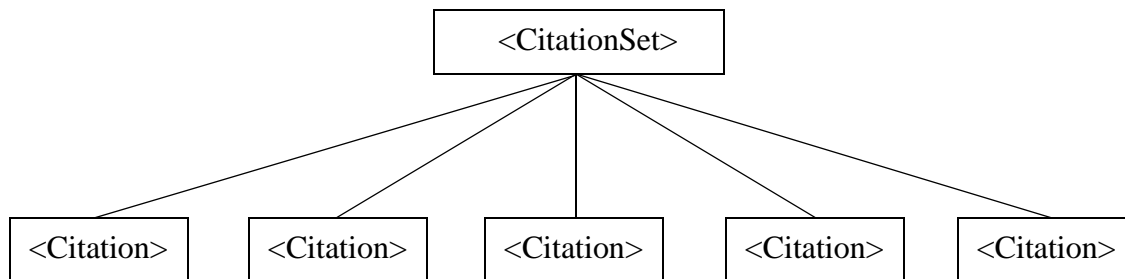
- [Fragment Roots](#)
- [Fragment Parents](#)

Both methods turn your fragmentation strategy into concrete rules for the system.

27.1.1 Fragment Roots

If a document contains many instances of an XML structure that share a common element name, then these structures make sensible fragments. With MarkLogic Server, you can use this common element name as a fragment root.

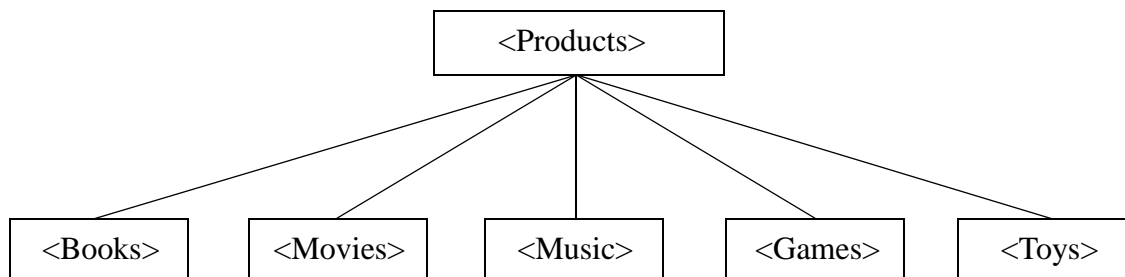
The following diagram shows an XML document rooted at `<CitationSet>` that contains many instances of a `<Citation>` node. Each `<Citation>` node contains further XML and averages between 15K and 20K in size. Based on this information, `<Citation>` is a sensible element to use as a fragment root:



27.1.2 Fragment Parents

If your document contains many different XML substructures, each of which is a good candidate to be a fragment, then it would be time consuming to specify each substructure as a fragment root. Instead, you can specify fragments by setting the parent of these substructures to be a fragment parent—so that every substructure under this parent becomes a separate fragment, regardless of its name.

The following diagram shows a document with substructures of different names:



In this case, you can use the `<Products>` element as a fragment parent, and the `<Books>`, `<Movies>`, `<Music>`, `<Games>` and `<Toys>` children automatically become fragments.

27.2 Defining Fragment Roots

To define a rule for a fragment root, complete the following procedure:

1. Click the Databases icon on the left tree menu.
2. Determine the database for which you are specifying a new fragment rule.
3. Click the icon for this database, either in the tree menu or the Database Summary page.
4. Click the Fragment Roots icon.
5. Click the Create tab. The Fragment Roots Configuration page displays:

The screenshot shows the 'Create Fragment Roots' dialog box. The title bar is red with the text 'Create Fragment Roots'. Below the title bar are three tabs: 'Configure' (highlighted in green), 'Create' (highlighted in red), and 'Help' (highlighted in green). The 'Create' tab is active. The main content area is yellow and contains a section titled 'Create Fragment Roots in Database'. Inside this section, there are two input fields. The first is labeled 'namespace uri' and has a placeholder text 'A namespace URI.' The second is labeled 'localname' and has a placeholder text 'One or more localnames.' Below the 'localname' field, there is a red error message: 'Required. You must supply a value for localname.' Below the input fields is a button labeled 'more items'. At the bottom of the dialog are two buttons: 'ok' and 'cancel'.

6. Enter the namespace URI of the XML element that you are using as a rule for the fragment root.

Every XML element is associated with a namespace. For the fragment rule to be precise, you must specify the namespace of the XML element. Leaving the namespace URI field blank specifies the universal unnamed namespace.

Alternatively, you can specify that the rule for the fragment root is namespace independent by putting an asterisk (*) in the namespace URI field.

7. Enter the element name in the localname field.

The local name is the name of the XML element used as the root of a fragment. If you have more than one fragment root rule associated with the specified namespace, you can provide a comma-separated list of element names.

8. To add more fragment roots, click the More Items button and repeat steps [6](#) and [7](#) for each fragment root as needed.
9. Scroll to the top or bottom and click OK.

The new fragment root rules are added to the database. These rules are applied to XML documents loaded into the specified database from this point on.

27.3 Defining Fragment Parents

To define a rule for a fragment parent, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Determine the database for which you are specifying a new fragment parent.
3. Click the icon for this database, either in the tree menu or the Database Summary page.
4. Click the Fragment Parents icon.
5. Click the Create tab. The Create Fragment Parents page displays:

The screenshot shows the 'Create Fragment Parents' dialog box. At the top, there is a red header bar with the title 'Create Fragment Parents' and three tabs: 'Configure' (selected), 'Create', and 'Help'. Below the header, there are 'ok' and 'cancel' buttons. The main content area is titled 'Create Fragment Parents in Database'. It contains two input fields: 'namespace uri' with a text box and the description 'A namespace URI.', and 'localname' with a text box and the description 'One or more localnames.' Below the 'localname' field, there is a red error message: 'Required. You must supply a value for localname.' At the bottom of the main content area, there is a 'more items' button. At the very bottom of the dialog, there are 'ok' and 'cancel' buttons.

6. Enter the namespace URI of the XML element that you are using as a rule for the fragment parent.

Every XML element is associated with a namespace. For the fragment rule to be precise, you must specify the namespace of the XML element. Leaving the namespace URI field blank specifies the universal unnamed namespace.

Alternatively, you can specify that the rule for the fragment root is namespace independent by putting an asterisk (*) in the namespace URI field.

7. Enter the element name in the localname field.

The local name is the name of the parent XML element whose children will be fragment roots. If you have more than one fragment parent rule associated with the specified namespace, you can provide a comma-separated list of element names.

8. To add more fragment parents, click the More Items button and repeat steps [6](#) and [7](#) for each fragment parent as needed.

9. Scroll to the top or bottom and click OK.

The new fragment rules are added to the database. These rules are applied to XML documents loaded into the specified database from this point on.

27.4 Viewing Fragment Rules

To view fragment rules that are in effect, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database whose fragment rules you want to view, either in the tree menu or the Database Summary page.
3. Click the icon for this database.
4. Determine whether to view the rules for the fragment root or fragment parent.
5. Click either the Fragment Roots icon or Fragment Parents icon, under the specified database.

The following example shows that the Documents database has only one rule defined for a fragment parent. The rule states that any direct child of an `<RDF>` element, regardless of the namespace for the `<RDF>` element, should form the root of a fragment:

The screenshot shows the 'Fragment Parents Configuration' window for the 'Documents' database. It has tabs for 'Configure', 'Create', and 'Help'. The 'Configure' tab is active. The window title is 'Database: Documents'. There are 'ok' and 'cancel' buttons. Below the title bar, there is a section for 'fragment parents' with a description: 'The fragment parent specifications.' Inside this section, there is a 'fragment parent' specification with a description: 'A fragment parent specification.' and a 'delete' button. The 'fragment parent' specification has two fields: 'namespace uri' with a value of '*' and a description 'A namespace URI.', and 'localname' with a value of 'RDF' and a description 'One or more localnames.'

27.5 Deleting Fragment Rules

To delete fragment rules for a specific database, perform the following steps:

1. Click the Databases icon on the left tree menu.
2. Locate the database that contains the fragment rules you want to delete, either in the tree menu or the Database Summary page.
3. Click the icon for this database.
4. Determine whether you need to delete a rule for a fragment root or fragment parent.
5. Click either the Fragment Roots icon or Fragment Parents icon, under the specified database.
6. Locate the fragment rule you want to delete and click Delete.
7. A confirmation message displays. Confirm the delete and click OK.

The fragment rule is dropped from the database.

Note: Deleting fragment rules has no impact on the fragmentation that has already been applied to documents loaded into the database, unless reindexing is enabled for the database.

28.0 Namespaces

Namespaces are a powerful mechanism used to differentiate between potentially ambiguous XML elements. Namespaces can be defined within individual XQuery programs. They can also be defined using the Admin Interface.

Namespaces can be defined for a group to apply to all HTTP, ODBC, XDBC, and WebDAV servers in a group or for a particular HTTP, ODBC, XDBC, or WebDAV server. However, a namespace cannot be defined to apply to a particular forest, database, or XQuery program.

For more information about namespaces, see the “Namespaces” chapter in *XQuery and XSLT Reference Guide*, which provides a detailed description of XML namespaces and their use. Be sure to review this information before using the Admin Interface to manage your namespaces.

Use the following procedures for managing namespaces in the Admin Interface:

- [Defining Namespaces for a Group](#)
- [Defining Namespaces for an HTTP, ODBC, or XDBC Server](#)
- [Viewing Namespace Settings for a Group](#)
- [Viewing Namespace Settings for an HTTP, ODBC, or XDBC Server](#)
- [Deleting Namespaces for a Group](#)
- [Deleting Namespaces for an HTTP, ODBC, or XDBC Server](#)

This chapter describes how to use the Admin Interface to manage namespaces. For details on how to manage namespaces programmatically, see [Group Maintenance Operations](#) in the *Scripting Administrative Tasks Guide*.

28.1 Defining Namespaces for a Group

To define namespaces using the Admin Interface for a group, perform the following steps:

1. Click the Groups icon on the left tree menu.
2. Click the group in which you want to define the namespace, either in the tree menu or the Groups Summary page.
3. Click the Namespaces icon on the left tree menu, under the group name.

- Click the Add tab. The Namespaces Configuration page displays:

The screenshot shows the 'Namespaces Configuration' dialog box with the 'Add' tab selected. The dialog has a title bar with 'Configure', 'Add', and 'Help' tabs. Below the title bar are 'ok' and 'cancel' buttons. The main content area is titled 'Add Namespaces' and contains two input fields: 'prefix' and 'namespace uri'. The 'prefix' field has a red error message below it: 'Required. You must supply a value for prefix.' Below the input fields is a 'more items' button. At the bottom of the dialog are 'ok' and 'cancel' buttons.

- Enter a prefix for your namespace.
- Enter a URI for your namespace.

If you are defining a prefix for the universal unnamed namespace, leave the URI blank.
- To add more namespace definitions, click the More Items button and repeat steps [5](#) and [6](#) for each namespace as needed.
- Scroll to the top or bottom and click OK.

The namespace is now defined in the group.

28.2 Defining Namespaces for an HTTP, ODBC, or XDBC Server

To define namespaces using the Admin Interface for an HTTP, ODBC, or XDBC Server, perform the following steps:

- Click the Groups icon on the left tree menu.
- Click the group which contains the HTTP, ODBC, or XDBC server for which you want to define the namespace, either in the tree menu or the Groups Summary page.
- Click the App Servers icon as appropriate.
- Click on the name of the App server for which you want to define the namespace.

- Click on the Namespaces icon on the left tree menu, under the specified App server.
- Click the Add tab at the top right. The Namespaces Configuration page displays:

The screenshot shows the 'Namespace Configuration' dialog box. It has a red title bar with the text 'Namespace Configuration' and three tabs: 'Configure', 'Add', and 'Help'. The 'Add' tab is active. Below the tabs are 'ok' and 'cancel' buttons. The main area is titled 'Add Namespaces' and contains two input fields. The first field is labeled 'prefix' and has a red error message below it: 'A QName prefix. Required. You must supply a value for prefix.' The second field is labeled 'namespace uri' and has a hint below it: 'A namespace URI.' Below these fields is a 'more items' button. At the bottom of the dialog are 'ok' and 'cancel' buttons.

- Enter a prefix for your namespace.
- Enter a URI for your namespace.

If you are defining a prefix for the universal unnamed namespace, leave the URI blank.
- To add more namespace definitions, click the More Items button and repeat steps [7](#) and [8](#) for each namespace as needed.
- Scroll to the top or bottom and click OK.

The namespace is now defined for the App Server.

28.3 Viewing Namespace Settings for a Group

To view namespaces you have defined in the Admin Interface, perform the following steps:

- Click the Groups icon on the left tree menu.
- Click the group which contains the namespace you want to view, either in the tree menu or the Groups Summary page.

- Click the Namespaces icon on the left tree menu, under the specified group. The Namespace Configuration page appears.

The screenshot shows the 'Namespace Configuration' page. At the top, there is a red header bar with the title 'Namespace Configuration' and three tabs: 'Configure' (selected), 'Add', and 'Help'. Below the header, there are 'ok' and 'cancel' buttons. The main content area is titled 'namespaces -- The namespace binding specifications.' and contains a table with one row. The row is titled 'namespace -- A namespace binding specification.' and has a 'delete' button. The table has two columns: 'prefix' and 'namespace uri'. The 'prefix' column contains the value 'ml' and a description 'A QName prefix.' The 'namespace uri' column contains the value 'http://marklogic.com/ml' and a description 'A namespace URI.' At the bottom of the form, there are 'ok' and 'cancel' buttons.

28.4 Viewing Namespace Settings for an HTTP, ODBC, or XDBC Server

To view namespaces you have defined in the Admin Interface, perform the following steps:

- Click the Groups icon on the left menu tree.
- Click the group which contains the HTTP, ODBC, or XDBC server for which you want to view the namespace, either in the tree menu or the Groups Summary page.
- Click the App Servers icon as appropriate.
- Click on the name of the App Server for which you want to view the namespace.

- Click the Namespaces icon on the left tree menu, under the specified App Server. The Namespace Configuration page appears.

Namespace Configuration

Configure Add Help

ok cancel

namespaces -- The namespace binding specifications.

namespace -- A namespace binding specification.		delete
prefix	ml A QName prefix.	
namespace uri	http://marklogic.com/ml A namespace URI.	

ok cancel

28.5 Deleting Namespaces for a Group

To delete namespaces that you defined in the Admin Interface, perform the following steps:

- Click the Groups icon on the left tree menu.
- Click the group from which you want to delete the namespace, either in the tree menu or the Group Summary page.
- Click the Namespaces icon on the left tree menu, under the specified group.
- Locate the namespace to be deleted and click Delete.
- A confirmation message displays. Confirm the delete and click OK.

The namespace is deleted from the group.

28.6 Deleting Namespaces for an HTTP, ODBC, or XDBC Server

To delete namespaces that you defined in the Admin Interface for an HTTP, ODBC, or XDBC server, perform the following steps:

- Click the Groups icon on the left tree menu.
- Click on the group which contains the App Server from which you want to delete the namespace, either in the tree menu or the Group Summary page.

3. Click on the App Servers icon.
4. Click on the name of the App Server from which you want to delete the namespace, either in the tree menu or the App Server Summary page.
5. Click the Namespaces icon on the left tree menu, under the specified App Server. The namespace configuration screen appears.
6. Locate the namespace to be deleted and click Delete.
7. A confirmation message displays. Confirm the delete and click OK.

The namespace is deleted from the App Server.

29.0 Understanding and Defining Schemas

This chapter describes schemas and lists procedures for defining them. The following topics are included:

- [Understanding Schemas](#)
- [Procedures For Defining Schemas](#)

For more information on the Schema database, loading schemas into MarkLogic Server, and using schemas in your applications, see the “Loading Schemas” chapter of the *Application Developer’s Guide*.

29.1 Understanding Schemas

A schema is a data dictionary for your XML content. To specify a schema, you need to define the namespace to which the schema applies as well as the location of the schema file.

Schemas define the types of elements within XML documents. When knowing the type of an XML element would be beneficial to evaluating an XQuery program, MarkLogic Server will look for the relevant schema document (based on that element's namespace) using the following strategy:

1. If the XQuery program explicitly references a schema for the namespace in question, MarkLogic Server uses this reference.
2. Otherwise, MarkLogic Server searches the schema database for an XML schema document whose target namespace is the same as the namespace of the element that MarkLogic Server is trying to type.
3. If no matching schema document is found in the database, MarkLogic Server looks in its `Config` directory for a matching schema document.
4. If no matching schema document is found in the `Config` directory, no schema is found.

Problems can arise in step 2 above when there are multiple schema documents in the schema database whose target namespace matches the namespace of the element that MarkLogic Server is trying to type. In this case, it is convenient to be able to use the Admin Interface to specify a default mapping.

Schema mappings can be specified for the HTTP, ODBC, or XDBC servers individually or for the group to apply to all HTTP, ODBC, or XDBC servers in the group. If the schema mapping defined for an HTTP, ODBC, or XDBC server conflicts with the schema mapping defined for the group, the former mapping is used.

When you specify a schema mapping in the Admin Interface, MarkLogic Server uses the following strategy to locate the schema:

1. First, MarkLogic Server searches the schema database for a document with the exact URI you specified in the schema mapping.

Note: If the schema mapping for the HTTP, ODBC, or XDBC server conflicts with the schema mapping for the group, the former mapping is used.

2. If no matching schema document is found in the schema database, MarkLogic Server looks in its `config` directory for a schema document whose filename matches the filename portion of the URI you specified.
3. If no matching schema document is found in the `config` directory, no schema is found.

If a namespace is invoked by one or more data elements stored in a particular database, and the schema for that namespace is defined for the group or HTTP, ODBC, or XDBC server, MarkLogic Server applies the schema to the storage, indexing, and retrieval of that data.

Note: The schema database in this case is the schema database for the database in which the data is located.

29.2 Procedures For Defining Schemas

Use the following procedures for defining schemas:

- [Adding a Schema Definition for a Group](#)
- [Adding a Schema Definition for an HTTP, ODBC, or XDBC Server](#)
- [Viewing Schema Definitions for a Group](#)
- [Viewing Schema Definitions for an HTTP, ODBC, or XDBC Server](#)
- [Deleting a Schema Definition for a Group](#)
- [Deleting a Schema Definition for an HTTP, ODBC, or XDBC Server](#)

29.2.1 Adding a Schema Definition for a Group

To make a schema available to all HTTP, ODBC, or XDBC servers in a group, complete the following procedure:

1. Click the Groups icon on the left tree menu.
2. Click the group in which you want to define the schema.
3. Click the Schemas icon on the left tree menu, under the specified group.

- Click the Add tab. The Schema Configuration page displays:

The screenshot shows the 'Schema Configuration' dialog box with the 'Add' tab selected. The dialog has a title bar with 'Configure', 'Add', and 'Help' tabs. Below the title bar are 'ok' and 'cancel' buttons. The main area is titled 'Add Schemas' and contains two input fields: 'namespace uri' with a text box and a hint 'A namespace URI.', and 'schema location' with a text box and a hint 'A schema location.'. Below these fields is a 'more items' button. At the bottom of the dialog are 'ok' and 'cancel' buttons.

- Enter a namespace URI and corresponding schema location.

If you are planning to store the schema in your `Config` directory, the following table lists the default location of the `Config` directory on each platform:

Platform	Schema Directory
Microsoft Windows	C:\Program Files\MarkLogic\Config
Red Hat Linux	/opt/MarkLogic/Config
Mac OS X	~/Library/MarkLogic/Config/

- To add more schema definitions, click the More Items button and repeat step [5](#) for other schemas as needed.
- Scroll to the top or bottom and click OK.

The schema is added to the group.

29.2.2 Adding a Schema Definition for an HTTP, ODBC, or XDBC Server

To make a schema available to a particular HTTP, ODBC, or XDBC server, perform the following steps:

- Click the Groups icon on the left tree menu.

2. Click the name of the group which contains the HTTP, ODBC, or XDBC server to which you want to add a schema.
3. Click the App Servers icon.
4. Click the name of the App Server to which you want to add a schema.
5. Click the Schemas icon on the left tree menu, under the specified App Server.
6. Click the Add tab. The Schema Configuration page displays:

7. Enter a namespace URI and corresponding schema location.

If you are planning to store the schema in your config directory, refer to the following table for the default location of the config directory on your platform:

Platform	Schema Directory
Microsoft Windows	C:\Program Files\MarkLogic\Config
Red Hat Linux	/opt/MarkLogic/Config
Mac OS X	~/Library/MarkLogic/Config/

8. To add more schema definitions, click the More Items button and repeat step [7](#) for other schemas as needed.
9. Scroll to the top or bottom and click OK.

The schema is added to the HTTP, ODBC, or XDBC server.

29.2.3 Viewing Schema Definitions for a Group

To view a schema definition for a group, complete the following procedure:

1. Click the Groups icon on the left tree menu.
2. Click the group that contains the schema you want to view.
3. Click the Schemas icon on the left tree menu, under the specified group.

The following example shows just one schema. It specifies that the schema for namespace `http://www.w3.org/1999/xhtml` is found in the file `xhtml1.1.xsd`, which is located in the config directory of your MarkLogic Server program directory.

The screenshot shows a 'Schema Configuration' dialog box. At the top, there are tabs for 'Configure', 'Add', and 'Help'. Below the tabs, there are 'ok' and 'cancel' buttons. The main area of the dialog is titled 'schemas -- The schema binding specifications.' and contains a list of schema definitions. One schema is selected, showing its details: 'namespace uri' is 'http://www.w3.org/1999/xhtml' and 'schema location' is 'xhtml1.1.xsd'. There is a 'delete' button next to the selected schema. At the bottom of the dialog, there are 'ok' and 'cancel' buttons.

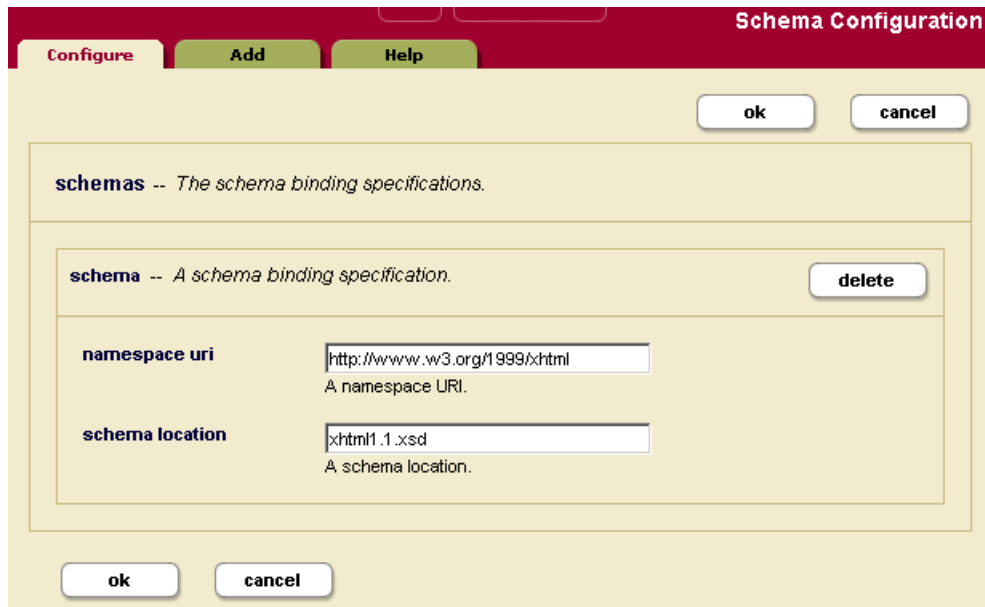
29.2.4 Viewing Schema Definitions for an HTTP, ODBC, or XDBC Server

To view a schema definition for an HTTP or XDBC Server, perform the following steps:

1. Click the Groups icon on the left tree menu.
2. Click on the name of the group which contains the HTTP , ODBC, or XDBC server with the schema you want to view.
3. Click the App Servers icon.
4. Click the name of the App Server with the schema you want to view.

5. Click the Schemas icon on the left tree menu, under the specified App Server.

The following example shows just one schema. It specifies that the schema for namespace `http://www.w3.org/1999/xhtml` is found in the file `xhtml1.1.xsd`, which is located in the config directory of your MarkLogic Server program directory.



29.2.5 Deleting a Schema Definition for a Group

To delete a schema definition for a group, perform the following steps:

1. Click the Groups icon on the left tree menu.
2. Click the group from which you want to delete the schema.
3. Click the Schemas icon on the left tree menu, under the specified group.
4. Locate the schema definition to be deleted from the system and click Delete.
5. A confirmation message displays. Confirm the delete and click OK.

The schema is dropped from the group.

29.2.6 Deleting a Schema Definition for an HTTP, ODBC, or XDBC Server

To delete a schema definition for an HTTP, ODBC, or XDBC server, perform the following steps:

1. Click the Groups icon on the left tree menu.

2. Click the name of the group which contains the HTTP, ODBC, or XDBC server with the schema you want to delete.
3. Click the App Servers icon.
4. Click the name of the App Server with the schema you want to delete.
5. Click the Schemas icon on the left tree menu, under the specified App Server.
6. Click the Schemas icon on the left tree menu, under the specified App Server.
7. Locate the schema definition to be deleted from the system and click Delete.
8. A confirmation message displays. Confirm the delete and click OK.

The schema is dropped from the App Server.

30.0 Log Files

This chapter describes the log files and includes the following sections:

- [Application and System Log Files](#)
- [Understanding the Log Levels](#)
- [Configuring System Log Files](#)
- [Configuring Application Log Files](#)
- [Viewing the System Log](#)
- [Viewing the Application and System File Logs](#)
- [Accessing Log Files](#)

For information on the audit log files, see “Auditing Events” on page 111.

30.1 Application and System Log Files

There are separate log files for application-generated messages and for system-generated messages. This allows for separation of personally identifiable information (such as social security numbers, for example) and system messages (such as merge notices and other system activity). The application log files are configured on a per-App Server basis, and the system log files are configured at the group level. Each host has its own set of log files (both application and system log files). Things like uncaught application errors, which might contain data from an application, are sent to the application logs. Things like MarkLogic Server system activity are sent to the system log files.

30.2 Understanding the Log Levels

MarkLogic Server sends log messages to both the operating system log and the MarkLogic Server system file log. Additionally, application log messages (messages generated from application code) are sent to the application logs. Depending on how you configure your logging functions, both operating system and file logs may or may not receive the equivalent number of messages. To enhance performance, the system log should receive fewer messages than the MarkLogic Server file log.

MarkLogic Server uses the following log settings, where **Finest** is the most verbose while **Emergency** is the least verbose:

Log Level	Description
Finest	Extremely detailed debug level messages.
Finer	Very detailed debug level messages.
Fine	Detailed debug level messages.
Debug	Debug level messages.
Config	Configuration messages.
Info	Informational messages. This is the default setting.
Notice	Normal but significant conditions.
Warning	Warning conditions.
Error	Error conditions.
Critical	Critical conditions.
Alert	Immediate action required.
Emergency	System is unusable.

Log file settings are applied on a per-group basis.

By default, the system log for a group is set to **Notice** while the file log is set to **Info**. As such, the system log receives fewer log messages than the file log. You may change these settings to suit your needs. It is more efficient to write to the file log than to the system log. It is good practice to run in production with the **Debug** file log level to get a more detailed record of operations. Log levels more verbose than **Debug** may result in very large log files and are not recommended for extended periods of time.

30.3 Configuring System Log Files

To configure how log information is generated, perform the following steps:

1. Click the **Groups** icon on the left tree menu.
2. Click the group for which you want to configure the log file settings.

3. Scroll down to the log settings, towards the bottom of the page.

The following example shows the default log settings:

The screenshot shows a configuration panel with a light yellow background. It contains four settings, each with a label, a control, and a description:

- system log level**: A dropdown menu showing 'notice'. Below it, the text reads: 'The minimum log level for log messages sent to the operating system.'
- file log level**: A dropdown menu showing 'info'. Below it, the text reads: 'The minimum log level for log messages in the log file.'
- rotate log files**: A dropdown menu showing 'daily'. Below it, the text reads: 'When to start a new log file.'
- keep log files**: A text input field containing the number '7'. Below it, the text reads: 'How many log files to keep.'

4. Go to System Log Level and change the level if needed.
5. Go to File Log Level and change the logging level of the MarkLogic Server private log file (ErrorLog.txt) if needed.
6. Go to Rotate Log Files and select when MarkLogic Server should start a new private log file for this group.

The following table describes each time frame:

Time Frame	Description
Never	The log file grows without bound.
Daily	A new log file is started every day at 12:00 A.M.
Sunday	A new log file is started every week on Sunday at 12:00 A.M.
Saturday	A new log file is started every week on Saturday at 12:00 A.M.
Friday	A new log file is started every week on Friday at 12:00 A.M.
Thursday	A new log file is started every week on Thursday at 12:00 A.M.

Time Frame	Description
Wednesday	A new log file is started every week on Wednesday at 12:00 A.M.
Tuesday	A new log file is started every week on Tuesday at 12:00 A.M.
Monday	A new log file is started every week on Monday at 12:00 A.M.
Monthly	A new log file is started at 12:00 AM on the first day of each month.

7. Go to Keep Log Files and enter the number of private log files to keep.

The private log files are kept in an aging archive. After the number of log files grows to the value specified in the Keep Log File setting, when a new log file is started, the oldest log file archive is automatically deleted.

8. Scroll to the top or bottom and click OK.

30.4 Configuring Application Log Files

To configure how log information is generated for an App Server, perform the following steps:

1. Click the Groups icon on the left tree menu.
2. Under App Servers for the group in which the App Server whose application log file settings you want to configure, click the desired App Server.
3. Scroll down to the log settings, towards the bottom of the page.
4. Go to File Log Level and change the logging level of the application log file (for example, `8543_ErrorLog.txt` for the App Server on port 8543) if needed.
5. Go to Log Errors and click true if you want uncaught application errors to go to the log file, otherwise click false.
6. Scroll to the top or bottom and click OK.

Note: The log rotation of application log files follows the same rules as the system log file for that group, as described in the procedure for “Configuring System Log Files” on page 434.

30.5 Viewing the System Log

The system log messages that MarkLogic Server generates are viewable using the standard system log viewing tools available for your platform. On Windows platforms, the seven levels of logging messages are collapsed into three broad categories and the system log messages are registered as `MarkLogic`. On UNIX platforms, the system logs use the `LOG_DAEMON` facility, which typically sends system log messages to a file such as `/var/log/messages`, although this can vary according to the configuration of your system.

30.6 Viewing the Application and System File Logs

The private system file log is maintained as a simple text file, and the application logs are also maintained as simple text files. You may view the current or any archived file log at any time using standard text file viewing tools. Additionally, you can access the log files from the Log tab on the main page of the Admin Interface.

The files are stored in the `Logs` directory under the MarkLogic Server data directory for your platform. You may have overridden the default location for this directory at installation time. The following table lists the default location of the file logs on your platform:

Platform	Private Log Files
Microsoft Windows	<code>C:\Program Files\MarkLogic\Data\Logs\ErrorLog.txt</code> <code>C:\Program Files\MarkLogic\Data\Logs\<port>_ErrorLog.txt</code>
Red Hat Enterprise Linux	<code>/var/opt/MarkLogic/Logs/ErrorLog.txt</code> <code>/var/opt/MarkLogic/Logs/<port>_ErrorLog.txt</code>
Mac OS X	<code>~/Library/Application Support/MarkLogic/Data/Logs/ErrorLog.txt</code> <code>~/Library/Application Support/MarkLogic/Data/Logs/<port>_ErrorLog.txt</code>

The application log files are prefixed with the port number of the App Server corresponding the log file. These files contain a set of log messages ordered chronologically. The number of messages depends on the system activity and on the log level that you set. For example, a file log set to Debug would contain many lines of messages whereas a file log set to Emergency would contain the minimum set of messages.

Any trace events are also written to the MarkLogic Server `ErrorLog.txt` file. Trace events are used to debug applications. You can enable and set trace events in the Admin Interface, on the Diagnostics page for a group. You can also generate your own trace events with the `xdmp:trace` function.

Note: When the log files are being written to a different device than the data files, it is possible to run out of space for logging. This does not appear to impact the servers ability to start, nor the availability of the forests, databases or app servers. Log entries will also still be written to `/var/log/messages` based on the configured OS logging level.

30.7 Accessing Log Files

MarkLogic Server also produces access log files for each App Server. The access logs are in the NCSA combined log format, and show the requests made against each App Server. The access log files are in the same directory as the `ErrorLog.txt` logs, and have the port number encoded into their name. For example, the access log files for the Admin Interface is named `8001_AccessLog.txt`. You may view the current or any archived file log at any time using standard text file viewing tools. Additionally, you can access the log files from the Log tab on the main page of the Admin Interface. Older versions of the access logs are aged from the system according to the settings configured at the group level, as described in “Configuring System Log Files” on page 434.

31.0 Scheduling Tasks

This chapter describes how to schedule tasks that execute XQuery main modules at a predefined date/time or interval. The following topics are included:

- [Understanding Scheduled Tasks](#)
- [Scheduling a Module for Invocation](#)
- [Selecting a Task Type](#)

This chapter describes how to use the Admin Interface to manage scheduled tasks. For details on how to manage scheduled tasks programmatically, see [Group Maintenance Operations](#) in the *Scripting Administrative Tasks Guide*.

31.1 Understanding Scheduled Tasks

MarkLogic Server allows you to schedule the execution of XQuery main modules. The ability to schedule module execution is useful for:

- Loading content. For example, periodically checking for new content from an external data source, such as a web site, web service, etc.
- Synchronizing content. For example, when MarkLogic is used as a metadata repository, you might want to periodically check for changed data.
- Delivering batches of content: For example, initiate an RSS feed, hourly or daily.
- Delivering aggregated alerts, either hourly or daily.
- Delivering reports, either daily, weekly, or monthly.
- Polling for the completion of an asynchronous process, such as the creation of a PDF file

Tasks can be scheduled to run at a particular time on a particular date, or at a specified interval. MarkLogic Server attempts to place the task on the task server's queue at the specified time, but the actual execution of the task might not start at this time. If the queue is full, the task fails and will not be re-tried until the next scheduled interval.

31.2 Scheduling a Module for Invocation

To schedule a module for invocation at a particular date/time or interval, do the following:

1. Click the Groups icon in the left tree menu.
2. Click on the group in which you want to schedule a task (for example, Default).
3. Click the Scheduled Tasks icon on the left tree menu.
4. Click on the Create tab to bring up the Schedule a Task page

5. Specify the URI for the module to invoke in the Task Path field. The task path must begin with a forward slash (/) and cannot contain a question mark '?', colon ':' or pound '#' character.
6. In the Task Root field, specify the root directory (files system) or URI root (database) that contains the module.
7. In the Task Type field, select one of the task types described in “Selecting a Task Type” on page 441.
8. In the Database field, select the database on which to invoke the module.
9. In the Task Modules field, select either the file system or database that contains the module specified in the Task Path field.

If Task Modules is set to (file system), then place the module in or below the directory specified by Task Root. The file will be located on disk with a path that is the Task Root combined with the Task Path. For example, if the Task Root is `/marklogic/modules` and the Task Path is `/tasks/test.xqy`, then `test.xqy` should be located at `/marklogic/modules/tasks/test.xqy` on the file system.

If Task Modules is set to a database, then load the module into that database at or under the URI root specified by Task Root. For example, for the configuration shown in Step [10](#), `test.xqy` should be inserted in the Modules datase at URI `/marklogic/modules/test.xqy`.

10. In the Task User and Task Host fields, specify the user with permission to invoke the task and the host computer on which the task is to be invoked. If no host is specified, then the task runs on all hosts.

Note: The user specified in the Task User field must have the privileges required to execute the functions used in the module. See “Appendix B: Pre-defined Execute Privileges” on page 469 for the full list of execute privileges.

Example of a Scheduled Task configuration:

Schedule a Task

task path	<input type="text" value="/test.xqy"/> The module to invoke. Required. You must supply a value for task-path.
task root	<input type="text" value="/marklogic/modules"/> The path to the module directory root. Required. You must supply a value for task-root.
task type	<input checked="" type="radio"/> minutely <input type="radio"/> hourly <input type="radio"/> daily <input type="radio"/> weekly <input type="radio"/> monthly <input type="radio"/> once
task period	<input type="text" value="1"/> How often this task should run (every n months, weeks, days, hours or minutes).
task database	<input type="text" value="Documents"/> The database name.
task modules	<input type="text" value="Modules"/> The database that contains application modules.
task user	<input type="text" value="cbhamlin"/> The user to run this task as.
task host	<input type="text" value="macpro-4089"/> The host to run this task on.
task priority	<input type="text" value="normal"/> The priority of this task.

31.3 Selecting a Task Type

You can select one of the date/time or interval scheduling options described in this section as your task type.

The interval scheduling options that operate on elapsed time are:

- [Scheduling Per Minute](#)
- [Scheduling Per Hour](#)

The date/time scheduling options that operate on calendar time are:

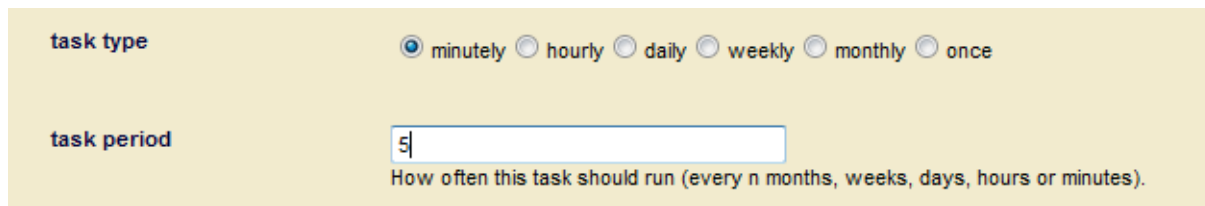
- [Scheduling Per Day and Time](#)
- [Scheduling Per Week, Day, and Time](#)

- [Scheduling Per Month, Day, and Time](#)
- [Scheduling One Invocation on a Calendar Date and Time](#)

Note: The date/time options are scheduled in terms of the local time designated by the server's clock. This means that, in regions that recognize daylight savings time, a scheduling interval of 24 hours is not the same as a once-per-day at a particular time scheduling interval.

31.3.1 Scheduling Per Minute

If you select minutely task type, specify how many minutes are to elapse between each invocation of the module. For example, to invoke the module every 5 minutes (or as soon as possible thereafter, if the server is overloaded), enter:



The screenshot shows a task scheduling configuration interface. Under the heading "task type", there are radio buttons for "minutely", "hourly", "daily", "weekly", "monthly", and "once". The "minutely" radio button is selected. Below this, under the heading "task period", there is a text input field containing the number "5". Below the input field is a label that reads: "How often this task should run (every n months, weeks, days, hours or minutes)."

31.3.2 Scheduling Per Hour

If you select hourly task type, specify how many hours are to elapse between each invocation of the module. The Task Minute setting specifies how many minutes after the hour the module is to be invoked. Note that the Task Minute setting does not add to the interval.

For example, to invoke the module every 2 hours at 30 minutes past the hour (or as soon as possible thereafter, if the server is overloaded), enter:

task type ☐ minutely ☒ hourly ☐ daily ☐ weekly ☐ monthly ☐ once

task period
How often this task should run (every n months, weeks, days, hours or minutes).

task minute
0 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44

task database
base name.

task modules
stem) base that contains application modules.

task user
r to run this task as.

task host
t to run this task on.

If the current time is 2:15pm, the task will run at 2:30, 4:30pm, 6:30pm, 8:30pm, and so on.

31.3.3 Scheduling Per Day and Time

If you select daily task type, specify how many days are to elapse between each invocation of the module and the time of day (in 24:00 notation) of the invocation.

For example, to invoke the module every three days at 12:00pm, enter:

task type ☐ minutely ☐ hourly ☒ daily ☐ weekly ☐ monthly ☐ once

task period
How often this task should run (every n months, weeks, days, hours or minutes).

task start time
The starting time (in 24:00 notation) for this task.

31.3.4 Scheduling Per Week, Day, and Time

If you select weekly task type, specify how many weeks are to elapse between each invocation of the module, as well as one or more days of the week and time (in 24:00 notation) of the invocation.

For example, to invoke the module every other week, on Friday, at 5:00pm, enter:

The screenshot shows the 'task type' section with radio buttons for 'minutely', 'hourly', 'daily', 'weekly' (selected), 'monthly', and 'once'. The 'task period' is set to '2' with the instruction 'How often this task should run (every n months, weeks, days, hours or minutes)'. The 'days' section shows checkboxes for 'Monday', 'Tuesday', 'Wednesday', 'Thursday', 'Friday' (checked), 'Saturday', and 'Sunday', with the instruction 'The days on which this task occurs.'. The 'task start time' is set to '17:00' with the instruction 'The starting time (in 24:00 notation) for this task.'.

31.3.5 Scheduling Per Month, Day, and Time

If you select monthly task type, specify how many months are to elapse between each invocation of the module, as well as the day of the month and time (in 24:00 notation) of the invocation.

For example, to invoke the module every three months, on the 15th day of the month, at 8:00am, enter:

The screenshot shows the 'task type' section with radio buttons for 'minutely', 'hourly', 'daily', 'weekly', 'monthly' (selected), and 'once'. The 'task period' is set to '3' with the instruction 'How often this task should run (every n months, weeks, days, hours or minutes)'. The 'task month day' is set to '15' with a dropdown arrow. The 'task start time' is set to '8:00' with the instruction 'The starting time (in 24:00 notation) for this task.'.

31.3.6 Scheduling One Invocation on a Calendar Date and Time

If you select once task type, specify the calendar day (month/day/year) and time (in 24:00 notation) of the invocation.

For example, to invoke the module on May 2, 2009 at 6:00pm, enter:

task type	<input type="radio"/> minutely <input type="radio"/> hourly <input type="radio"/> daily <input type="radio"/> weekly <input type="radio"/> monthly <input checked="" type="radio"/> once
task start date	<input type="text" value="05/02/2009"/> The starting date (in MM/DD/YYYY notation) for this task.
task start time	<input type="text" value="18:00"/> The starting time (in 24:00 notation) for this task.

32.0 Using the Configuration Manager

[DEPRECATED: the Configuration Manager tool is deprecated starting with MarkLogic release 10.0-3 and will be removed from the MarkLogic Server in the future.]

The MarkLogic Server Configuration Manager provides a read-only interface to the Admin Interface and a tool for saving and restoring configuration settings. This chapter includes the following sections:

- [Configuration Manager Overview](#)
- [Security Considerations](#)
- [Accessing the Configuration Manager](#)
- [Viewing Configurations](#)
- [Searching for a Configuration Setting](#)
- [Editing Configuration Settings](#)
- [Exporting and Importing Configurations](#)
- [Applying Imported Configuration Settings](#)

32.1 Configuration Manager Overview

The Configuration Manager allows you to view the configuration settings for MarkLogic Server resources. A *resource* is a MarkLogic Server object, such as a database, forest, App Server, group or host.

Use the Configuration Manager to:

- Allow non-admin users read-only access to configuration settings for databases, forests, groups, hosts, and App Servers.
- Easily search for resources and configuration settings.
- Safely review settings in read-only mode, then jump directly to the resource in the Admin Interface to modify the settings. (Administrative privileges are required to modify settings).
- Save resource configurations as XML inside a zip folder.
- Import previously saved resource configurations. Importing a configuration allows you to compare versions and update configuration settings.
- View data available through the Management REST API.

For details about the Management REST API, see [Using the Management API](#) in the *Monitoring MarkLogic Guide*.

Note: The Packaging REST API has changed for MarkLogic 7. Applications written using the MarkLogic 6 Packaging REST API (v1) must be rewritten to work with the MarkLogic 7 Packaging REST API (v2).

32.2 Security Considerations

To access the Configuration Manager page, users must have the role `manage-user`. The role does not grant any privileges to modify configuration settings. Users with the `manage-user` role may:

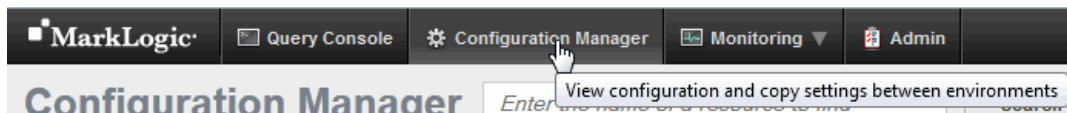
- Access the Configuration Manager pages to view resources and settings.
- Export configurations to an ZIP file (with the exception of modules databases).
- Import previously saved configurations into the Configuration Manager to view or compare them.

The `manage-user` role does not grant privileges to edit configuration settings, apply configuration changes from an imported configuration, or to export modules databases. To export the content of modules databases, you must have the `manage-admin` role. However, you won't be able to see modules that you don't have permissions to access. To install (Apply imported) packages, you must to have the `admin` privilege.

The `manage-internal` role is for MarkLogic Server internal use only. Do not assign this role to any users. Assigning this role to users would grant them privileges on the system that you typically do not want them to have.

32.3 Accessing the Configuration Manager

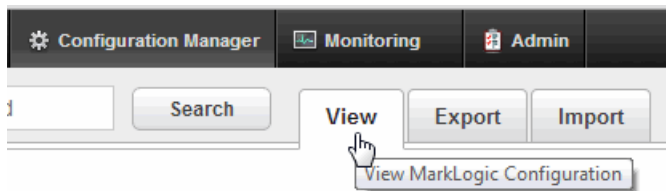
To access the Configuration Manager, navigate to the URL <http://yourhostname:8002/nav/> or click on the Configuration Manager tab from any application service page.



Note: If the application does not appear, you may not have sufficient privileges. To make full use of the Configuration Manager, you must have the `manage-admin` security role. See "Security Considerations" on page 448.

32.4 Viewing Configurations

To view the configuration settings for your MarkLogic Server resources, click on the View tab.



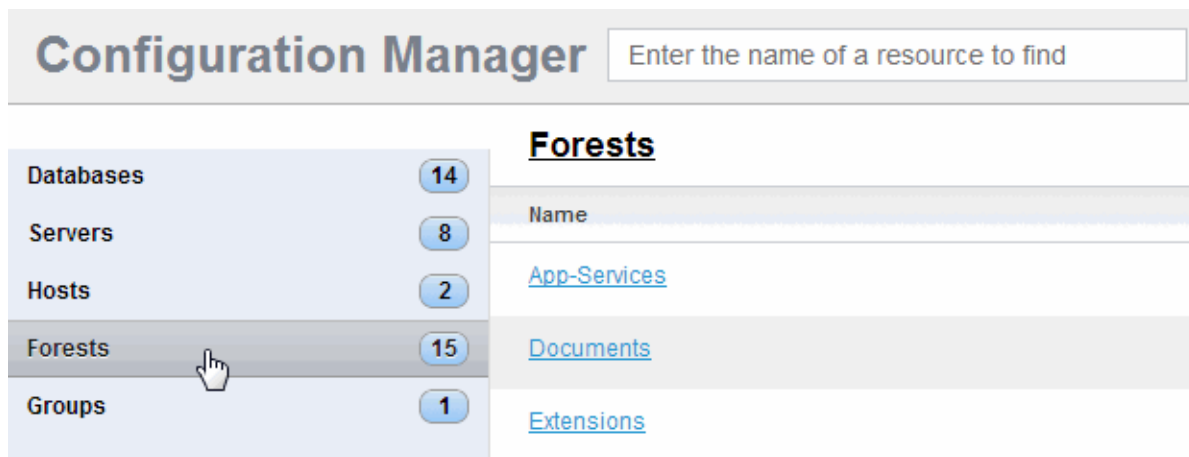
The Configuration Manager provides two methods for locating the configuration settings for a particular resource:

- [Browsing Resource Configurations](#)
- [Searching for a Resource](#)

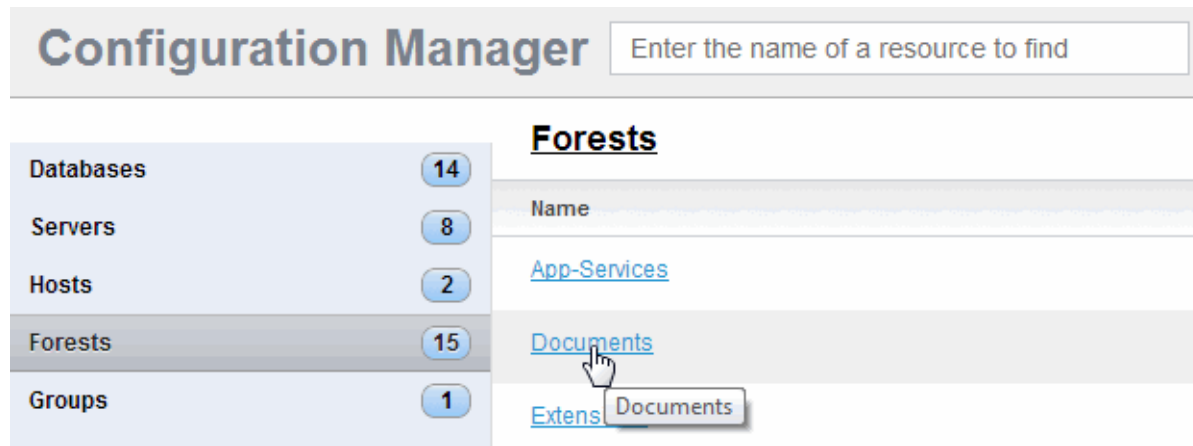
32.4.1 Browsing Resource Configurations

To find a resource and view its configuration by browsing:

1. Click on the resource category in the resource list on the left side of the Configuration Manager. The list of resources in the selected category appears. The number to the right of the resource category name indicates how many of that resource are present:

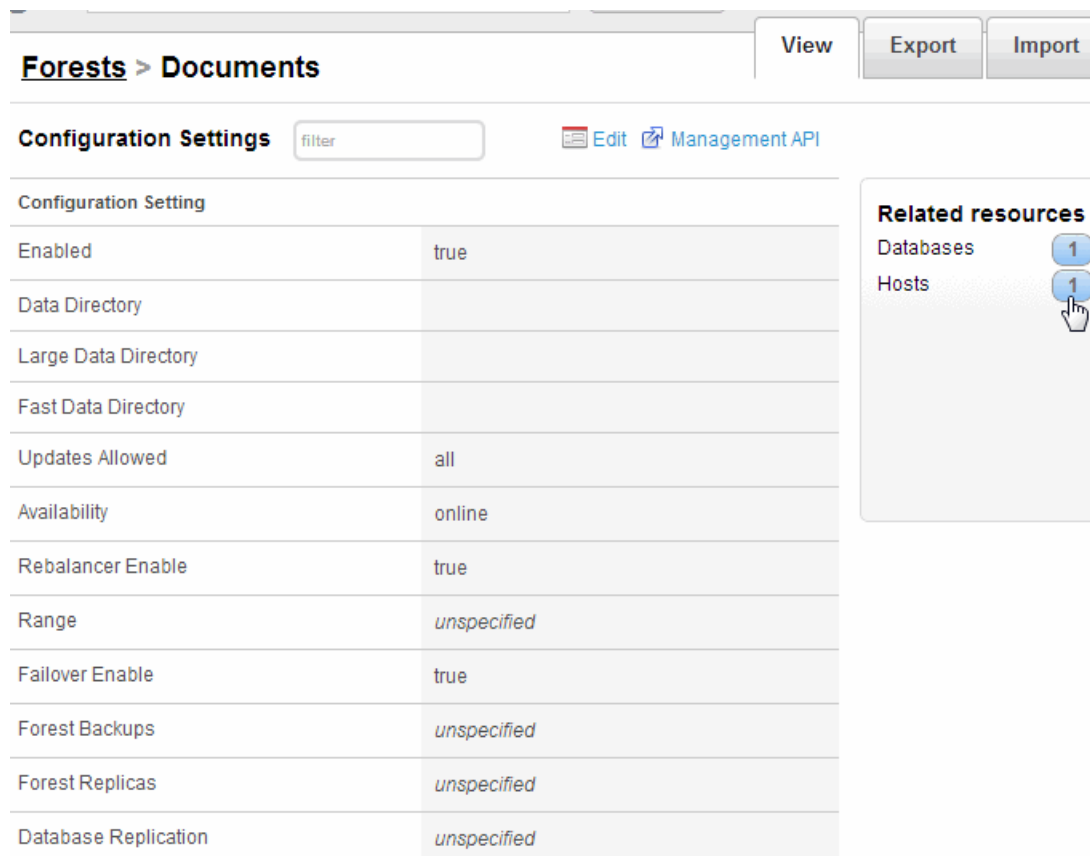


2. Click on the name of a resource to display the configuration:



- The Configuration Settings page displays the settings for the selected resource. In the right-hand frame, the resources that are related to the resource are also listed. You can click on a related resource to view its configuration.

Note: For forests with partitions, a Partitions link will be included under related resources.




- Some of the configuration settings are collapsed into containers. These are identified by an arrow preceding the container name. You can click on the arrow to expand the container to

display the details. For example, to view the forest range settings, you click on the arrow next to the Range container, as shown below:

Forests > 10-0002

Configuration Settings

[Edit](#)
[Management API](#)

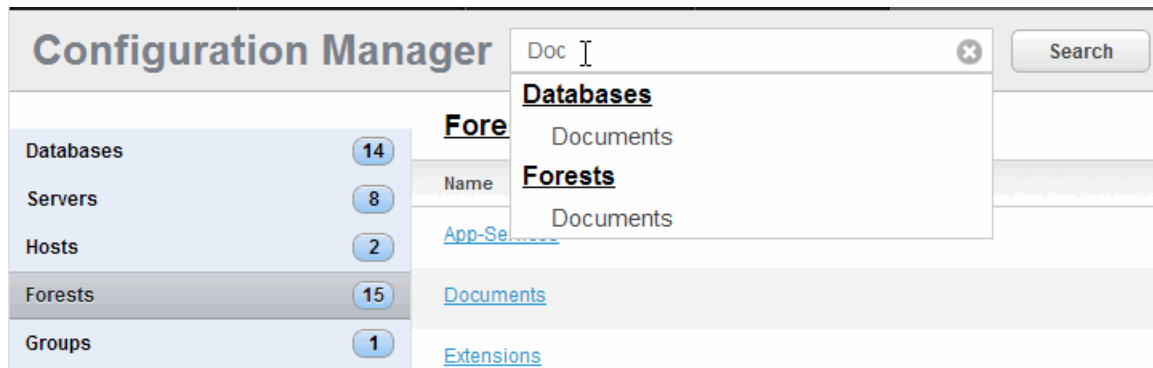
Configuration Setting	
Enabled	true
Data Directory	/tmp
Large Data Directory	
Fast Data Directory	
Updates Allowed	all
Availability	online
Rebalancer Enable	true
 Range	
Lower Bound	1
Upper Bound	10
Failover Enable	true
Forest Backups	unspecified
Forest Replicas	unspecified
Database Replication	unspecified

Related resources
Hosts 1

32.4.2 Searching for a Resource

To search for a resource by name, use the search box at the top of the page. As you type, the Configuration Manager suggests matching resources.

1. Click in the search box and begin typing the name of a resource. A dropdown of suggested search matches appears:

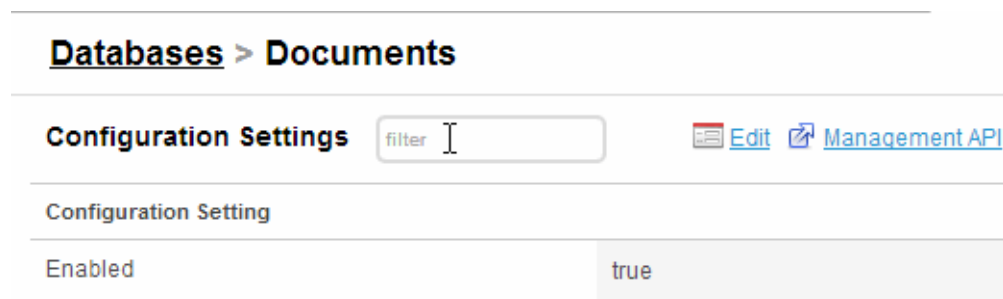


2. Click on a search suggestion in the dropdown list to bring up that configuration, or click the Search button to display a page of matching resources from which to select.

32.5 Searching for a Configuration Setting

You may search within a resource configuration for a setting name or value:



1. Navigate to the resource you wish to search. See "Viewing Configurations" on page 449.
2. Click in the filter box just above the settings.



3. Begin typing any part of a setting name or value. The configuration settings are filtered as you type. The matching text in each setting is highlighted.

Databases > Documents

Configuration Settings

 [Edit](#)
 [Management API](#)

Triple Index	false
Re index er Enable	true
Re index er Throttle	5
Re index er Timestamp	0
In Memory Range Index Size	2
In Memory Reverse Index Size	2
In Memory Triple Index Size	2
Range Index Optimize	facet-time
Index Detection	automatic

32.6 Editing Configuration Settings

Use the Edit feature to open the Admin UI at a particular resource and modify the settings. You may edit resource settings from a resource category list page or from the settings page for a resource.

Note: To edit configuration settings, you must have administrative privileges.

To edit configuration settings for a resource:

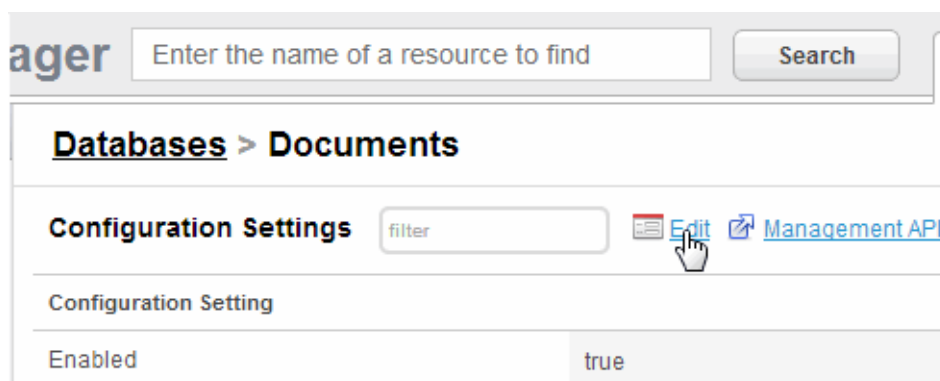
1. From a resource category list, click the edit icon to the right of the resource name. The Admin Interface opens to that resource.



2. MarkLogic Server includes a REST Management API for collecting resource monitoring and management data, as described in the [Using the Management API](#) chapter in the *Monitoring MarkLogic Guide*. Using the Configuration Manager, you can easily view the data available for a resource through the REST Management API as HTML, XML, or JSON. Though the information available in the Configuration Manager overlaps with the data available through the Management API, the Management API exposes additional data, such as status information. You can view the resource settings in the Management API by clicking on the Management API icon:



3. Links to the Admin Interface and Management API are also available on the resource Configuration Settings page. For example, the Edit icon at the top of a database Configuration Settings page opens the configuration page for that database in the Admin Interface.



32.7 Exporting and Importing Configurations

The Configuration Manager allows you to save configuration settings in a zip file, and then later import them to compare configurations and apply updates.

The Configuration Manager provides the following capabilities:

- [Exporting a Configuration](#)
- [Importing a Configuration](#)
- [Comparing Imported Configuration with Current Configuration](#)

Note: Security settings, such as SSL and External Security (LDAP and Kerberos) configurations, cannot be imported from an exported configuration file. If your exported configuration includes a server configured with SSL and/or External Security, you must reconfigure these security settings on the server after importing it to the new host.

Note: You can import a configuration saved in MarkLogic 6 into MarkLogic 7. However, you cannot import a configuration saved in MarkLogic 7 into MarkLogic 6.

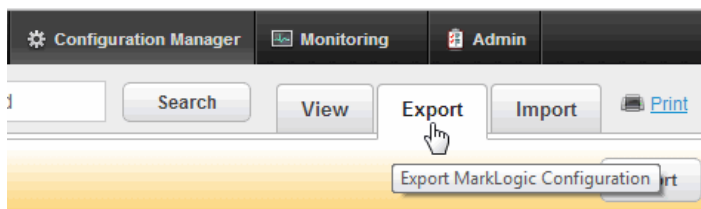
32.7.1 Exporting a Configuration

Exporting resource configurations saves them to a zip file. You may import these configurations back in to compare, review, and apply updates.

Note: You can only export App Server and Database configurations. You cannot export Host, Forest, or Group configurations. In addition, you cannot export an App Server or Database that has more than 200 characters in its name.

The following procedure describes how to export a configuration.

1. Navigate to the Configuration Manager and select the Export tab.



2. Select the App Servers and/or Databases for which you want to export the configurations. You can select all of the Databases and or Servers by checking the category name in the left-hand frame. For example, to select all of the Databases, do the following:



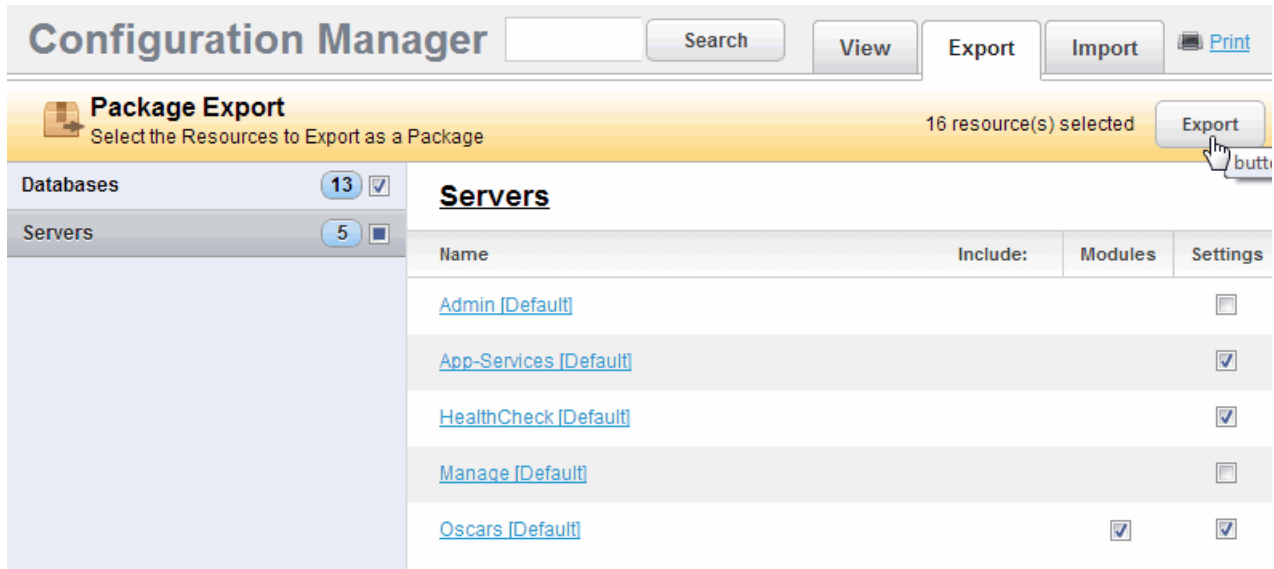
Note: A colored-in check box indicates that some, but not all, of the resources in that category have been selected. You can clear all of the selected resources by clicking on the check box until it's clear.

3. If an App Server makes use of a modules database, an additional check box appears in the Modules column. Check this box to export the modules database along with the App Server configuration.

Note: You must have the correct roles to export and import a modules database, as described in "Security Considerations" on page 448.

Servers			
Name	Include:	Modules	Settings
Admin [Default]			<input type="checkbox"/>
App-Services [Default]			<input checked="" type="checkbox"/>
HealthCheck [Default]			<input checked="" type="checkbox"/>
Manage [Default]			<input type="checkbox"/>
Oscars [Default]		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

4. After you have selected all of the configurations to be exported, click Export.



A zip file with a name format of `package{id}.zip` will be downloaded to your browser's download directory. A unique id is produced and added to the name of each exported zip file.

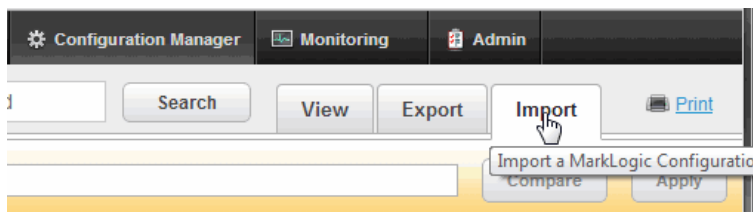
32.7.2 Importing a Configuration

Use the Import feature of the Configuration Manager to upload and apply previously exported configuration packages.

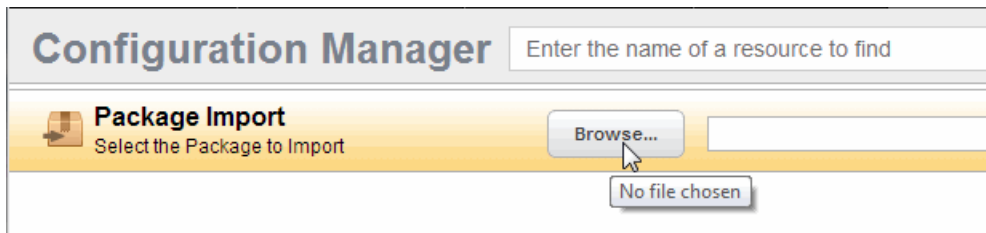
Importing a configuration loads the saved settings into the Configuration Manager. No automatic configuration changes occur. Once you import a configuration (or set of configurations), you may compare the settings with existing configurations and optionally apply configuration changes, as described in "Comparing Imported Configuration with Current Configuration" on page 458.

The following procedure describes how to import a configuration.

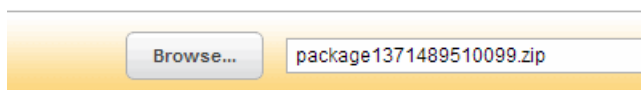
1. Navigate to the Configuration Manager and select the Import tab.



2. Click Browse and navigate to the location of the exported zip file.



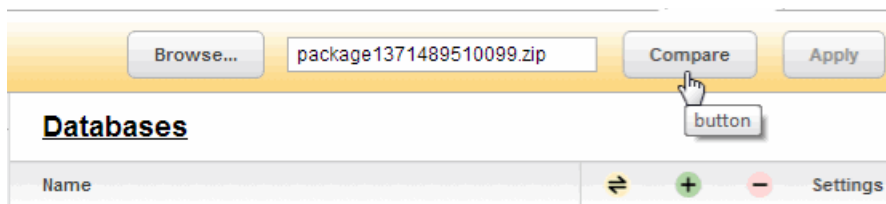
3. A pop-up directory window appears. Double click on the package name and the package appears in the field next to the Browse button.



32.7.3 Comparing Imported Configuration with Current Configuration

This section describes how to compare the imported configuration package with your current configuration and determine how the settings are to be applied to your current configuration.

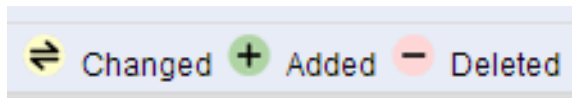
1. After importing a configuration, you can click the Compare button to compare the imported configuration with you current configuration.



- You will see a summary of the differences between your current configuration and the imported configuration. To view details of the differences for a resource, click on the resource, as shown for the Documents database below.

<div> <div>Browse...</div> <div>package1371573013943.zip</div> <div>Compare</div> <div>Apply</div> </div>				
Databases				
Name	⇒	+	−	Settings
Oscars-modules	0	0	0	<input checked="" type="checkbox"/>
Documents	6	1	0	<input checked="" type="checkbox"/>
Oscars	0	0	0	<input checked="" type="checkbox"/>

The meaning of the Settings symbols are shown in the legend at the bottom left-hand part of the page.



- The resource Configuration Settings page displays the settings for both the Current and Package configurations and highlights any differences and errors, if present. To view only the differences and errors, select Show Differences & Errors from the pull-down menu. To view only the errors, select Show Errors Only.

<div> <div>Browse...</div> <div>package1371573013943.zip</div> <div>Compare</div> <div>Apply</div> </div>				
Databases > Documents				
Configuration Settings		<div>filter</div> <div> <div>Show All</div> <div>Show All</div> <div>Show Differences & Errors</div> <div>Show Errors Only</div> </div>		
Configuration Setting	Current	Package		
Enabled	true	true	<input checked="" type="checkbox"/>	
Retired Forest Count	0	0	<input checked="" type="checkbox"/>	
Language	en	en	<input checked="" type="checkbox"/>	
Stemmed Searches	basic	basic	<input checked="" type="checkbox"/>	
Word Searches	false	false	<input checked="" type="checkbox"/>	
Word Positions	false	false	<input checked="" type="checkbox"/>	
Fast Phrase Searches	false	true	<input checked="" type="checkbox"/>	

4. By default, all of the packaged settings are imported to your current configuration. If you want to keep a setting in your current configuration that conflicts with the packaged configuration, click on the setting's Import box and uncheck to deselect the setting.

Databases > Documents

Configuration Settings Show Differences & Errors ▼

Configuration Setting	Current	Package	Import
Fast Phrase Searches	false	true	<input type="checkbox"/>
Element Word Positions	true	false	<input checked="" type="checkbox"/>
Field Value Positions	true	false	<input checked="" type="checkbox"/>
Uri Lexicon	false	true	<input checked="" type="checkbox"/>
Collection Lexicon	true	false	<input checked="" type="checkbox"/>
Maintain Last Modified	true	false	<input checked="" type="checkbox"/>

► Range Element Indexes + 1 - 0 Policy: Merge ▼ Import items: ☒ ☒

5. Some of the configuration settings are collapsed into containers. These are identified by an arrow preceding the container name. You can click on the arrow to expand the container to display the details. For example, to view the differences between the range element index settings, you click on the arrow next to the Range Element Indexes container, as shown below:

Browse... package1371573013943.zip Compare Apply

Databases > Documents

Configuration Settings Show Differences & Errors ▾

Configuration Setting	Current	Package	Import
Fast Phrase Searches	false	true	<input checked="" type="checkbox"/>
Element Word Positions	true	false	<input checked="" type="checkbox"/>
Field Value Positions	true	false	<input checked="" type="checkbox"/>
Uri Lexicon	false	true	<input checked="" type="checkbox"/>
Collection Lexicon	true	false	<input checked="" type="checkbox"/>
Maintain Last Modified	true	false	<input checked="" type="checkbox"/>

Range Element Indexes + 1 - 0 Policy: Merge ▾ Import items: ☒ ☒

6. You can set how the container settings are to be imported into your current configuration. Select the Merge policy if you want to import only additional settings. This policy ensures that only new settings are imported and no settings are removed from your current configuration.

▼ Range Element Indexes + 1 - 0 Policy: Merge ▾ Import items: ☒ ☒

Scalar Type	<setting not defined>	unsig	<div> Merge Replace </div> Select the policy for this setting container
Namespace Uri	<setting not defined>	http://marklogic.com/xdmp/dls	
Localname	<setting not defined>	version-id	
Collation	<setting not defined>	<setting not defined>	
Range Value Positions	<setting not defined>	<setting not defined>	
Invalid Values	<setting not defined>	reject	

7. If there are settings in your current configuration that are missing from the imported configuration, they are displayed when you select the Replace policy. The Replace policy will remove these settings from your current configuration.

▼ Range Element Indexes + 1 - 2 Policy: **Replace** Import items: ☒ ☒

Scalar Type	<setting not defined>	unsigned	<input checked="" type="checkbox"/>
Namespace Uri	<setting not defined>	http://marklogic.com/xdmp/dls	
Localname	<setting not defined>	version-id	
Collation	<setting not defined>	<setting not defined>	
Range Value Positions	<setting not defined>	<setting not defined>	
Invalid Values	<setting not defined>	reject	
Scalar Type	date	<setting not defined>	
Namespace Uri	<setting not defined>	<setting not defined>	
Localname	update-date	<setting not defined>	
Collation	<setting not defined>	<setting not defined>	
Range Value Positions	<setting not defined>	<setting not defined>	
Invalid Values	reject	<setting not defined>	
Scalar Type	int	<setting not defined>	
Namespace Uri	<setting not defined>	<setting not defined>	
Localname	seconds	<setting not defined>	
Collation	<setting not defined>	<setting not defined>	
Range Value Positions	<setting not defined>	<setting not defined>	
Invalid Values	reject	<setting not defined>	

32.8 Applying Imported Configuration Settings


Once you have selected the settings to be used to modify your current configuration, click the Apply button:

Databases > Documents

Configuration Settings

Configuration Setting	Current	Package	Import
Fast Phrase Searches	false	true	<input checked="" type="checkbox"/>
Element Word Positions	true	false	<input checked="" type="checkbox"/>
Field Value Positions	true	false	<input checked="" type="checkbox"/>

If the Apply Import operation is successful, a summary count of the imported settings is displayed. The import operation generated a ticket that provides the details of the imported resources. You can view this ticket by clicking on [Link to Details Ticket](#). Should you wish to roll back the import operation to restore your previous configuration, click on [Link to Rollback](#).

 **Package Import**
Select the Package to Import

Imported package applied successfully

Imported: package_2013-9-16_12-28-43.zip

- 0 settings changed
- 63 settings added
- 0 settings deleted

Details ticket: [link to details ticket](#)

Rollback: [link to rollback](#)

Note: Rolling back an import operation does not remove the imported forests.

33.0 Appendix A: 'Hot' versus 'Cold' Admin Tasks

“Hot” admin tasks are defined as tasks that take effect immediately and do not require the server to restart. “Cold” admin tasks are defined as tasks that require one or more instances of the server to restart to reflect the changes. Cold tasks have an asterisk (*) next to the setting in the Admin UI.

In a clustered deployment, “cold” tasks will require one or more hosts in the cluster to restart their instance of MarkLogic in order to reflect the changes. In an single-server deployments, “cold” tasks will cause MarkLogic to restart in order to reflect the changes.

The tables below show the “hot” or “cold” status for adding objects, changing configuration parameters, and dropping objects for the following object types:

- [Groups](#)
- [HTTP, ODBC, XDBC, and WebDAV Servers](#)
- [Databases](#)
- [Hosts](#)
- [Forests](#)
- [Mimetypes](#)
- [Security](#)

33.1 Groups

Add Object	Change Configuration Parameters	Delete Object
Hot	<p>The following group parameters are hot:</p> <ul style="list-style-type: none"> > group name > system log level > file log level > rotate log files > keep log files > namespaces > schemas <p>The following group parameters are cold for the hosts in the group:</p> <ul style="list-style-type: none"> > list cache size > compressed tree cache size > expanded tree cache size <p>Adding and dropping hosts from groups is cold for that host.</p>	Hot

33.2 HTTP, ODBC, XDBC, and WebDAV Servers

Add Object	Change Configuration Parameters	Delete Object
Hot	<p>The following App Server parameters are hot:</p> <ul style="list-style-type: none"> > server name > root > database > request timeout > keep alive timeout > session timeout > time limit > realm > security mode > namespaces > schemas > ssl certificate template > ssl hostname > ssl ciphers <p>The following App Server parameters are cold for all hosts in the group defining the HTTP, ODBC, XDBC, or WebDAV Server:</p> <ul style="list-style-type: none"> > port > address > backlog > threads > ssl enabled 	Cold

33.3 Databases

Add Object	Change Configuration Parameters	Delete Object
Hot	Parameters changes are hot	Hot

33.4 Hosts

Add Object	Change Configuration Parameters	Delete Object
Only the added host needs to restart	<p>Only the host whose parameters change requires a restart.</p> <p>The rest of the hosts remain hot.</p>	Hot for the remaining hosts;

33.5 Forests

Add Object	Change Configuration Parameters	Delete Object
Hot	Parameter changes are hot. Backup is hot. Restore, clear and drop are hot	Hot

33.6 Mimetypes

Add Object	Change Configuration Parameters	Delete Object
Cold	Parameter changes are cold.	Cold

33.7 Security

Add Object	Change Configuration Parameters	Delete Object
Hot	Parameter changes are hot.	Hot

34.0 Appendix B: Pre-defined Execute Privileges

The pre-defined execute privileges listed below are included with every installation of MarkLogic Server.

Name	Action URI	Description	Protects Function
add-query-rolesets	http://marklogic.com/xdmp/privileges/add-query-rolesets	privilege to add query rolesets	sec:add-query-rolesets
admin-database	http://marklogic.com/xdmp/privileges/admin/database	privilege to administer databases	admin built-ins
admin-default-read	http://marklogic.com/xdmp/privileges/admin/default-read	internal privilege to use the Admin API for reading configuration information	admin built-ins
admin-forest	http://marklogic.com/xdmp/privileges/admin/forest	privilege to administer forests	admin built-ins
admin-host	http://marklogic.com/xdmp/privileges/admin/host	privilege to administer hosts	admin built-ins
admin-app-server	http://marklogic.com/xdmp/privileges/admin/app-server	privilege to administer app-servers	admin built-ins
admin-app-server-security	http://marklogic.com/xdmp/privileges/admin/app-server-security	privilege to administer app-servers' security	admin built-ins
admin-group	http://marklogic.com/xdmp/privileges/admin/group	privilege to administer groups	admin built-ins
admin-group-security	http://marklogic.com/xdmp/privileges/admin/group-security	privilege to administer groups' security	admin built-ins
admin-cluster	http://marklogic.com/xdmp/privileges/admin/cluster	privilege to administer clusters	admin built-ins
admin-mimetype	http://marklogic.com/xdmp/privileges/admin/mimetypes	privilege to administer mimetypes	admin built-ins
admin-module-read	http://marklogic.com/xdmp/privileges/admin/module-read	privilege to use the Admin API for reading configuration information	admin built-ins

Name	Action URI	Description	Protects Function
admin-module-write	http://marklogic.com/xdmp/privileges/admin-module-write	privilege to use the Admin API for writing configuration information	admin built-ins
admin-ui	http://marklogic.com/xdmp/privileges/admin-ui	privilege to view the Admin Interface, but not to make changes	admin built-ins
amp-add-roles	http://marklogic.com/xdmp/privileges/amp-add-roles	privilege to assign additional roles to the amp	sec:amp-add-roles
amp-change-database	http://marklogic.com/xdmp/privileges/amp-change-database	privilege to assign additional roles to the amp	sec:amps-change-modules-database
amp-get-roles	http://marklogic.com/xdmp/privileges/amp-get-roles	privilege to get the roles associated with the amp	sec:amp-get-roles
amp-remove-roles	http://marklogic.com/xdmp/privileges/amp-remove-roles	privilege to remove roles assigned to the amp	sec:amp-remove-roles
amp-set-roles	http://marklogic.com/xdmp/privileges/amp-set-roles	privilege to set the roles associated with the amp	sec:amp-set-roles
any-collection	http://marklogic.com/xdmp/privileges/any-collection	privilege to add to or remove from any collection, regardless of whether it is protected	xdmp:document-add-collections, xdmp:document-remove-collections, xdmp:document-set-collections
any-transaction-locks	http://marklogic.com/xdmp/privileges/any-transaction-locks	privilege to see URIs currently locked for read or write by a transaction.	xdmp:transaction-locks
any-uri	http://marklogic.com/xdmp/privileges/any-uri	privilege to create a document with any uri, regardless of whether the uri is protected	xdmp:document-insert, xdmp:document-load, xdmp:load
app-builder	http://marklogic.com/xdmp/privileges/app-builder	privilege to use the Application Builder UI App Builder is no longer part of MarkLogic	
appservices-cache-server-fields	http://marklogic.com/xdmp/privileges/appservices-cache-server-fields		

Name	Action URI	Description	Protects Function
cancel-any-requests	http://marklogic.com/xdmp/privileges/cancel-any-requests	privilege to cancel requests issued by any user attempting to cancel a request	admin built-ins
cancel-my-requests	http://marklogic.com/xdmp/privileges/cancel-my-requests	privilege to cancel requests issued by the user attempting to cancel a request	admin built-ins
clang:read	http://marklogic.com/xdmp/privileges/custom-language-read	privilege to read custom language configuration specifications	clang:language-config-read
clang:write	http://marklogic.com/xdmp/privileges/custom-language-write	privilege to write custom language configuration specifications	clang:language-config-write
collection-add-permissions	http://marklogic.com/xdmp/privileges/collection-add-permissions	privilege to add permissions to a collection	sec:get-collections, sec:collection-add-permissions
collection-get-permissions	http://marklogic.com/xdmp/privileges/collection-get-permissions	privilege to get permissions on a collection	sec:collection-get-permissions
collection-remove-permissions	http://marklogic.com/xdmp/privileges/collection-remove-permissions	privilege to remove permissions from a collection	sec:get-collections, sec:collection-remove-permissions
collection-set-permissions	http://marklogic.com/xdmp/privileges/collection-set-permissions	privilege to set permissions on a collection	sec:get-collections, sec:collection-set-permissions
compartment-get-roles	http://marklogic.com/xdmp/privileges/compartment-get-roles	privilege to get roles on a compartment	sec:compartment-get-roles
complete-any-transactions	http://marklogic.com/xdmp/privileges/complete-any-transactions	privilege to use transaction built-ins for any transactions	xdmp:transaction-commit, xdmp:xa-complete
complete-my-transactions	http://marklogic.com/xdmp/privileges/complete-my-transactions	privilege to use transaction built-ins for transactions started by the current user	xdmp:transaction-commit, xdmp:xa-complete
count-builtins	http://marklogic.com/xdmp/privileges/counts	privilege to run xdmp:forest-counts	xdmp:forest-counts
create-amp	http://marklogic.com/xdmp/privileges/create-amp	privilege to create an amp	sec:create-amp

Name	Action URI	Description	Protects Function
create-credential	http://marklogic.com/xdmp/privileges/create-credential	privilege to create security credentials	sec:create-credential
create-data-role	http://marklogic.com/xdmp/privileges/create-data-role	privilege for non-admin users (with manage role) to create and manage roles	
create-data-user	http://marklogic.com/xdmp/privileges/create-data-user	privilege for non-admin users (with manage role) to create and manage users	
create-domain	http://marklogic.com/xdmp/privileges/create-domain	privilege to create domains	dom:create
create-external-security	http://marklogic.com/xdmp/privileges/create-external-security	privilege to create an external authentication configuration	sec:create-external-security
create-pipeline	http://marklogic.com/xdmp/privileges/create-pipeline	privilege to create a pipeline	p:insert p:create
create-privilege	http://marklogic.com/xdmp/privileges/create-privilege	privilege to create a privilege	sec:create-role
create-role	http://marklogic.com/xdmp/privileges/create-role	privilege to create a role	sec:create-role
create-trigger	http://marklogic.com/xdmp/privileges/create-trigger	privilege to create a trigger	trgr:create-trigger
create-user	http://marklogic.com/xdmp/privileges/create-user	privilege to create a user	sec:create-user
credential-get-certificate	http://marklogic.com/xdmp/privileges/credential-get-certificate	privilege to return the certificate for a credential	sec:credential-get-certificate
credential-get-description	http://marklogic.com/xdmp/privileges/credential-get-description	privilege to return the description of a credential	sec:credential-get-description
credential-get-id	http://marklogic.com/xdmp/privileges/credential-get-id	privilege to return the id of a credential	sec:credential-get-id
credential-get-password	http://marklogic.com/xdmp/privileges/credential-get-password	privilege to return the password for a credential	sec:credential-get-password

Name	Action URI	Description	Protects Function
credential-get-permissions	http://marklogic.com/xdmp/privileges/credential-get-permissions	privilege to return the permissions for a credential	sec:credential-get-permissions
credential-get-private-key	http://marklogic.com/xdmp/privileges/credential-get-private-key	privilege to return the private key for a credential	sec:credential-get-private-key
credential-get-signing	http://marklogic.com/xdmp/privileges/credential-get-signing	privilege to return the signing flag for a credential	sec:credential-get-signing
credential-get-targets	http://marklogic.com/xdmp/privileges/credential-get-targets	privilege to return the targets for a credential	sec:credential-get-targets
credential-get-username	http://marklogic.com/xdmp/privileges/credential-get-username	privilege to return the user name for a credential	sec:credential-get-username
credential-set-certificate	http://marklogic.com/xdmp/privileges/credential-set-certificate	privilege to update the certificate for a credential	sec:credential-set-certificate
credential-set-description	http://marklogic.com/xdmp/privileges/credential-set-description	privilege to update the description for a credential	sec:credential-set-description
credential-set-name	http://marklogic.com/xdmp/privileges/credential-set-name	privilege to update the name for a credential	sec:credential-set-name
credential-set-password	http://marklogic.com/xdmp/privileges/credential-set-password	privilege to update the password for a credential	sec:credential-set-password
credential-set-permissions	http://marklogic.com/xdmp/privileges/credential-set-permissions	privilege to update the permissions for a credential	sec:credential-set-permissions
credential-set-signing	http://marklogic.com/xdmp/privileges/credential-set-signing	privilege to update the signing flag for a credential	sec:credential-set-signing
credential-set-targets	http://marklogic.com/xdmp/privileges/credential-set-targets	privilege to update the targets for a credential	sec:credential-set-targets
credential-set-username	http://marklogic.com/xdmp/privileges/credential-set-username	privilege to update the user name for a credential	sec:credential-set-username
credentials-get-aws	http://marklogic.com/xdmp/privileges/credentials-get-aws	privilege to return the Amazon Web Services access key, secret key, and session token	sec:credentials-get-aws

Name	Action URI	Description	Protects Function
credentials-set-aws	http://marklogic.com/xdmp/privileges/credentials-set-aws	privilege to set the Amazon Web Services access key, secret key, and session token	sec:credentials-set-aws
cts-write-dictionary	http://marklogic.com/xdmp/privileges/cts-write-dictionary		
data-role-edit-<ROLEID>	<a href="http://marklogic.com/xdmp/privileges/data-role-edit-<ROLEID>">http://marklogic.com/xdmp/privileges/data-role-edit-<ROLEID>	internal privilege to edit a role created by non-admin user through create-data-role privilege	
data-role-inherit-<ROLEID>	<a href="http://marklogic.com/xdmp/privileges/data-role-inherit-<ROLEID>">http://marklogic.com/xdmp/privileges/data-role-inherit-<ROLEID>	internal privilege to inherit a role created by non-admin user through create-data-role privilege	
data-user-edit-<USERID>	<a href="http://marklogic.com/xdmp/privileges/data-user-edit-<USERID>">http://marklogic.com/xdmp/privileges/data-user-edit-<USERID>	internal privilege to track and manage a user created by non-admin user through create-data-user privilege	
database-node-query-rolesets	http://marklogic.com/xdmp/privileges/database-node-query-rolesets	privilege to return a sequence of query-rolesets	xdmp:database-node-query-rolesets
debug-any-requests	http://marklogic.com/xdmp/privileges/debug-any-requests	privilege to debug all requests from any user	debug built-ins
debug-my-requests	http://marklogic.com/xdmp/privileges/debug-my-requests	privilege to debug your own requests	debug buit-ins
dls-admin	http://marklogic.com/xdmp/privileges/dls-admin	privilege to configure the Library Services	dls:break-checkout, dls:retention-rule, dls:retention-rule-insert, dls:retention-rule-remove

Name	Action URI	Description	Protects Function
dls-user	http://marklogic.com/xdmp/privileges/dls-user	privilege to use the Library Services	dls:as-of-query dls:author-query dls:document-add-collection dls:document-add-permissions dls:document-add-properties dls:document-checkin dls:document-checkout dls:document-checkout-status dls:document-delete dls:document-extract-part dls:document-get-permissions dls:document-history dls:document-include-query dls:document-insert-and-manage dls:document-is-managed dls:document-manage dls:document-purge dls:document-remove-collections dls:document-remove-permissions dls:document-remove-properties dls:document-retention-rules dls:document-set-collections dls:document-set-permissions dls:document-set-properties dls:document-set-property dls:document-set-quality dls:document-unmanage dls:document-update dls:document-version dls:document-version-as-of dls:document-version-delete dls:document-version-query dls:document-version-uri dls:document-versions-query dls:documents-query dls:link-expand dls:link-references dls:node-expand dls:purge dls:retention-rules

Name	Action URI	Description	Protects Function
ec2-http-protected	http://marklogic.com/xdmp/privileges/ec2-http-protected		
environment-ui	http://marklogic.com/xdmp/privileges/environment-ui		
external-security-clear-cache	http://marklogic.com/xdmp/privileges/external-security-clear-cache	privilege to clear the login cache in an external authorization configuration object	sec:external-security-clear-cache
external-security-get-authentication	http://marklogic.com/xdmp/privileges/external-security-get-authentication	privilege to return the authentication protocol set in an external authorization configuration object	sec:external-security-get-authentication
external-security-get-authorization	http://marklogic.com/xdmp/privileges/external-security-get-authorization	privilege to return the authorization scheme set in an external authorization configuration object	sec:external-security-get-authorization
external-security-get-cache-timeout	http://marklogic.com/xdmp/privileges/external-security-get-cache-timeout	privilege to return the login cache timeout set in an external authorization configuration object	sec:external-security-get-cache-timeout
external-security-get-description	http://marklogic.com/xdmp/privileges/external-security-get-description	privilege to return the description set in an external authorization configuration object	sec:external-security-get-description
external-security-get-http-option	http://marklogic.com/xdmp/privileges/external-security-get-http-option	privilege to return the http options set in an external authorization configuration object	sec:external-security-get-http-options
external-security-get-ldap-attribute	http://marklogic.com/xdmp/privileges/external-security-get-ldap-attribute	privilege to return the LDAP attribute for user lookup set in an external authorization configuration object	sec:external-security-get-ldap-attribute
external-security-get-ldap-base	http://marklogic.com/xdmp/privileges/external-security-get-ldap-base	privilege to return the LDAP base for user lookup set in an external authorization configuration object	sec:external-security-get-ldap-base
external-security-get-ldap-bind-method	http://marklogic.com/xdmp/privileges/external-security-get-ldap-bind-method	privilege to return the bind method set in an external authorization configuration object	sec:external-security-get-ldap-bind-method

Name	Action URI	Description	Protects Function
external-security-get-ldap-default-user	http://marklogic.com/xdmp/privileges/external-security-get-ldap-default-user	privilege to return the default LDAP user name set in an external authorization configuration object	sec:external-security-get-ldap-default-user
external-security-get-ldap-member-attribute	http://marklogic.com/xdmp/privileges/external-security-get-ldap-member-attribute	privilege to return the member attribute set in an external authorization configuration object	sec:external-security-get-ldap-member-attribute
external-security-get-ldap-memberof-attribute	http://marklogic.com/xdmp/privileges/external-security-get-ldap-memberof-attribute	privilege to return the memberof attribute set in an external authorization configuration object	sec:external-security-get-ldap-memberof-attribute
external-security-get-ldap-server-uri	http://marklogic.com/xdmp/privileges/external-security-get-ldap-server-uri	privilege to return the LDAP server uri set in an external authorization configuration object	sec:external-security-get-ldap-server-uri
external-security-get-saml-attribute-names	http://marklogic.com/xdmp/privileges/external-security-get-saml-attribute-names	privilege to return the SAML attribute names set in an external authorization configuration object	sec:external-security-get-saml-attribute-names
external-security-get-saml-entity-id	http://marklogic.com/xdmp/privileges/external-security-get-saml-entity-id	privilege to return the SAML entity id set in an external authorization configuration object	sec:external-security-get-saml-entity-id
external-security-get-saml-privilege-attribute-name	http://marklogic.com/xdmp/privileges/external-security-get-saml-privilege-attribute-name	privilege to return the SAML privilege attribute name set in an external authorization configuration object	sec:external-security-get-saml-privilege-attribute-name
external-security-get-ssl-client-certificate-authorities	http://marklogic.com/xdmp/privileges/external-security-get-ssl-client-certificate-authorities	privilege to return the external security's SSL client certificate authorities set in an external authorization configuration object	sec:external-security-get-ssl-client-certificate-authorities
external-security-get-ssl-require-client-certificate	http://marklogic.com/xdmp/privileges/external-security-get-ssl-require-client-certificate	privilege to return the external security's SSL require client certificate flag set in an external authorization configuration object	sec:external-security-get-ssl-require-client-certificate
external-security-set-authentication	http://marklogic.com/xdmp/privileges/external-security-set-authentication	privilege to set the authentication protocol in an external authorization configuration object	sec:external-security-set-authentication
external-security-set-authorization	http://marklogic.com/xdmp/privileges/external-security-set-authorization	privilege to set the authorization scheme in an external authorization configuration object	sec:external-security-set-authorization

Name	Action URI	Description	Protects Function
external-security-set-cache-timeout	http://marklogic.com/xdmp/privileges/external-security-set-cache-timeout	privilege to set the login cache timeout in an external authorization configuration object	sec:external-security-set-cache-timeout
external-security-set-description	http://marklogic.com/xdmp/privileges/external-security-set-description	privilege to set the description in an external authorization configuration object	sec:external-security-set-description
external-security-set-http-options	http://marklogic.com/xdmp/privileges/external-security-set-http-options	privilege to set the http options in an external authorization configuration object	sec:external-security-set-http-options
external-security-set-ldap-attribute	http://marklogic.com/xdmp/privileges/external-security-set-ldap-attribute	privilege to set the LDAP attribute for user lookup in an external authorization configuration object	sec:external-security-set-ldap-attribute
external-security-set-ldap-base	http://marklogic.com/xdmp/privileges/external-security-set-ldap-base	privilege to set the LDAP base for user lookup in an external authorization configuration object	sec:external-security-set-ldap-base
external-security-set-ldap-bind-method	http://marklogic.com/xdmp/privileges/external-security-set-ldap-bind-method	privilege to set the bind method in an external authorization configuration object	sec:external-security-set-ldap-bind-method
external-security-set-ldap-default-user	http://marklogic.com/xdmp/privileges/external-security-set-ldap-default-user	privilege to set the default user name in an external authorization configuration object	sec:external-security-set-ldap-default-user
external-security-set-ldap-member-attribute	http://marklogic.com/xdmp/privileges/external-security-set-ldap-member-attribute	privilege to set the member LDAP attribute in an external authorization configuration object	sec:external-security-set-ldap-member-attribute
external-security-set-ldap-memberof-attribute	http://marklogic.com/xdmp/privileges/external-security-set-ldap-memberof-attribute	privilege to set the memberof LDAP attribute in an external authorization configuration object	sec:external-security-set-ldap-memberof-attribute
external-security-set-ldap-password	http://marklogic.com/xdmp/privileges/external-security-set-ldap-password	privilege to set the default user password in an external authorization configuration object	sec:external-security-set-ldap-password
external-security-set-ldap-server-uri	http://marklogic.com/xdmp/privileges/external-security-set-ldap-server-uri	privilege to set the LDAP server uri in an external authorization configuration object	sec:external-security-set-ldap-server-uri

Name	Action URI	Description	Protects Function
external-security-set-name	http://marklogic.com/xdmp/privileges/external-security-set-name	privilege to set the name of an external authorization configuration object	sec:external-security-set-name
external-security-set-saml-attribute-names	http://marklogic.com/xdmp/privileges/external-security-set-saml-attribute-names	privilege to set SAML attribute names used by other security objects to identify a SAML configuration	sec:external-security-set-saml-attribute-names
external-security-set-saml-entity-id	http://marklogic.com/xdmp/privileges/external-security-set-saml-entity-id	privilege to set the SAML entity ID used by other security objects to identify a SAML configuration	sec:external-security-set-saml-entity-id
external-security-set-saml-privilege-attribute-name	http://marklogic.com/xdmp/privileges/external-security-set-saml-privilege-attribute-name	privilege to set the SAML privilege attribute name in a SAML configuration	sec:external-security-set-saml-privilege-attribute-name
external-security-set-ssl-client-certificate-authorities	http://marklogic.com/xdmp/privileges/external-security-set-ssl-client-certificate-authorities	privilege to set the SSL client certificate authorities in an external authorization configuration object	sec:external-security-set-ssl-client-certificate-authorities
external-security-set-ssl-require-client-certificate	http://marklogic.com/xdmp/privileges/external-security-set-ssl-require-client-certificate	privilege to set the SSL require client certificate flag in an external authorization configuration object	sec:external-security-set-ssl-require-client-certificate
flexrep-admin	http://marklogic.com/xdmp/privileges/flexrep-admin	privilege to administer flexible replication	flexible replication functions
flexrep-internal	http://marklogic.com/xdmp/privileges/flexrep-internal	used for amping flexible replication functions	flexible-internal
flexrep-user	http://marklogic.com/xdmp/privileges/flexrep-user	privilege to use flexible replication	flexible replication user functions
forget-any-xa-transactions	http://marklogic.com/xdmp/privileges/forget-any-xa-transactions	privilege to run built-in to forget XA transactions for any transactions	xdmp:xa-forget, xdmp:xq-forget-xid
forget-my-xa-transactions	http://marklogic.com/xdmp/privileges/forget-my-xa-transactions	privilege to run built-in to forget XA transactions for the user's transactions	xdmp:xa-forget, xdmp:xq-forget-xid
get-amp	http://marklogic.com/xdmp/privileges/get-amp	privilege to get an amp	sec:get-amp

Name	Action URI	Description	Protects Function
get-an-admin-user-id	http://marklogic.com/xdmp/privileges/get-an-admin-user-id	privilege to get an admin user id	
get-appserver-logs	http://marklogic.com/xdmp/privileges/logs/appserver	privilege to get App Server logs	
get-compartments	http://marklogic.com/xdmp/privileges/get-compartments	privilege to get a the compartments	sec:get-compartments
get-credential	http://marklogic.com/xdmp/privileges/get-credential	privilege to get a PEM encoded X509 certificate	sec:get-credential
get-credential-by-id	http://marklogic.com/xdmp/privileges/get-credential-by-id	privilege to get a PEM encoded X509 certificate	
get-credential-ids	http://marklogic.com/xdmp/privileges/get-credential-ids	privilege to get all of the credential IDs in the security database	
get-credential-names	http://marklogic.com/xdmp/privileges/get-credential-names	privilege to get all of the credential names in the security database	
get-credentials-encoded-kek	http://marklogic.com/xdmp/privileges/get-credentials-encoded-kek		
get-logs	http://marklogic.com/xdmp/privileges/logs	privilege to get logs	
get-privilege	http://marklogic.com/xdmp/privileges/get-privilege	privilege to get a privilege from action uri and type	sec:get-privilege
get-role-ids	http://marklogic.com/xdmp/privileges/get-role-ids	privilege to get role ids	internal functions
get-role-names	http://marklogic.com/xdmp/privileges/get-role-names	privilege to get role names	internal functions
get-saml-entity-ids	http://marklogic.com/xdmp/privileges/get-saml-entity-ids	privilege to get the SAML entity ids stored in the Security database	sec:get-saml-entity-ids
get-system-logs	http://marklogic.com/xdmp/privileges/logs/system	privilege to get system logs	
get-taskserver-logs	http://marklogic.com/xdmp/privileges/logs/taskserver	privilege to get taskserver logs	

Name	Action URI	Description	Protects Function
get-user-names	http://marklogic.com/xdmp/privileges/get-user-names	privilege to get user names	sec:get-user-names
grant-all-roles	http://marklogic.com/xdmp/privileges/grant-all-roles	privilege to grant a user all roles. Either grant-all-roles or grant-my-roles would be needed by functions that assign roles.	sec:create-user, sec:user-set-roles, sec:user-add-roles, sec:user-remove-roles, sec:create-role, sec:role-set-roles, sec:role-add-roles, sec:role-remove-roles, sec:remove-role-from-roles, sec:remove-role-from-privileges, sec:remove-role-from-amps, sec:create-role, sec:privilege-set-roles, sec:privilege-add-roles, sec:privilege-remove-roles, sec:create-amp, sec:amp-set-roles, sec:amp-add-roles, sec:amp-remove-roles
grant-my-roles	http://marklogic.com/xdmp/privileges/grant-my-roles	privilege to grant a user my roles. Either grant-all-roles or grant-my-roles would be needed by functions that assign roles.	sec:create-user, sec:user-set-roles, sec:user-add-roles, sec:user-remove-roles, sec:create-role, sec:role-set-roles, sec:role-add-roles, sec:role-remove-roles, sec:remove-role-from-roles, sec:remove-role-from-privileges, sec:remove-role-from-amps, sec:create-role, sec:privilege-set-roles, sec:privilege-add-roles, sec:privilege-remove-roles, sec:create-amp, sec:amp-set-roles, sec:amp-add-roles, sec:amp-remove-roles

Name	Action URI	Description	Protects Function
hadoop-user-read	http://marklogic.com/xdmp/privileges/hadoop-user-read	privilege to use MarkLogic Server as an input for a Hadoop MapReduce job that reads data from MarkLogic Server.	Java APIs in the Hadoop package.
hadoop-user-write	http://marklogic.com/xdmp/privileges/hadoop-user-write	privilege to use MarkLogic Server as an input for a Hadoop MapReduce job that writes data from MarkLogic Server	Java APIs in the Hadoop package.
healthcheck	http://marklogic.com/xdmp/privileges/healthcheck	privilege to use the HealthCheck App Server	
infostudio	http://marklogic.com/xdmp/privileges/infostudio	privilege to use Information Studio Information Studio is no longer part of MarkLogic	Information Studio functions
java	http://marklogic.com/xdmp/privileges/java		
manage	http://marklogic.com/xdmp/privileges/manage	privilege to run the Management API	For example non-admin users can use manage role plus create-data-role/ create-data-user granular privilege to manage roles/ users created by data users or granted. package:add-database, package:add-appserver, All of the resource addresses in the Management API
manage-admin	http://marklogic.com/xdmp/privileges/manage-admin	privilege to use the manage REST APIs	
my-transaction-locks	http://marklogic.com/xdmp/privileges/my-transaction-locks	privilege to return URIs currently locked for read or write by a transaction	xdmp:transaction-locks
native-plugin	http://marklogic.com/xdmp/privileges/native-plugin		
node-query-rolesets	http://marklogic.com/xdmp/privileges/node-query-rolesets	privilege to return query-rolesets	xdmp:node-query-rolesets

Name	Action URI	Description	Protects Function
odbc:eval	http://marklogic.com/xdmp/privileges/odbc-eval	privilege to execute eval statements from odbc	xdmp:eval
odbc:eval-in	http://marklogic.com/xdmp/privileges/odbc-eval-in	privilege to execute eval-in statements from odbc	xdmp:eval-in
odbc:eval-modules-change	http://marklogic.com/xdmp/privileges/odbc-eval-modules-change	privilege to execute eval statements that change a modules database from odbc	xdmp:eval
odbc:eval-modules-change-file	http://marklogic.com/xdmp/privileges/odbc-eval-modules-change-file	privilege to execute eval statements that change a filesystem root from odbc	xdmp:eval
odbc:insert	http://marklogic.com/xdmp/privileges/odbc-insert	privilege to execute insert statements from odbc	odbc inserts
odbc:insert-in	http://marklogic.com/xdmp/privileges/odbc-insert-in	privilege to execute insert statements from odbc	odbc inserts into another database
odbc:invoke	http://marklogic.com/xdmp/privileges/odbc-invoke	privilege to execute invoke statements from odbc	odbc invokes
odbc:invoke-in	http://marklogic.com/xdmp/privileges/odbc-invoke-in	privilege to execute invoke statements from odbc	odbc invokes into another database
odbc:invoke-modules-change	http://marklogic.com/xdmp/privileges/odbc-invoke-modules-change	privilege to execute invoke statements that change a modules database from odbc	odbc invokes that change the modules database
odbc:invoke-modules-change-file	http://marklogic.com/xdmp/privileges/odbc-invoke-modules-change-file	privilege to execute invoke statements that change a filesystem root from odbc	odbc invokes that change the filesystem root
odbc:spawn	http://marklogic.com/xdmp/privileges/odbc-spawn	privilege to execute spawn statements from odbc	odbc spawns
odbc:spawn-in	http://marklogic.com/xdmp/privileges/odbc-spawn-in	privilege to execute spawn statements from odbc	odbc spawns into another database
odbc:spawn-modules-change	http://marklogic.com/xdmp/privileges/odbc-spawn-modules-change	privilege to execute spawn statements that change a modules database from odbc	odbc spawn that change the modules database

Name	Action URI	Description	Protects Function
odbc:spawn-modules-change-file	http://marklogic.com/xdmp/privileges/odbc-spawn-modules-change-file	privilege to execute spawn statements that change a filesystem root from odbc	odbc spawn that change the filesystem root
path-add-permissions	http://marklogic.com/xdmp/privileges/path-add-permissions	privilege to add permissions for a protected path	sec:path-add-permissions
path-get-permissions	http://marklogic.com/xdmp/privileges/path-get-permissions	privilege to return permissions for a protected path	sec:path-get-permissions
path-remove-permissions	http://marklogic.com/xdmp/privileges/path-remove-permissions	privilege to remove permissions for a protected path	sec:path-remove-permissions
path-set-permissions	http://marklogic.com/xdmp/privileges/path-set-permissions	privilege to set permissions for a protected path	sec:path-set-permissions

Name	Action URI	Description	Protects Function
<p>pki</p>	<p>http://marklogic.com/xdmp/privileges/pki</p>	<p>privilege to use the PKI functions.</p>	<p> pki:create-template, pki:delete-certificate, pki:delete-template , pki:generate-certificate-requ est, pki:generate-template-certifi cate-authority , pki:generate-temporary-certif icate, pki:generate-temporary-certif icate-if-necessary, pki:get-certificate, pki:get-certificate-pem, pki:get-certificate-xml , pki:get-certificates, pki:get-certificates-for-templ ate , pki:get-certificates-for-templ ate-xml, pki:get-pending-certificate-re quest, pki:get-pending-certificate-re quests-pem , pki:get-pending-certificate-re quests-xml, pki:get-template, pki:get-template-by-name, pki:get-template-certificate-a uthority pki:get-template-ids, pki:get-trusted-certificate-ids, pki:insert-certificate-revocati on-list, pki:insert-signed-certificates, pki:insert-template, pki:insert-trusted-certificates, pki:is-temporary, pki:need-certificate, pki:template-get-description, pki:template-get-id, pki:template-get-key-options, pki:template-get-key-type, pki:template-get-name, pki:template-get-request, pki:template-get-version, pki:template-in-use, pki:template-set-description, pki:template-set-key-options, pki:template-set-key-type, pki:template-set-name, pki:template-set-request </p>

Name	Action URI	Description	Protects Function
plugin-register	http://marklogic.com/xdmp/privileges/plugin-register	privilege to use the plugin API	plugin:register
plugin-server-fields	http://marklogic.com/xdmp/privileges/plugin-server-fields	privilege to use the plugin API	Used by the plugin API
prepare-any-xa-transactions	http://marklogic.com/xdmp/privileges/prepare-any-xa-transactions	privilege to run built-in to prepare XA transactions for any transactions	xdmp:xa-prepare
prepare-my-xa-transactions	http://marklogic.com/xdmp/privileges/prepare-my-xa-transactions	privilege to run built-in to prepare XA transactions for the user's transactions	xdmp:xa-prepare
privilege-add-roles	http://marklogic.com/xdmp/privileges/privilege-add-roles	privilege to assign the privilege to additional roles	sec:privilege-add-roles
privilege-get-roles	http://marklogic.com/xdmp/privileges/privilege-get-roles	privilege to get all roles associated with a privilege	sec:privilege-get-roles
privilege-remove-roles	http://marklogic.com/xdmp/privileges/privilege-remove-roles	privilege to remove privilege from roles to which it is assigned	sec:privilege-remove-roles
privilege-set-name	http://marklogic.com/xdmp/privileges/privilege-set-name	privilege to set a privilege's name	sec:privilege-set-name
privilege-set-roles	http://marklogic.com/xdmp/privileges/privilege-set-roles	privilege to set roles associated with a privilege	sec:privilege-set-roles
profile-any-requests	http://marklogic.com/xdmp/privileges/profile-any-requests	privilege to profile requests initiated by any user	prof:enable and other profile APIs
profile-my-requests	http://marklogic.com/xdmp/privileges/profile-my-requests	privilege to profile requests initiated by the user running the request from which profiling is called	prof:enable and other profile APIs
protect-collection	http://marklogic.com/xdmp/privileges/protect-collection	privilege to make a new or existing collection protected	sec:protect-collection
protect-path	http://marklogic.com/xdmp/privileges/protect-path	privilege to protect a path	sec:protect-path
qconsole	http://marklogic.com/xdmp/privileges/qconsole	privilege to run Query Console	

Name	Action URI	Description	Protects Function
redaction-user	http://marklogic.com/xdmp/privileges/redaction-user	privilege to validate and set redaction rules	rdt:rule-validate rdt:redact
remove-amp	http://marklogic.com/xdmp/privileges/remove-amp	privilege to remove an amp from the security database	sec:remove-amp
remove-credential	http://marklogic.com/xdmp/privileges/remove-credential	privilege to remove credentials	sec:remove-credential
remove-credential-by-id	http://marklogic.com/xdmp/privileges/remove-credential-by-id	privilege to remove credentials	sec:remove-credential-by-id
remove-external-security	http://marklogic.com/xdmp/privileges/remove-external-security	privilege to remove external authentication configuration objects	sec:remove-external-security
remove-path	http://marklogic.com/xdmp/privileges/remove-path	privilege to remove protection from protected paths	sec:remove-path
remove-privilege	http://marklogic.com/xdmp/privileges/remove-privilege	privilege to remove a privilege from the security database	sec:remove-privilege
remove-query-rolesets	http://marklogic.com/xdmp/privileges/remove-query-rolesets	privilege to remove query rolesets from the Security database	sec:remove-query-rolesets
remove-role	http://marklogic.com/xdmp/privileges/remove-role	privilege to remove a role from the security database	sec:remove-role
remove-role-from-amps	http://marklogic.com/xdmp/privileges/remove-role-from-amps	privilege to remove a role from all amps in the security database	sec:remove-role-from-amps
remove-role-from-privileges	http://marklogic.com/xdmp/privileges/remove-role-from-privileges	privilege to remove a role from all privileges in the security database	sec:remove-role-from-privileges
remove-role-from-roles	http://marklogic.com/xdmp/privileges/remove-role-from-roles	privilege to remove a role from all roles in the security database	sec:remove-role-from-roles
remove-role-from-users	http://marklogic.com/xdmp/privileges/remove-role-from-users	privilege to remove a role from all users in the security database	sec:remove-role-from-users
remove-user	http://marklogic.com/xdmp/privileges/remove-user	privilege to remove a user from the security database	sec:remove-user
rest-admin	http://marklogic.com/xdmp/privileges/rest-admin	privilege to perform administrative tasks using the REST API	REST APIs

Name	Action URI	Description	Protects Function
rest-reader	http://marklogic.com/xdmp/privileges/rest-reader	privilege to perform read operations using the REST API	REST APIs
rest-tracer	http://marklogic.com/xdmp/privileges/rest-tracer		
rest-writer	http://marklogic.com/xdmp/privileges/rest-writer	privilege to perform update tasks using the REST API	REST APIs
role-add-roles	http://marklogic.com/xdmp/privileges/role-add-roles	privilege to add roles to the roles of a specified role	sec:role-add-roles
role-exists	http://marklogic.com/xdmp/privileges/role-get-role	privilege to find out if a role exists	sec:role-exists
role-get-compartment	http://marklogic.com/xdmp/privileges/role-get-compartment	privilege to get a role's compartment	sec:role-get-compartment
role-get-default-collections	http://marklogic.com/xdmp/privileges/role-get-default-collections	privilege to get a role's default collections	sec:role-get-default-collections
role-get-default-permissions	http://marklogic.com/xdmp/privileges/role-get-default-permissions	privilege to get a role's default permissions	sec:role-get-default-permissions
role-get-description	http://marklogic.com/xdmp/privileges/role-get-description	privilege to get a role's description	sec:role-get-description
role-get-external-names	http://marklogic.com/xdmp/privileges/role-get-external-names	privilege to get a role's external LDAP group names	sec:role-get-external-names
role-get-roles	http://marklogic.com/xdmp/privileges/role-get-roles	privilege to get all the roles included in the specified role	sec:role-get-roles
role-privileges	http://marklogic.com/xdmp/privileges/role-privileges	privilege to get all the privileges for a given role	sec:role-privileges
role-remove-roles	http://marklogic.com/xdmp/privileges/role-remove-roles	privilege to remove roles from the roles of a specified role	sec:role-remove-roles
role-set-default-collections	http://marklogic.com/xdmp/privileges/role-set-default-collections	privilege to set a role's default collections	sec:role-set-default-collections
role-set-default-permissions	http://marklogic.com/xdmp/privileges/role-set-default-permissions	privilege to set a role's default permissions	sec:role-set-default-permissions

Name	Action URI	Description	Protects Function
role-set-description	http://marklogic.com/xdmp/privileges/role-set-description	privilege to set a role's name	sec:role-set-description
role-set-external-names	http://marklogic.com/xdmp/privileges/role-set-external-names	privilege to set external LDAP distinguished names for a role	sec:role-set-external-names
role-set-name	http://marklogic.com/xdmp/privileges/role-set-name	privilege to change a role's name	sec:role-set-name
role-set-roles	http://marklogic.com/xdmp/privileges/role-set-roles	privilege to change all the roles in the specified role	sec:role-set-roles
saml-entity-delete	http://marklogic.com/xdmp/privileges/saml-entity-delete	privilege to delete a SAML entity	sec:saml-entity-delete
saml-entity-insert	http://marklogic.com/xdmp/privileges/saml-entity-insert	privilege to insert a SAML entity into the Security database	sec:saml-entity-insert
sem:sparql	http://marklogic.com/xdmp/privileges/sem-sparql	privilege to run a sparql query	sem:sparql
sem:sparql-update	http://marklogic.com/xdmp/privileges/sem-sparql-update	privilege to run a sparql update	sem:sparql-update
set-any-time-limit	http://marklogic.com/xdmp/privileges/xdmp-set-request-time-limit-any	privilege to change the request time limit	xdmp:set-request-time-limit
set-any-transaction-name	http://marklogic.com/xdmp/privileges/xdmp-set-transaction-name-any	privilege to set a name for any transaction	xdmp:set-transaction-name
set-any-transaction-time-limit	http://marklogic.com/xdmp/privileges/xdmp-set-transaction-time-limit-any	privilege to set a time limit for any transaction	xdmp:set-transaction-time-limit
set-my-time-limit	http://marklogic.com/xdmp/privileges/xdmp-set-request-time-limit-my	privilege to change the request time limit	xdmp:set-request-time-limit
set-my-transaction-name	http://marklogic.com/xdmp/privileges/xdmp-set-transaction-name-my	privilege to set a name for the user's transactions	xdmp:set-transaction-name
set-my-transaction-time-limit	http://marklogic.com/xdmp/privileges/xdmp-set-transaction-time-limit-my	privilege to set a time limit for the user's transactions	xdmp:set-transaction-time-limit
status-builtins	http://marklogic.com/xdmp/privileges/status	privilege to access the status built-ins	status built-ins

Name	Action URI	Description	Protects Function
switch-task-user	http://marklogic.com/xdmp/privileges/switch-task-user	privilege for setting the task-user if it is not the current user	
temporal-admin	http://marklogic.com/xdmp/privileges/temporal-admin	privilege to execute temporal admin functions	All temporal admin functions
temporal-internal	http://marklogic.com/xdmp/privileges/temporal-internal	internal temporal privilege	
temporal-document-protect	http://marklogic.com/xdmp/privileges/temporal-document-protect	privilege to protect a temporal document from certain temporal operations for a period of time	temporal:document-protect
temporal:document-wipe	http://marklogic.com/xdmp/privileges/temporal-document-wipe	privilege to delete all versions of a temporal document	temporal:document-wipe
temporal:set-lsqt-automation	http://marklogic.com/xdmp/privileges/temporal-set-lsqt-automation	privilege to set Last Stable Query Time (LSQT) management to automatic	temporal:set-lsqt-automation
temporal:set-use-lsqt	http://marklogic.com/xdmp/privileges/temporal-set-use-lsqt	privilege to enable or disable the use of LSQT (Last Stable Query Time) on temporal collections	temporal:set-use-lsqt
temporal:statement-set-system-time	http://marklogic.com/xdmp/privileges/temporal-statement-set-system-time	privilege to set the system start time on temporal documents	temporal:statement-set-system-time
term-query	http://marklogic.com/xdmp/privileges/term-query		cts:term-query
database-create-sub-database	http://marklogic.com/xdmp/privileges/database-create-sub-database	privilege to create sub databases	tieredstorage:database-create-sub-database
database-create-super-database	http://marklogic.com/xdmp/privileges/database-create-super-database	privilege to create super databases	tieredstorage:database-create-super-database
database-delete-sub-database	http://marklogic.com/xdmp/privileges/database-delete-sub-database	privilege to delete sub databases	tieredstorage:database-delete-sub-database
database-delete-super-database	http://marklogic.com/xdmp/privileges/database-delete-super-database	privilege to delete super databases	tieredstorage:database-delete-super-database
database-partition-numbers	http://marklogic.com/xdmp/privileges/database-partition-numbers	privilege to return the partition numbers of the forests in a database	tieredstorage:database-partition-numbers

Name	Action URI	Description	Protects Function
database-partitions	http://marklogic.com/xdmp/privileges/database-partitions	privilege to return the names of the partitions in a database	tieredstorage:database-partitions
forest-combine	http://marklogic.com/xdmp/privileges/forest-combine	privilege to combine data in multiple forests into one new forest	tieredstorage:forest-combine
forest-migrate	http://marklogic.com/xdmp/privileges/forest-migrate	privilege to move data in a forest to new data directories	tieredstorage:forest-migrate
partition-create	http://marklogic.com/xdmp/privileges/partition-create	privilege to create a query partition	tieredstorage:query-partition-create
partition-delete	http://marklogic.com/xdmp/privileges/partition-delete	privilege to delete a query partition	tieredstorage:partition-delete
partition-delete-query	http://marklogic.com/xdmp/privileges/partition-delete-query	privilege to delete a query from a partition	tieredstorage:partition-delete-query
partition-forests	http://marklogic.com/xdmp/privileges/partition-forests	privilege to returns ids of the forests in a query partition	tieredstorage:partition-forests
partition-get-exclusion-enabled	http://marklogic.com/xdmp/privileges/partition-get-exclusion-enabled	privilege to return the safe-to-exclude setting for a database	tieredstorage:partition-get-exclusion-enabled
partition-get-query	http://marklogic.com/xdmp/privileges/partition-get-query	privilege to return the query of a partition	tieredstorage:partition-get-query
partition-migrate	http://marklogic.com/xdmp/privileges/partition-migrate	privilege to migrate forests in a partition to a data directory and hosts	tieredstorage:partition-migrate
partition-number-forests	http://marklogic.com/xdmp/privileges/partition-number-forests	privilege to return the IDs of the forests associated with a partition	tieredstorage:partition-number-forests
partition-queries	http://marklogic.com/xdmp/privileges/partition-queries	privilege to return the queries in a schema database	tieredstorage:partition-queries
partition-resize	http://marklogic.com/xdmp/privileges/partition-resize	privilege to create or combine forests in a partition	tieredstorage:partition-resize
partition-set-availability	http://marklogic.com/xdmp/privileges/partition-set-availability	privilege to set the availability of the partition	tieredstorage:partition-set-availability
partition-set-exclusion-enabled	http://marklogic.com/xdmp/privileges/partition-set-exclusion-enabled	privilege to exclude a query partition from being searched if the search query does not match the query assignment policy set for the partition	tieredstorage:partition-set-exclusion-enabled
partition-set-query	http://marklogic.com/xdmp/privileges/partition-set-query	privilege to set the query for a partition	tieredstorage:partition-set-query
partition-set-updates-allowed	http://marklogic.com/xdmp/privileges/partition-set-updates-allowed	privilege to set update-allowed state for the forests in a partition	tieredstorage:partition-set-updates-allowed
partition-transfer	http://marklogic.com/xdmp/privileges/partition-transfer	privilege to transfer a partition from one database to another	tieredstorage:partition-transfer

Name	Action URI	Description	Protects Function
unprotect-collection	http://marklogic.com/xdmp/privileges/unprotect-collection	privilege to change roles for a collection	xdmp:document-add-collections, xdmp:document-remove-collections, xdmp:document-set-collections
unprotect-path	http://marklogic.com/xdmp/privileges/unprotect-path	privilege to remove a protection from a protected path	sec:unprotect-path
unprotected-collections	http://marklogic.com/xdmp/privileges/unprotected-collections	privilege to add to or remove from collections that are unprotected	xdmp:document-add-collections, xdmp:document-remove-collections, xdmp:document-set-collections
unprotected-uri	http://marklogic.com/xdmp/privileges/unprotected-uri	privilege to create document with uri's that are unprotected	xdmp:document-insert, xdmp:load
user-add-roles	http://marklogic.com/xdmp/privileges/user-add-roles	privilege to add roles to a user	sec:user-add-roles
user-exists	http://marklogic.com/xdmp/privileges/get-user	privilege to check if a user exists in the security database	sec:user-exists
user-get-default-collections	http://marklogic.com/xdmp/privileges/user-gt-default-collections	privilege to get a user's default collections	sec:user-get-default-collections
user-get-default-permissions	http://marklogic.com/xdmp/privileges/user-get-default-permissions	privilege to get user's default permissions	sec:user-get-default-permissions
user-get-description	http://marklogic.com/xdmp/privileges/user-get-description	privilege to get user's description	sec:user-get-description (if not logged in as user)
user-get-external-names	http://marklogic.com/xdmp/privileges/user-get-external-names	privilege to get the external LDAP group names assigned to a user	sec:user-get-external-names
user-get-password-extra	http://marklogic.com/xdmp/privileges/user-get-password-extra	privilege to get the password-extra element from the user document	sec:user-get-password-extra
user-get-roles	http://marklogic.com/xdmp/privileges/user-get-roles	privilege to get user's roles	sec:user-get-roles (if not logged in as user)
user-privileges	http://marklogic.com/xdmp/privileges/user-privileges	privilege to get a user's complete privileges	sec:user-privileges (if not logged in as user)
user-remove-roles	http://marklogic.com/xdmp/privileges/user-remove-roles	privilege to remove roles from a user	sec:user-remove-roles
user-set-default-collections	http://marklogic.com/xdmp/privileges/user-set-default-collections	privilege to set a user's default collections	sec:user-set-default-collections
user-set-default-permissions	http://marklogic.com/xdmp/privileges/user-set-default-permissions	privilege to set a user's default permissions	sec:user-set-default-permissions
user-set-description	http://marklogic.com/xdmp/privileges/user-set-description	privilege to set a user's description	sec:user-set-description (if not logged in as user)
user-set-external-names	http://marklogic.com/xdmp/privileges/user-set-external-names	privilege to set the external names for a user	sec:user-set-external-names

Name	Action URI	Description	Protects Function
user-set-name	http://marklogic.com/xdmp/privileges/user-set-name	privilege to set a user's name	sec:user-set-name (if not logged in as user)
user-set-password	http://marklogic.com/xdmp/privileges/user-set-password	privilege to set user's password	sec:user-set-password (if not logged in as user)
user-set-password-extra	http://marklogic.com/xdmp/privileges/user-set-password-extra	privilege to set the password-extra element in the user document	sec:user-set-password-extra
user-set-roles	http://marklogic.com/xdmp/privileges/user-set-roles	privilege to set a user's role	sec:user-set-roles
view-create	http://marklogic.com/xdmp/privileges/create-view	privilege to create a view	view:create
view-schema-create	http://marklogic.com/xdmp/privileges/create-schema	privilege to create a relational schema	view:schema-create
xdbc-eval	http://marklogic.com/xdmp/privileges/xdbc-eval	privilege to execute eval statements from xcc or xdbc	xdmp:eval
xdbc-eval-in	http://marklogic.com/xdmp/privileges/xdbc-eval-in	privilege to execute eval-in statements from xcc or xdbc	xdmp:eval-in
xdbc-eval-modules-change	http://marklogic.com/xdmp/privileges/xdbc-eval-modules-change	privilege to execute eval statements that change a modules database from xcc or xdbc	xdmp:eval
xdbc-eval-modules-change-file	http://marklogic.com/xdmp/privileges/xdbc-eval-modules-change-file	privilege to execute eval statements that change a filesystem root from xcc or xdbc	xdmp:eval
xdbc-insert	http://marklogic.com/xdmp/privileges/xdbc-insert-in	privilege to execute insert statements from xcc or xdbc	xcc or xdbc inserts
xdbc-insert-in	http://marklogic.com/xdmp/privileges/xdbc-insert-in	privilege to execute insert statements from xcc or xdbc	xdbc or xcc inserts into another database
xdbc-invoke	http://marklogic.com/xdmp/privileges/xdbc-invoke	privilege to execute invoke statements from xcc or xdbc	xdbc or xcc invokes
xdbc-invoke-in	http://marklogic.com/xdmp/privileges/xdbc-invoke-in	privilege to execute invoke statements from xcc or xdbc	xdbc or xcc invokes into another database
xdbc-invoke-modules-change	http://marklogic.com/xdmp/privileges/xdbc-invoke-modules-change	privilege to execute invoke statements that change a modules database from xcc or xdbc	xdbc or xcc invokes that change the modules database
xdbc-invoke-modules-change-file	http://marklogic.com/xdmp/privileges/xdbc-invoke-modules-change-file	privilege to execute invoke statements that change a filesystem root from xcc or xdbc	xdbc or xcc invokes that change the filesystem root
xdbc-spawn	http://marklogic.com/xdmp/privileges/xdbc-spawn	privilege to execute spawn statements from xcc or xdbc	xdbc or xcc spawns
xdbc-spawn-in	http://marklogic.com/xdmp/privileges/xdbc-spawn-in	privilege to execute spawn statements from xcc or xdbc	xdbc or xcc spawns into another database
xdbc-spawn-modules-change	http://marklogic.com/xdmp/privileges/xdbc-spawn-modules-change	privilege to execute spawn statements that change a modules database from xcc or xdbc	xdbc or xcc spawn that change the modules database

Name	Action URI	Description	Protects Function
xdbc-spawn-modules-change-file	http://marklogic.com/xdmp/privileges/xdbc-spawn-modules-change-file	privilege to execute spawn statements that change a filesystem root from xcc or xdbc	xdbc or xcc spawn that change the filesystem root
xdmp-add-response-header	http://marklogic.com/xdmp/privileges/xdmp-add-response-header	privilege to use the function that adds a response header to a request functions.	admin built-ins, alert-user
xdmp-address-bindable	http://marklogic.com/xdmp/privileges/xdmp-address-bindable	privilege to perform admin functions.	admin built-ins
xdmp-alert-admin	http://marklogic.com/xdmp/privileges/xdmp-alert-admin	privilege to perform alerting admin functions.	xdmp:alert-admin
xdmp-alert-internal	http://marklogic.com/xdmp/privileges/xdmp-alert-internal	privilege used by the Alerting API functions.	xdmp:alert-internal
xdmp-alert-user	http://marklogic.com/xdmp/privileges/xdmp-alert-user	privilege to perform user-level Alerting functions.	xdmp:alert-user, xdmp:alert-admin
xdmp-amp-roles	http://marklogic.com/xdmp/privileges/xdmp-amp-roles	privilege to get an amp's roles	xdmp:amp-roles
xdmp-binary-join	http://marklogic.com/xdmp/privileges/xdmp-binary-join	privilege to run the binary-join built-in	xdmp:binary-join
xdmp-compressed-tree-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-compressed-tree-cache-partitions	privilege to perform admin functions	admin built-ins
xdmp-compressed-tree-cache-size	http://marklogic.com/xdmp/privileges/xdmp-compressed-tree-cache-size	privilege to perform admin functions	admin built-ins
xdmp-data-directory	http://marklogic.com/xdmp/privileges/xdmp-data-directory	privilege to access the data directory	admin built-ins
xdmp-database-backup	http://marklogic.com/xdmp/privileges/xdmp-database-backup	privilege to perform a database backup	admin built-ins
xdmp-database-backup-cancel	http://marklogic.com/xdmp/privileges/xdmp-database-backup-cancel	privilege to cancel a database backup	admin built-ins
xdmp-database-backup-purge	http://marklogic.com/xdmp/privileges/xdmp-database-backup-purge	privilege to get purge a database backup	admin built-ins
xdmp-database-backup-status	http://marklogic.com/xdmp/privileges/xdmp-database-backup-status	privilege to get status for a database backup	admin built-ins
xdmp-database-backup-validate	http://marklogic.com/xdmp/privileges/xdmp-database-backup-validate	privilege to validate a database backup	admin built-ins
xdmp-database-create-sub-database	http://marklogic.com/xdmp/privileges/xdmp-database-create-sub-database	privilege to create a sub database	tieredstorage:database-create-sub-database
xdmp-database-create-super-database	http://marklogic.com/xdmp/privileges/xdmp-database-create-super-database	privilege to create a super database	tieredstorage:database-create-super-database
xdmp-database-delete-sub-database	http://marklogic.com/xdmp/privileges/xdmp-database-delete-sub-database	privilege to delete a sub database	tieredstorage:database-delete-sub-database
xdmp-database-delete-super-database	http://marklogic.com/xdmp/privileges/xdmp-database-delete-super-database	privilege to delete a super database	tieredstorage:database-delete-super-database

Name	Action URI	Description	Protects Function
xdmp-database-incremental-backup	http://marklogic.com/xdmp/privileges/xdmp-database-incremental-backup	privilege to validate if forests can be incrementally backed up	xdmp:database-incremental-backup
xdmp-database-incremental-backup-validate	http://marklogic.com/xdmp/privileges/xdmp-database-incremental-backup-validate	privilege to start an incremental backup of forests	xdmp:database-incremental-backup-validate
xdmp-database-restore	http://marklogic.com/xdmp/privileges/xdmp-database-restore	privilege to perform a database restore	admin built-ins
xdmp-database-restore-cancel	http://marklogic.com/xdmp/privileges/xdmp-database-backup	privilege to cancel a database restore	admin built-ins
xdmp-database-restore-status	http://marklogic.com/xdmp/privileges/xdmp-database-restore-status	privilege to get status for a database restore	admin built-ins
xdmp-database-restore-validate	http://marklogic.com/xdmp/privileges/xdmp-database-restore-validate	privilege to validate a database restore	admin built-ins
xdmp-default-in-memory-geospatial-region-index-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-geospatial-region-index-size	privilege to perform admin functions.	admin built-ins
xdmp-default-in-memory-limit	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-limit	privilege to perform admin functions.	admin built-ins
xdmp-default-in-memory-list-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-list-size	privilege to perform admin functions.	admin built-ins
xdmp-default-in-memory-range-index-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-range-index-size	privilege to perform admin functions	admin built-ins
xdmp-default-in-memory-reverse-index-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-reverse-index-size	privilege to perform admin functions	admin built-ins
xdmp-default-in-memory-tree-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-tree-size	privilege to perform admin functions	admin built-ins
xdmp-default-in-memory-triple-index-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-triple-index-size	privilege to perform admin functions.	admin built-ins
xdmp-default-journal-count	http://marklogic.com/xdmp/privileges/xdmp-default-journal-count	privilege to perform admin functions.	admin built-ins
xdmp-default-journal-size	http://marklogic.com/xdmp/privileges/xdmp-default-journal-size	privilege to perform admin functions.	admin built-ins
xdmp-default-preallocate-journals	http://marklogic.com/xdmp/privileges/xdmp-default-preallocate-journals	privilege to perform admin functions.	admin built-ins
xdmp-default-s3-domain	http://marklogic.com/xdmp/privileges/xdmp-default-s3-domain	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file	privilege to perform admin functions	admin built-ins
xdmp-delete-cluster-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file-assignments.xml	privilege to perform admin functions.	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-delete-cluster-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/ca-bundle.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/calendars.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/clusters.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/countries.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/databases.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-dtfmt-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/dtfmt-languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/groups.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/hosts.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/keystore.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/mimetypes.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/security.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/server.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/tokenizer.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-cluster-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file/user-languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-delete-host-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/assignments.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/ca-bundle.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/calendars.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/clusters.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/countries.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/databases.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-dtfmt-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/dtfmt-languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/groups.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/hosts.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/keystore.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/mimetypes.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/security.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/server.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/tokenizer.xml	privilege to perform admin functions.	admin built-ins
xdmp-delete-host-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file/user-languages.xml	privilege to perform admin functions.	admin built-ins
xdmp-disable-event	http://marklogic.com/xdmp/privileges/xdmp-disable-event	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-document-get	http://marklogic.com/xdmp/privileges/xdmp-document-get	privilege to execute function	xdmp:document-get
xdmp-document-load	http://marklogic.com/xdmp/privileges/xdmp-document-load	privilege to execute function	xdmp:document-load
xdmp-email	http://marklogic.com/xdmp/privileges/xdmp-email	privilege to email	xdmp:email
xdmp-email-address	http://marklogic.com/xdmp/privileges/xdmp-email-address	privilege to perform admin functions	admin built-ins
xdmp-enable-event	http://marklogic.com/xdmp/privileges/xdmp-enable-event	privilege to perform admin functions	admin built-ins
xdmp-eval	http://marklogic.com/xdmp/privileges/xdmp-eval	privilege to perform eval functions	xdmp:eval
xdmp-eval-in	http://marklogic.com/xdmp/privileges/xdmp-eval-in	privilege to perform eval-in functions	xdmp:eval-in
xdmp-eval-modules-change	http://marklogic.com/xdmp/privileges/xdmp-eval-modules-change	privilege to execute eval statements that change a modules database	xdmp:eval statements that change the modules database
xdmp-eval-modules-change-file	http://marklogic.com/xdmp/privileges/xdmp-eval-modules-change-file	privilege to execute eval statements that change a filesystem root	xdmp:eval statements that change the filesystem root
xdmp-eval-transaction	http://marklogic.com/xdmp/privileges/xdmp-eval-transaction	privilege to run eval statements with the transaction option	xdmp:eval statements that start a new transaction
xdmp-expanded-tree-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-expanded-tree-cache-partitions	privilege to perform admin functions	admin built-ins
xdmp-expanded-tree-cache-size	http://marklogic.com/xdmp/privileges/xdmp-expanded-tree-cache-size	privilege to perform admin functions	admin built-ins
xdmp-external-binary	http://marklogic.com/xdmp/privileges/xdmp-external-binary	privilege to access external binary function	xdmp:external-binary
xdmp-filesystem-directory	http://marklogic.com/xdmp/privileges/xdmp-filesystem-directory	privilege to run the built-in	xdmp:filesystem-directory
xdmp-filesystem-directory-create	http://marklogic.com/xdmp/privileges/xdmp-filesystem-directory-create	privilege to perform admin functions	admin built-ins
xdmp-filesystem-directory-delete	http://marklogic.com/xdmp/privileges/xdmp-filesystem-directory-delete	privilege to perform admin functions.	admin built-ins
xdmp-filesystem-file	http://marklogic.com/xdmp/privileges/xdmp-filesystem-file	privilege to perform admin functions	xdmp:filesystem-file
xdmp-filesystem-file-delete	http://marklogic.com/xdmp/privileges/xdmp-filesystem-file-delete	privilege to perform admin functions.	admin built-ins
xdmp-filesystem-file-exists	http://marklogic.com/xdmp/privileges/xdmp-filesystem-file-exists	privilege to run the built-in	xdmp:filesystem-file-exists
xdmp-filesystem-file-get-time	http://marklogic.com/xdmp/privileges/xdmp-filesystem-file-get-time	privilege to perform admin functions.	xdmp:filesystem-file-get-time

Name	Action URI	Description	Protects Function
xdmp-filesystem-file-length	http://marklogic.com/xdmp/privileges/xdmp-filesystem-file-length	privilege to run the built-in	xdmp:filesystem-file-length
xdmp-forest-backup	http://marklogic.com/xdmp/privileges/xdmp-forest-backup	privilege to perform admin functions	admin built-ins
xdmp-forest-clear	http://marklogic.com/xdmp/privileges/xdmp-forest-clear	privilege to perform admin functions	admin built-ins
xdmp-forest-combine	http://marklogic.com/xdmp/privileges/xdmp-forest-combine	privilege to perform admin functions	admin built-in
xdmp-forest-copy	http://marklogic.com/xdmp/privileges/xdmp-forest-copy	privilege to perform admin functions	admin built-in
xdmp-forest-delete	http://marklogic.com/xdmp/privileges/xdmp-forest-delete	privilege to perform admin functions	admin built-ins
xdmp-forest-directory-delete	http://marklogic.com/xdmp/privileges/xdmp-forest-directory-delete	privilege to perform admin functions	admin built-in
xdmp-forest-directory-exists	http://marklogic.com/xdmp/privileges/xdmp-forest-directory-exists	privilege to perform admin functions	admin built-in
xdmp-forest-get-readonly	http://marklogic.com/xdmp/privileges/xdmp-forest-get-readonly	privilege to perform admin functions	admin built-in
xdmp-forest-rename	http://marklogic.com/xdmp/privileges/xdmp-forest-rename	privilege to perform admin functions	admin built-in
xdmp-forest-restart	http://marklogic.com/xdmp/privileges/xdmp-forest-restart	privilege to perform admin functions	admin built-ins
xdmp-forest-restore	http://marklogic.com/xdmp/privileges/xdmp-forest-restore	privilege to perform admin functions	admin built-ins
xdmp-forest-rollback	http://marklogic.com/xdmp/privileges/xdmp-forest-rollback	privilege to perform admin functions	admin built-ins
xdmp-forest-set-readonly	http://marklogic.com/xdmp/privileges/xdmp-forest-set-readonly	privilege to perform admin functions	admin built-in
xdmp-get	http://marklogic.com/xdmp/privileges/xdmp-get	privilege to get a document into memory	xdmp:get
xdmp-get-forest-keys	http://marklogic.com/xdmp/privileges/xdmp-get-forest-keys	privilege to perform admin functions	admin built-ins
xdmp-get-hot-updates	http://marklogic.com/xdmp/privileges/xdmp-get-hot-updates	privilege to perform admin functions	admin built-ins
xdmp-get-orphaned-binaries	http://marklogic.com/xdmp/privileges/xdmp-get-orphaned-binaries	privilege to run the built-in	xdmp:get-orphaned-binaries
xdmp-get-server-field	http://marklogic.com/xdmp/privileges/xdmp-get-server-field	privilege to get server fields	xdmp:get-server-field
xdmp-get-server-field-names	http://marklogic.com/xdmp/privileges/xdmp-get-server-field-names	privilege to get server fields names	xdmp:get-server-field-names
xdmp-get-session-field	http://marklogic.com/xdmp/privileges/xdmp-get-session-field	privilege to get session fields	xdmp:get-session-field

Name	Action URI	Description	Protects Function
xdmp-get-session-field-names	http://marklogic.com/xdmp/privileges/xdmp-get-session-field-names	privilege to get session field names	xdmp:get-session-field-names
xdmp-getenv	http://marklogic.com/xdmp/privileges/xdmp-getenv	privilege to perform admin function	admin built-ins
xdmp-host-cores	http://marklogic.com/xdmp/privileges/xdmp-host-cores	privilege to perform admin functions	admin built-ins
xdmp-host-cpus	http://marklogic.com/xdmp/privileges/xdmp-host-cpus	privilege to perform admin functions	admin built-ins
xdmp-host-size	http://marklogic.com/xdmp/privileges/xdmp-host-size	privilege to perform admin functions	admin built-ins
xdmp-hostname	http://marklogic.com/xdmp/privileges/xdmp-hostname	privilege to perform admin functions	admin built-ins
xdmp-http-get	http://marklogic.com/xdmp/privileges/xdmp-http-get	privilege to perform http function	xdmp:http-get
xdmp-http-head	http://marklogic.com/xdmp/privileges/xdmp-http-head	privilege to perform http function	xdmp:http-head
xdmp-http-options	http://marklogic.com/xdmp/privileges/xdmp-http-options	privilege to perform http function	xdmp:http-options
xdmp-http-delete	http://marklogic.com/xdmp/privileges/xdmp-http-delete	privilege to perform http function	xdmp:http-delete
xdmp-http-post	http://marklogic.com/xdmp/privileges/xdmp-http-post	privilege to perform http function	xdmp:http-post
xdmp-http-put	http://marklogic.com/xdmp/privileges/xdmp-http-put	privilege to perform http function	xdmp:http-put
xdmp-install-directory	http://marklogic.com/xdmp/privileges/xdmp-install-directory	privilege to access the installation directory	admin built-ins
xdmp-invoke	http://marklogic.com/xdmp/privileges/xdmp-invoke	privilege to perform invoke functions	xdmp:invoke
xdmp-invoke-in	http://marklogic.com/xdmp/privileges/xdmp-invoke-in	privilege to perform invoke-in functions	xdmp:invoke-in
xdmp-invoke-modules-change	http://marklogic.com/xdmp/privileges/xdmp-invoke-modules-change	privilege to execute invoke statements that change a modules database	xdmp:invoke statements that change the modules database
xdmp-invoke-modules-change-file	http://marklogic.com/xdmp/privileges/xdmp-invoke-modules-change-file	privilege to execute invoke statements that change a filesystem root	xdmp:invoke statements that change the filesystem root
xdmp-invoke-transaction	http://marklogic.com/xdmp/privileges/xdmp-invoke-transaction	privilege to execute invoke statements that have the <transaction-id> option	xdmp:invoke
xdmp-license-accepted	http://marklogic.com/xdmp/privileges/xdmp-license-accepted	privilege to perform admin functions	admin built-ins
xdmp-license-fee	http://marklogic.com/xdmp/privileges/xdmp-license-fee	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-license-key	http://marklogic.com/xdmp/privileges/xdmp-license-key	privilege to perform admin functions	admin built-ins
xdmp-license-key-agreement	http://marklogic.com/xdmp/privileges/xdmp-license-key-agreement	privilege to perform admin functions	admin built-ins
xdmp-license-key-cores	http://marklogic.com/xdmp/privileges/xdmp-license-key-cores	privilege to perform admin functions	admin built-ins
xdmp-license-key-cpus	http://marklogic.com/xdmp/privileges/xdmp-license-key-cpus	privilege to perform admin functions	admin built-ins
xdmp-license-key-decode	http://marklogic.com/xdmp/privileges/xdmp-license-key-decode	privilege to perform admin functions	admin built-ins
xdmp-license-key-encode	http://marklogic.com/xdmp/privileges/xdmp-license-key-encode	privilege to perform admin functions	admin built-ins
xdmp-license-key-expires	http://marklogic.com/xdmp/privileges/xdmp-license-key-expires	privilege to perform admin functions	admin built-ins
xdmp-license-key-options	http://marklogic.com/xdmp/privileges/xdmp-license-key-options	privilege to perform admin functions	admin built-ins
xdmp-license-key-size	http://marklogic.com/xdmp/privileges/xdmp-license-key-size	privilege to perform admin functions	admin built-ins
xdmp-license-key-valid	http://marklogic.com/xdmp/privileges/xdmp-license-key-valid	privilege to perform admin functions	admin built-ins
xdmp-licensee	http://marklogic.com/xdmp/privileges/xdmp-licensee	privilege to perform admin functions	admin built-ins
xdmp-list-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-list-cache-partitions	privilege to perform admin functions	admin built-ins
xdmp-list-cache-size	http://marklogic.com/xdmp/privileges/xdmp-list-cache-size	privilege to perform admin functions	admin built-ins
xdmp-load	http://marklogic.com/xdmp/privileges/xdmp-load	privilege needed to load a document from the file system	xdmp:load
xdmp-login	http://marklogic.com/xdmp/privileges/xdmp-login	privilege to log in a user without the corresponding password	xdmp-login
xdmp-merge	http://marklogic.com/xdmp/privileges/xdmp-merge	privilege to start merging the forests	xdmp-merge
xdmp-merging	http://marklogic.com/xdmp/privileges/xdmp-merging	privilege to get forest ids of forests currently merging	xdmp:merging
xdmp-missing-directories	http://marklogic.com/xdmp/privileges/xdmp-missing-directories	privilege to perform admin functions	admin built-ins
xdmp-plan	http://marklogic.com/xdmp/privileges/xdmp-plan	privilege to perform admin functions	admin built-ins
xdmp-pre-release-expires	http://marklogic.com/xdmp/privileges/xdmp-pre-release-expires	privilege to perform admin functions	admin built-ins
xdmp-privilege-roles	http://marklogic.com/xdmp/privileges/xdmp-privilege-roles	privilege needed to get a role's privileges	xdmp:privilege-roles

Name	Action URI	Description	Protects Function
xdmp-read-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/assignments.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-assignments-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/assignments.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/ca-bundle.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/calendars.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/clusters.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-clusters-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/clusters.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/countries.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-database-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/database.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/databases.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-dtfmt-languages	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/dtfmt-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-group-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/group.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/groups.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-host-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/hosts.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/hosts.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/keystore.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-keystore-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/keystore.xsd	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-read-cluster-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/languages.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/mimetypes.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-mimetypes-schema	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/mimetypes.xsd	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/security.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/server.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/tokenizer.xml	privilege to perform admin functions	admin built-ins
xdmp-read-cluster-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file/user-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/assignments.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/ca-bundle.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/calendars.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/clusters.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/countries.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/databases.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-dtfmt-languages	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/dtfmt-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/groups.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/hosts.xml	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-read-host-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/keystore.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/languages.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/mimetypes.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/security.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/server.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/tokenizer.xml	privilege to perform admin functions	admin built-ins
xdmp-read-host-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file/user-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-remove-orphaned-binary	http://marklogic.com/xdmp/privileges/xdmp-remove-orphaned-binary	privilege to run the built-in	xdmp:remove-orphaned-binary
xdmp-restart	http://marklogic.com/xdmp/privileges/xdmp-restart	privilege to perform admin functions	admin built-ins
xdmp-role-roles	http://marklogic.com/xdmp/privileges/xdmp-role-roles	privilege to get a role's roles	xdmp:role-roles
xdmp-rotate-log-files	http://marklogic.com/xdmp/privileges/xdmp-rotate-log-files	privilege to perform admin functions	admin built-ins
xdmp-save	http://marklogic.com/xdmp/privileges/xdmp-save	privilege needed to save a document to the file system	xdmp:save
xdmp-server-backup	http://marklogic.com/xdmp/privileges/xdmp-server-backup	privilege to perform admin functions	admin built-ins
xdmp-server-import-qualities	http://marklogic.com/xdmp/privileges/xdmp-server-import-qualities	privilege to perform admin functions	admin built-ins
xdmp-server-restore	http://marklogic.com/xdmp/privileges/xdmp-server-restore	privilege to perform admin functions	admin built-ins
xdmp-set-current-transaction	http://marklogic.com/xdmp/privileges/xdmp-set-current-transaction	privilege to perform the multi-statement transaction function	xdmp:set-current-transaction
xdmp-set-hot-updates	http://marklogic.com/xdmp/privileges/xdmp-set-hot-updates	privilege to perform admin functions	admin built-ins
xdmp-set-request-time-limit	http://marklogic.com/xdmp/privileges/xdmp-set-request-time-limit	privilege to set time limits for a request	xdmp:set-request-time-limit
xdmp-set-server-field	http://marklogic.com/xdmp/privileges/xdmp-set-server-field	privilege to set a server fields	xdmp:set-server-field

Name	Action URI	Description	Protects Function
xdmp-set-server-field-privilege	http://marklogic.com/xdmp/privileges/xdmp-set-server-field-privilege	privilege to set a specific privilege on a server field	xdmp:set-server-field-privilege
xdmp-set-session-field	http://marklogic.com/xdmp/privileges/xdmp-set-session-field	privilege to run the built-in	xdmp:set-session-field
xdmp-shutdown	http://marklogic.com/xdmp/privileges/xdmp-shutdown	privilege to perform admin functions	admin built-ins
xdmp-sleep	http://marklogic.com/xdmp/privileges/xdmp-sleep	privilege to perform admin functions	admin built-ins
xdmp-smtp-relay	http://marklogic.com/xdmp/privileges/xdmp-smtp-relay	privilege to perform admin functions	admin built-ins
xdmp-spawn	http://marklogic.com/xdmp/privileges/xdmp-spawn	privilege to perform spawn functions	xdmp:spawn
xdmp-spawn-in	http://marklogic.com/xdmp/privileges/xdmp-spawn-in	privilege to perform spawn-in functions	xdmp:spawn-in
xdmp-spawn-modules-change	http://marklogic.com/xdmp/privileges/xdmp-spawn-modules-change	privilege to execute spawn statements that change a modules database	xdmp:spawn statements that change the modules database
xdmp-spawn-modules-change-file	http://marklogic.com/xdmp/privileges/xdmp-spawn-modules-change-file	privilege to execute spawn statements that change a filesystem root	xdmp:spawn statements that change the filesystem root
xdmp-spawn-transaction	http://marklogic.com/xdmp/privileges/xdmp-spawn-transaction	privilege to execute spawn statements that have the <transaction-id> option	xsmp:spawn
xdmp-sql	http://marklogic.com/xdmp/privileges/xdmp-sql	privilege to perform SQL queries	xdmp:sql
xdmp-timestamp	http://marklogic.com/xdmp/privileges/xdmp-timestamp	privilege to perform point-in-time queries	xdmp:eval, xdmp:invoke (timestamp option)
xdmp-transaction-create	http://marklogic.com/xdmp/privileges/xdmp-transaction-create	privilege to run the built-in	xdmp:transaction-create
xdmp-transaction-create-xid	http://marklogic.com/xdmp/privileges/xdmp-transaction-create-xid	privilege to run the built-in	xdmp:transaction-create-xid
xdmp-triple-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-triple-cache-partitions	privilege to run the built-in	admin built-ins
xdmp-triple-cache-size	http://marklogic.com/xdmp/privileges/xdmp-triple-cache-size	privilege to run the built-in	admin built-ins
xdmp-triple-value-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-triple-value-cache-partitions	privilege to run the built-in	admin built-ins
xdmp-triple-value-cache-size	http://marklogic.com/xdmp/privileges/xdmp-triple-value-cache-size	privilege to run the built-in	admin built-ins
xdmp-user-last-login	http://marklogic.com/xdmp/privileges/xdmp-user-last-login	privilege to get run the built-in	xdmp:user-last-login
xdmp-user-roles	http://marklogic.com/xdmp/privileges/xdmp-user-roles	privilege to get a user's roles	xdmp:user-roles

Name	Action URI	Description	Protects Function
xdmp-username	http://marklogic.com/xdmp/privileges/xdmp-username	privilege to perform admin functions	admin built-ins
xdmp-value	http://marklogic.com/xdmp/privileges/xdmp-value	privilege to use the “evaluate an expression” function	xdmp:value
xdmp-with-namespace	http://marklogic.com/xdmp/privileges/xdmp-with-namespace	privilege to use the “evaluate an expression preserving the namespace” function	xdmp:with-namespace
xdmp-write-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/assignments.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/ca-bundle.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/calendars.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/clusters.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/countries.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/databases.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-dtfmt-langauges	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/dtfmt-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/groups.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/hosts.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/keystore.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/languages.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/mimetypes.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/security.xml	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-write-cluster-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/server.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/tokenizer.xml	privilege to perform admin functions	admin built-ins
xdmp-write-cluster-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file/user-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-assignments	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/assignments.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-ca-bundle	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/ca-bundle.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-calendars	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/calendars.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-clusters	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/clusters.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-countries	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/countries.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-databases	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/databases.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-dtfmt-languages	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/dtfmt-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-groups	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/groups.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-hosts	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/hosts.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-keystore	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/keystore.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-languages	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/languages.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-mimetypes	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/mimetypes.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-security	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/security.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-server	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/server.xml	privilege to perform admin functions	admin built-ins

Name	Action URI	Description	Protects Function
xdmp-write-host-config-file-tokenizer	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/tokenizer.xml	privilege to perform admin functions	admin built-ins
xdmp-write-host-config-file-user-languages	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file/user-languages.xml	privilege to perform admin functions	admin built-ins
xdmp-xslt-eval	http://marklogic.com/xdmp/privileges/xslt-eval	privilege to use xdmp:xslt-eval	xdmp:xslt-eval
xdmp-xslt-eval-in	http://marklogic.com/xdmp/privileges/xslt-eval-in	privilege to use xdmp:xslt-eval-in	xdmp:xslt-eval-in
xdmp-xslt-eval-modules-change	http://marklogic.com/xdmp/privileges/xslt-eval-modules-change	privilege to change the modules database for xdmp:xslt-eval	xdmp:xslt-eval
xdmp-xslt-eval-modules-change-file	http://marklogic.com/xdmp/privileges/xslt-eval-modules-change-file	privilege to change the filesystem root for xdmp:xslt-eval	<xdmp:xslt-eval
xdmp-xslt-eval-transaction	http://marklogic.com/xdmp/privileges/xslt-eval-transaction	privilege to execute xdmp:xslt-eval statements that have the <transaction-id> option	xdmp:xslt-eval
xdmp-xslt-invoke	http://marklogic.com/xdmp/privileges/xslt-invoke	privilege to use xdmp:xslt-invoke	xdmp:xslt-invoke
xdmp-xslt-invoke-in	http://marklogic.com/xdmp/privileges/xslt-invoke-in	privilege to use xdmp:xslt-invoke-in	xdmp:xslt-invoke-in
xdmp-xslt-invoke-modules-change	http://marklogic.com/xdmp/privileges/xslt-invoke-modules-change	privilege to use xdmp:xslt-invoke and change the modules database	xdmp:xslt-invoke
xdmp-xslt-invoke-modules-change-file	http://marklogic.com/xdmp/privileges/xslt-invoke-modules-change-file	privilege to use xdmp:xslt-invoke and change the App Server root	xdmp:xslt-invoke
xdmp-xslt-invoke-transaction	http://marklogic.com/xdmp/privileges/xslt-invoke-transaction	privilege to execute xdmp:xslt-invoke statements that have the <transaction-id> option	xdmp:xslt-invoke

35.0 Appendix C: Pre-defined Roles

The following roles are pre-defined in every installation of MarkLogic Server. To give a user execute privileges listed for each pre-defined role, you may add the execute privileges individually to an existing role for the user, or add the pre-defined role to the user's set of roles.

The following are the pre-built roles in MarkLogic Server:

- [admin](#)
- [admin-builtins](#)
- [admin-configuration-delete](#)
- [admin-configuration-read](#)
- [admin-configuration-write](#)
- [admin-default](#)
- [admin-default-internal](#)
- [admin-module-internal](#)
- [admin-module-read-internal](#)
- [admin-module-read-invoke](#)
- [admin-transform](#)
- [admin-ui-user](#)
- [alert-admin](#)
- [alert-execution](#)
- [alert-internal](#)
- [alert-user](#)
- [app-builder](#)
- [app-builder-internal](#)
- [app-user](#)
- [application-plugin-registrar](#)
- [appservices-internal](#)
- [cpf-restart](#)
- [custom-dictionary-admin](#)
- [custom-dictionary-user](#)
- [custom-language-admin-read](#)
- [custom-language-admin-write](#)

- [dls-admin](#)
- [dls-internal](#)
- [dls-user](#)
- [domain-management](#)
- [filesystem-access](#)
- [flexrep-admin](#)
- [flexrep-internal](#)
- [flexrep-user](#)
- [hadoop-internal](#)
- [hadoop-user-all](#)
- [hadoop-user-read](#)
- [hadoop-user-write](#)
- [infostudio-admin-internal](#)
- [infostudio-internal](#)
- [infostudio-user](#)
- [manage](#)
- [manage-admin](#)
- [manage-admin-internal](#)
- [manage-internal](#)
- [manage-user](#)
- [merge](#)
- [network-access](#)
- [pipeline-execution](#)
- [pipeline-management](#)
- [pki](#)
- [plugin-internal](#)
- [qconsole-internal](#)
- [qconsole-user](#)
- [rest-admin](#)
- [rest-admin-internal](#)
- [rest-extension-user](#)

- [rest-internal](#)
- [rest-reader](#)
- [rest-writer-internal](#)
- [rest-writer](#)
- [rest-reader-internal](#)
- [search-internal](#)
- [security](#)
- [tde-admin](#)
- [tde-view](#)
- [temporal-admin](#)
- [temporal-internal](#)
- [trigger-management](#)
- [view-admin](#)
- [view-admin-internal](#)
- [welcome-internal](#)
- [xa](#)
- [xa-admin](#)
- [xinclude](#)

35.1 admin

The `admin` role is given all privileges and permissions to perform any action in the system. There are no default permissions associated with the `admin` role. Users with the `admin` role are considered authorized administrators; they are trusted personnel and are assumed to be non-hostile, appropriately trained, and follow proper administrative procedures.

35.2 admin-builtins

The `admin-builtins` role has the execute privileges to call the admin built-in functions. The execute privileges given to the `admin-builtins` role are:

Name	Action URI
<code>cancel-any-request</code>	<code>http://marklogic.com/xdmp/privileges/cancel-any-request</code>
<code>cancel-my-request</code>	<code>http://marklogic.com/xdmp/privileges/cancel-my-request</code>
<code>count-builtins</code>	<code>http://marklogic.com/xdmp/privileges/counts</code>
<code>xdmp:address-bindable</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-address-bindable</code>

Name	Action URI
xdmp:amp-roles	http://marklogic.com/xdmp/privileges/xdmp-amp-roles
xdmp:castable-as	http://marklogic.com/xdmp/privileges/xdmp-castable-as
xdmp:compressed-tree-cache-size	http://marklogic.com/xdmp/privileges/xdmp-compressed-tree-cache-size
xdmp:compressed-tree-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-compressed-tree-cache-partitions
xdmp:default-in-memory-limit	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-limit
xdmp:default-in-memory-list-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-list-size
xdmp:default-in-memory-range-index-size	http://marklogic.com/xdmp/privileges/xdmp-default-in-memory-range-index-size
xdmp:in-memory-tree-size	http://marklogic.com/xdmp/privileges/xdmp-in-memory-tree-size
xdmp:delete-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-delete-cluster-config-file
xdmp:delete-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-delete-host-config-file
xdmp:directory	http://marklogic.com/xdmp/privileges/xdmp-directory
xdmp:disable-event	http://marklogic.com/xdmp/privileges/xdmp-disable-event
xdmp:email	http://marklogic.com/xdmp/privileges/xdmp-email
xdmp:email-address	http://marklogic.com/xdmp/privileges/xdmp-email-address
xdmp:enable-event	http://marklogic.com/xdmp/privileges/xdmp-enable-event
xdmp:expanded-tree-cache-size	http://marklogic.com/xdmp/privileges/xdmp-expanded-tree-cache-size
xdmp:expanded-tree-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-expanded-tree-cache-partitions
xdmp:forest-backup	http://marklogic.com/xdmp/privileges/xdmp-forest-backup
xdmp:forest-clear	http://marklogic.com/xdmp/privileges/xdmp-forest-clear
xdmp:forest-delete	http://marklogic.com/xdmp/privileges/xdmp-forest-delete
xdmp:forest-restore	http://marklogic.com/xdmp/privileges/xdmp-forest-restore
xdmp:forest-status	http://marklogic.com/xdmp/privileges/xdmp-forest-status
xdmp:forest-keys	http://marklogic.com/xdmp/privileges/xdmp-forest-keys
xdmp:get-hot-updates	http://marklogic.com/xdmp/privileges/xdmp-get-hot-updates
xdmp:host-name	http://marklogic.com/xdmp/privileges/xdmp-hostname
xdmp:license-accepted	http://marklogic.com/xdmp/privileges/xdmp-license-accepted
xdmp:list-cache-size	http://marklogic.com/xdmp/privileges/xdmp-list-cache-size
xdmp:list-cache-partitions	http://marklogic.com/xdmp/privileges/xdmp-list-cache-partitions
xdmp:pre-release-expires	http://marklogic.com/xdmp/privileges/xdmp-pre-release-expires
xdmp:read-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-read-cluster-config-file
xdmp:read-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-read-host-config-file
xdmp:restart	http://marklogic.com/xdmp/privileges/xdmp-restart
xdmp:server-backup	http://marklogic.com/xdmp/privileges/xdmp-server-backup
xdmp:server-import-qualities	http://marklogic.com/xdmp/privileges/xdmp-server-import-qualities
xdmp:server-restore	http://marklogic.com/xdmp/privileges/xdmp-server-restore
xdmp:set-hot-updates	http://marklogic.com/xdmp/privileges/xdmp-set-hot-updates
xdmp:shutdown	http://marklogic.com/xdmp/privileges/xdmp-shutdown
xdmp:smtp-relay	http://marklogic.com/xdmp/privileges/xdmp-smtp-relay

Name	Action URI
xdmp:user-last-login	http://marklogic.com/xdmp/privileges/xdmp-user-last-login
xdmp:username	http://marklogic.com/xdmp/privileges/xdmp-username
xdmp:write-cluster-config-file	http://marklogic.com/xdmp/privileges/xdmp-write-cluster-config-file
xdmp:write-host-config-file	http://marklogic.com/xdmp/privileges/xdmp-write-host-config-file

There are no default permissions associated with the `admin-builtins` role.

35.3 admin-configuration-delete

The `admin-configuration-delete` role enables administrator users to delete configuration information.

35.4 admin-configuration-read

The `admin-configuration-read` role enables administrator users to read configuration information.

35.5 admin-configuration-write

The `admin-configuration-write` role enables administrator users to write configuration information.

35.6 admin-default

The `admin-default` role enables administrator users to evaluate administration default expressions.

35.7 admin-default-internal

The `admin-default-internal` role enables administrator users to invoke administration default expressions.

35.8 admin-module-internal

The `admin-module-read-internal` role is used internally by the Admin Library Module. Do not assign this role to any user. For details, see [Scripting Administrative Tasks in MarkLogic Server](#) in the *Scripting Administrative Tasks Guide*.

35.9 admin-module-read-internal

The `admin-module-read-internal` role is used internally by the Admin Library Module for reading. Do not assign this role to any user. For details, see [Scripting Administrative Tasks in MarkLogic Server](#) in the *Scripting Administrative Tasks Guide*.

35.10 admin-module-read-invoke

The `admin-module-read-internal` role is used internally by the Admin Library Module for invoking functions with granular privileges. Do not assign this role to any user. For details, see [Scripting Administrative Tasks in MarkLogic Server](#) in the *Scripting Administrative Tasks Guide*.

35.11 admin-transform

The `admin-transform` role enables administrator users to evaluate transformations within the Admin API.

35.12 admin-ui-user

The `admin-ui-user` role enables users to have a read-only view of the Admin UI, without providing access to data, to security configuration, or write access to server configuration.

35.13 alert-admin

The `alert-admin` role is used for administrators of an alerting application. For details, see the [Creating Alerting Applications](#) chapter of the *Search Developer's Guide*.

35.14 alert-execution

The `alert-execution` role is used internally by the Alerting API to amp privileges in a protected way. Do not give this role to any individual users. For details, see the [Creating Alerting Applications](#) chapter of the *Search Developer's Guide*.

35.15 alert-internal

The `alert-internal` role is used internally by the Alerting API to amp privileges in a protected way. You should not give this role to any individual users. For details, see the [Creating Alerting Applications](#) chapter of the *Search Developer's Guide*.

35.16 alert-user

The `alert-user` role is used by users of an alerting application. For details, see the [Creating Alerting Applications](#) chapter of the *Search Developer's Guide*.

35.17 app-builder

The `app-builder` role provides the privileges needed to run Application Builder. Application Builder is no longer a part of MarkLogic. This role exists only for backward compatibility.

35.18 app-builder-internal

Application Builder is no longer a part of MarkLogic. This role exists only for backward compatibility.

35.19 app-user

The `app-user` role is a minimally privileged role that is needed to run any application that Application Builder generates. Application Builder is no longer a part of MarkLogic. This role exists only for backward compatibility.

35.20 application-plugin-registrar

The `application-plugin-registrar` role is used in the plugin API, and has the following execute privileges:

Name	Action URI
<code>plugin-server-fields</code>	<code>http://marklogic.com/xdmp/privileges/plugin-server-fields</code>
<code>plugin-register</code>	<code>http://marklogic.com/xdmp/privileges/plugin-register</code>
<code>xdmp:filesystem-directory</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-filesystem-directory</code>
<code>xdmp:get-server-field</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-get-server-field</code>
<code>xdmp:get-server-field-names</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-get-server-field-names</code>
<code>xdmp:invoke-modules-change-file</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-invoke-modules-change-file</code>
<code>xdmp:set-server-field</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-set-server-field</code>
<code>xdmp:set-server-field-privilege</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-set-server-field-privilege</code>

35.21 appservices-internal

The `appservices-internal` role is used by Application Services to amp certain functions that Application Services performs. You should not explicitly grant the `appservices-internal` role to any user; it is only for internal use by Application Services.

35.22 cpf-restart

The `cpf-restart` role is used by CPF to control access to the CPF restart trigger. The CPF restart user should have the `cpf-restart` role, as well as all of the permissions and privileges that normal users have on the documents.

35.23 custom-dictionary-admin

The `custom-dictionary-admin` role is to perform administrative functions (for writing dictionaries in the configuration) in the custom dictionary API.

35.24 custom-dictionary-user

The `custom-dictionary-user` role is to perform user functions (for reading dictionaries in the configuration) in the custom dictionary API.

35.25 custom-language-admin-read

The `custom-language-admin-read` role enables a user to read custom language configuration. That is, to use functions such as `clang:language-config-read`.

35.26 custom-language-admin-write

The `custom-language-admin-write` role enables a user to modify custom language configuration. That is, to use functions such as `clang:language-config-write` and `clang-language-config-delete`. These operations change the cluster configuration file and cause a cluster-wide restart when used.

35.27 dls-admin

The `dls-admin` role is designed to give administrators of Library Services applications all of the privileges that are needed to use the Library Services API. It has the needed privileges to perform operations such as inserting retention policies and breaking checkouts, so only trusted users (users who are assumed to be non-hostile, appropriately trained, and follow proper administrative procedures) should be granted the `dls-admin` role. Assign the `dls-admin` role to administrators of your Library Services application.

For details, see the [Library Services Applications](#) chapter in the *Application Developer's Guide*.

35.28 dls-internal

The `dls-internal` role is a role that is used internally by the Library Services API, but you should not explicitly grant it to any user or role. This role is used to amp special privileges within the context of certain functions of the Library Services API. Assigning this role to users would give them privileges on the system that you typically do not want them to have; do not assign this role to any users.

For details, see the [Library Services Applications](#) chapter in the *Application Developer's Guide*.

35.29 dls-user

The `dls-user` role is a minimally privileged role. It is used in the Library Services API to allow regular users of the Library Services application (as opposed to `dls-admin` users) to be able to execute code in the Library Services API. It allows users, with document update permission, to manage, checkout, and checkin managed documents.

The `dls-user` role only has privileges that are needed to run the Library Services API; it does not provide execute privileges to any functions outside the scope of the Library Services API. The Library Services API uses the `dls-user` role as a mechanism to amp more privileged operations in a controlled way. It is therefore reasonably safe to assign this role to any user whom you trust to use your Library Services application. Assign the `dls-user` role to all users of your Library Services application.

For details, see the [Library Services Applications](#) chapter in the *Application Developer's Guide*.

35.30 domain-management

The `domain-management` role has the privileges to create and modify content processing domains. The `domain-management` role has no execute privileges associated with it, but it has the following default permissions:

Role	Capability
<code>domain-management</code>	Read
<code>domain-management</code>	Update

35.31 filesystem-access

The `filesystem-access` role has the privileges to access the file system. The execute privileges given to the `filesystem-access` role are:

Name	Action URI
<code>xdmp:document-get</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-document-get</code>
<code>xdmp:document-load</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-document-load</code>
<code>xdmp:get</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-get</code>
<code>xdmp:load</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-load</code>
<code>xdmp:save</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-save</code>

There are no default permissions associated with the `filesystem-access` role.

35.32 flexrep-admin

The `flexrep-admin` role is required to configure replication.

35.33 flexrep-internal

The `flexrep-internal` role is used by Flexible Replication to perform certain functions that Flexible Replication performs. You should not explicitly grant the `flexrep-internal` role to any user; it is only for internal use by Flexible Replication.

35.34 flexrep-user

The `flexrep-user` role user is required to access the Replica App Server when configured for push replication and the Master App Server when configured for pull replication. The replication user must be given the `flexrep-user` role and have the privileges necessary to update the domain content.

35.35 hadoop-internal

The `hadoop-internal` role is for internal use only. Do not assign this role to any users. This role is used to amp special privileges within the context of certain functions of the Hadoop MapReduce Connector. Assigning this role to users would give them privileges on the system that you typically do not want them to have.

35.36 hadoop-user-all

The `hadoop-user-all` role combines the privileges of `hadoop-user-read` and `hadoop-user-write`.

35.37 hadoop-user-read

The `hadoop-user-read` role allows use of MarkLogic Server as an input source for a MapReduce job. This role does not grant any other privileges, so the `mapreduce.marklogic.input.user` may still require additional privileges to read content from the target database. The `hadoop-user-read` role has the following execute privileges:

Name	Action URI
<code>hadoop-user-read</code>	<code>http://marklogic.com/xdmp/privileges/hadoop-user-read</code>
<code>xdbc:eval</code>	<code>http://marklogic.com/xdmp/privileges/xdbc-eval</code>
<code>xdbc:eval-in</code>	<code>http://marklogic.com/xdmp/privileges/xdbc-eval-in</code>
<code>xdmp:value</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-value</code>
<code>xdmp:with-namespaces</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-with-namespace</code>

35.38 hadoop-user-write

The `hadoop-user-write` role allows use of MarkLogic Server as an output destination for a MapReduce job. This role does not grant any other privileges, so the `mapreduce.marklogic.output.user` may still require additional privileges to insert or update content in the target database. The `hadoop-user-write` role has the following execute privileges:

Name	Action URI
<code>any-uri</code>	<code>http://marklogic.com/xdmp/privileges/any-uri</code>
<code>hadoop-user-write</code>	<code>http://marklogic.com/xdmp/privileges/hadoop-user-write</code>
<code>unprotected-collections</code>	<code>http://marklogic.com/xdmp/privileges/unprotected-collections</code>
<code>xdbc:eval</code>	<code>http://marklogic.com/xdmp/privileges/xdbc-eval</code>
<code>xdbc:insert-in</code>	<code>http://marklogic.com/xdmp/privileges/xdbc-insert-in</code>
<code>xdmp:with-namespaces</code>	<code>http://marklogic.com/xdmp/privileges/xdmp-with-namespace</code>

35.39 infostudio-admin-internal

Information Studio is no longer a part of MarkLogic. This role exists only for backward compatibility.

The `infostudio-admin-user` role provides the privileges needed to handle CPF restart and resume unfinished Information Studio tasks in the event of an unexpected shutdown and restart of MarkLogic Server. When MarkLogic Server is restarted, long-running collectors resume loading documents in the database. In this situation, the original user that started the collector is unknown, so the purpose of the `infostudio-admin user` is to resume control of the collector.

35.40 infostudio-internal

Information Studio is no longer a part of MarkLogic. This role exists only for backward compatibility.

The `infostudio-user` role is used by Information Studio to amp certain functions that Information Studio performs. You should not explicitly grant the `infostudio-internal` role to any user; it is only for internal use by Information Studio.

35.41 infostudio-user

Information Studio is no longer a part of MarkLogic. This role exists only for backward compatibility.

The `infostudio-user` role is a minimally privileged role that is needed to use Information Studio. You must grant this role to all users who are allowed to access Information Studio.

The `infostudio-user` role has the following execute privileges:

- `infostudio` (<http://marklogic.com/xdmp/privileges/infostudio>)
- `unprotected-collections`

35.42 manage

The `manage` role has the execute privilege `http://marklogic.com/xdmp/privileges/manage` to run the Management API. For example, non-admin users can use `manage` role plus `create-data-role` or `create-data-user` granular privileges to manage roles and create data users.

Name	Action URI
<code>manage</code>	<code>http://marklogic.com/xdmp/privileges/manage</code>

There are no default permissions associated with the `manage` role.

35.43 manage-admin

The `manage-admin` role has the privileges related to accessing the management API and the tiered storage API for operations that change the configuration. The execute privileges given to the `manage-admin` role are:

Name	Action URI
<code>manage</code>	<code>http://marklogic.com/xdmp/privileges/manage</code>
<code>manage-admin</code>	<code>http://marklogic.com/xdmp/privileges/manage-admin</code>
<code>ts:database-create-sub-database</code>	<code>http://marklogic.com/xdmp/privileges/database-create-sub-database</code>
<code>ts:database-create-super-database</code>	<code>http://marklogic.com/xdmp/privileges/database-create-super-database</code>
<code>ts:database-delete-sub-database</code>	<code>http://marklogic.com/xdmp/privileges/database-delete-sub-database</code>
<code>ts:database-delete-super-database</code>	<code>http://marklogic.com/xdmp/privileges/database-delete-super-database</code>
<code>ts:database-partitions</code>	<code>http://marklogic.com/xdmp/privileges/database-partitions</code>
<code>ts:forest-combine</code>	<code>http://marklogic.com/xdmp/privileges/forest-combine</code>
<code>ts:forest-migrate</code>	<code>http://marklogic.com/xdmp/privileges/forest-migrate</code>
<code>ts:partition-create</code>	<code>http://marklogic.com/xdmp/privileges/partition-create</code>
<code>ts:partition-delete</code>	<code>http://marklogic.com/xdmp/privileges/partition-delete</code>
<code>ts:partition-forests</code>	<code>http://marklogic.com/xdmp/privileges/partition-forests</code>
<code>ts:partition-migrate</code>	<code>http://marklogic.com/xdmp/privileges/partition-migrate</code>
<code>ts:partition-resize</code>	<code>http://marklogic.com/xdmp/privileges/partition-resize</code>
<code>ts:partition-set-availability</code>	<code>http://marklogic.com/xdmp/privileges/partition-set-availability</code>
<code>ts:partition-set-updates-allowed</code>	<code>http://marklogic.com/xdmp/privileges/partition-set-updates-allowed</code>
<code>ts:partition-transfer</code>	<code>http://marklogic.com/xdmp/privileges/partition-transfer</code>

There are no default permissions associated with the `manage-admin` role.

35.44 manage-admin-internal

The `manage-admin-internal` role is used to amp certain functions used by the Configuration Manager and the Management API. You should not explicitly grant the `manage-admin-internal` role to any user; it is only for internal use.

35.45 manage-internal

The `manage-internal` role is used to amp certain functions used by the Configuration Manager. You should not explicitly grant the `manage-internal` role to any user; it is only for internal use.

35.46 manage-user

The `manage-user` role has the privileges related to accessing the Configuration Manager. The execute privileges given to the `manage-user` role are:

Name	Action URI
manage	http://marklogic.com/xdmp/privileges/manage

There are no default permissions associated with the `manage-user` role.

35.47 merge

The `merge` role has the privileges related to forest merging. The execute privileges given to the `merge` role are:

Name	Action URI
xdmp:merge	http://marklogic.com/xdmp/privileges/xdmp-merge
xdmp:merging	http://marklogic.com/xdmp/privileges/xdmp-merging

There are no default permissions associated with the `merge` role.

35.48 network-access

The `network-access` role has the privileges to run the `xdmp:http-*` functions (`xdmp:http-get`, `xdmp:http-post`, and so on). The execute privileges given to the `network-access` role are:

Name	Action URI
xdmp:http-get	http://marklogic.com/xdmp/privileges/xdmp-http-get
xdmp:http-head	http://marklogic.com/xdmp/privileges/xdmp-http-head
xdmp:http-options	http://marklogic.com/xdmp/privileges/xdmp-http-options
xdmp:http-delete	http://marklogic.com/xdmp/privileges/xdmp-http-delete
xdmp:http-post	http://marklogic.com/xdmp/privileges/xdmp-http-post
xdmp:http-put	http://marklogic.com/xdmp/privileges/xdmp-http-put

35.49 pipeline-execution

The `pipeline-execution` role is used in the XQuery code to allow any user (who can write a document to the domain) to execute code in the pipeline.

For details, see the *Content Processing Framework Guide* guide.

35.50 pipeline-management

The `pipeline-management` role has the privileges to create and modify content processing pipelines. The `pipeline-management` role has no execute privileges associated with it, but it has the following default permissions:

Role	Capability
<code>pipeline-management</code>	Read
<code>pipeline-management</code>	Update

35.51 pki

The `pki` role has the privileges to use the PKI Library functions. For details, see [Configuring SSL on App Servers](#) in the *Security Guide*.

35.52 plugin-internal

The `plugin-user` role is used to amp certain functions associated with plugins. You should not explicitly grant the `plugin-internal` role to any user; it is only for internal use by the plugin API.

35.53 qconsole-internal

The `qconsole-internal` role is used by Query Console to amp certain functions that Query Console performs. You should not explicitly grant the `qconsole-internal` role to any user; it is only for internal use by Query Console.

35.54 qconsole-user

The `qconsole-user` role is a minimally privileged role that is needed to use Query Console. You must grant this role to all users who are allowed to use Query Console.

The `qconsole-user` role has the following execute privileges:

- `qconsole` (<http://marklogic.com/xdmp/privileges/qconsole>)

35.55 rest-admin

The `rest-admin` role has the `rest-writer` and `manage-user` roles and allows those granted the role full access to read and write via the REST API.

35.56 rest-admin-internal

The `rest-admin-internal` role is used internally by the REST Library. You should not explicitly grant it to any user or role.

35.57 rest-extension-user

The `rest-extension-user` role enables access to resource service extension methods. .

35.58 rest-internal

The `rest-internal` role is used internally by the REST Library. You should not explicitly grant it to any user or role.

35.59 rest-reader

The `rest-reader` role enables read operations through the MarkLogic REST API, such as retrieving documents and metadata.

35.60 rest-writer-internal

The `rest-reader-internal` role is used internally by the REST Library. You should not explicitly grant it to any user or role.

35.61 rest-writer

The `rest-writer` role enables write operations through the MarkLogic REST API, such as creating documents, metadata, or configuration information.

35.62 rest-reader-internal

The `rest-writer-internal` role is used internally by the REST Library. You should not explicitly grant it to any user or role.

35.63 search-internal

The `search-internal` role is a role that is used internally by the search API. You should not explicitly grant it to any user or role.

35.64 security

The `security` role has the privileges needed to perform security functions. The execute privileges given to the `security` role are:

Name	Action URI
<code>amp-add-roles</code>	<code>http://marklogic.com/xdmp/privileges/amp-add-roles</code>
<code>amp-get-roles</code>	<code>http://marklogic.com/xdmp/privileges/amp-get-roles</code>
<code>amp-remove-roles</code>	<code>http://marklogic.com/xdmp/privileges/amp-remove-roles</code>
<code>amp-set-roles</code>	<code>http://marklogic.com/xdmp/privileges/amp-set-roles</code>
<code>any-collection</code>	<code>http://marklogic.com/xdmp/privileges/any-collection</code>
<code>any-uri</code>	<code>http://marklogic.com/xdmp/privileges/any-uri</code>
<code>collection-add-permissions</code>	<code>http://marklogic.com/xdmp/privileges/collection-add-permissions</code>

Name	Action URI
collection-get-permissions	http://marklogic.com/xdmp/privileges/collection-get-permissions
collection-remove-permissions	http://marklogic.com/xdmp/privileges/collection-remove-permissions
collection-set-permissions	http://marklogic.com/xdmp/privileges/collection-set-permissions
create-amp	http://marklogic.com/xdmp/privileges/create-amp
create-privilege	http://marklogic.com/xdmp/privileges/create-privilege
create-role	http://marklogic.com/xdmp/privileges/create-role
create-user	http://marklogic.com/xdmp/privileges/create-user
get-amp	http://marklogic.com/xdmp/privileges/get-amp
get-privilege	http://marklogic.com/xdmp/privileges/get-privilege
get-role-ids	http://marklogic.com/xdmp/privileges/get-role-ids
grant-all-roles	http://marklogic.com/xdmp/privileges/grant-all-roles
grant-my-roles	http://marklogic.com/xdmp/privileges/grant-my-roles
permission	http://marklogic.com/xdmp/privileges/permission
privilege-add-roles	http://marklogic.com/xdmp/privileges/privilege-add-roles
privilege-get-roles	http://marklogic.com/xdmp/privileges/privilege-get-roles
privilege-remove-roles	http://marklogic.com/xdmp/privileges/privilege-remove-roles
privilege-set-name	http://marklogic.com/xdmp/privileges/privilege-set-name
privilege-set-roles	http://marklogic.com/xdmp/privileges/privilege-set-roles
protect-collection	http://marklogic.com/xdmp/privileges/protect-collection
remove-amp	http://marklogic.com/xdmp/privileges/remove-amp
remove-privilege	http://marklogic.com/xdmp/privileges/remove-privilege
remove-role	http://marklogic.com/xdmp/privileges/remove-role
remove-role-from-amps	http://marklogic.com/xdmp/privileges/remove-role-from-amps
remove-role-from-privileges	http://marklogic.com/xdmp/privileges/remove-role-from-privileges
remove-role-from-roles	http://marklogic.com/xdmp/privileges/remove-role-from-roles
remove-role-from-users	http://marklogic.com/xdmp/privileges/remove-role-from-users
remove-user	http://marklogic.com/xdmp/privileges/remove-user
role-add-roles	http://marklogic.com/xdmp/privileges/role-add-roles
role-get-default-collections	http://marklogic.com/xdmp/privileges/role-get-default-collections
role-get-default-permissions	http://marklogic.com/xdmp/privileges/role-get-default-permissions
role-get-roles	http://marklogic.com/xdmp/privileges/role-get-roles
role-privileges	http://marklogic.com/xdmp/privileges/role-privileges
role-remove-roles	http://marklogic.com/xdmp/privileges/role-remove-roles
role-set-default-collections	http://marklogic.com/xdmp/privileges/role-set-default-collections
role-set-default-permissions	http://marklogic.com/xdmp/privileges/role-set-default-permissions
role-set-description	http://marklogic.com/xdmp/privileges/role-set-description
role-set-name	http://marklogic.com/xdmp/privileges/role-set-name
role-set-roles	http://marklogic.com/xdmp/privileges/role-set-roles
unprotect-collection	http://marklogic.com/xdmp/privileges/unprotect-collection

Name	Action URI
user-add-roles	http://marklogic.com/xdmp/privileges/user-add-roles
user-get-default-collections	http://marklogic.com/xdmp/privileges/user-gt-default-collections
user-get-default-permissions	http://marklogic.com/xdmp/privileges/user-get-default-permissions
user-get-description	http://marklogic.com/xdmp/privileges/user-get-description
user-get-roles	http://marklogic.com/xdmp/privileges/user-get-roles
user-privileges	http://marklogic.com/xdmp/privileges/user-privileges
user-remove-roles	http://marklogic.com/xdmp/privileges/user-remove-roles
user-set-default-collections	http://marklogic.com/xdmp/privileges/user-set-default-collections
user-set-default-permissions	http://marklogic.com/xdmp/privileges/user-set-default-permissions
user-set-description	http://marklogic.com/xdmp/privileges/user-set-description
user-set-name	http://marklogic.com/xdmp/privileges/user-set-name
user-set-password	http://marklogic.com/xdmp/privileges/user-set-password
user-set-roles	http://marklogic.com/xdmp/privileges/user-set-roles
xdmp:amp-roles	http://marklogic.com/xdmp/privileges/xdmp:amp-roles
xdmp:privilege-roles	http://marklogic.com/xdmp/privileges/xdmp:privilege-roles
xdmp:role-roles	http://marklogic.com/xdmp/privileges/xdmp:role-roles
xdmp:user-roles	http://marklogic.com/xdmp/privileges/xdmp:user-roles

Default permissions for the `security` role are:

Role	Capability
security	Read
security	Insert
security	Update

35.65 tde-admin

The `tde-admin` role has the privileges to administer extraction templates.

35.66 tde-view

The `tde-view` role has the privileges to view extraction templates.

35.67 temporal-admin

The `temporal-admin` role has the privileges to create and modify temporal data.

35.68 temporal-internal

The `temporal-internal` role is an internal role. Do not assign this role to any user.

35.69 trigger-management

The `trigger-management` role has the privileges to create and modify triggers. The `trigger-management` role has no execute privileges associated with it. This role has the following default permissions:

Role	Capability
trigger-management	Read
trigger-management	Update

35.70 view-admin

The `view-admin` role enables a user to view MarkLogic Server administration.

35.71 view-admin-internal

The `view-admin-internal` role is used internally by the MarkLogic Server. Do not explicitly grant it to any user or role.

35.72 welcome-internal

The `welcome-internal` role is a role that used to be used internally by the MarkLogic Server Welcome Page (now removed). Do not explicitly grant it to any user or role.

35.73 xa

The `xa` user role allows creation and management of one's own XA transaction branches in MarkLogic Server. The `xa` role is required to participate in XA transactions. For details, see [Participating in XA Transactions](#) in the *XCC Developer's Guide*. The `xa` role has the following execute privileges:

Name	Action URI
complete-my-transaction	http://marklogic.com/xdmp/privileges/complete-my-transactions
forget-my-xa-transactions	http://marklogic.com/xdmp/privileges/forget-my-xa-transactions
prepare-my-xa-transactions	http://marklogic.com/xdmp/privileges/prepare-my-xa-transactions
status-builtins	http://marklogic.com/xdmp/privileges/status-builtins
xdmp:set-current-transaction	http://marklogic.com/xdmp/privileges/set-current-transaction
xdmp:transaction-create	http://marklogic.com/xdmp/privileges/xdmp-transaction-create
xdmp:transaction-create-xid	http://marklogic.com/xdmp/privileges/xdmp-transaction-create-xid

35.74 xa-admin

The `xa-admin` role allows creation and manage of any user's XA transaction branches in

MarkLogic Server. The `xa-admin` role is intended primarily for Administrators who need to complete or forget XA transactions. The `xa-admin` role has the following execute privileges:

Name	Action URI
complete-any-transactions	http://marklogic.com/xdmp/privileges/complete-any-transactions
complete-my-transaction	http://marklogic.com/xdmp/privileges/complete-my-transactions
forget-any-xa-transactions	http://marklogic.com/xdmp/privileges/forget-any-xa-transactions
forget-my-xa-transactions	http://marklogic.com/xdmp/privileges/forget-my-xa-transactions
prepare-any-xa-transactions	http://marklogic.com/xdmp/privileges/prepare-any-xa-transactions
prepare-my-xa-transactions	http://marklogic.com/xdmp/privileges/prepare-my-xa-transactions
status-builtins	http://marklogic.com/xdmp/privileges/status-builtins
xdmp:set-current-transaction	http://marklogic.com/xdmp/privileges/set-current-transaction
xdmp:transaction-create	http://marklogic.com/xdmp/privileges/xdmp-transaction-create
xdmp:transaction-create-xid	http://marklogic.com/xdmp/privileges/xdmp-transaction-create-xid

35.75 xinclude

The `xinclude` role provides the privileges to run the XInclude code used in the XInclude CPF application. For details, see [Reusing Content With Modular Document Applications](#) in the *Application Developer's Guide*.

36.0 Technical Support

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Complete product documentation, the latest product release downloads, and other useful information is available for all developers at <http://developer.marklogic.com>. For technical questions, we encourage you to ask your question on [Stack Overflow](#).

37.0 Copyright

MarkLogic Server 10.0 and supporting products.

Last updated: February, 2022

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